

Synchronization and homomorphisms

Peter J. Cameron



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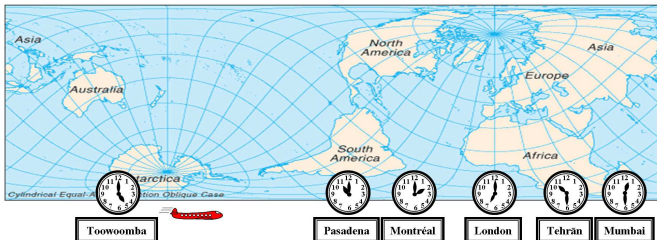
Group Theory, Combinatorics & Computation
Perth, January 2009



THEOREM OF THE DAY



Sims' Conjecture (1968, proved 1983) *There is a function f which, for any finite permutation group G acting primitively on a set Ω , bounds the order of a point stabilizer G_α , $\alpha \in \Omega$, as $|G_\alpha| \leq f(k)$, k being the size of a non-trivial orbit of G_α acting on Ω .*



Aviation (=imprimitive?) Local time in Toowoomba may be thought of as an independent clock face: the permutation $(1_T 2_T \dots 11_T 12_T)$. Circling the globe permutes local time hours: $(1_T 1_P 1_{Mn} 1_L 1_T 1_{Mn})(2_T 2_P 2_{Mn} 2_L 2_T 2_{Mn}) \dots (12_T 12_P 12_{Mn} 12_L 12_T 12_{Mn})$, the actual rates of change depending on your trajectory. For n cities, these two permutations generate a *wreath product* group $\mathbb{Z}_{12} \text{ wr } \mathbb{Z}_n$ acting on $12 \times n$ clock points. The action is *imprimitive*: clocks are always mapped to each other in entirety, never piecemeal; and the theorem does not apply: G_{1_T} , fixing time in Toowoomba, acts, regardless of n , with orbits of size $k = 12$, i.e. the other $n - 1$ clocks. But $|G_{T_i}| = 12^{n-1}$, since these clocks rotate independently.

Teleportation (=primitive?) equips the traveller with a separate cycle $(1_T 1_P 1_{Mn} 1_L 1_T 1_{Mn})$, breaking out of the clock face to give a primitive group: the symmetric or, for even n , the alternating group. (By the way, Cameron, Neumann and Teague have shown that, for almost all n , no other primitive groups exist.) Fixing 1_T now leaves all other clock points free to move, so $|G_{1_T}| = (12n - 1)!$ or, for even n , $(12n - 1)!/2$; and orbits have size $k = 12n - 1$. So $f(k) = k!$ works here, but to assert the existence of an f which works for *all* primitive groups is another matter!

This deep theorem, proved by Peter Cameron, Cheryl Praeger, Jan Saxl and Gary Seitz using the massive edifice of the Classification of the Finite Simple Groups, has somehow retained the name of its originator, Charles C. Sims.

Web link: designtheory.org/library/encyc/topics/permgps.pdf. The map is from www.lib.utexas.edu/maps/world.html.

Further reading: *Permutation Groups* by P.J. Cameron, Cambridge University Press, 1999.

Theorem of the Day is maintained by Robin Whitty at www.theoremoftheday.org



Thanks to Robin Whitty

Higgledy-piggledy

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Happy birthday, Cheryl, from Robin!

List of people from Toowoomba

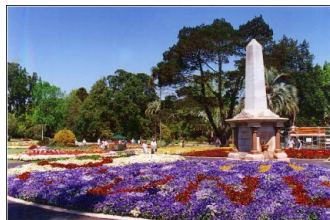
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This is part of an investigation involving, among others, João Araújo, Peter Neumann, Jan Saxl, Csaba Schneider, Pablo Spiga, and Ben Steinberg. Nik Ruskuc, Colva Roney-Dougal, Ian Gent and Tom Kelsey have recently been involved. Some of the work also involves Cristy Kazanidis, a student of Cheryl's.

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There is far more material than can be presented here; Pieter will talk about other aspects of this topic in his workshop next week. See you there!

Notation

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For a graph X , we use $\omega(X)$ for the clique number, $\chi(X)$ for the chromatic number, \overline{X} for the complement, $\alpha(X)$ for the independence number (so that $\alpha(X) = \omega(\overline{X})$), and $\text{Aut}(X)$ for the automorphism group of X .

Graph homomorphisms

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Example:

- ▶ $K_m \rightarrow X$ if and only if $\omega(X) \geq m$;
- ▶ $X \rightarrow K_m$ if and only if $\chi(X) \leq m$.

Cores

The **core** of X is the (unique) smallest graph Y such that $Y \equiv X$. It is an induced subgraph (indeed, a retract) of X . (This means that it is the image of an **idempotent** endomorphism of X .)

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- ▶ X is equal to its core if and only if all its endomorphisms are automorphisms;
- ▶ the core of X is complete if and only if $\omega(X) = \chi(X)$.

Cores and symmetry

Proposition

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Complexity

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More relevant to us, Hell and Nešetřil showed that the problem of deciding whether a given graph is a core is NP-complete.

Rank 3 graphs

A graph X is a **rank 3 graph** if its automorphism group is transitive on vertices, ordered edges and ordered non-edges; in other words, $\text{Aut}(X)$ is a rank 3 permutation group. (The **rank** of a permutation group G on a set V is the number of G -orbits on $V \times V$.)

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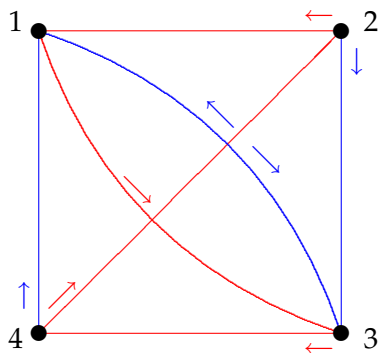
This is true; the proof came from an unexpected direction: **automata theory**.

The cave

You are in a dungeon consisting of a number of rooms. Passages are marked with coloured arrows. Each room contains a special door; in one room, the door leads to freedom, but in all the others, to instant death. You have a schematic map of the dungeon, but you do not know where you are.

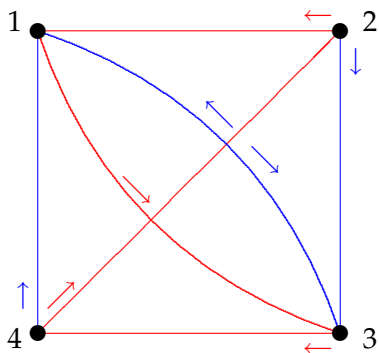
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You can check that (Blue, Red, Blue, Blue) is a reset word which takes you to room 3 no matter where you start.

Automata and reset words

An **automaton** is an edge-coloured digraph with one edge of each colour out of each vertex. Vertices are **states**, colours are **transitions**. A **reset word** is a word in the colours such that following edges of these colours from any starting vertex always brings you to the same state. An automaton which possesses a reset word is called **synchronizing**.

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Not every finite automaton has a reset word; the **Černý conjecture**, states that, if a reset word exists, then there is one of length at most $(n - 1)^2$, where n is the number of states (or rooms in our example).

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Algebraically, an automaton is a submonoid of the **full transformation monoid** T_n on $\{1, \dots, n\}$, with a distinguished set of generators; it is synchronizing if and only if it contains a constant function.

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Note that the third condition on X is much stronger than the second. We will return to this!

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Let M be a submonoid of T_n which is not contained in S_n and contains no constant function. Define a graph X on the vertex set $\{1, \dots, n\}$ by the rule that $v \sim w$ if and only if there is no $f \in M$ with $vf = wf$.

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If $v \sim w$ and $f \in M$, then $vf \neq wf$ by definition. Moreover, if $vf \not\sim wf$ then $(vf)h = (wf)h$ for some h , contradicting the fact that $v \sim w$ (since $fh \in M$). So $M \leq \text{End}(X)$.

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Finally, if $f \in M$ has minimum rank, then the image of f carries a complete graph Y (since it cannot be made smaller by any element of M), and so Y is the core of X .

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Proof.

In the forward direction, apply the preceding theorem to $M = \langle G, f \rangle$, where f is such that M contains no constant function.

In the reverse direction, if X is non-null, then no endomorphism of it is constant; and if X is not a core, then it has an endomorphism which is not an automorphism.

Cores revisited

These considerations gave me the idea for the following theorem:

Theorem

Let X be a nonedge-transitive graph. Then either

- ▶ *$\text{core}(X)$ is complete, or*
- ▶ *X is a core.*

The hull of a graph

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The **hull** of a graph X is defined as follows:

- ▶ $\text{hull}(X)$ has the same vertex set as X ;
- ▶ $v \sim w$ in $\text{hull}(X)$ if and only if there is no element $f \in \text{End}(X)$ with $v^f = w^f$.

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Theorem

- ▶ X is a spanning subgraph of $\text{hull}(X)$;
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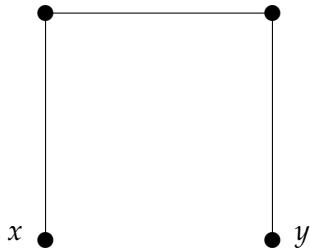
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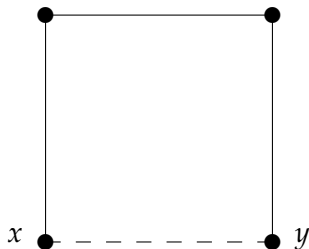
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- ▶ $\text{End}(X) \leq \text{End}(\text{hull}(X))$ and $\text{Aut}(X) \leq \text{Aut}(\text{hull}(X))$;
- ▶ if $\text{core}(X)$ has m vertices then $\text{core}(\text{hull}(X))$ is the complete graph on m vertices.

An example

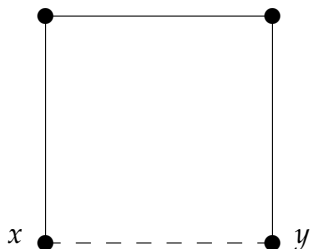


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Note the increase in symmetry: $|\text{Aut}(X)| = 2$ but $|\text{Aut}(\text{hull}(X))| = 8$.

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Remark: For any graph X ,

- ▶ $\text{hull}(X)$ is complete if and only if X is a core;
- ▶ if $\text{hull}(X) = X$ then $\text{core}(X)$ is complete (but our example shows that the converse is false).

Questions about hulls

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Theorem

$$h(X) \in \{\chi(X) - \omega(X), \chi(X) - \omega(X) + 1\}.$$

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Note that deciding if $\text{hull}(X)$ is a complete graph is

NP-complete (this is equivalent to deciding if X is a core).

Strongly regular graphs

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- ▶ every vertex has k neighbours;
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Godsil and Royle have some results on this question.

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Pseudocores

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Maybe this is also true for strongly regular graphs which are not complete multipartite ...

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Problem

What can be said about core-transitive graphs?

Other classes of permutation groups

We defined the class of **synchronizing** permutation groups earlier, and saw that a synchronizing group is primitive. Further, such a group is **basic**, and so by the O’Nan–Scott theorem, it is affine (with the stabiliser of the origin a primitive linear group), simple diagonal, or almost simple.

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There is a body of work about which such groups are synchronizing, but we do not have complete answers yet.

Other classes of permutation groups

The connection with automata leads to other interesting classes of permutation groups. For example, a permutation group G on Ω is **QI** if the rational permutation module $\mathbb{Q}\Omega$ is the sum of a 1-dimensional trivial module and an irreducible module. Arnold and Steinberg showed that this condition (which is stronger than synchronizing) suffices to prove the Černý conjecture for automata containing G in their transition monoid.

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Peter Neuman's workshop next week will give more details about this.