

M.Sci. EXAMINATION

MAS400 Advanced Algorithmic Mathematics

Tuesday 27 May 2003, 10:00 am – 1:00 pm

*The duration of this examination is three hours.*

*You may attempt as many questions as you wish and all questions carry equal marks. Except for the award of a bare pass, only the best four questions answered will be counted. Show your calculations.*

*Calculators are not permitted in this examination.*

$\mathbb{N}$  denotes the set of non-negative integers,  $\mathbb{K}$  denotes a field, and  $|\mathbf{v}|$  denotes the (Euclidean) length of a vector  $\mathbf{v}$ .

1. (a) [6 marks] Write down an algorithm to divide a multivariate polynomial by a sequence of multivariate polynomials. Include precise specifications of the input and output.  
(b) [7 marks] From this algorithm, *deduce* an algorithm to divide one univariate polynomial by another, including precise specifications of the input and output. Outline the steps in your deduction.  
(c) [5 marks] Divide  $x^5 + x^2$  by  $2x^2 + x - 1$  in  $\mathbb{Q}[x]$ .  
(d) [7 marks] Divide  $x^5y^2 + x^3y + 2x^2 + xy + 1$  by  $xy + 1, x + y$  in  $\mathbb{Q}[x, y]$  using lexicographic ordering with  $x >_{\text{lex}} y$ .
  
2. (a) [2 marks] Define what is meant by a *basis* for an ideal.  
(b) [2 marks] Define what is meant by a *monomial ideal*.  
(c) [4 marks] Prove that  $\langle x(x - y), y(x + y), (x - y)^2 \rangle \subseteq \mathbb{Q}[x, y]$  is a monomial ideal.  
(d) [5 marks] Let  $A \subseteq \mathbb{N}^n$ ,  $I = \langle x^\alpha \mid \alpha \in A \rangle \subseteq \mathbb{K}[x_1, \dots, x_n]$ , and  $\beta \in \mathbb{N}^n$ . Prove that  $x^\beta \in I$  if and only if  $x^\alpha$  divides  $x^\beta$  for some  $\alpha \in A$ .  
(e) [1 mark] State Dickson's Lemma.  
(f) [2 marks] Define what is meant by a *Gröbner Basis* for an ideal.  
(g) [4 marks] Prove that every ideal of  $\mathbb{K}[x_1, \dots, x_n]$  has a Gröbner Basis.  
(h) [5 marks] Prove that a Gröbner Basis for an ideal is a basis for that ideal. [Hint: prove that the remainder vanishes in an appropriate generalized polynomial division.]

3. (a) [2 marks] Define what is meant by the *S-polynomial* of two multivariate polynomials.
- (b) [2 marks] State a condition in terms of S-polynomials for a basis to be a Gröbner Basis.
- (c) [5 marks] State Buchberger's Algorithm to compute a Gröbner Basis. Include precise specifications of the input and output.
- (d) Working in  $\mathbb{Q}[x, y, z]$  and using lexicographic ordering with  $x >_{\text{lex}} y >_{\text{lex}} z$ :
- (i) [3 marks] prove that  $\{x - y, y^2 + z\}$  is a Gröbner Basis for the ideal  $I$  that it generates;
- (ii) [8 marks] compute a Gröbner Basis for  $J = \langle x - y, xy - z \rangle$ .
- (e) [5 marks] With  $I$  and  $J$  defined as above, determine whether  $I = J$  and briefly explain your reasoning.
4. (a) [8 marks] Define what is meant by the *affine variety*  $\mathbb{V}(F)$  of a set  $F$  of multivariate polynomials and define what is meant by the *affine variety*  $\mathbb{V}(I)$  of a multivariate polynomial ideal  $I$ . If  $I$  is generated by  $F$ , prove that  $\mathbb{V}(I) = \mathbb{V}(F)$ .
- (b) [6 marks] Define the term *elimination ideal* and define what it means for a monomial ordering to be of *j-elimination type*.
- (c) [3 marks] Prove that lexicographic ordering is of *j-elimination type* for all (meaningful) values of  $j$ .
- (d) [3 marks] State the *Elimination Theorem* for Gröbner Bases of elimination ideals.
- (e) [5 marks] Given that, using lexicographic ordering with  $x >_{\text{lex}} y >_{\text{lex}} z$ , the set  $\{x - y, y^2 + z\}$  is a Gröbner Basis for the ideal  $I \subseteq \mathbb{R}[x, y, z]$  that it generates, use the elimination ideal approach to determine  $\mathbb{V}(I)$  explicitly. Explain your reasoning.

5. (a) [2 marks] Define what is meant by a *lattice of rank  $r$*  in  $\mathbb{R}^n$ .
- (b) [3 marks] Define what is meant by a *Gram matrix* and define what is meant by the *determinant of a lattice*.
- (c) [5 marks] Prove that the determinant of a lattice depends only on the lattice and not on its representation.
- (d) [5 marks] State the *Gram-Schmidt orthogonalization process* and define what is meant by the *Gram-Schmidt coefficients*.
- (e) Let  $V = (\mathbf{v}_1, \dots, \mathbf{v}_r)$  be a sequence of vectors in  $\mathbb{R}^n$  and let  $V^* = (\mathbf{v}_1^*, \dots, \mathbf{v}_r^*)$  be its Gram-Schmidt orthogonalization.
- (i) [3 marks] Prove that  $|\mathbf{v}_i^*| \leq |\mathbf{v}_i|$ .
- (ii) [3 marks] Express the relationship between  $V$  and  $V^*$  in the form of a matrix equation and state the structure of the matrices involved.
- (iii) [2 marks] Deduce a bound on the determinant of the Gram matrix of  $V$ .
- (iv) [2 marks] State and prove Hadamard's inequality for the determinant of an arbitrary square matrix.
6. (a) [5 marks] Let  $L$  be a lattice of rank  $r$  in  $\mathbb{R}^n$  and let  $(\mathbf{v}_1^*, \dots, \mathbf{v}_r^*)$  be the Gram-Schmidt orthogonalization of the lattice basis. Prove that each nonzero vector  $\mathbf{v} \in L$  satisfies  $|\mathbf{v}| \geq |\mathbf{v}_s^*|$  for some  $s$ ,  $1 \leq s \leq r$ , and hence prove that  $\min\{|\mathbf{v}| : \mathbf{0} \neq \mathbf{v} \in L\} \geq \min\{|\mathbf{v}_i^*| : 1 \leq i \leq r\}$ .
- (b) [5 marks] Define what is meant by (i) a *weakly reduced* basis, and (ii) an *LLL-reduced* basis, for a lattice.
- (c) If  $(\mathbf{v}_1, \dots, \mathbf{v}_r)$  is an LLL-reduced basis for a lattice  $L$  with Gram-Schmidt orthogonalization  $(\mathbf{v}_1^*, \dots, \mathbf{v}_r^*)$ , prove that:
- (i) [5 marks]  $|\mathbf{v}_i^*|^2 \geq \frac{1}{2}|\mathbf{v}_{i-1}^*|^2$ ;
- (ii) [2 marks]  $|\mathbf{v}_i^*|^2 \geq 2^{1-i}|\mathbf{v}_1|^2$ ;
- (iii) [4 marks]  $|\mathbf{v}_1| \leq 2^{(r-1)/2} \min\{|\mathbf{v}| : \mathbf{0} \neq \mathbf{v} \in L\}$ ;
- (iv) [4 marks]  $|\mathbf{v}_1| \leq 2^{(r-1)/4} \sqrt{\prod_{i=1}^r |\mathbf{v}_i^*|}$ .