

ON THE ORDERS OF AUTOMORPHISM GROUPS OF FINITE GROUPS. II

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ABSTRACT

In the Kourovka Notebook, Deaconescu asks if $|\text{Aut } G| \geq \phi(|G|)$ for all finite groups G , where ϕ denotes the Euler totient function; and whether G is cyclic whenever $|\text{Aut } G| = \phi(|G|)$. In an earlier paper we have answered both questions in the negative, and shown that $|\text{Aut } G|/\phi(|G|)$ can be made arbitrarily small. Here we show that these results remain true if G is restricted to being perfect, or soluble.

1. The question, and general overview

Let ϕ denote the Euler totient function, so that $\phi(n)$ is the number of integers m with $1 \leq m \leq n$ such that m and n are coprime, and

$$\frac{\phi(n)}{n} = \prod_{i=1}^r \frac{p_i - 1}{p_i},$$

where $p_1 < p_2 < \dots < p_r$ are the prime factors of n . It is easy to see that for finite abelian groups G , we have $|\text{Aut } G| \geq \phi(|G|)$, with equality if and only if G is cyclic.

In [1] we showed that neither statement holds for arbitrary finite groups, thus solving Problem 15.43 of the Kourovka Notebook [5].

On the other hand they hold (trivially) for finite simple groups (indeed for all finite groups with trivial centre), and one is led to ask: For what classes of finite groups do the statements hold?

A long-standing conjecture of Schenkman [6], that if G is a finite non-cyclic p -group of order at least p^3 then $|G|$ divides $|\text{Aut } G|$, would imply that both statements hold for finite nilpotent groups. Indeed, this is known to hold for nilpotent groups of class 2, see Schenkman [6].

In this paper we show that the statements do not hold for the class of perfect groups, nor for the class of soluble groups. As in [1], we actually prove stronger results:

THEOREM 1. *For all $\varepsilon > 0$ there exists a finite perfect group G such that $|\text{Aut } G| < \varepsilon \cdot \phi(|G|)$.*

THEOREM 2. *For all $\varepsilon > 0$ there exists a finite soluble group G such that $|\text{Aut } G| < \varepsilon \cdot \phi(|G|)$.*

THEOREM 3. *For all $N \in \mathbb{N}$ there exists a finite perfect group G with $|G| > N$ such that $|\text{Aut } G| = \phi(|G|)$.*

THEOREM 4. *For all $N \in \mathbb{N}$ there exists a finite non-cyclic soluble group G with $|G| > N$ such that $|\text{Aut } G| = \phi(|G|)$.*

We were unable to resolve the case of supersoluble groups, but are marginally inclined to the view that:

CONJECTURE. *If G is a finite non-nilpotent supersoluble group, then $|\text{Aut } G| > \phi(|G|)$.*

CONVENTIONS. Throughout this paper, we shall only consider finite groups. The notation for group structures is based on that used in the ATLAS [3]. The notation $O_p(G)$, $O_{p'}(G)$, $O^p(G)$, $\text{Aut } G$, and $\text{Out}(G)$ is standard. The abbreviation PIM stands for projective indecomposable module. If U and V are modules then $U \cdot V$ denotes a non-split extension of U by V with U being the submodule and V being the quotient.

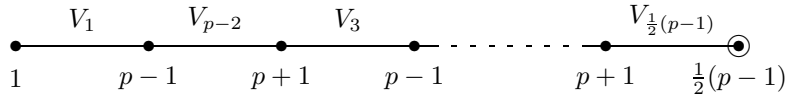
2. Some modules and cohomology

We need some information about modules and cohomology of $L_2(p)$, especially when $p \equiv 7 \pmod{8}$. The following information was established in [1]:

LEMMA 5. *For p prime and $p \equiv 7 \pmod{8}$ there are precisely two isomorphism classes of $\mathbb{F}_2 L_2(p)$ -modules $1 \cdot U$ in which U is absolutely irreducible of dimension $\frac{1}{2}(p-1)$, and the 1 denotes the trivial module. These two modules are interchanged by the non-trivial outer automorphism of $L_2(p)$, and both of these modules have zero 1-cohomology. These two modules have the forms $1 \cdot U_1$ and $1 \cdot U_2$ where U_1 and U_2 are not isomorphic.*

For all primes p there are just p irreducible modules of $SL_2(p)$ in characteristic p . Their dimensions are all different, and at most p , and we label the $SL_2(p)$ -irreducible of dimension i ($1 \leq i \leq p$) as V_i . For p odd, the central involution of $SL_2(p)$ acts trivially on V_i if and only if i is odd; in such cases we regard V_i as being an $L_2(p)$ module. Of course, V_1 is the trivial module for $L_2(p)$.

For $p \equiv 3 \pmod{4}$ the Brauer tree of the principal block of $L_2(p)$ in characteristic p is a straight line with $\frac{1}{2}(p+1)$ nodes and diagram



where we have labelled the nodes with the degrees of ordinary characters to which they correspond and we have labelled the edges with their corresponding p -modular irreducibles. From the Brauer tree one reads off the PIMs

$$V_1 \cdot V_{p-2} \cdot V_1 \quad \text{and} \quad V_{p-2} \cdot (V_1 \oplus V_3) \cdot V_{p-2}$$

for all primes $p \geq 7$ with $p \equiv 3 \pmod{4}$. (In fact, these PIM structures are valid for all primes $p \geq 5$.) Note that the V_i and all of the PIMs for $L_2(p)$ (and also $SL_2(p)$) can be realised over \mathbb{F}_p .

Let W be the $\mathbb{F}_p L_2(p)$ -module $(V_1 \oplus V_3) \cdot V_{p-2}$ (with simple head). So W is a

quotient of the PIM $V_{p-2} \cdot (V_1 \oplus V_3) \cdot V_{p-2}$ and therefore is unique. One can also read off from the PIMs that W has zero 1-cohomology whenever $p \geq 7$.

For p prime and $p \equiv 7 \pmod{8}$, we define J_p to be $J_p \cong (2^{(p+1)/2} \times p^{p+2}) : L_2(p)$, in which the complementary $L_2(p)$ act on $O_p(J_p)$ as the module $W \cong (1 \oplus V_3) \cdot V_{p-2}$ and on $O_2(J_p)$ as the module $1 \cdot U_1$ of Lemma 5. The groups $J_p/O_p(J_p)$ are isomorphic to the groups M_p we constructed in [1].

3. Perfect groups

In this section, we construct infinite series of finite perfect groups which prove Theorems 1 and 3. We let $r \geq 11$ be a prime, and define G to be the direct product of certain perfect groups B_p for each prime p between 3 and r inclusive:

$$G = \prod_{p \in \pi} B_p = \prod_{p=3, p \text{ prime}}^r B_p,$$

where π is the set of odd primes not exceeding r . Firstly, we take $B_3 \cong 3^6 : M_{11}$, where $O_3(B_3)$ when regarded as an $\mathbb{F}_3 M_{11}$ -module is a uniserial module of shape $1 \cdot 5a$ (this module is isomorphic to the unique 6-dimensional submodule of the \mathbb{F}_3 -permutation module of M_{11} on the 12 cosets of $L_2(11)$). Note also that the composition factor $5a$ is absolutely irreducible. We have:

LEMMA 6. *The $\mathbb{F}_3 M_{11}$ -module $1 \cdot 5a$ has zero 1-cohomology.*

Proof. This is an easy calculation using MAGMA [2]. Alternatively, the trivial module has zero 1-cohomology since M_{11} is perfect, and it can be shown that the module $5a$ does not occur in the second Loewy layer of the trivial PIM. Thus both composition factors of $1 \cdot 5a$ have trivial 1-cohomology, and so does the whole module. \square

LEMMA 7. *If $p = 3$, so that $B_p = B_3 \cong 3^6 : M_{11}$, then $B_p = B_3$ has outer automorphism group of order 2. Thus $|\text{Aut } B_p| = \frac{2}{3}|B_p| = \frac{p-1}{p}|B_p|$.*

Proof. Since $O_3(B_3)$ is a characteristic subgroup of B_3 , any automorphism of B_3 permutes the complements to $O_3(B_3)$ in B_3 . Now let S denote a complementary M_{11} in B_3 . Since we have ensured that the $\mathbb{F}_3 M_{11}$ -module $O_3(B_3)$ has zero 1-cohomology, we may assume our automorphism, α say, normalises S . But M_{11} has trivial outer automorphism group, and adjusting α by an inner automorphism that is conjugation by an element of S , we may assume that α centralises S . So now α is an $\mathbb{F}_3 S$ -module automorphism of $O_3(B_3) \cong 1 \cdot 5a$, and is thus a non-zero scalar. There are two of these and so $|\text{Out } B_p| = 2$. In fact, $\text{Aut } B_p \cong 3^5 : (M_{11} \times 2)$. \square

For $p \geq 5$ and $p \not\equiv 7 \pmod{8}$, we take $B_p \cong p_+^{1+2} : \text{SL}_2(p)$. For $p \geq 5$ and $p \equiv 7 \pmod{8}$, we take $B_p \cong p_+^{1+2} : \text{SL}_2(p)$ or $B_p \cong J_p \cong (2^{(p+1)/2} \times p^{p+2}) : L_2(p)$, the group we constructed in Section 2 (we are free to choose either; this choice is necessary in order to prove Theorem 3).

The group $p_+^{1+2} : \text{SL}_2(p)$ has a centre of order p , and is isomorphic to a vector stabiliser in the natural representation of $\text{Sp}_4(p)$.

LEMMA 8. *Let $H = N:K$ and let K act faithfully on N . Suppose $\alpha \in \text{Aut } H$ centralises N and normalises K . Then α centralises K . (So $\alpha = 1$.)*

Proof. For all $g \in N$, $k \in K$ we have $(g^k)\alpha = g^k$ since $g^k \in N$. On the other hand $(g^k)\alpha = (g\alpha)^{(k\alpha)} = g^{(k\alpha)}$. So for all $g \in N$, $k \in K$ we have $g^{k(k\alpha)^{-1}} = g$, whence $k\alpha = k$ since K acts faithfully on N . \square

LEMMA 9. *For all primes $p \geq 5$ we have $\text{Aut}(p_+^{1+2}:\text{SL}_2(p)) \cong p^2:\text{GL}_2(p)$. So if $B_p \cong p_+^{1+2}:\text{SL}_2(p)$ we have $|\text{Aut } B_p| = \frac{p-1}{p}|B_p|$.*

Proof. The 1-space stabiliser in $\text{Sp}_4(p)$ is a group $p_+^{1+2}:\text{GL}_2(p)$ which induces a group $p^2:\text{GL}_2(p)$ of automorphisms on its normal subgroup $p_+^{1+2}:\text{SL}_2(p)$.

The group $p_+^{1+2}:\text{SL}_2(p)$ contains exactly p^2 involutions, which are permuted faithfully by the above group $p^2:\text{GL}_2(p)$. Each of these involutions has centraliser of shape $p \times \text{SL}_2(p)$, and these define the p^2 complements $\text{SL}_2(p)$ [by taking the O^p or the derived subgroup]. Moreover, these involutions generate $p_+^{1+2}:2$, and support a natural affine plane structure; three involutions are collinear in this affine plane if and only if they generate a subgroup isomorphic to D_{2p} .

We already see the full automorphism group $p^2:\text{GL}_2(p)$ of this affine plane, so the only way the automorphism group of $p_+^{1+2}:\text{SL}_2(p)$ could be any bigger is if there were a non-trivial kernel, i.e. an automorphism centralising all p^2 involutions. Such an automorphism would have to normalise, and therefore by Lemma 8 centralise, each of the complements, as well as centralising the group $p_+^{1+2}:2$ generated by the involutions. Therefore it is the trivial automorphism on each complementary $\text{SL}_2(p)$, and hence on $p_+^{1+2}:\text{SL}_2(p)$, and the lemma follows. \square

LEMMA 10. *If $p \equiv 7 \pmod{8}$ and H is the group $J_p \cong (2^{(p+1)/2} \times p^{p+2}):\text{L}_2(p)$ we constructed in Section 2, then $\text{Aut } H \cong (2^{(p-1)/2} \times p^{p+1}):(\text{L}_2(p) \times C_{p-1})$. So if $B_p \cong J_p$ we have $|\text{Aut } B_p| = \frac{1}{2} \frac{p-1}{p} |B_p|$.*

Proof. The elementary abelian subgroups $O_2(H)$ and $O_p(H)$ are characteristic in H ; therefore $K := O_2(H) \times O_p(H)$ is also characteristic in H . Since $O_2(H)$ and $O_p(H)$ both have zero 1-cohomology as $\text{L}_2(p)$ -modules (see Section 2), H has just one conjugacy class of complementary subgroups $\text{L}_2(p)$. So let $\alpha \in \text{Aut } H$ and let S be a complementary subgroup $\text{L}_2(p)$. Modulo inner automorphisms, α normalises S . Now $O_2(H)$ when regarded as an $\mathbb{F}_2 S$ -module does not admit the non-trivial outer automorphism of $S \cong \text{L}_2(p)$, see Lemma 5. So α induces an inner automorphism when restricted to S , and adjusting by an inner automorphism of H that is conjugation by an element of S , we may assume that α centralises S . So now α induces an $\mathbb{F}_2 S$ -module automorphism on $O_2(H)$ and an $\mathbb{F}_p S$ -module automorphism on $O_p(H)$, and both of these are scalars. Since $H \cong J_p$ has centre of order $2p$, the result follows. \square

LEMMA 11. *For all primes p with $3 \leq p \leq r$, the groups B_p are characteristic in G .*

Proof. Let π be the set of all primes between 3 and r inclusive. Let $N = F(G)$,

the Fitting subgroup of G , so that N is characteristic in G . Then

$$G/N \cong \prod_{p \in \pi} S_p,$$

where $S_3 \cong M_{11}$ and $S_p \cong L_2(p)$ whenever $p \geq 5$. So G/N has a unique normal subgroup N_p/N such that $N_p/N \cong S_p$, and for all p we get that N_p is characteristic in G . In fact

$$N_p = B_p \times \prod_{q \in \pi'} O_{\{2,q\}}(B_q),$$

where $\pi' = \pi \setminus \{p\}$, with the $O_{\{2,q\}}(B_q)$ being nilpotent groups of class at most 2. Therefore $B_p = N_p''$ is a characteristic subgroup of G . \square

Since all of the B_p are characteristic in G , we have $\text{Aut } G \cong \prod_{p \in \pi} \text{Aut } B_p$. We have also established for all $p \in \pi$ that $|\text{Aut } B_p| = \frac{p-1}{p}|B_p|$ or $\frac{1}{2}\frac{p-1}{p}|B_p|$, with the latter case occurring if and only if $B_p \cong J_p$. Therefore we have

$$\frac{|\text{Aut } G|}{|G|} = \frac{1}{2^m} \times \prod_{p \in \pi} \frac{p-1}{p},$$

where m is the number of primes $p > 3$ for which $B_p \cong J_p$. Now when $p > 3$ the largest prime divisor of $|B_p|$ is p , and the primes dividing $|B_3|$ are 2, 3, 5 and 11. But $r \geq 11$, so the primes dividing $|G|$ are precisely the primes that do not exceed r , and thus we have

$$|\text{Aut } G| = \frac{1}{2^{m-1}} \times \frac{1}{2} \times \prod_{p \in \pi} \frac{p-1}{p} \times |G| = \frac{1}{2^{m-1}} \times \phi(|G|).$$

When $m = 1$ this gives $|\text{Aut } G| = \phi(|G|)$. We now invoke Dirichlet's Theorem that there are infinitely many primes p with $p \equiv 7 \pmod{8}$ to complete the proofs of Theorems 1 and 3.

REMARK. It is convenient but not essential to take all odd primes up to r in the definition of G . But every odd prime dividing $|G|$ must be one of these defining primes. To this end, let π be a set of odd primes such that $3, 5, 11 \in \pi$ and if $p \in \pi$ then $q \in \pi$ whenever q is an odd prime factor of $p-1$ or $p+1$. Let the B_p be as above. Then the group

$$G = \prod_{p \in \pi} B_p$$

satisfies $|\text{Aut } G| = 2^{1-m}\phi(|G|)$ where m is the number of $p \in \pi$ such that $B_p \cong (2^{(p+1)/2} \times p^{p+2}):L_2(p)$.

4. Soluble groups

In this section, we construct infinite series of finite soluble groups in order to prove Theorems 2 and 4.

We define B_3 to be the unique group of shape $3_+^{1+2}:4$ with centre of order 3; this group is `SmallGroup(108,15)` in various versions of MAGMA [2], including Version 2.10. Let π be a finite non-empty set of primes such that $p \equiv 1$ or $7 \pmod{8}$ for all

$p \in \pi$, and let $\pi' = \pi \cup \{3\}$. We shall consider the groups:

$$G = \prod_{p \in \pi'} B_p \cong \left(\prod_{p \in \pi} p_+^{1+2} : 2 \cdot S_4^- \right) \times 3_+^{1+2} : 4,$$

where $B_p \cong p_+^{1+2} : 2 \cdot S_4^-$ for all $p \in \pi$ and $2 \cdot S_4^-$ denotes the proper double cover of S_4 in which transpositions do not lift to involutions.

It is well-known, see Dickson [4], that $L_2(p)$ contains subgroups isomorphic to S_4 if and only if $p \equiv \pm 1 \pmod{8}$. Such a subgroup is unique up to conjugacy in $\text{PGL}_2(p)$, and is also self-normalising in $\text{PGL}_2(p)$. Now the double cover $\text{SL}_2(p)$ of $L_2(p)$ contains just one involution, namely its central one, and so the preimage $2 \cdot S_4$ in $\text{SL}_2(p)$ is $2 \cdot S_4^-$. Thus the group $B_p \cong p_+^{1+2} : 2 \cdot S_4^-$ exists and has centre of order p . Note that the primes dividing $|G|$ are precisely those in the set $\{2, 3\} \cup \pi$.

LEMMA 12. *For all $p \in \pi$, the groups B_p are characteristic in G .*

Proof. Let $\pi'' := (\pi \cup \{3\}) \setminus \{p\} = \pi' \setminus \{p\}$. The group $O_{2'}(G)$ is the direct product of the subgroups $W_p := O_p(B_p) \cong p_+^{1+2}$. We have

$$O_{\{2,p\}'}(G) = O_{p'}(O_{2'}(G)) = \prod_{q \in \pi''} W_q.$$

Thus we calculate that

$$H := C_G(O_{p'}(O_{2'}(G))) = B_p \times \prod_{q \in \pi''} Z(W_q) \cong p_+^{1+2} : 2 \cdot S_4^- \times \prod_{q \in \pi''} C_q.$$

Now B_p is characteristic in H , since it is the subgroup generated by the elements of order 4. Since H is a characteristic subgroup of G we conclude that B_p is also. \square

LEMMA 13. *The group B_3 is also characteristic in G .*

Proof. This proof is very similar to the proof of Lemma 12, and we use the notation W_q from the proof of that lemma here. The group

$$H := C_G(O_{3'}(O_{2'}(G))) = B_3 \times \prod_{q \in \pi} Z(W_q) \cong 3_+^{1+2} : 4 \times \prod_{q \in \pi} C_q$$

is a characteristic subgroup of G . Now B_p is characteristic in H , since it is the subgroup generated by the elements of order 4. Since H is a characteristic subgroup of G we conclude that B_p is also. \square

LEMMA 14. *For all $p \in \pi$, we have $\text{Aut } B_p \cong p^2 : (2 \cdot S_4^- \circ C_{p-1})$. So for all such primes p we have $|\text{Aut } B_p| = \frac{1}{2} \frac{p-1}{p} |B_p|$.*

Proof. We adapt the proof of Lemma 9. First note that $H = B_p \cong p_+^{1+2} : 2 \cdot S_4^-$ embeds in $p_+^{1+2} : \text{GL}_2(p)$, in which its normaliser is $p_+^{1+2} : (2 \cdot S_4^- \circ C_{p-1})$, and therefore its automorphism group contains $p^2 : (2 \cdot S_4^- \circ C_{p-1})$.

Note that the latter group acts transitively and faithfully on the p^2 involutions in H , so if we have any further automorphism α , we may assume α fixes one of these involutions, say z . Therefore α fixes $C_H(z) \cong p \times 2 \cdot S_4^-$, and therefore normalises the corresponding subgroup $K = O^p(C_H(z)) \cong 2 \cdot S_4^-$ of H .

Now both α and K act on the affine plane defined by the p^2 involutions (as in

Lemma 9), and both fix the same point, which we can regard as the origin. In the resulting action on the vector space of order p^2 , the image of α normalises the image of K inside $\mathrm{GL}_2(p)$ (indeed, $K:\langle\alpha\rangle$ acts on this vector space). But this action of K is faithful and $\mathrm{N}_{\mathrm{GL}_2(p)}(2\mathrm{S}_4^-) \cong 2\mathrm{S}_4^- \circ C_{p-1}$. But we have already seen a group $p^2:(2\mathrm{S}_4^- \circ C_{p-1})$ of automorphisms of H acting faithfully on the affine plane, and so we may assume that α acts trivially on the affine plane. In other words, α centralises all p^2 involutions in H , so centralises the group $p_+^{1+2}:2$ which they generate.

We now know that α centralises p_+^{1+2} , and normalises a complementary $2\mathrm{S}_4^-$. Therefore, by Lemma 8, α is the trivial automorphism of H . This completes the proof of the lemma. \square

An easy calculation gives $\mathrm{Aut} B_3 \cong 3^2:\mathrm{SD}_{16}$. Since all of the B_p are characteristic in G , we obtain $\mathrm{Aut} G \cong \prod_{p \in \pi \cup \{3\}} \mathrm{Aut} B_p$. Therefore we have

$$\frac{|\mathrm{Aut} G|}{|G|} = \frac{4}{3} \times \left(\frac{1}{2}\right)^{|\pi|} \times \prod_{p \in \pi} \frac{p-1}{p} = \frac{1}{2^{|\pi|-2}} \times \frac{\phi(|G|)}{|G|},$$

and so

$$\frac{|\mathrm{Aut} G|}{\phi(|G|)} = \frac{1}{2^{|\pi|-2}}.$$

When $|\pi| = 2$ this gives $|\mathrm{Aut} G| = \phi(|G|)$. Dirichlet's Theorem tells us that π can be made arbitrarily large, thus proving Theorem 2, and also that there are infinitely many size 2 possibilities for π , thus proving Theorem 4.

REMARK. In the above construction for G we can replace the group B_3 by the cyclic group of order 3, in which case $|\mathrm{Aut} G| = 2^{1-|\pi|}\phi(|G|)$. However the proofs are slightly different. The smallest non-cyclic group G we know of that satisfies $|\mathrm{Aut} G| = \phi(|G|)$ is now the group $G \cong 3 \times 7_+^{1+2}:2\mathrm{S}_4^-$ of order 49392, narrowly beating the example $2^4:\mathrm{L}_3(2) \times 3 \times 7$ of order 56448 that we gave in [1].

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