

Lecture 1: Introduction, and alternating groups

Robert A. Wilson

Queen Mary, University of London

LTCC, 6th October 2008

INTRODUCTION

Simple groups

- ▶ A subgroup H of a group G is **normal** if the left and right cosets are equal, $Hg = gH$ for all $g \in G$.
- ▶ A group G is **simple** if it has exactly two normal subgroups, 1 and G .
- ▶ The abelian simple groups are exactly the cyclic groups of prime order, C_p .
- ▶ The non-abelian simple groups are much harder to classify: 50 years of hard work by many people, c. 1955–2005, led to
- ▶ **CFSG**: the Classification Theorem for Finite Simple Groups.

CFSG

Every non-abelian finite simple group is one of the following

- ▶ an alternating group A_n , $n \geq 5$: the set of even permutations on n points;
- ▶ a classical group over a finite field: six families (linear, unitary, symplectic, and three families of orthogonal groups);
- ▶ an exceptional group of Lie type: ten families;
- ▶ 26 sporadic simple groups, ranging in size from M_{11} of order 7920 to the Monster of order nearly 10^{54} .

Our aim is to understand the statement of this theorem in more detail.

Practicalities

- ▶ The course web-site is accessible from the LTCC site <http://www.ltcc.ac.uk/>, or directly at <http://www.maths.qmul.ac.uk/~raw/FSG/>. It will contain lecture notes, exercises, solutions, links to background reading, further reading, etc.
- ▶ You are encouraged to print off and read the lecture notes, which are more detailed than the lectures themselves.

Permutations

- ▶ A **permutation** on a set Ω is a bijection from Ω to itself.
- ▶ The set of permutations on Ω forms a group, called the **symmetric group** on Ω .
- ▶ A **transposition** is a permutation which swaps two points and fixes all the rest.
- ▶ Every permutation can be written as a product of transpositions.
- ▶ The identity element cannot be written as the product of an odd number of transpositions.
- ▶ Hence no element can be written both as an even product and an odd product.

ALTERNATING GROUPS

Even permutations

- ▶ A permutation is **even** if it can be written as a product of an even number of transpositions, and **odd** otherwise.
- ▶ The even permutations form a subgroup called the **alternating group**, and the odd permutations form a coset of this subgroup.
- ▶ In particular, the alternating group has index 2 in the symmetric group.
- ▶ So if Ω has n points, the symmetric group S_n has order $n!$, and the alternating group has order $n!/2$.

Transitivity

- ▶ Write a^π for the image of $a \in \Omega$ under the permutation π .
- ▶ The **orbit** of $a \in \Omega$ under the group H is $\{a^\pi \mid \pi \in H\}$.
- ▶ The orbits under H form a partition of Ω .
- ▶ If there is only one orbit (Ω itself), then H is **transitive**.
- ▶ For $k \geq 1$, a group H is **k -transitive** if for every set of k distinct elements $a_1, \dots, a_k \in \Omega$ and every set of k distinct elements $b_1, \dots, b_k \in \Omega$, there is a permutation $\pi \in H$ with $a_i^\pi = b_i$ for all i .

Group actions

Suppose G is a subgroup of S_n acting on $\Omega = \{1, 2, \dots, n\}$.

- ▶ The **stabilizer** of $a \in \Omega$ in G is $H := \{g \in G \mid a^g = a\}$.
- ▶ The set $\{g \in G \mid a^g = b\}$ is equal to the coset Hx , where x is any element with $a^x = b$.
- ▶ In other words $a^x \mapsto Hx$ is a bijection between Ω and the set of right cosets of H .
- ▶ Hence the **orbit-stabilizer theorem**: $|H| \cdot |\Omega| = |G|$.
- ▶ Conversely, the action of G on Ω is the same as the action on cosets of H given by $g : Hx \mapsto Hxg$.

Primitivity

- ▶ A **block system** for H is a partition of Ω preserved by H .
- ▶ The partitions $\{\Omega\}$ and $\{\{a\} \mid a \in \Omega\}$ are **trivial** block systems.
- ▶ If H preserves a non-trivial block system (called a **system of imprimitivity**), then H is called **imprimitive**.
- ▶ Otherwise H is primitive.
- ▶ If H is primitive, then H is transitive. Why?
- ▶ If H is 2-transitive, then H is primitive. Why?

Maximal subgroups

- ▶ This gives a very useful correspondence between **transitive group actions** and **subgroups**.
- ▶ **primitive** group actions correspond to **maximal** subgroups:
- ▶ The block of imprimitivity containing a , say B , corresponds to the cosets Hx such that $a^x \in B$.
- ▶ The union of these cosets is a subgroup K with $H < K < G$, so H is not maximal.
- ▶ ... and conversely.

SIMPLICITY OF ALTERNATING GROUPS

Conjugacy classes

- ▶ Every element in S_n can be written as a product of disjoint cycles.
- ▶ Conjugation by $g \in S_n$ is the map $x \mapsto g^{-1}xg$. It maps a cycle (a_1, \dots, a_k) to (a_1^g, \dots, a_k^g) .
- ▶ Hence two elements of S_n are **conjugate** if and only if they have the same **cycle type**.
- ▶ Conjugacy in A_n is a little more subtle: if there is a cycle of even length, or two cycles of the same odd length, then we get the same answer.
- ▶ But if the cycles have distinct odd lengths then the conjugacy class in S_n splits into two classes of equal size in A_n .

Simplicity of A_5

- ▶ The conjugacy classes in A_5 are:
 - ▶ One identity element;
 - ▶ 15 elements of shape $(a, b)(c, d)$;
 - ▶ 20 elements of shape (a, b, c) ;
 - ▶ 24 elements of shape (a, b, c, d, e) , consisting of two conjugacy class of 12 elements each.
- ▶ No proper non-trivial union of conjugacy classes, containing the identity element, has size dividing 60, so there is no proper non-trivial normal subgroup.

Simplicity of A_n

- ▶ Assume N is a normal subgroup of A_n .
- ▶ Then $N \cap A_{n-1}$ is normal in A_{n-1} , so by induction is either 1 or A_{n-1} .
- ▶ In the first case, N has at most n elements, but there is no conjugacy class small enough to be in N .
- ▶ In the second case, N contains a 3-cycle, so contains all 3-cycles, so is A_n .

COFFEE BREAK

SUBGROUPS OF SYMMETRIC AND ALTERNATING GROUPS

Intransitive subgroups

We work in S_n rather than A_n (as it is easier), and consider only maximal subgroups.

- ▶ If a subgroup has more than two orbits, it cannot be maximal
- ▶ If a subgroup has two orbits, of lengths k and $n - k$, then it is contained in $S_k \times S_{n-k}$.
- ▶ This is maximal if $k \neq n - k$. Why?
- ▶ If $k = n - k$ we can adjoin an element swapping the two orbits, giving a larger group $(S_k \times S_k) \rtimes 2$ which is maximal.
- ▶ The **intransitive maximal subgroups** of S_n are, up to conjugacy, $S_k \times S_{n-k}$ for $1 \leq k < n/2$.

Transitive imprimitive subgroups

- ▶ If $n = km$, then you can split Ω into k subsets of size m .
- ▶ The stabilizer of this partition contains $S_m \times S_m \times \cdots \times S_m$, the direct product of k copies of S_m .
- ▶ It also contains S_k permuting the k blocks.
- ▶ Together these form the **wreath product** of S_m with S_k , written $S_m \wr S_k$.
- ▶ These subgroups are usually (always?) maximal in S_n .

Primitive wreath products

- ▶ If $n = m^k$, we can label the n points of Ω by k -tuples (a_1, \dots, a_k) of elements a_i from a set A of size m .
- ▶ Then $S_m \times S_m \times \dots \times S_m$ can act on this set by getting each copy of S_m to act on one of the k coordinates.
- ▶ Also S_k can act by permuting the k coordinates.
- ▶ This gives an action of $S_m \wr S_k$ on the set of m^k points.
- ▶ This is called the **product action** to distinguish it from the **imprimitive action** we have just seen.

Subgroups of diagonal type

These are harder to describe.

- ▶ Let T be a non-abelian simple group, and let H be the wreath product $T \wr S_m$ for some $m \geq 2$.
- ▶ This contains a ‘diagonal’ subgroup $D \cong T$ consisting of all the ‘diagonal’ elements $(t, t, \dots, t) \in T \times T \times \dots \times T$.
- ▶ H contains a subgroup $D \times S_m$ of index $|T|^{m-1}$.
- ▶ Let H act on the $n = |T|^{m-1}$ cosets of this subgroup.
- ▶ Then H is nearly maximal in S_n : we just need to adjoin the automorphisms of T , acting the same way on all the m copies of T .

Affine groups

- ▶ If $n = p^d$, where p is prime, then we can label the n points of Ω by the vectors of a d -dimensional vector space over $\mathbb{Z}/p\mathbb{Z}$.
- ▶ The **translations** $x \mapsto x + v$ act on this vector space.
- ▶ The **linear transformations** $x \mapsto xM$ (where M is an invertible matrix) also act.
- ▶ These generate a group $AGL_d(p)$ which we shall study in more detail next week.
- ▶ Usually (but not always) these groups are maximal in either S_n or A_n .

Almost simple groups

- ▶ A group G is **almost simple** if there is a simple group T such that $T \leq G \leq \text{Aut } T$.
- ▶ If M is a maximal subgroup of G , then G acts primitively on the $|G|/|M|$ cosets of M .
- ▶ Hence G is a subgroup of S_n , where $n = |G|/|M|$.
- ▶ Often such a group G is maximal in S_n or A_n .
- ▶ For a reasonable value of n these are straightforward to classify.
- ▶ But classifying these groups G for all n is a hopeless task.

The O’Nan–Scott Theorem

says that every maximal subgroup of A_n or S_n is of one of these types.

If H is any proper subgroup of S_n other than A_n , then H is a subgroup of (at least) one of the following:

- ▶ (intransitive) $S_k \times S_{n-k}$, for $k < n/2$;
- ▶ (transitive imprimitive) $S_k \wr S_m$, for $n = km$, $1 < k < n$;
- ▶ (product action) $S_k \wr S_m$, for $n = k^m$, $k \geq 5$;
- ▶ (affine) $AGL_d(p)$, for $n = p^d$, p prime;
- ▶ (diagonal) $T^m \cdot (\text{Out}(T) \times S_m)$, where T is non-abelian simple, and $n = |T|^{m-1}$;
- ▶ (almost simple) an almost simple group G acting on the n cosets of a maximal subgroup M .

THE END