

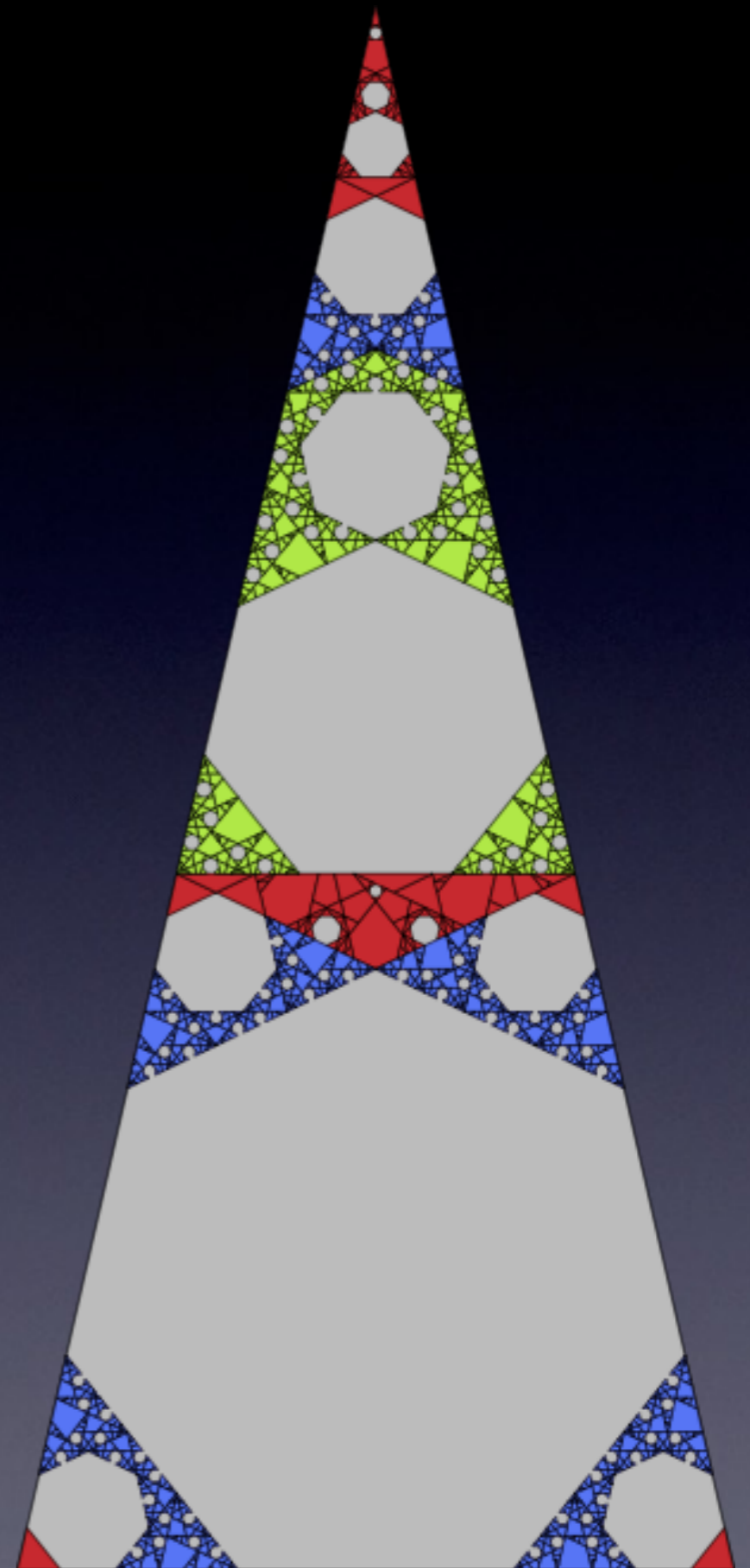
Renormalization

in parametrised families
of polygon-exchange transformations

Franco Vivaldi

Queen Mary, University of London

with J H Lowenstein (New York)



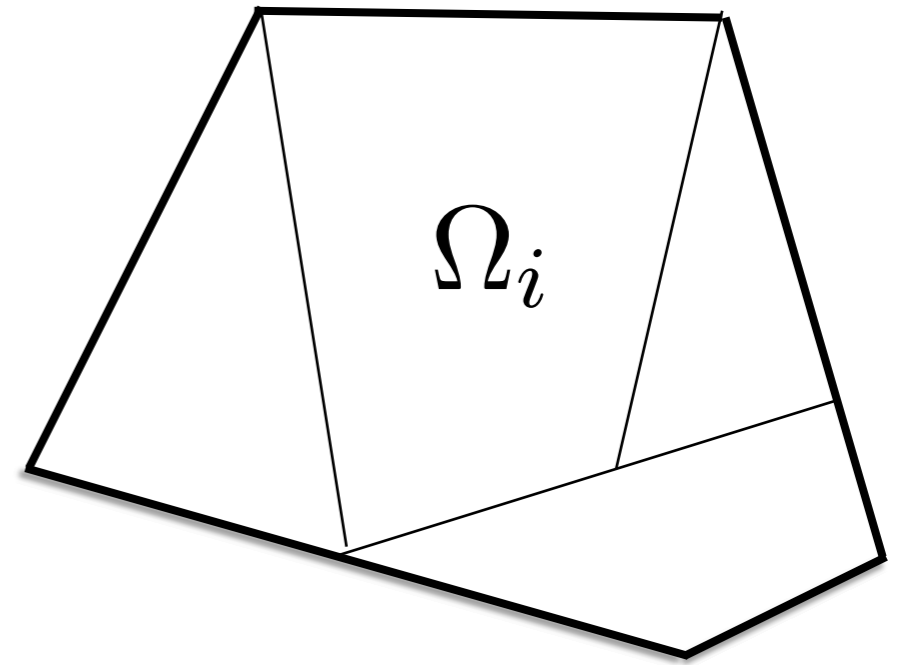
Piecewise isometries

the space:

$$\Omega \subset \mathbb{R}^n$$

$$\Omega = \overline{\bigcup \Omega_i}$$

a finite collection of pairwise disjoint open polytopes (intersection of open half-spaces), called the **atoms**.



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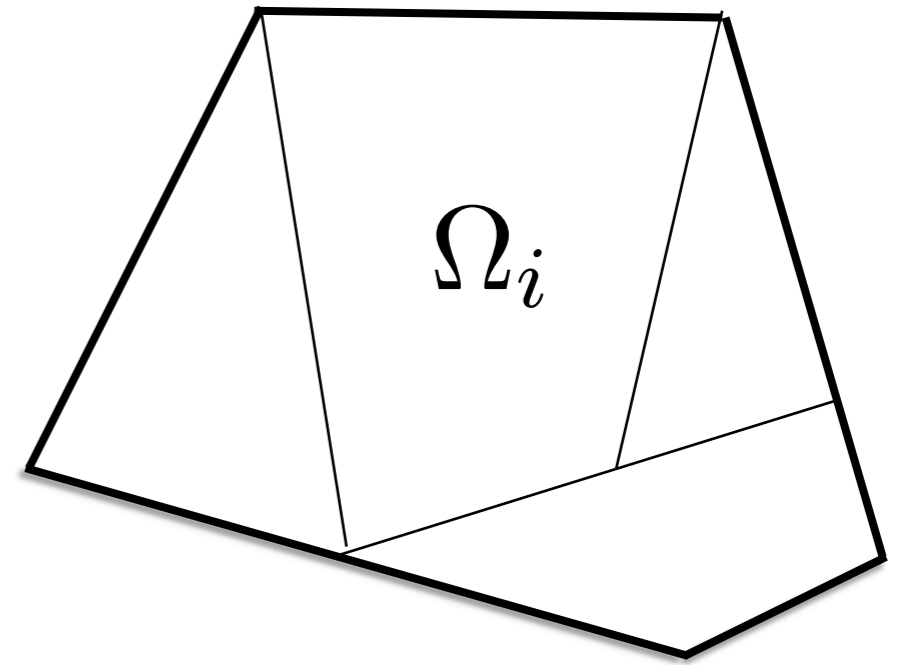
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$F|_{\Omega_i}$ is an isometry

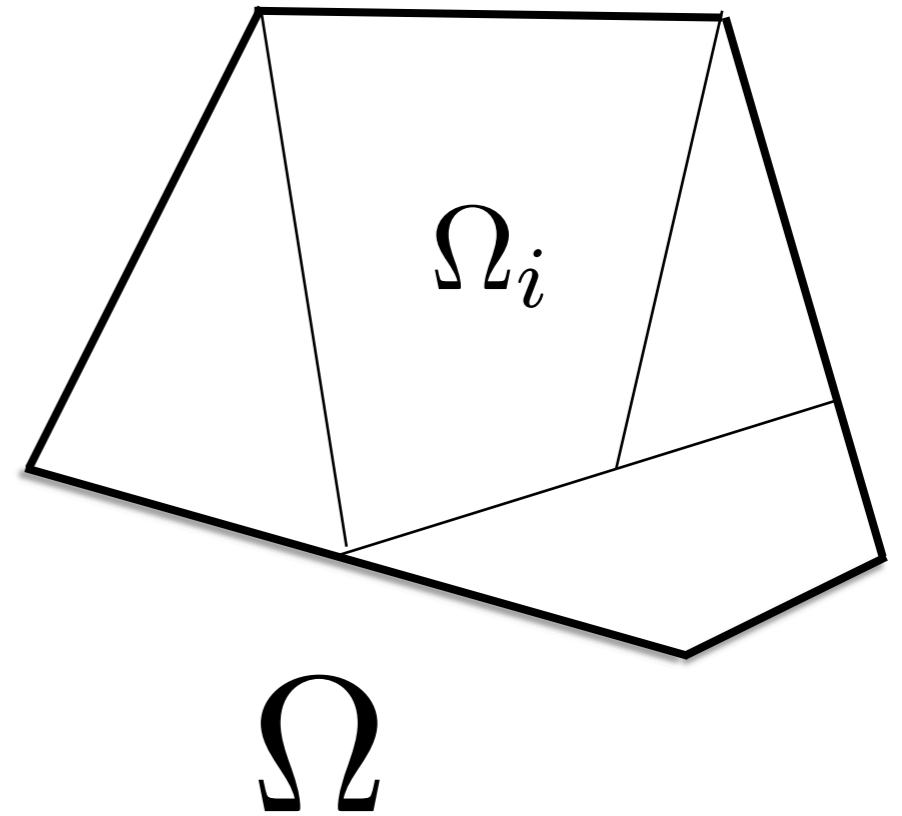
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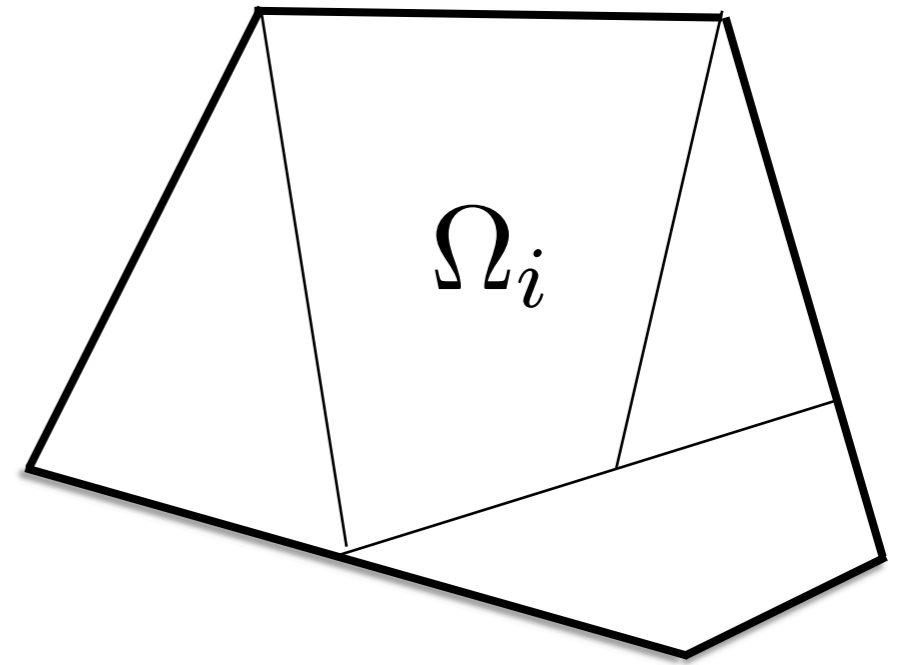
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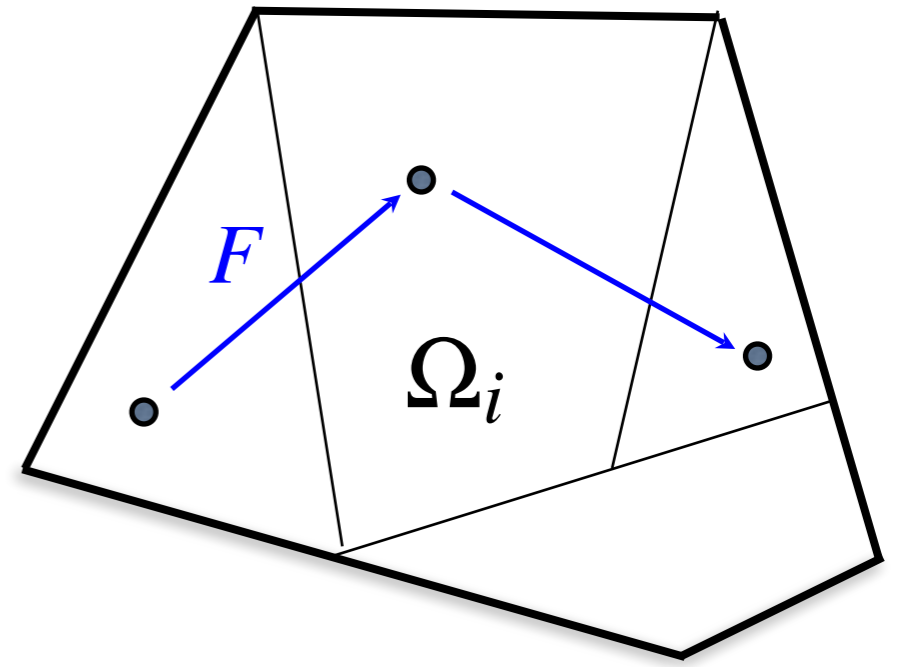
$$F : \Omega \rightarrow \Omega \quad F|_{\Omega_i} \text{ is an isometry}$$

If F is invertible, then F is volume-preserving.

Theorem (Gutkin & Haydin 1997, Buzzi 2001)

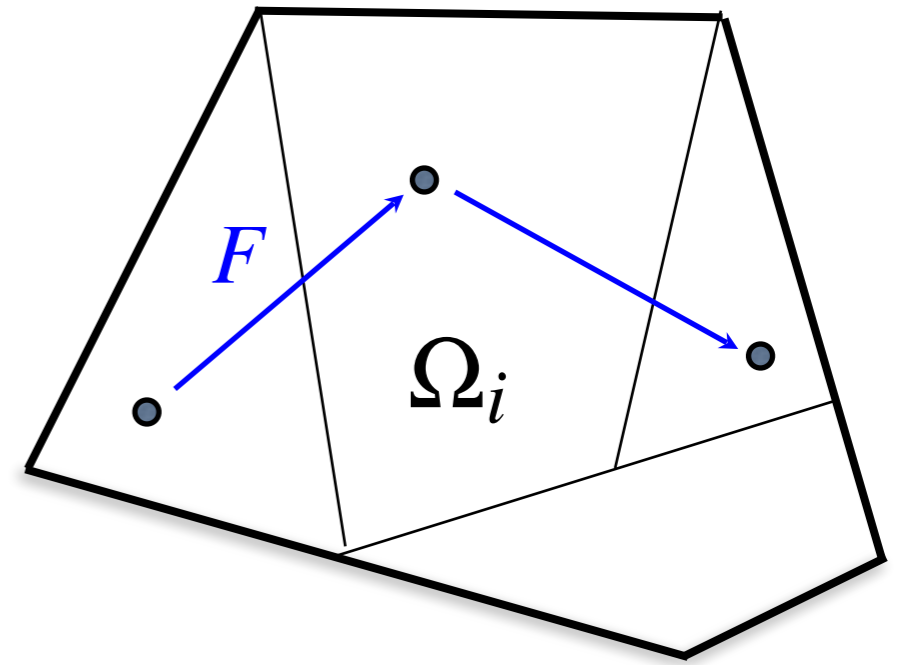
The topological entropy of a piecewise isometry is zero.

Cells



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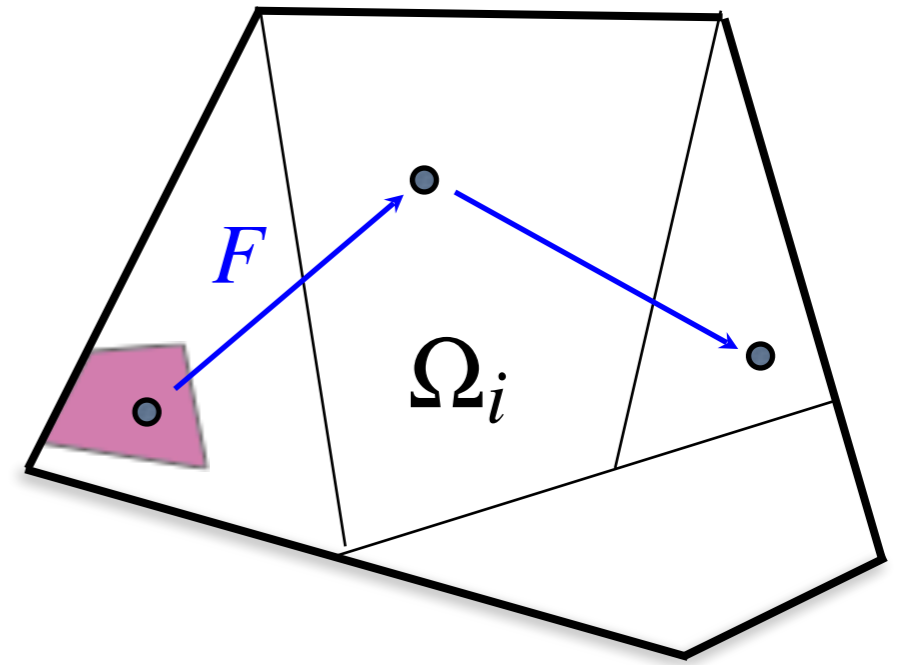
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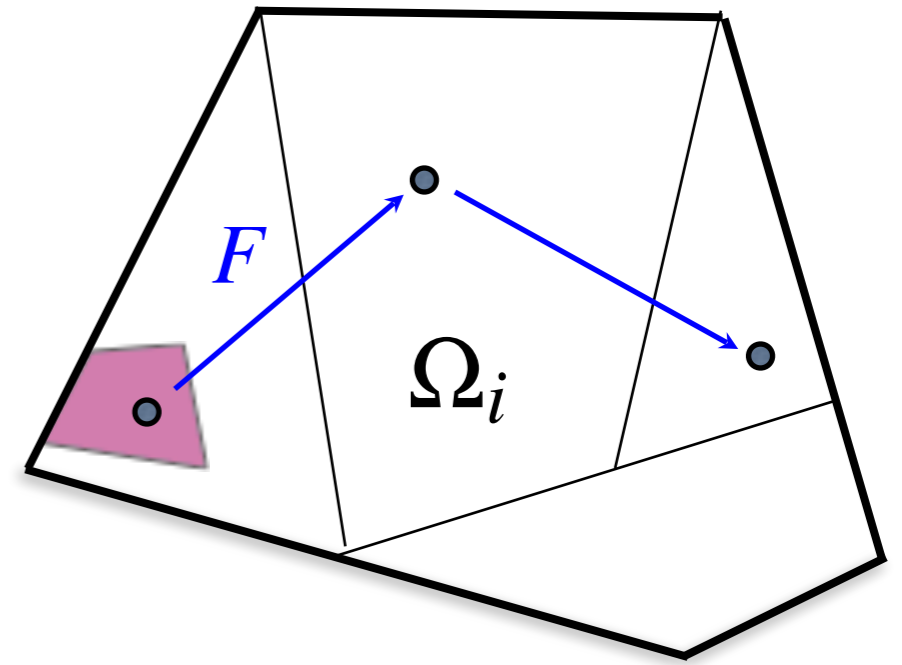
A **cell** is a set of points with the same symbolic dynamics; cells are convex sets.



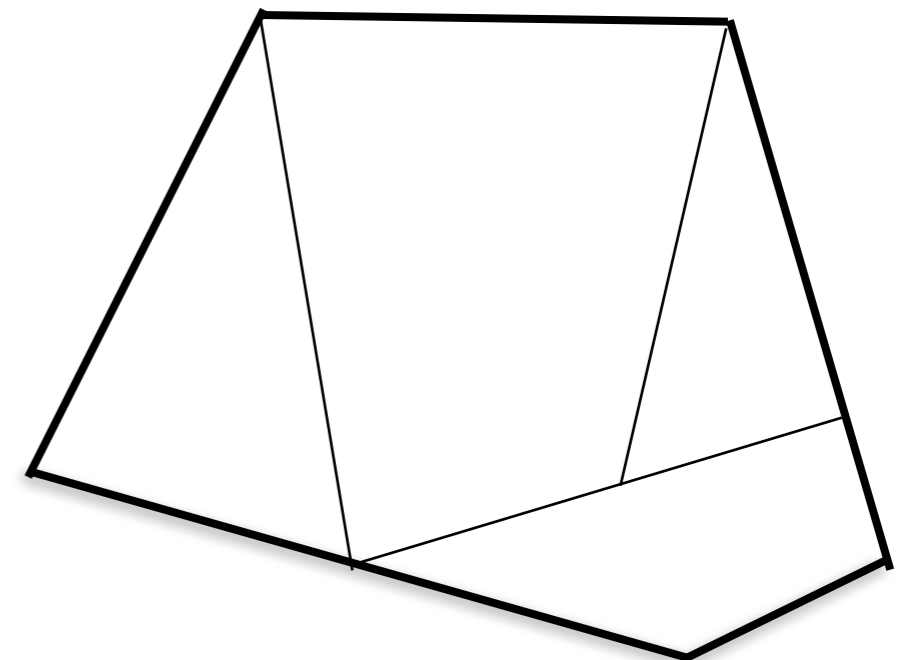
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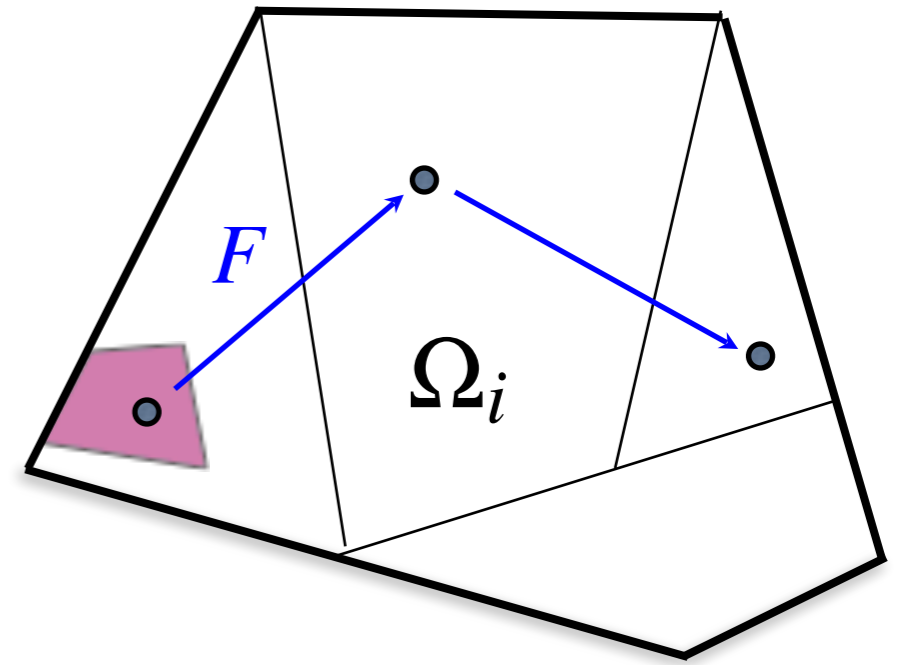
Induced maps: the basis of renormalization



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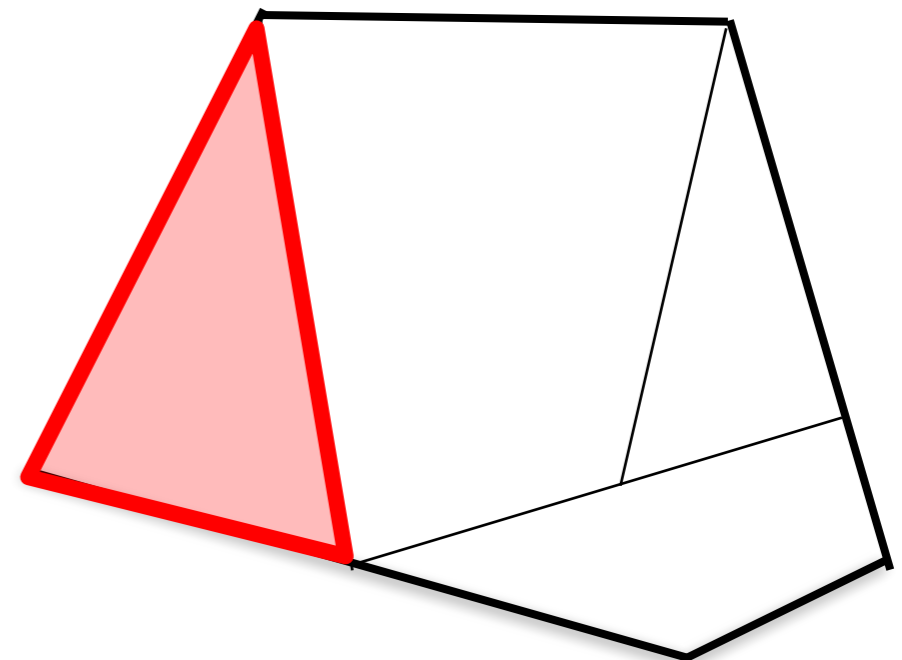
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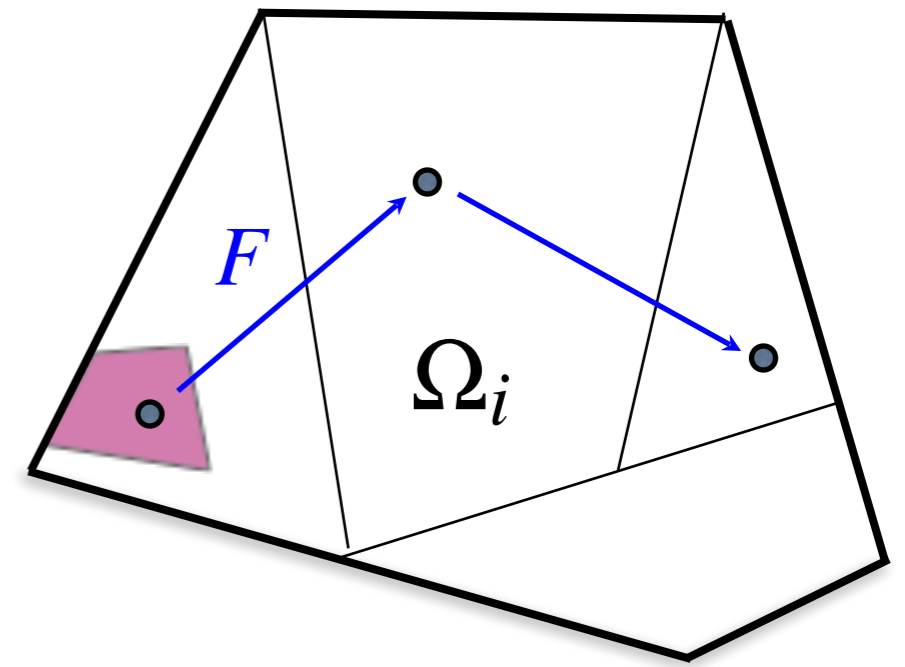
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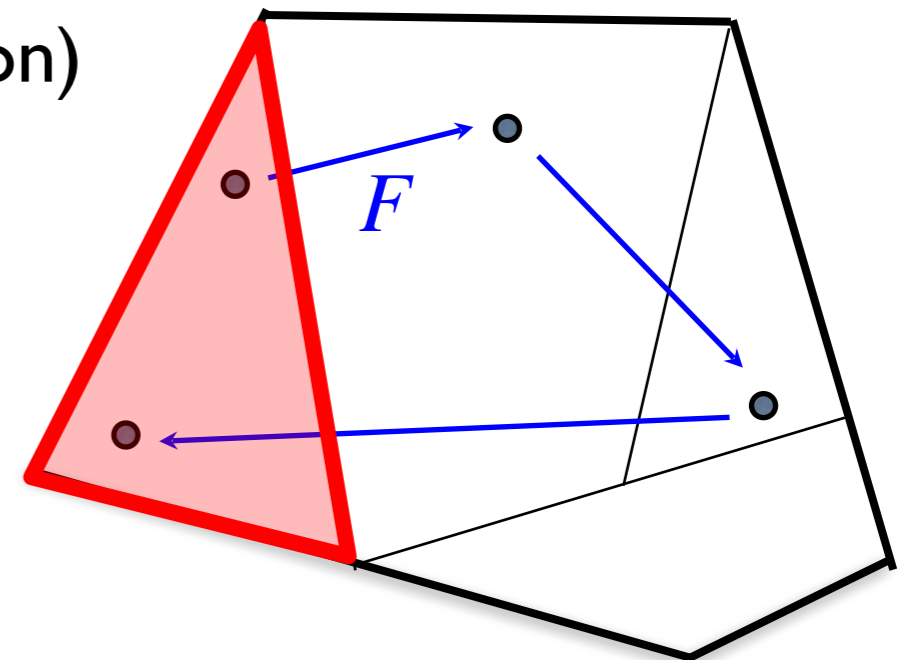
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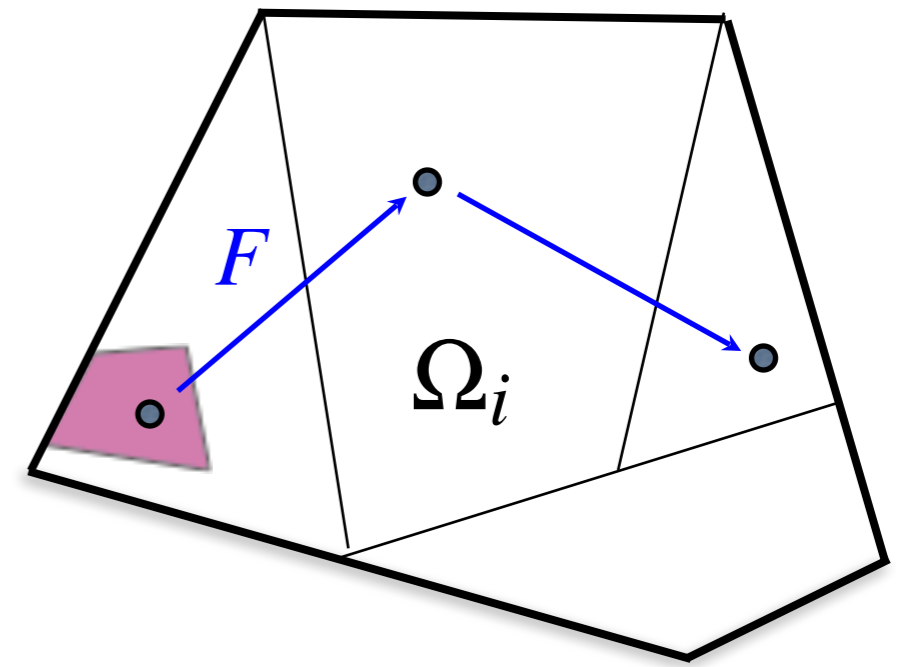
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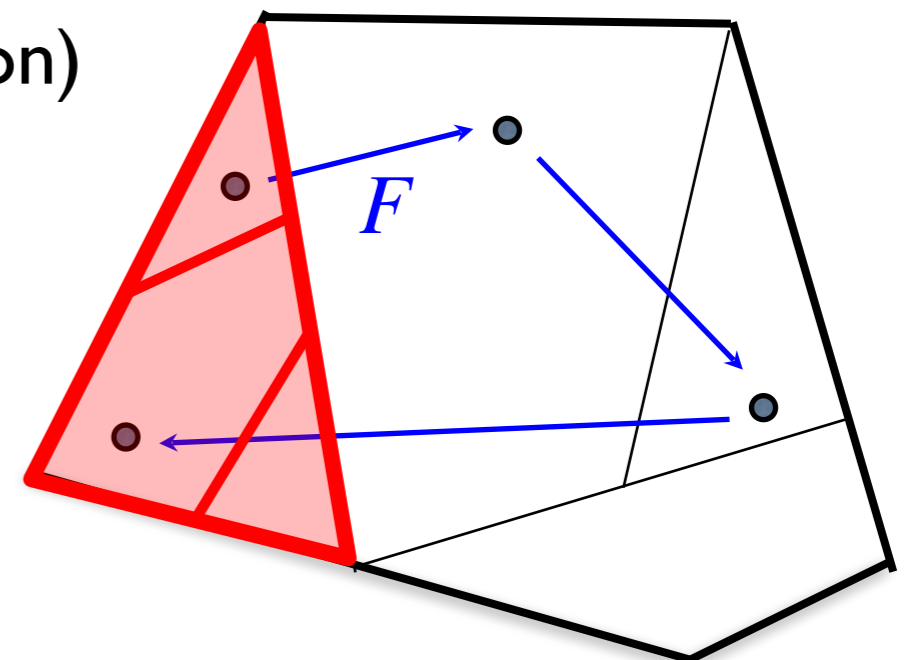
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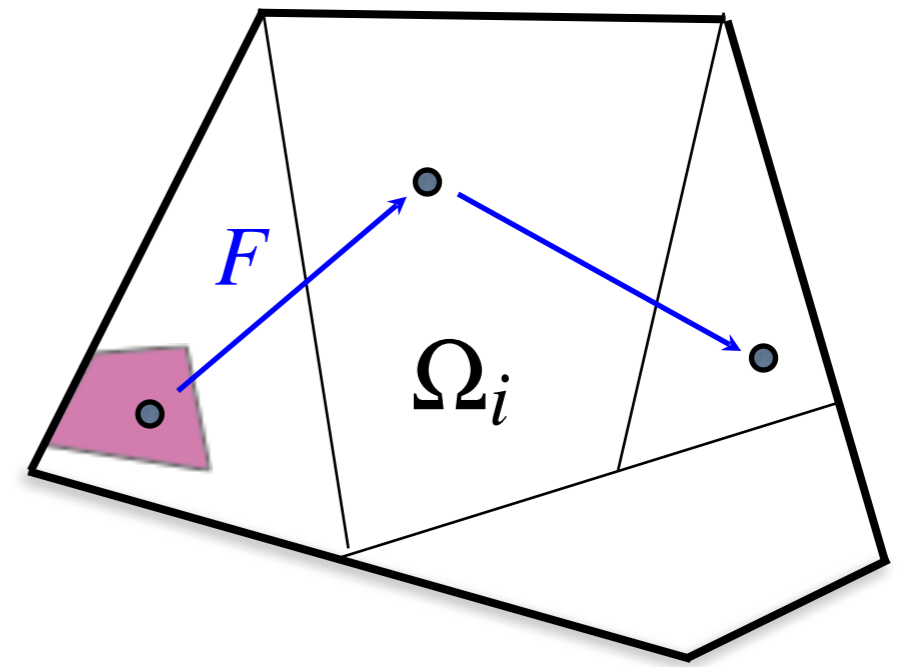
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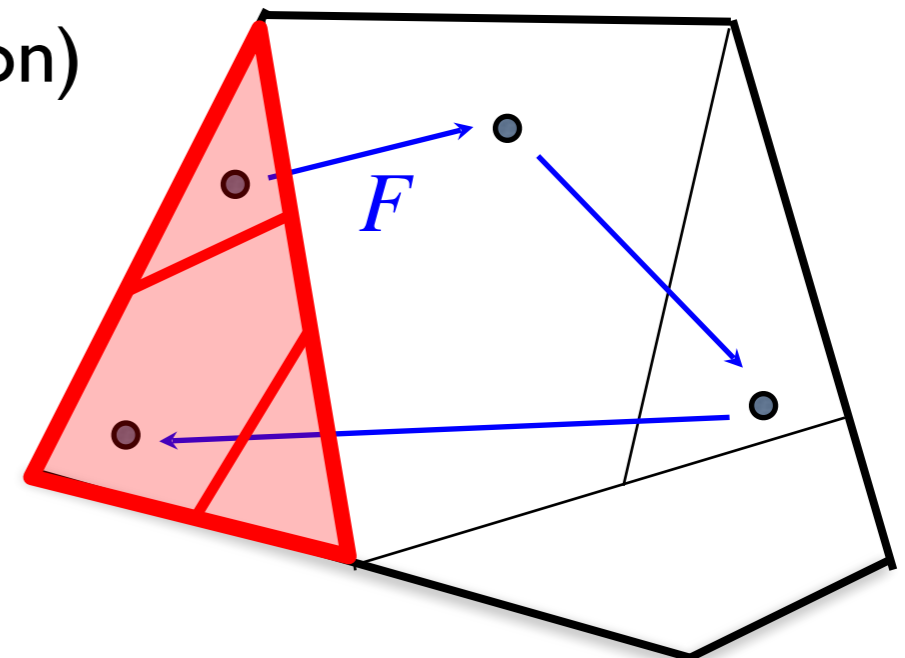
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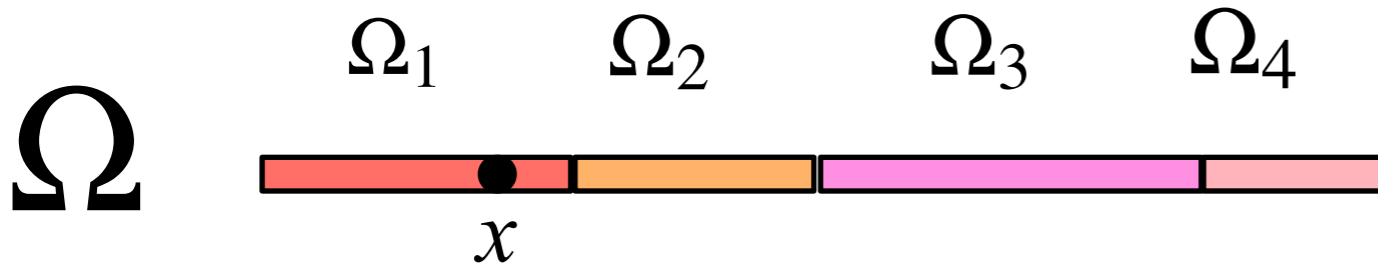


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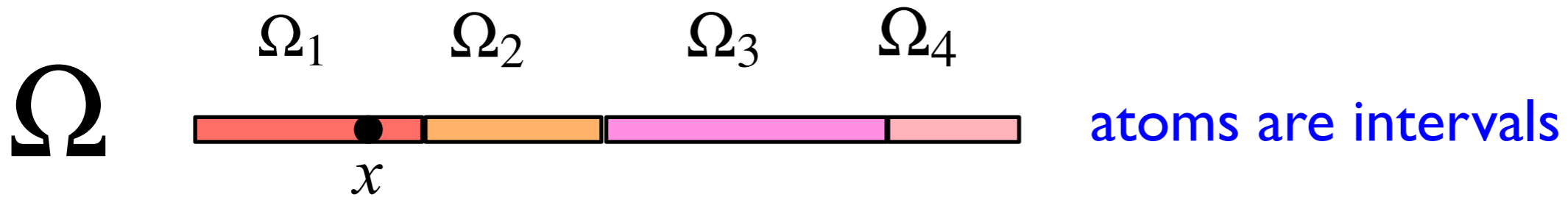
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- Different return times in different atoms.



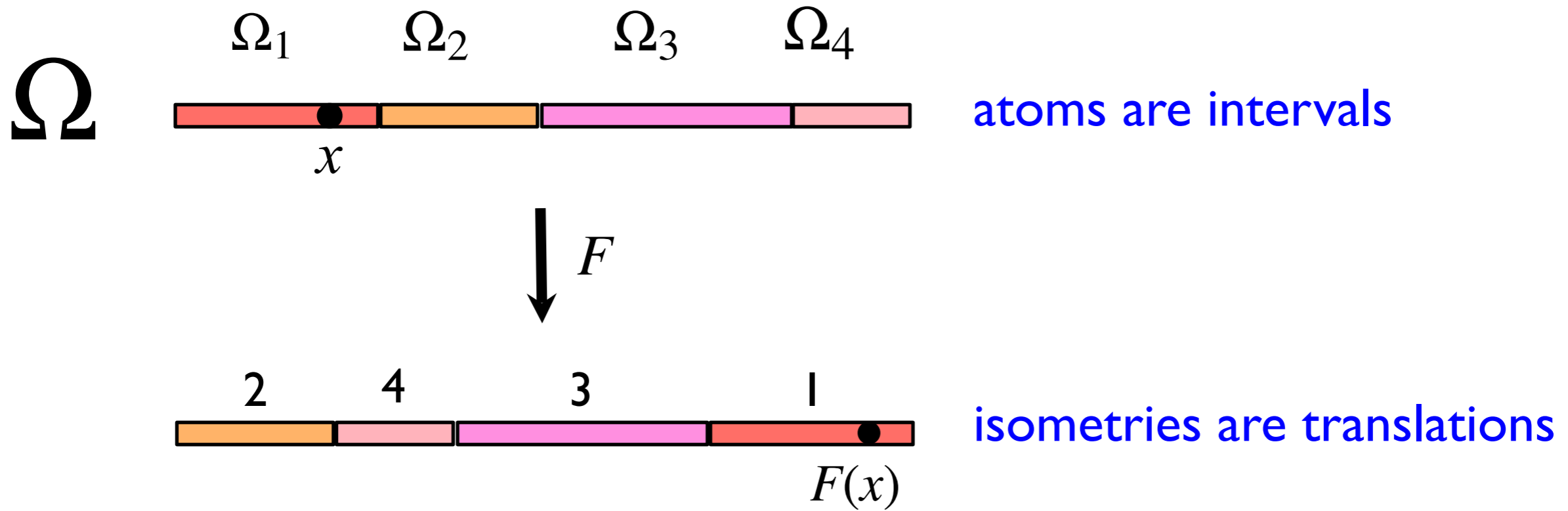
One dimension: interval-exchange transformations



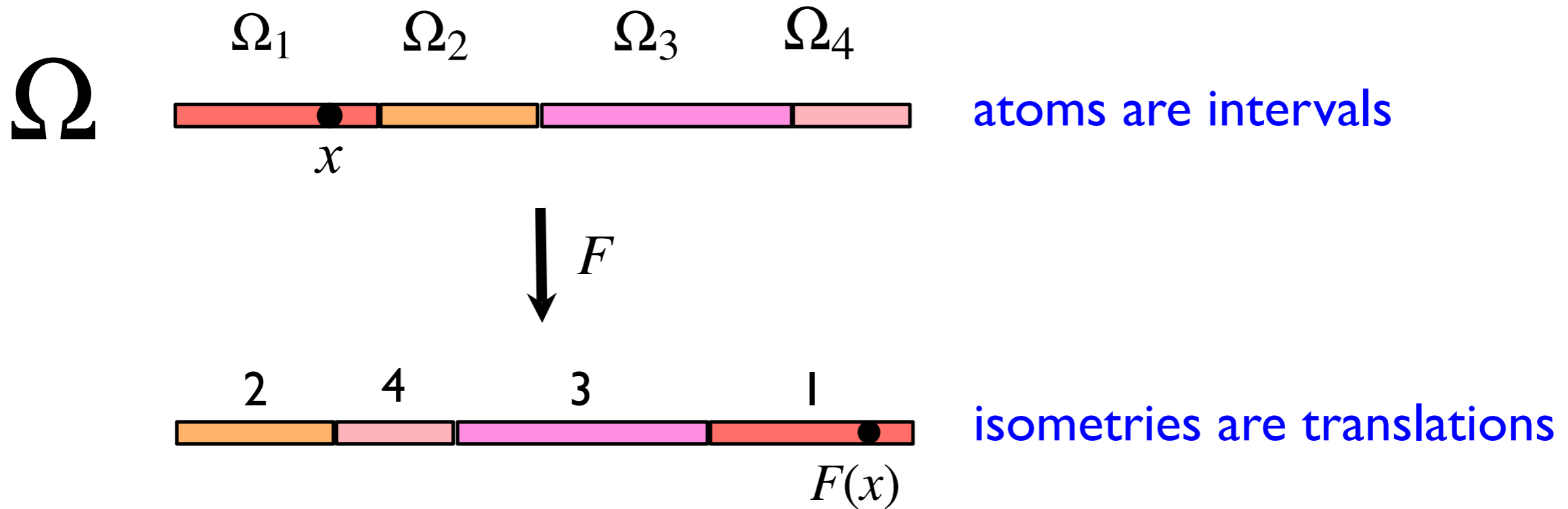
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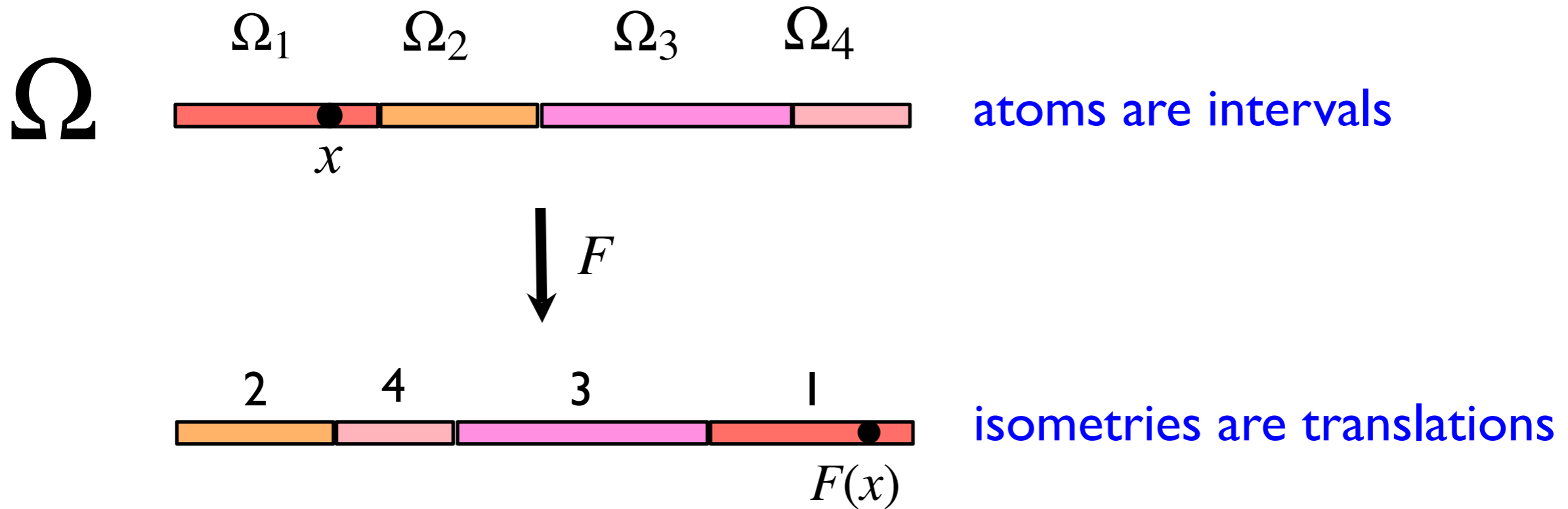
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Combinatorial data: a permutation

$$(1234) \mapsto (2431)$$

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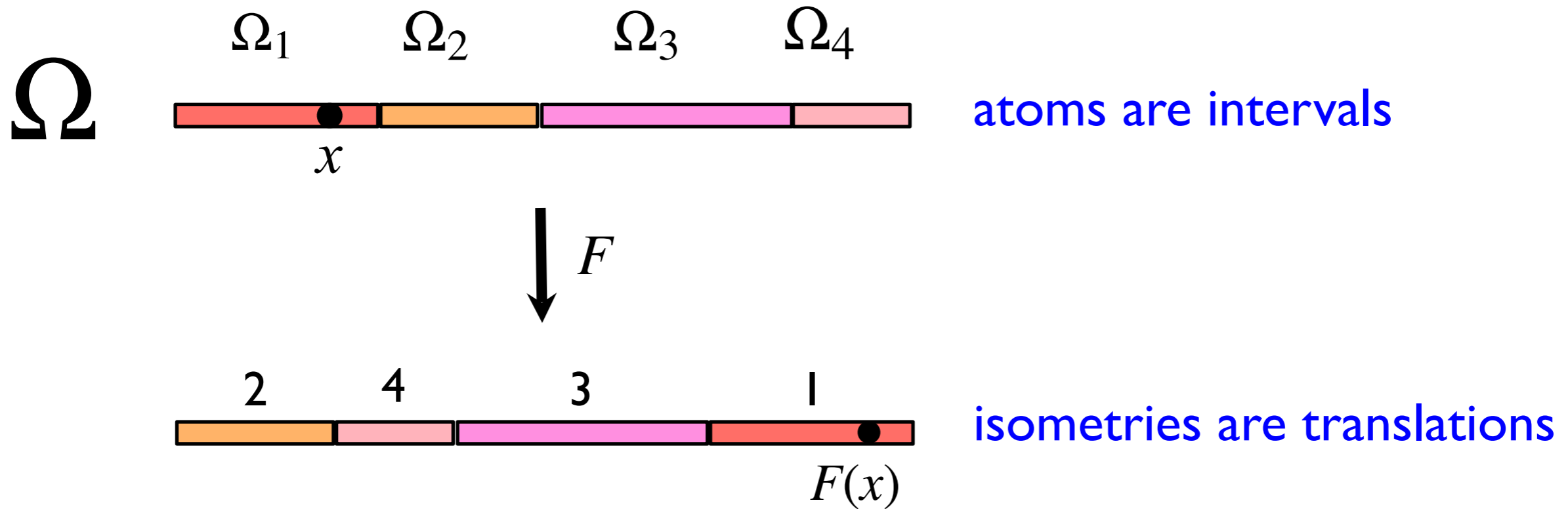
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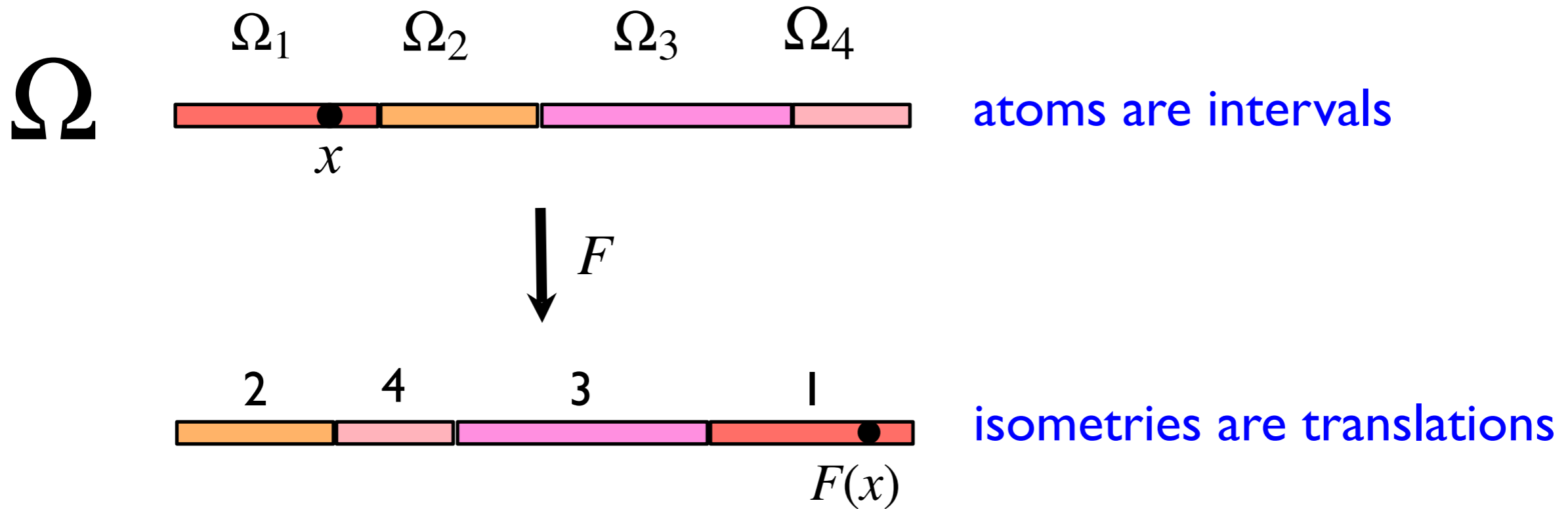


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$$K = \mathbb{Q}(|\Omega_1|, \dots, |\Omega_n|)$$

Theorem (Boshernitzan & Carrol, 1997)

If an IET is defined over a quadratic field, then, up to scaling, the number of induced maps over sub-intervals is finite.

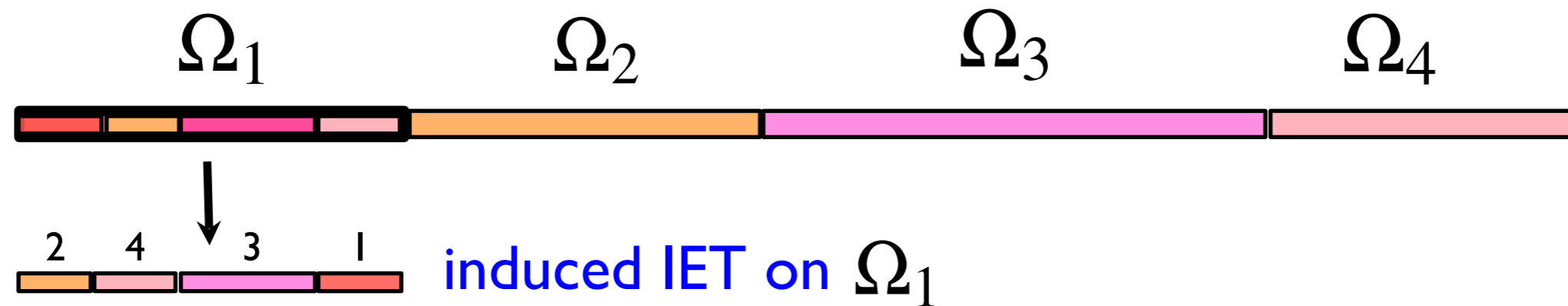
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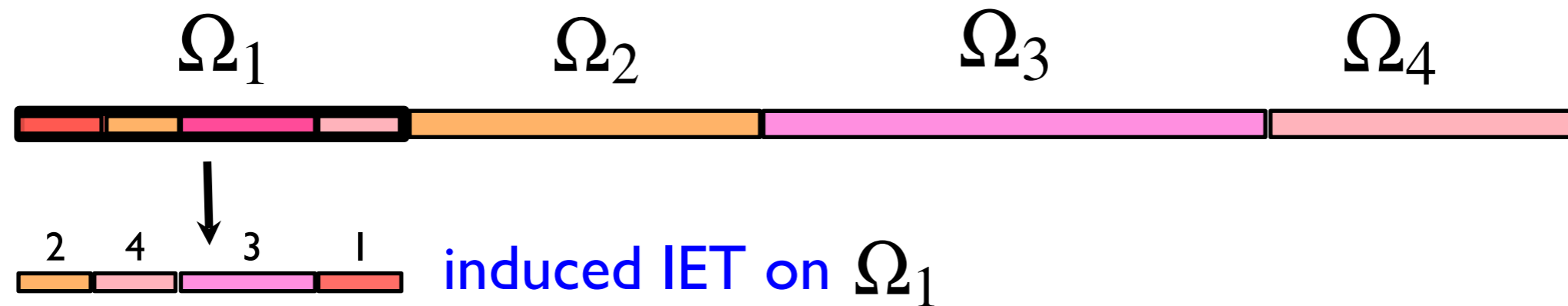
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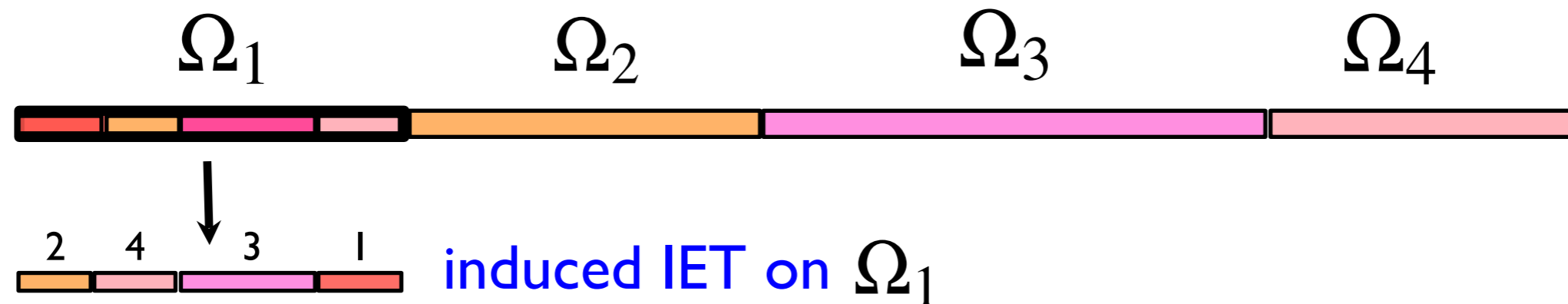
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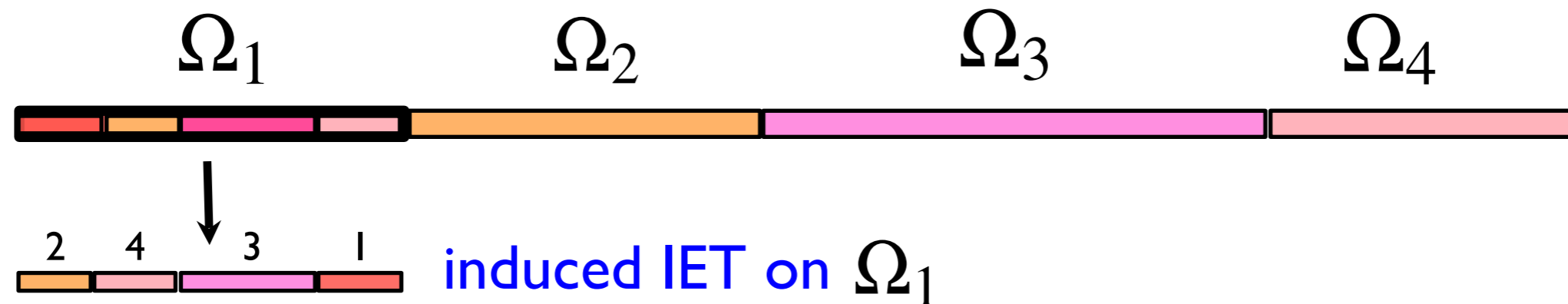


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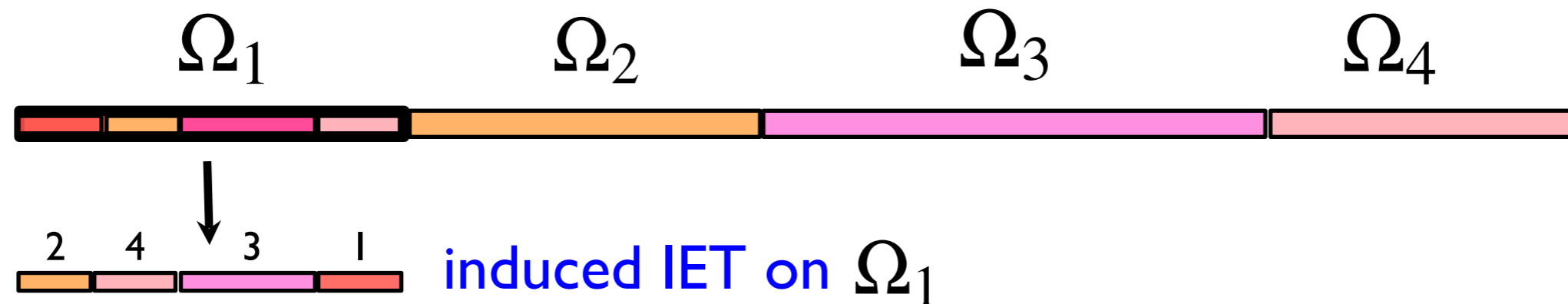
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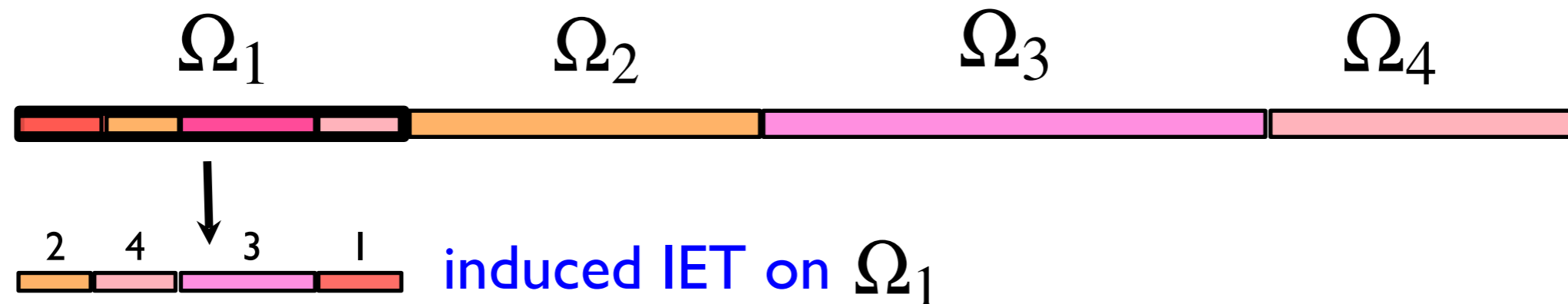
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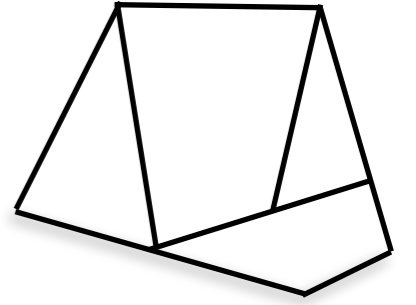
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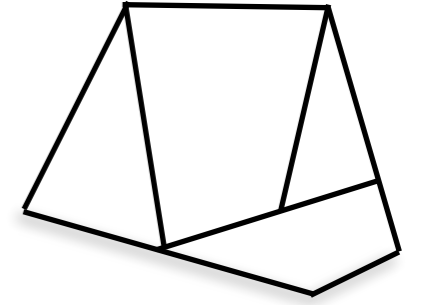
In this talk:

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- With two parameters, we only find a degenerate form of renormalizability (one parameter is free).

Higher dimensions: topology

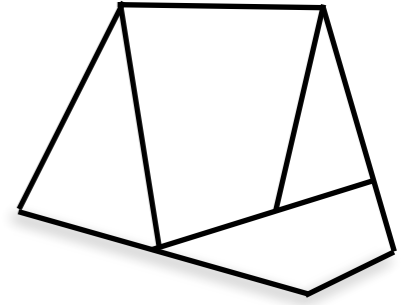


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Iterate the boundary of the atoms: $\partial\Omega = \bigcup \partial\Omega_i$

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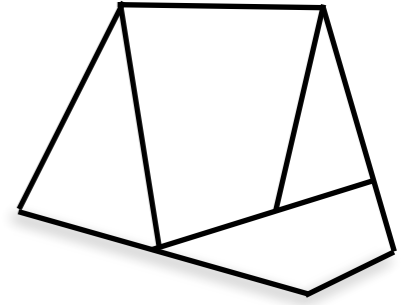


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discontinuity set

$$\mathcal{D} = \bigcup_{t \in \mathbb{Z}} F^t(\partial\Omega)$$

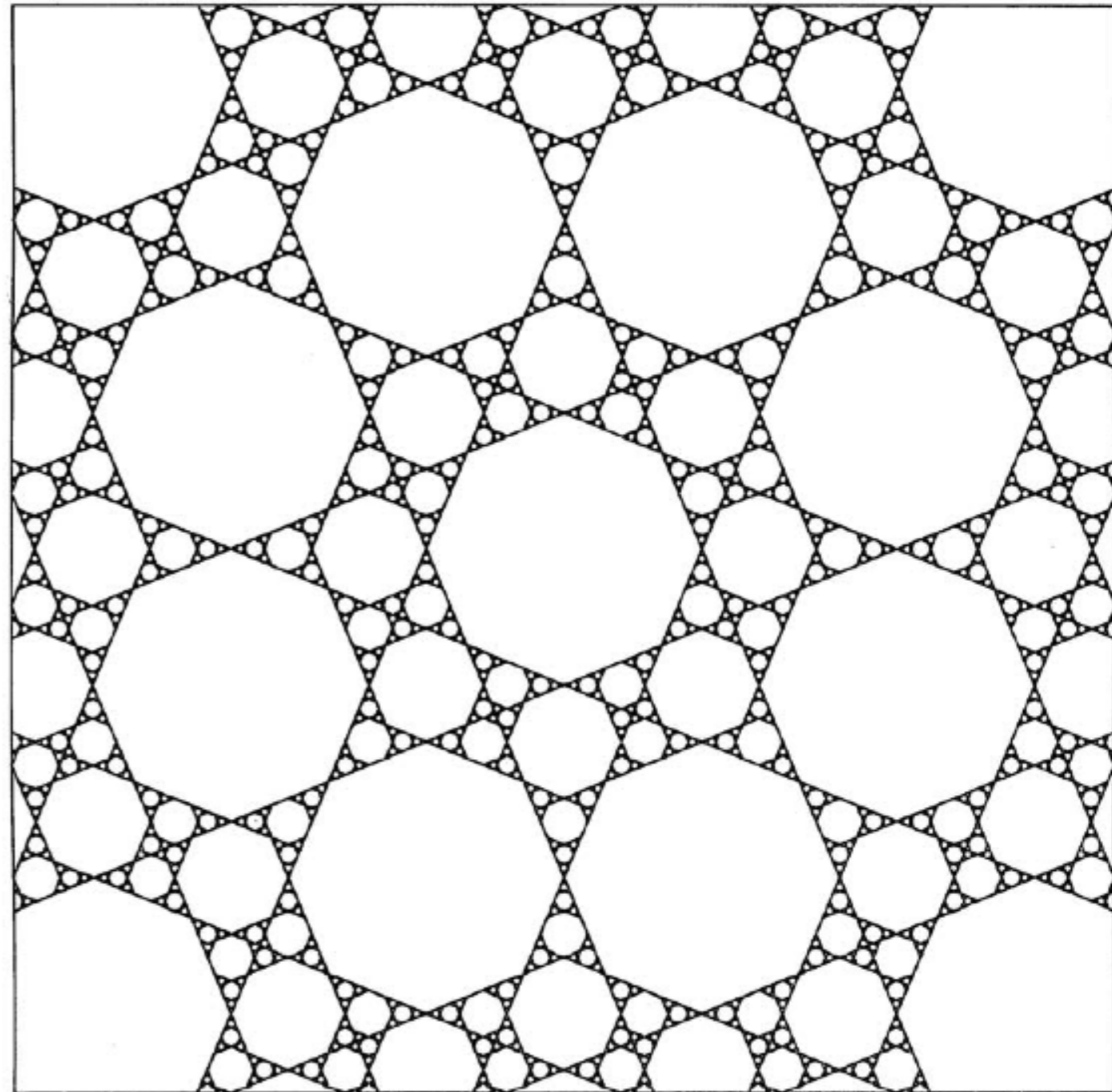
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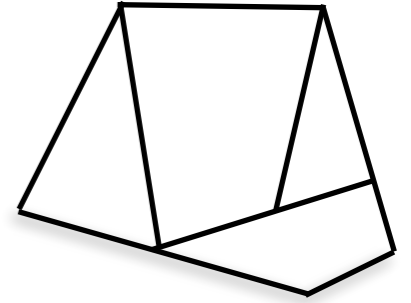
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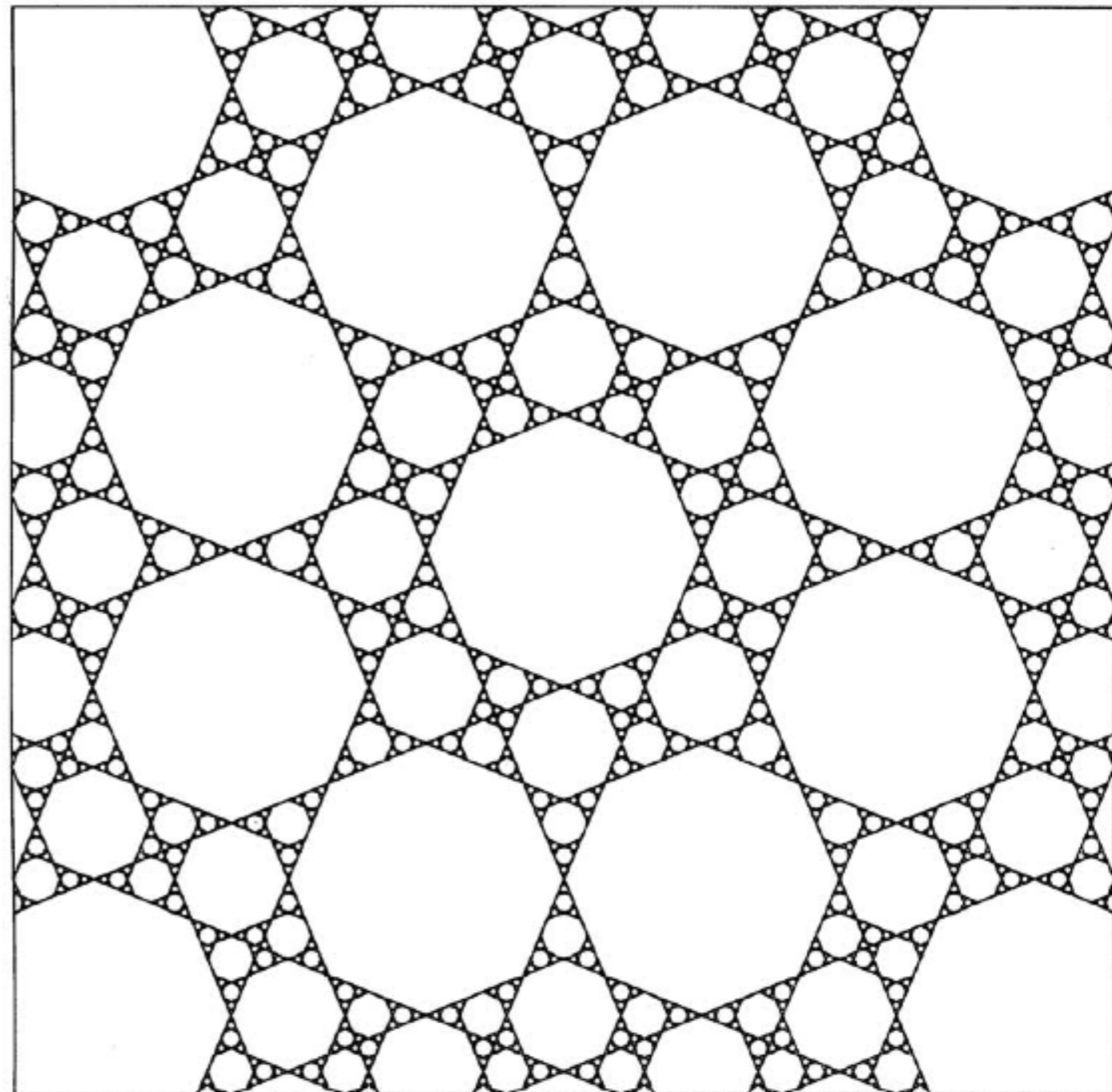


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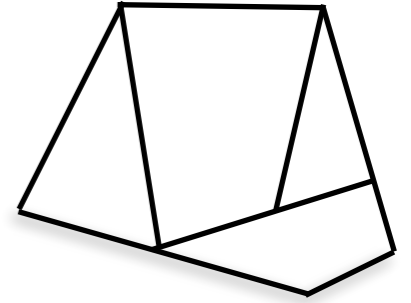
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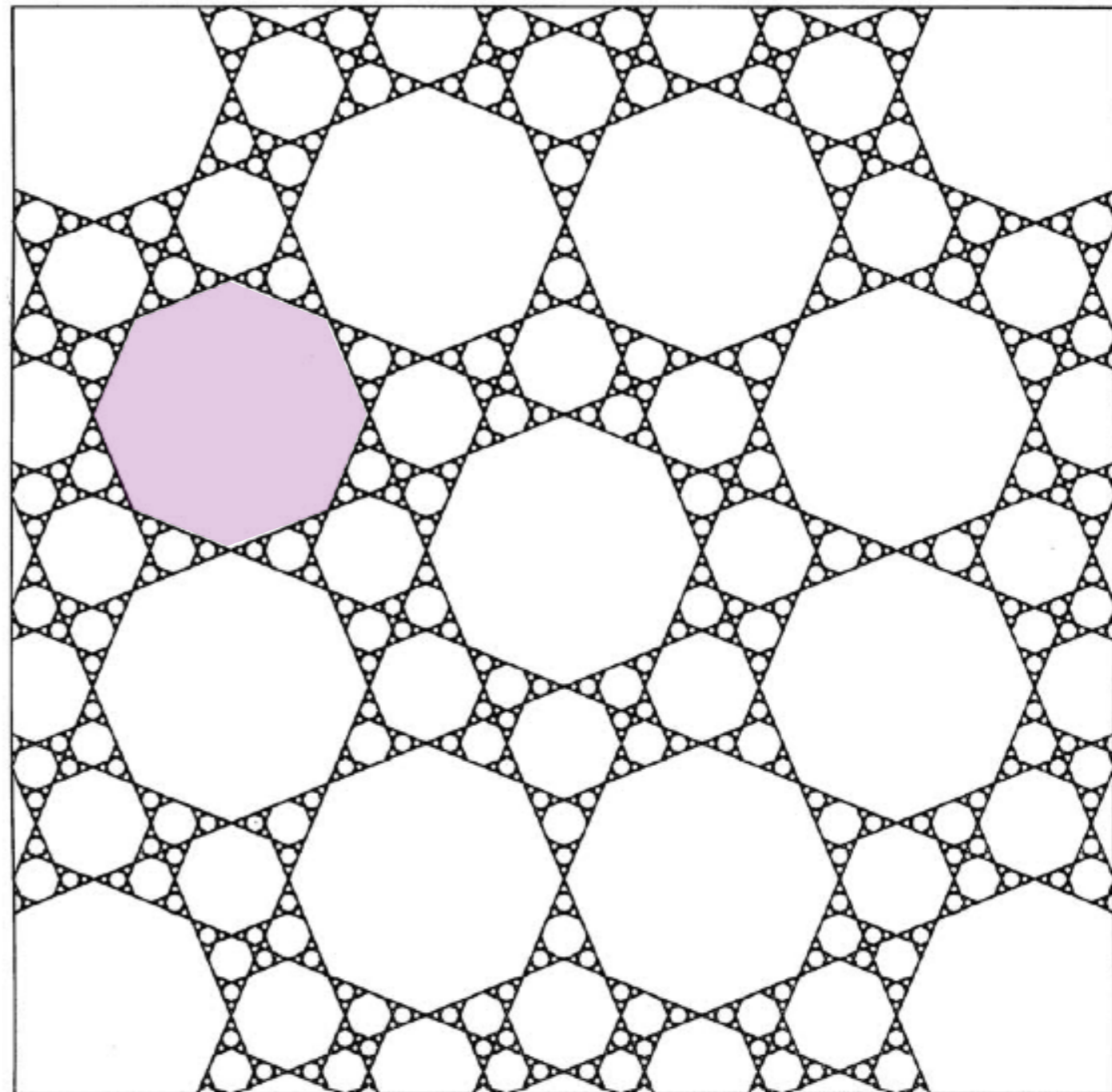
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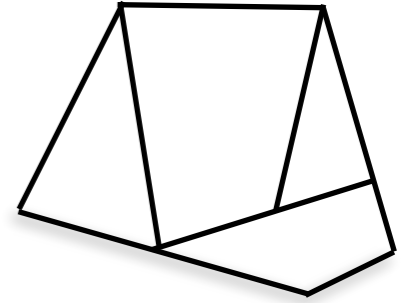
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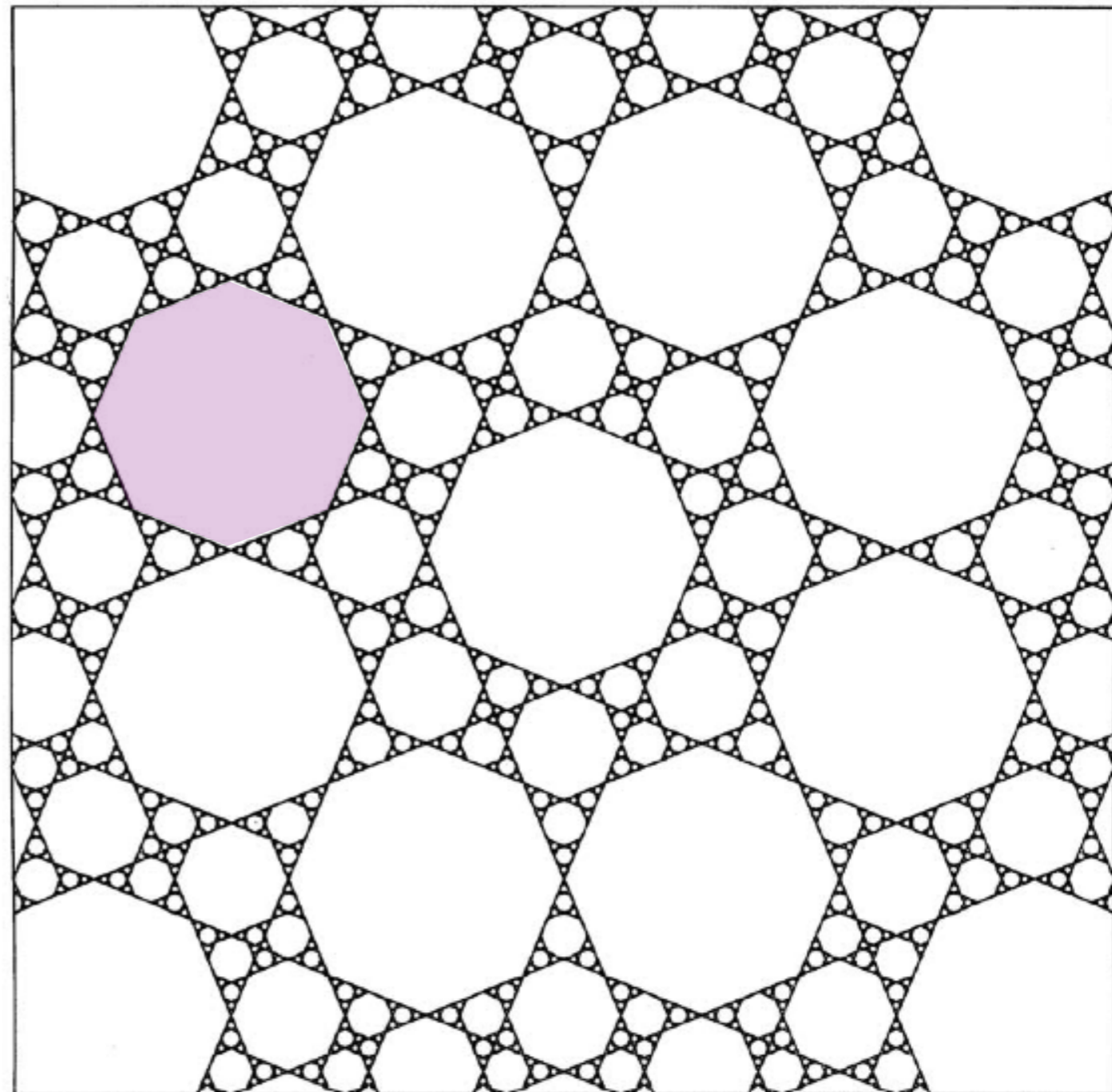
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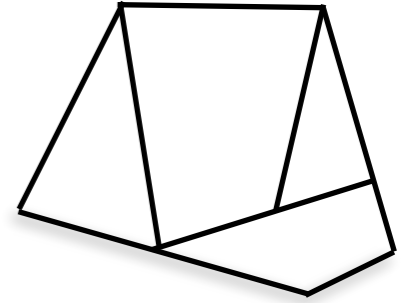
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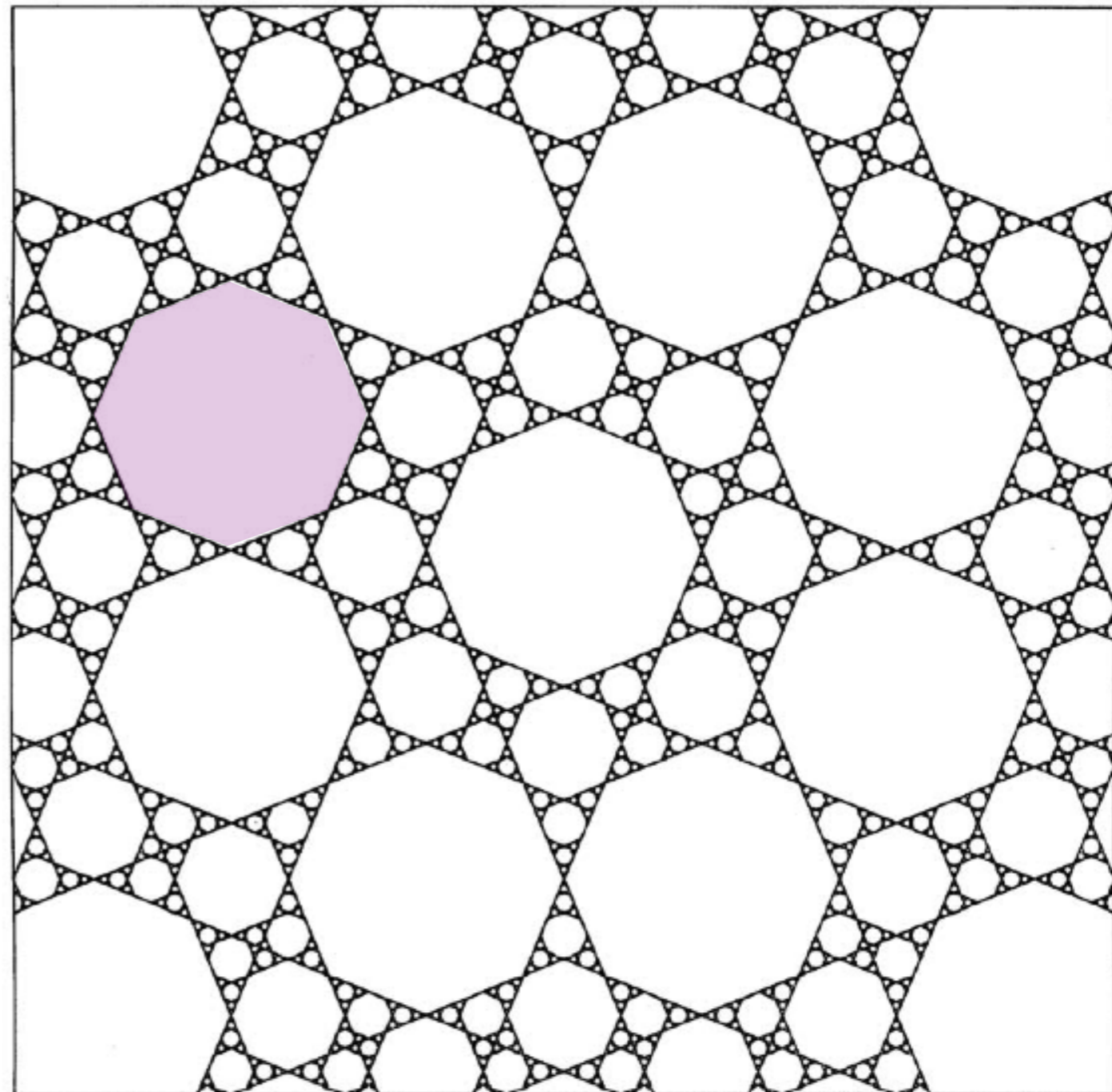
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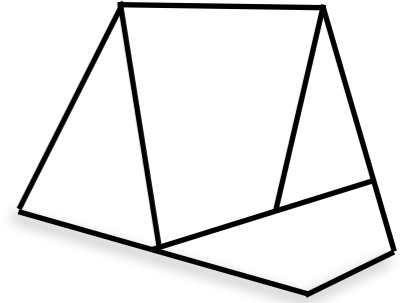
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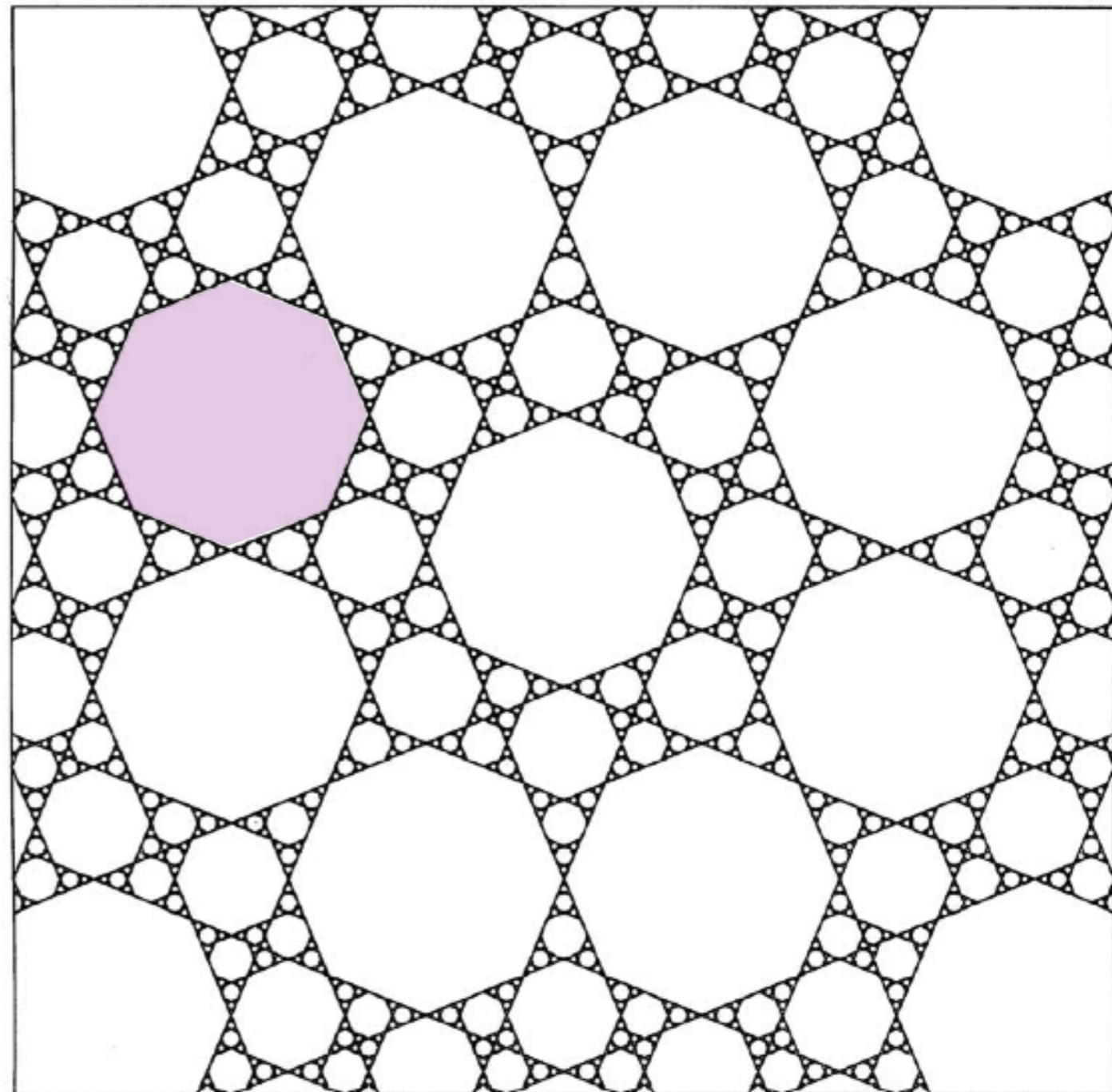
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(asymptotic phenomena)



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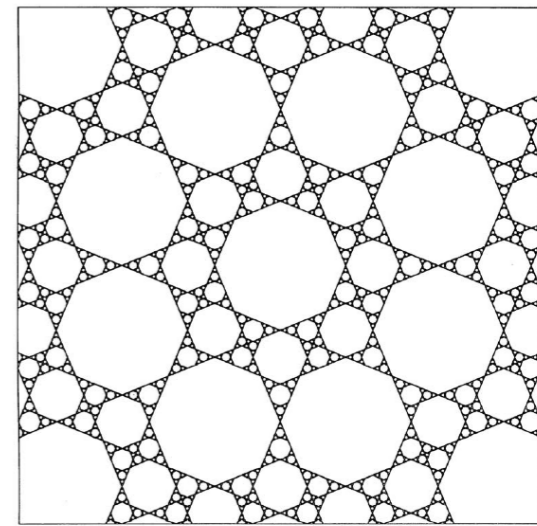
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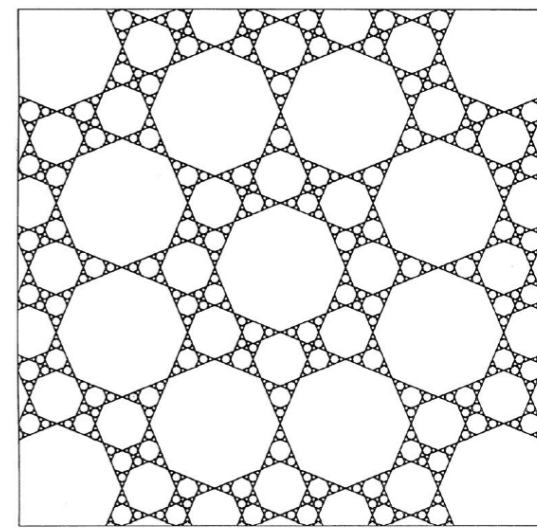
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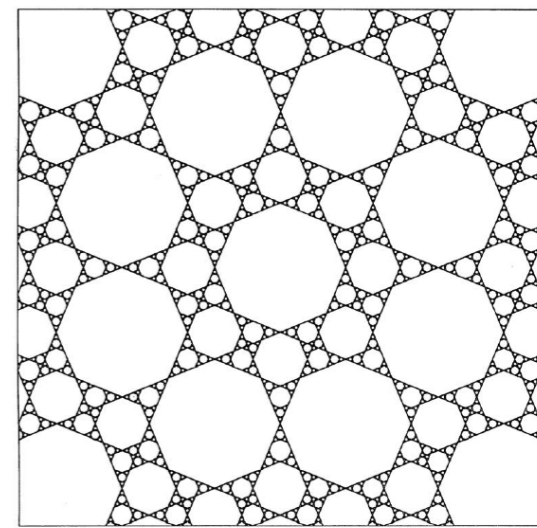
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- the number of induced maps is finite, up to scaling;
- the periodic set has full measure: tiling by regular polygons;



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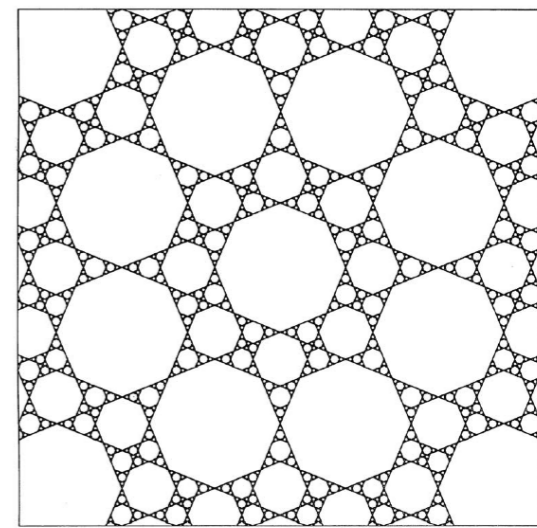
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Simplest case: rational rotation number.

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No general theory, but many examples of quadratic PWIs, where:

- the number of induced maps is finite, up to scaling;
- the periodic set has full measure: tiling by regular polygons;
- scaling constants are units in the ring of integers of the relevant field.

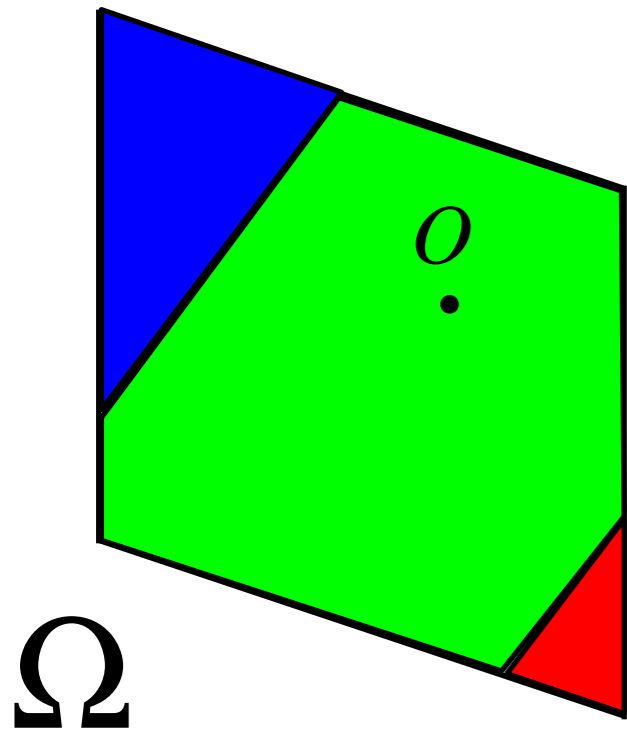


One-parameter families of polygon-exchange transformations

- Hooper (2011)
- Schwartz (2013)
- Lowenstein & fv (2014-5)

One-parameter families of polygon-exchange transformations

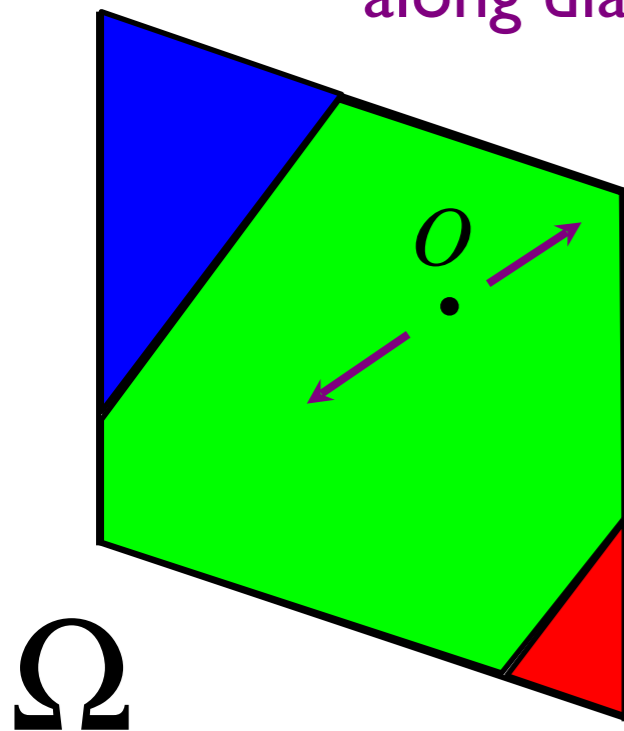
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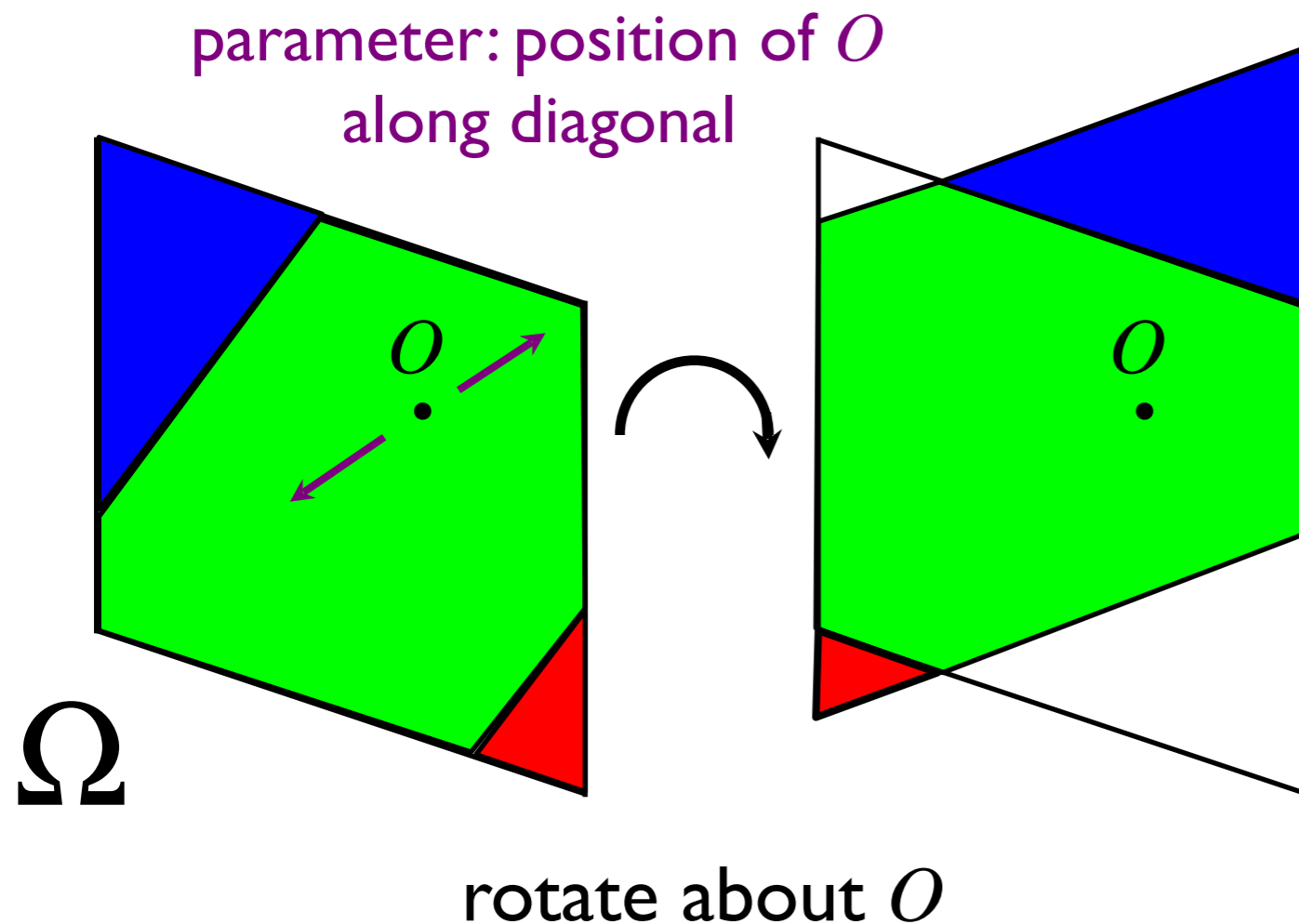
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parameter: position of O
along diagonal



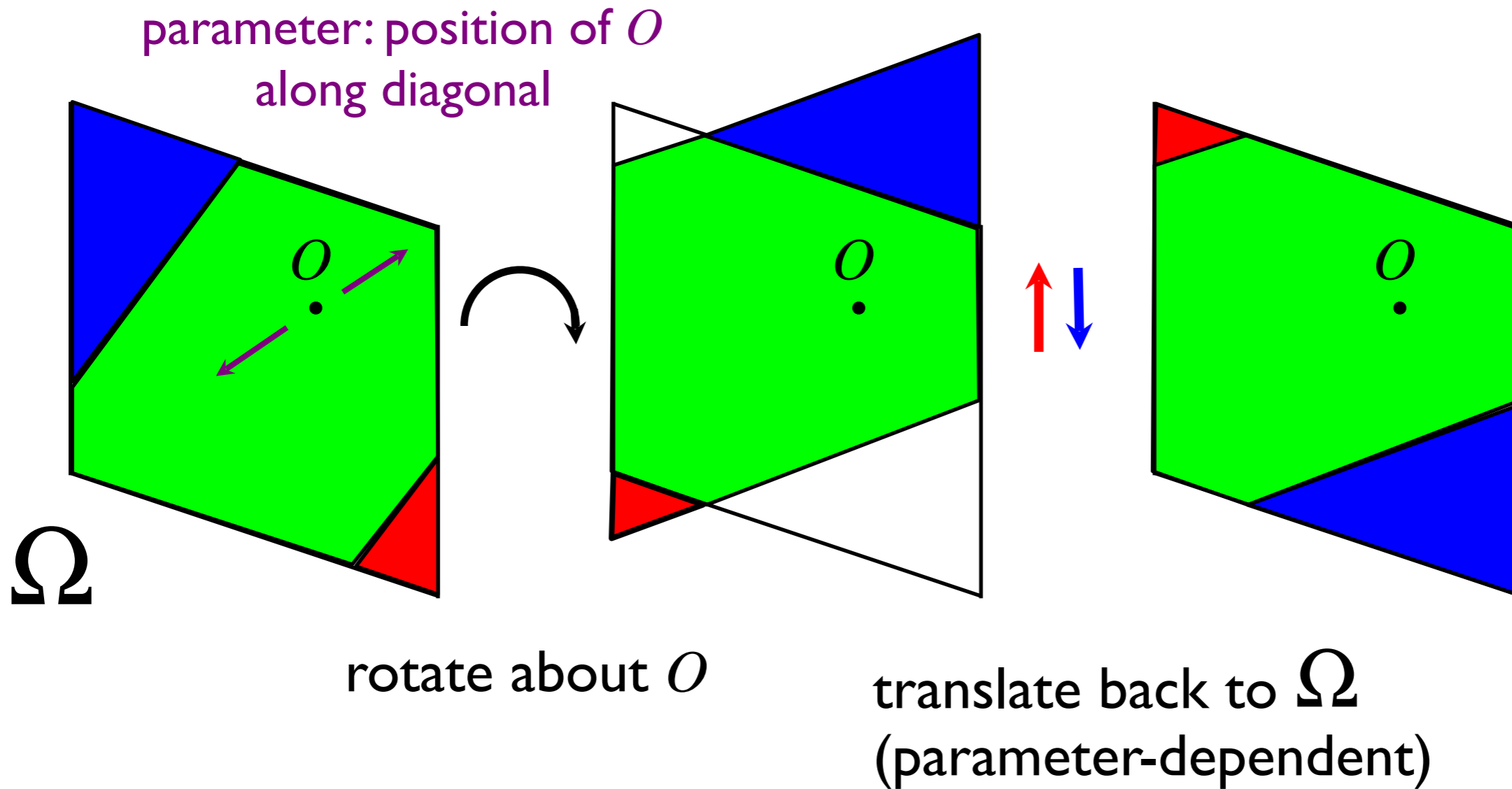
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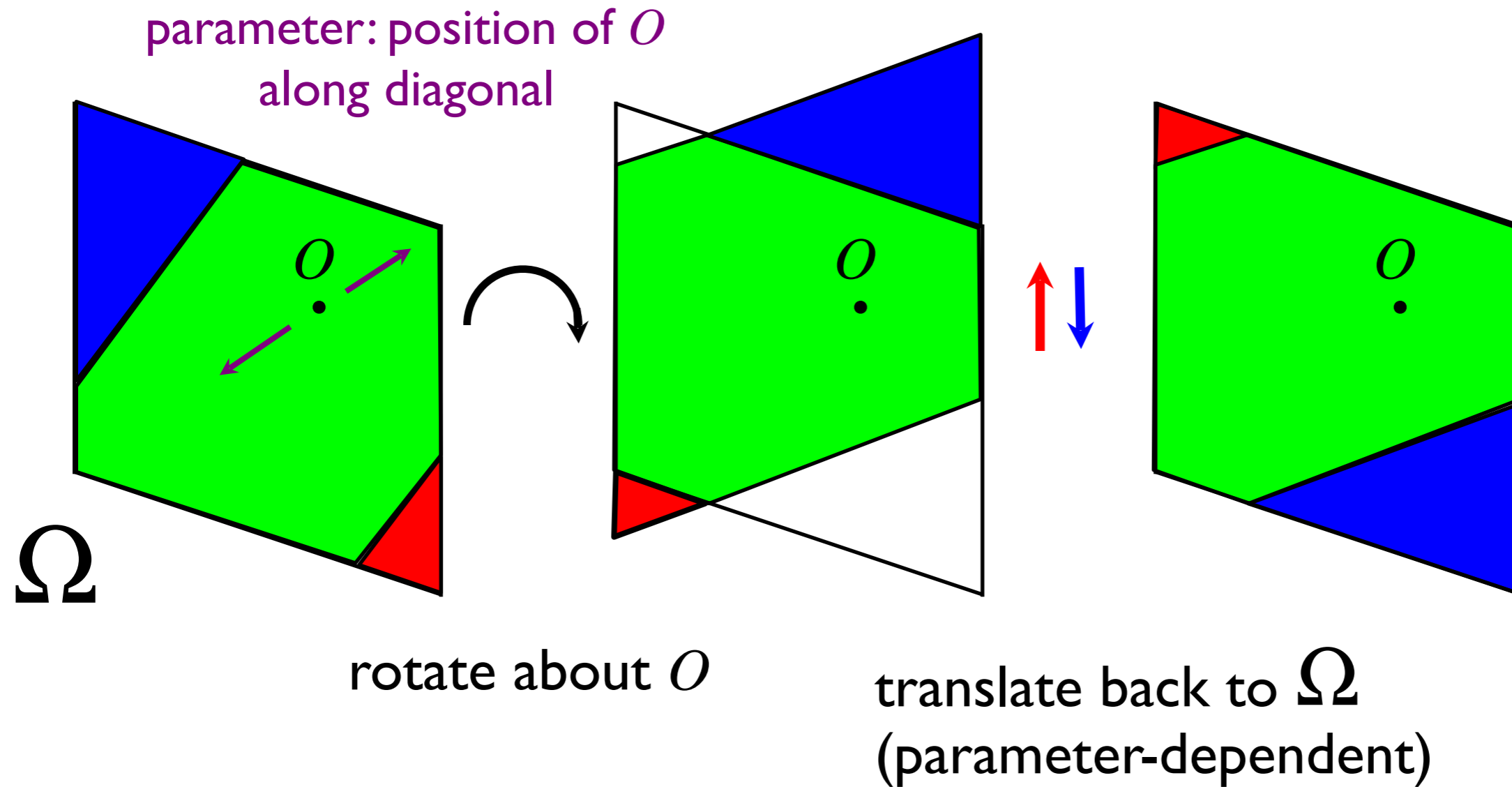
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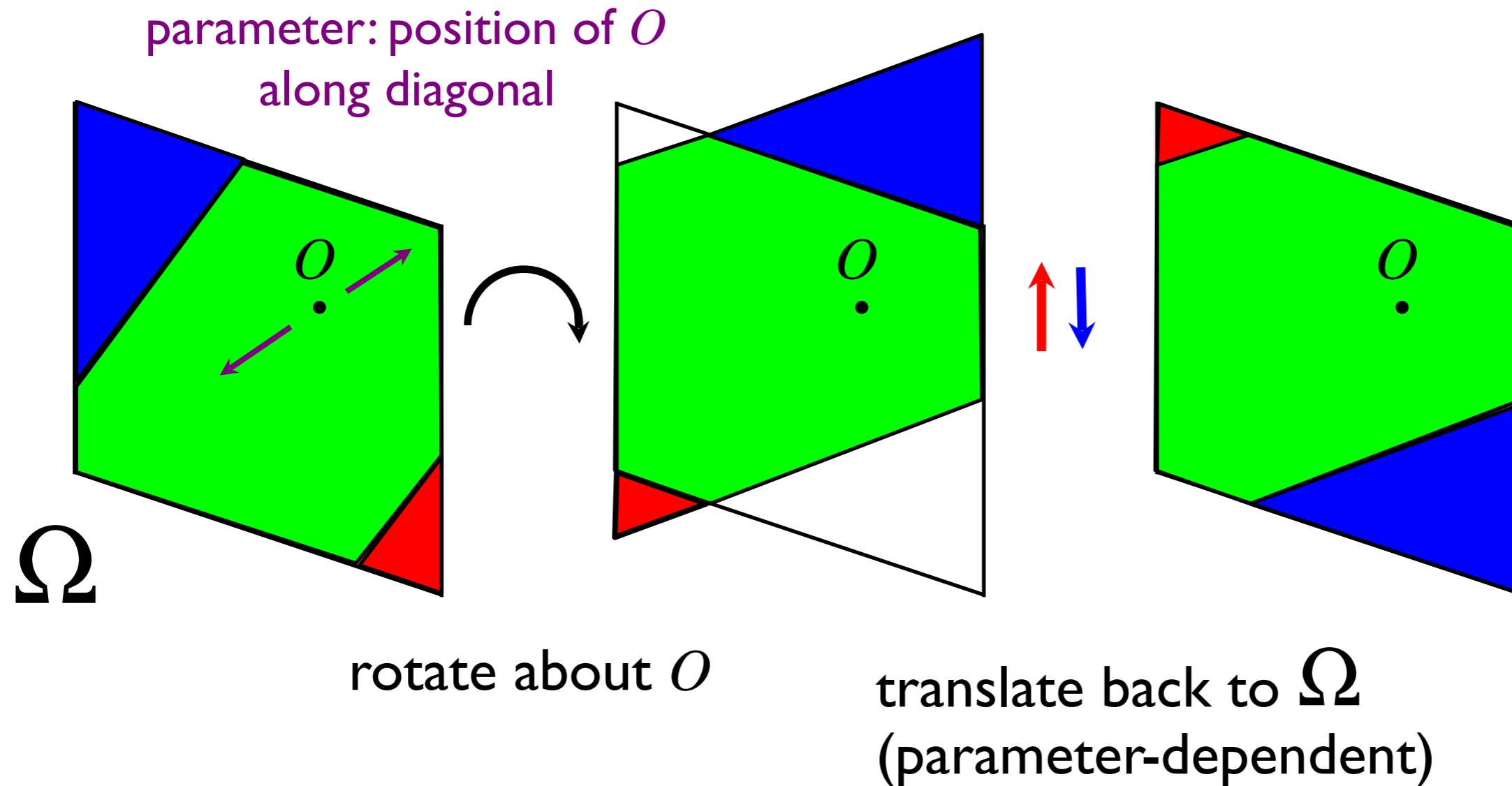
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quadratic rotation fields: $\mathbb{Q}(\lambda) = \mathbb{Q}(\sqrt{2}), \mathbb{Q}(\sqrt{5})$

One-parameter families of polygon-exchange transformations

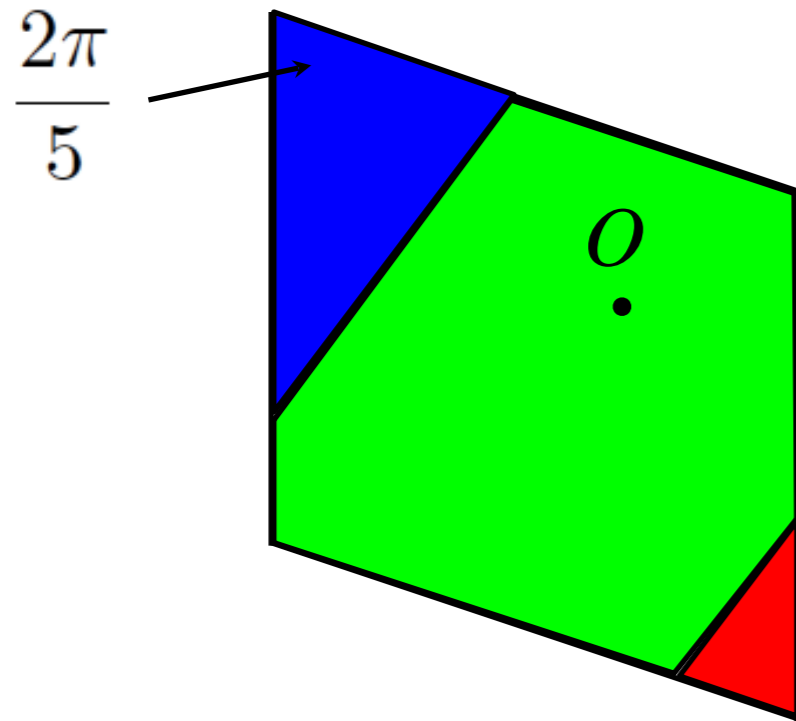
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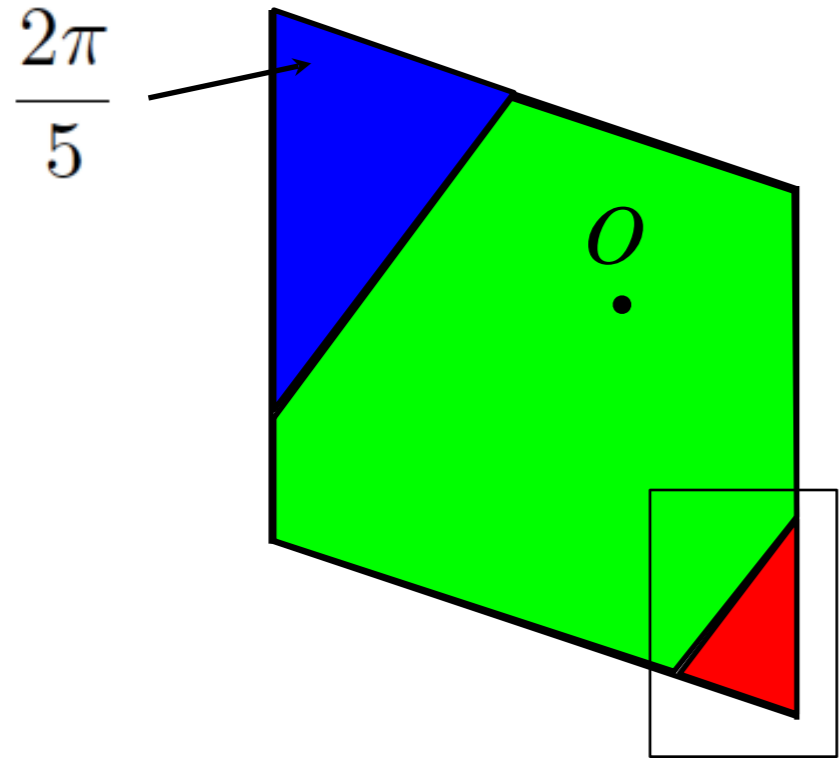
quadratic rotation fields: $\mathbb{Q}(\lambda) = \mathbb{Q}(\sqrt{2}), \mathbb{Q}(\sqrt{5})$

translation module: $\mathbb{Q}(\lambda) + s\mathbb{Q}(\lambda)$ s : parameter

The pentagonal model (field $\mathbb{Q}(\sqrt{5})$)

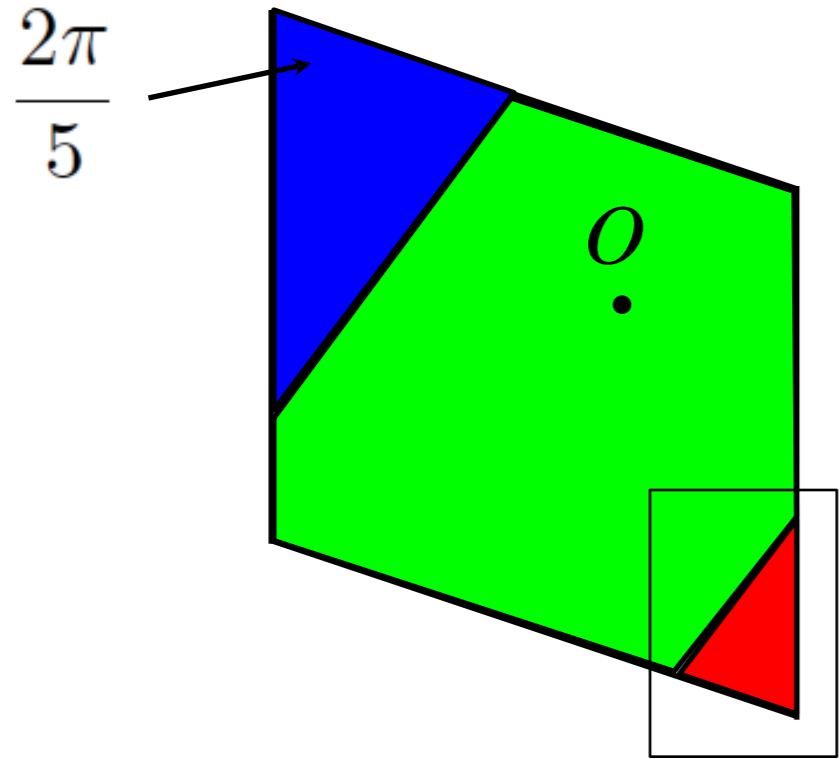


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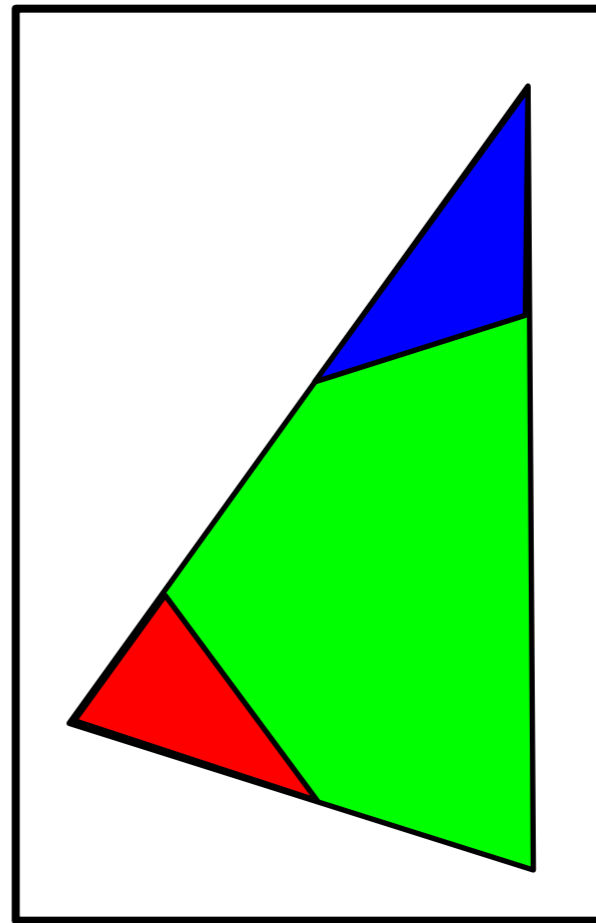
induction sequence

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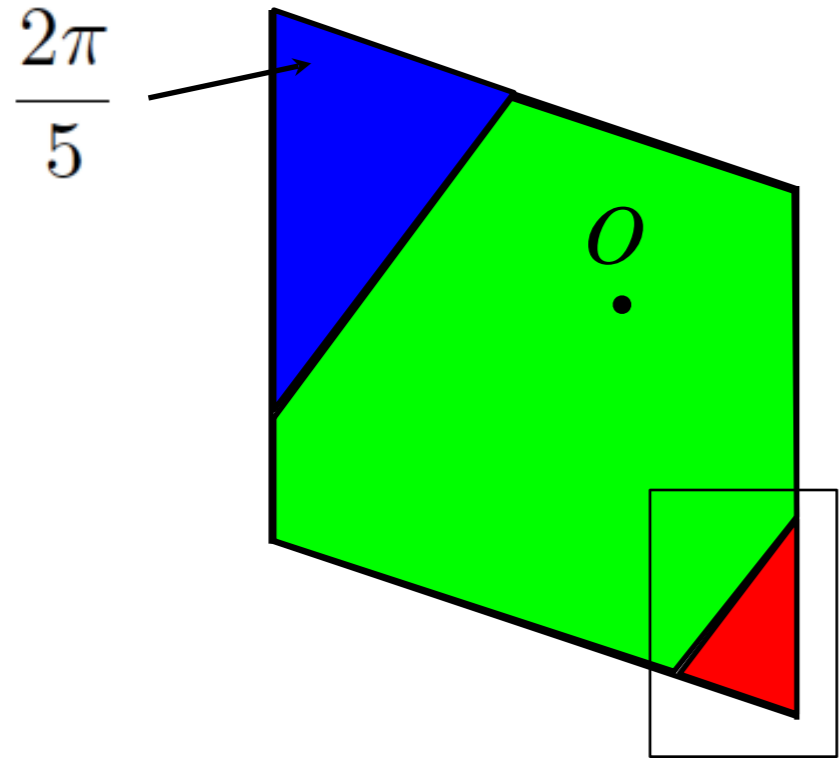


induction sequence

zoom in

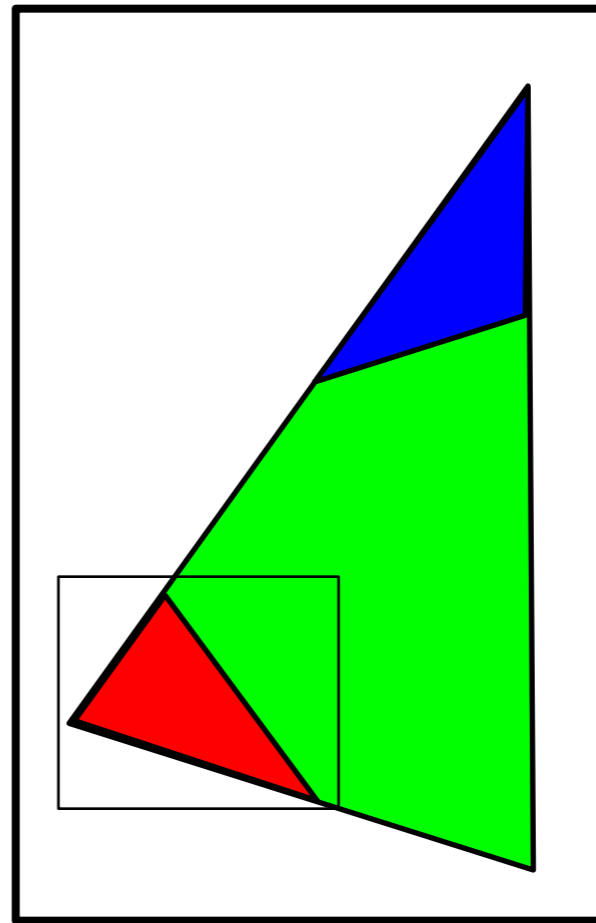


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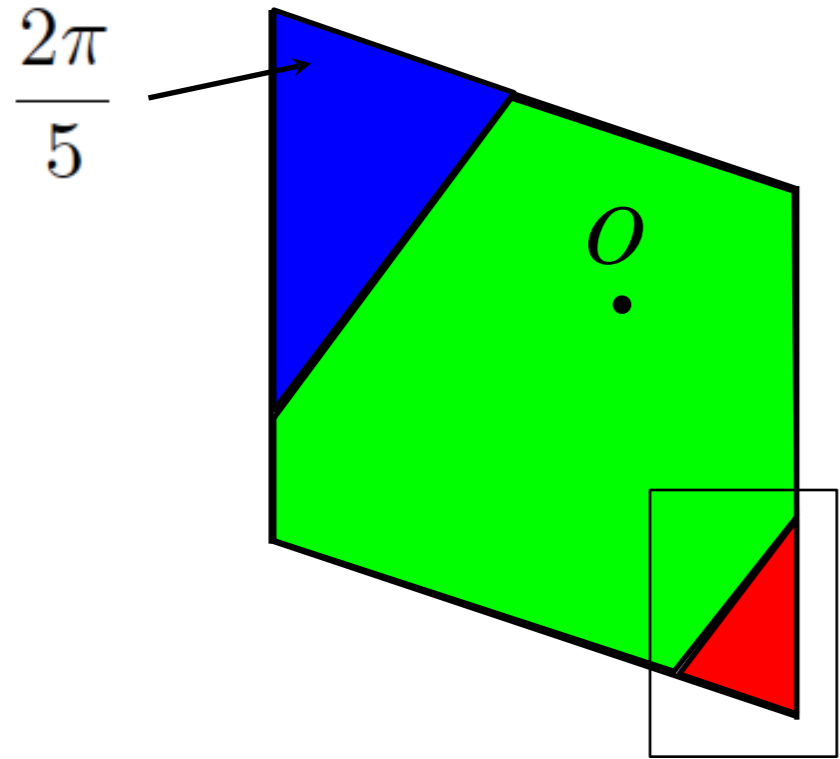


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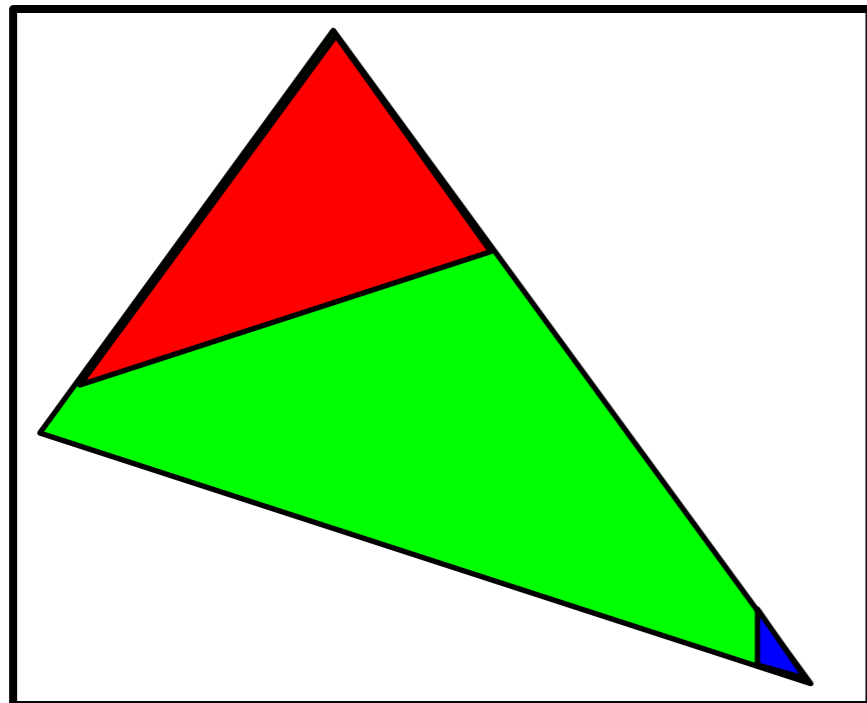
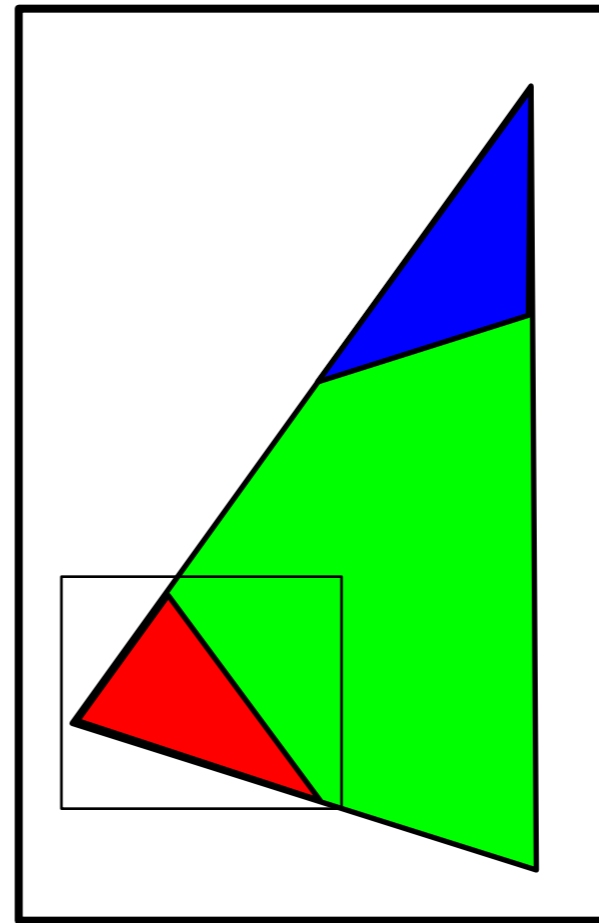


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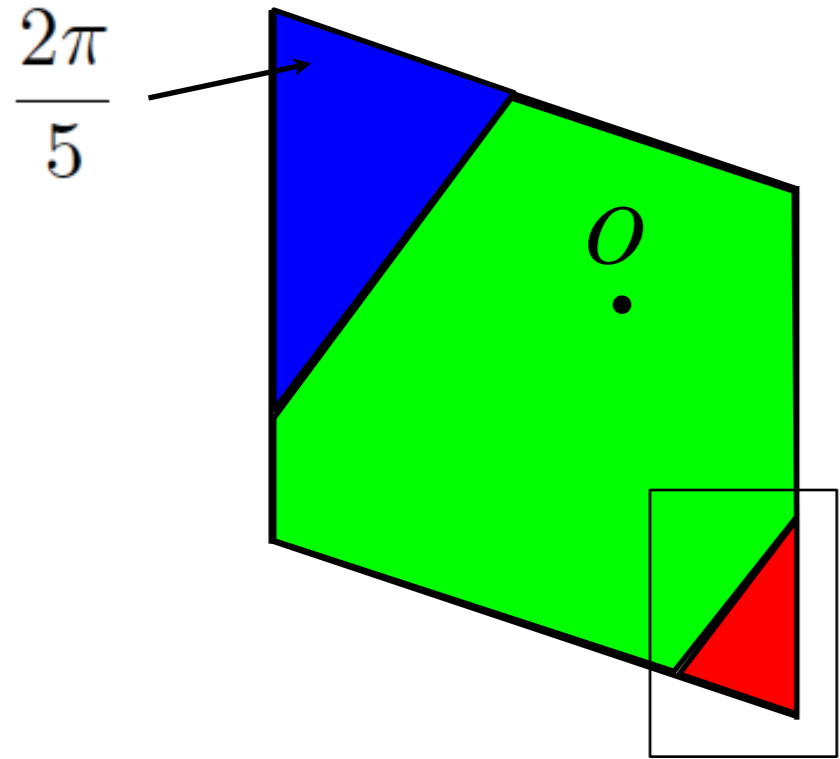


induction sequence

zoom in

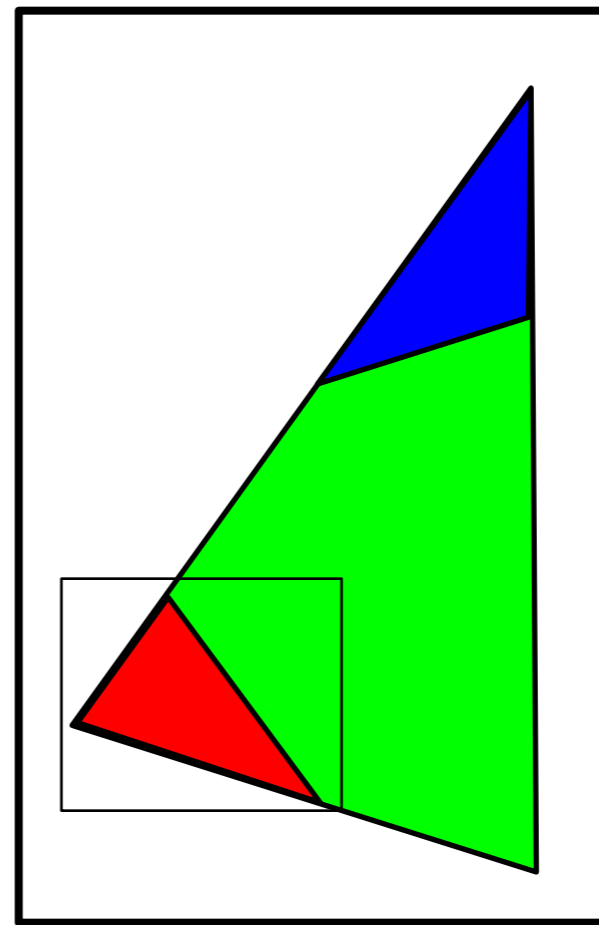


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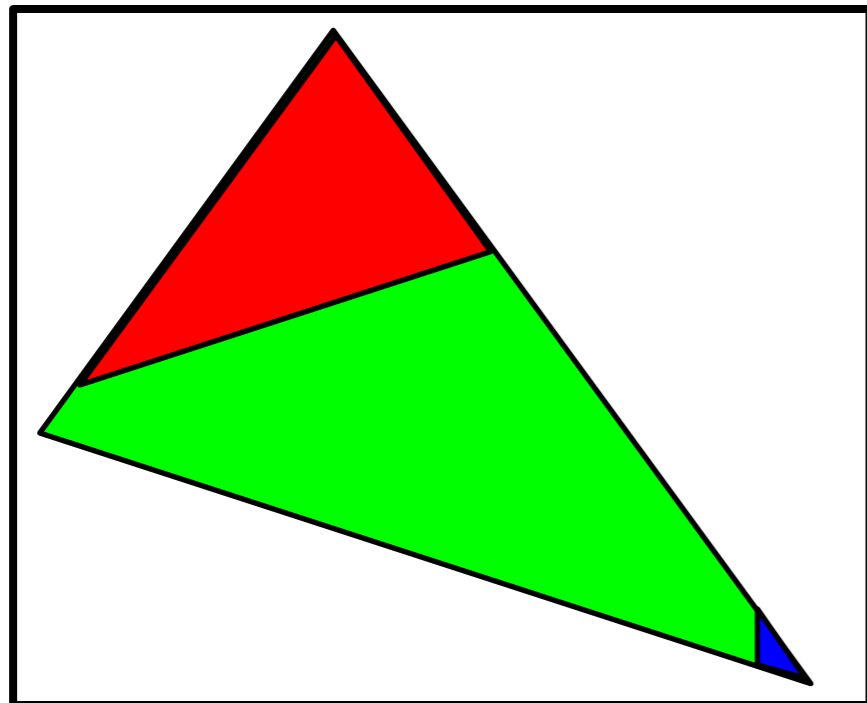


induction sequence

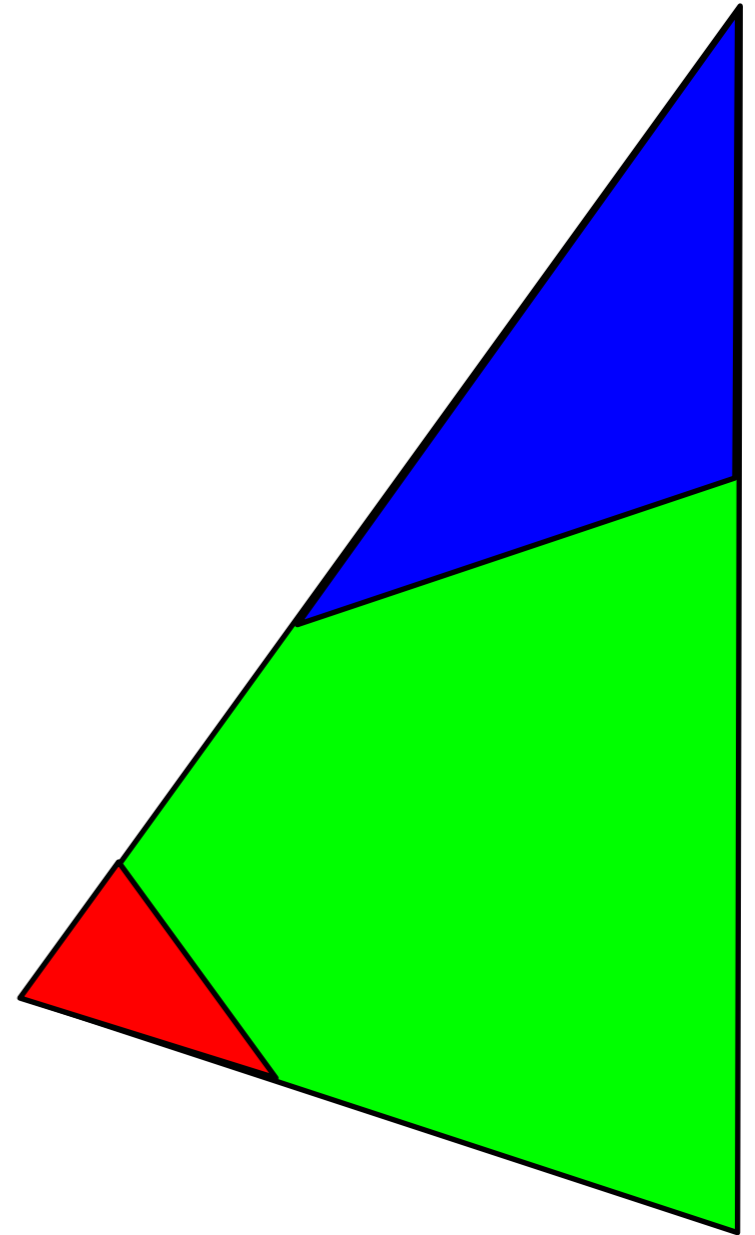
zoom in



base triangle

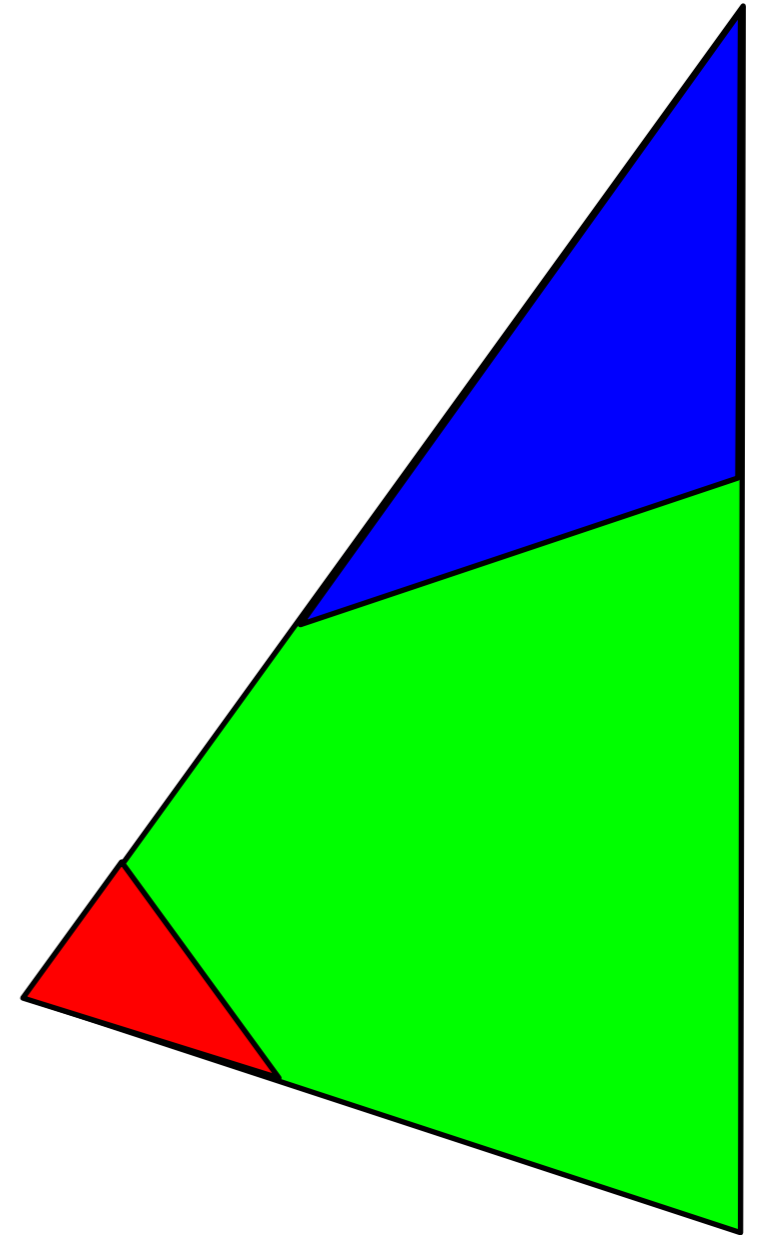


Base triangle: time-reversal symmetry



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The map is the composition of two involutions: $F=GH$

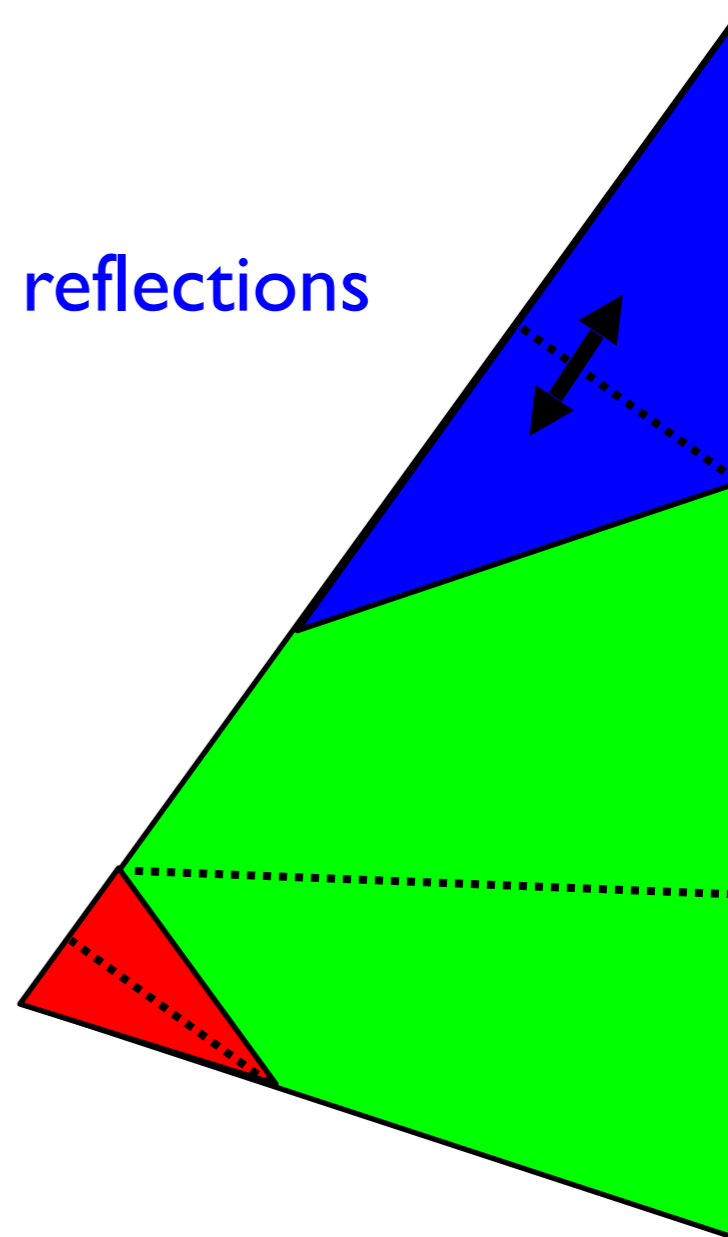


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local reflections

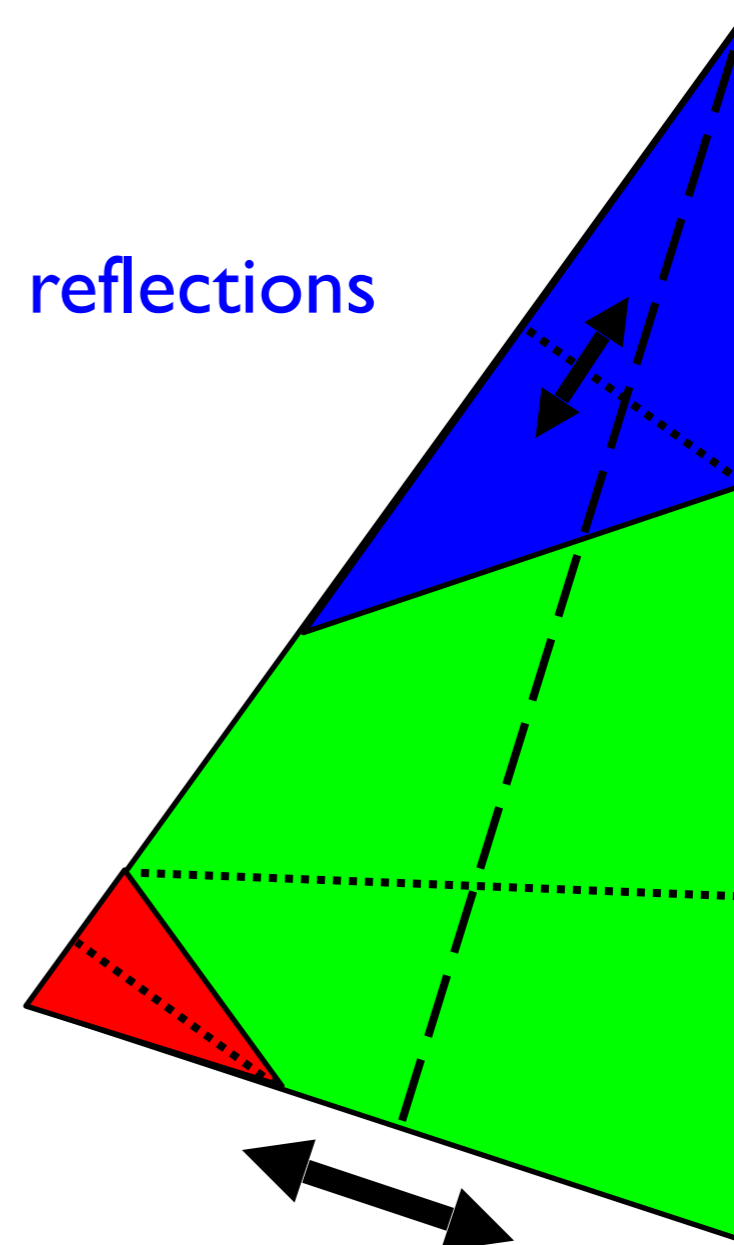


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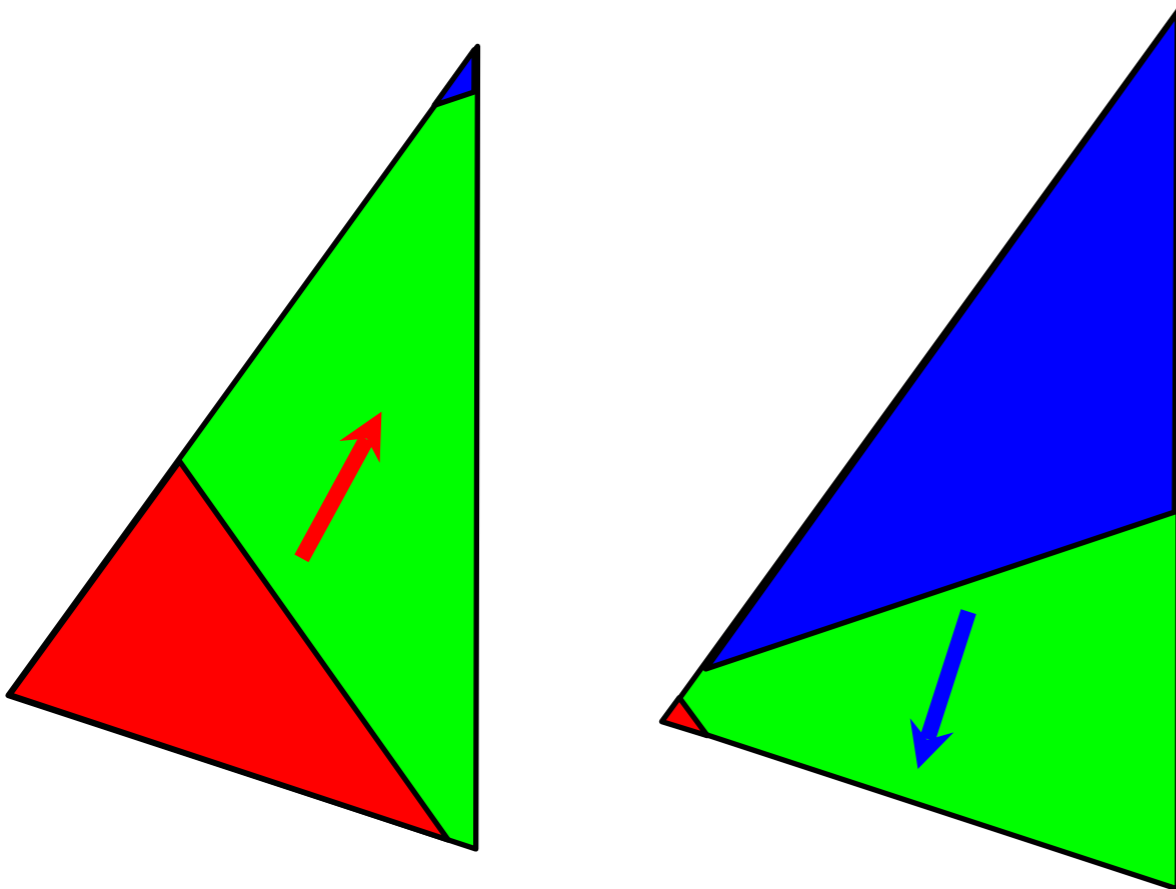
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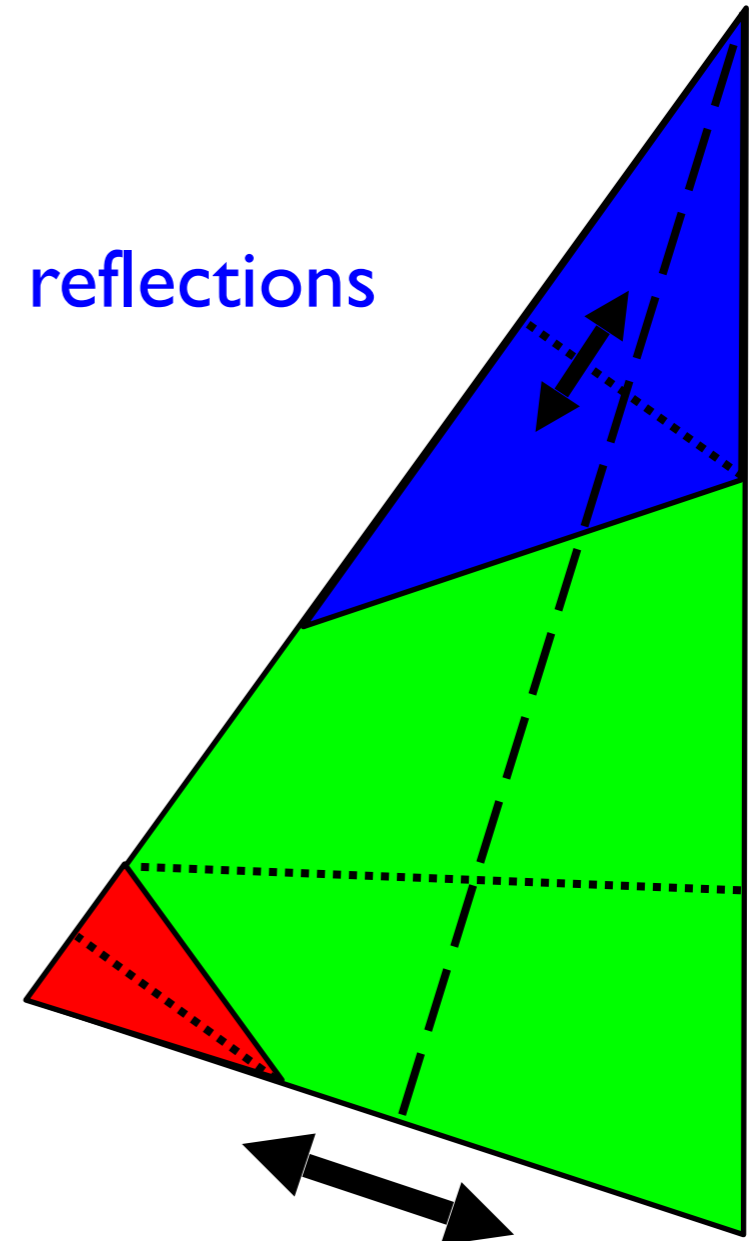
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Symmetry results in rigidity under parameter change



local reflections



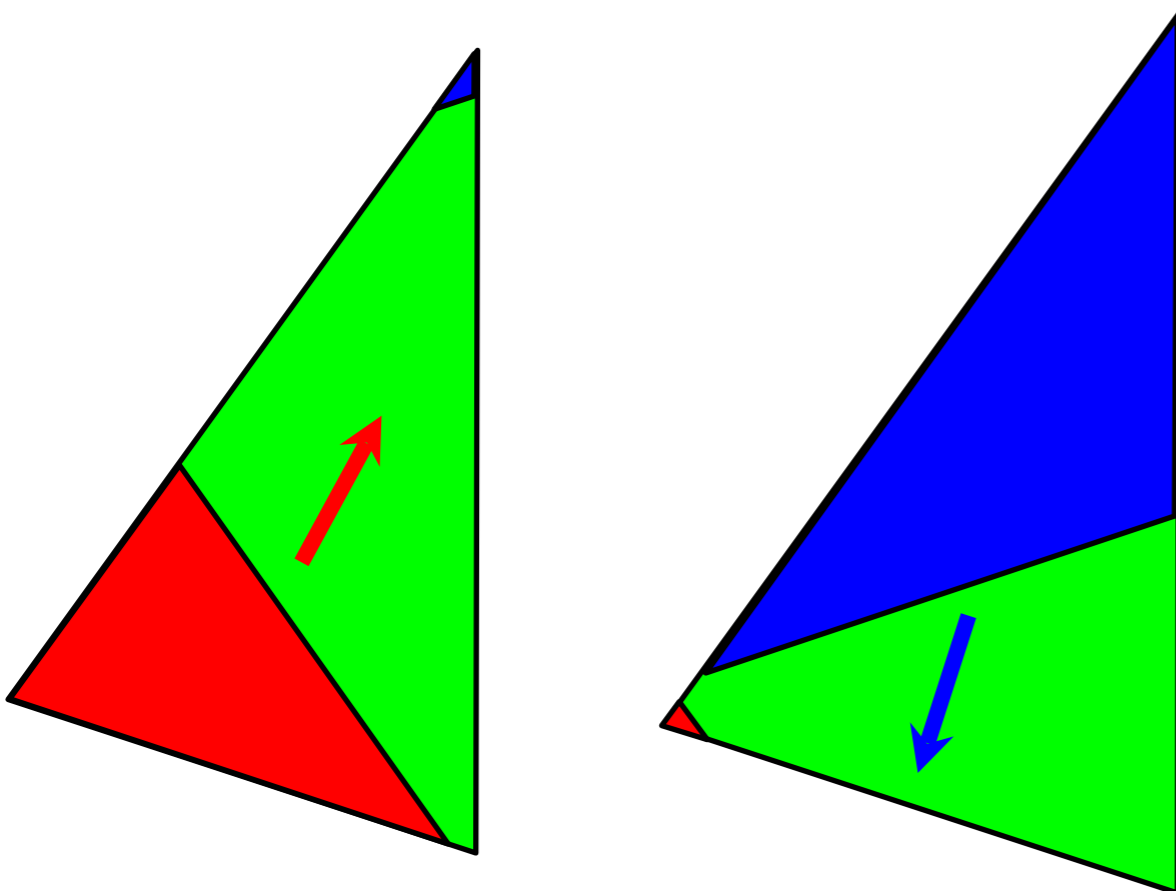
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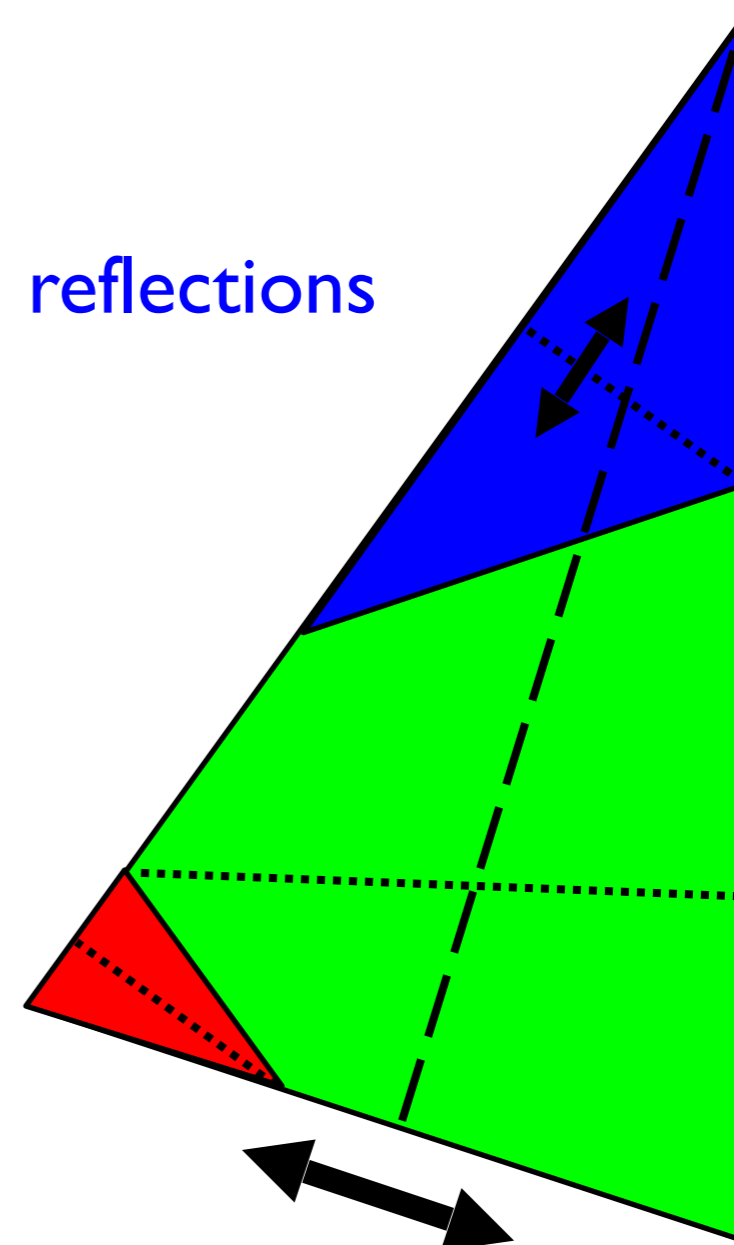
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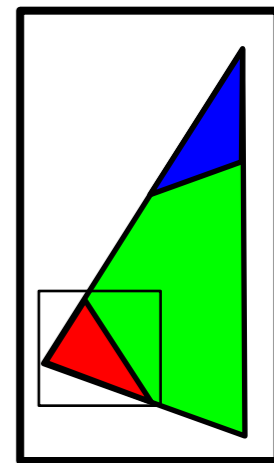
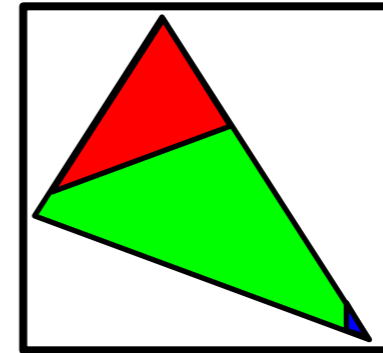
local reflections



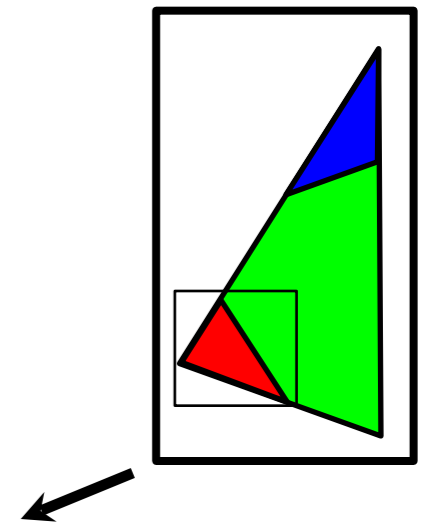
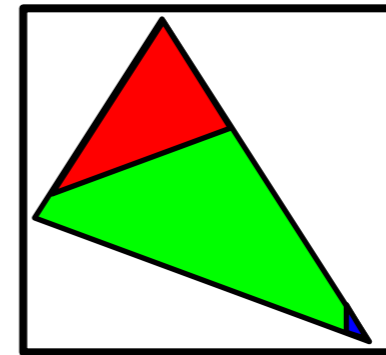
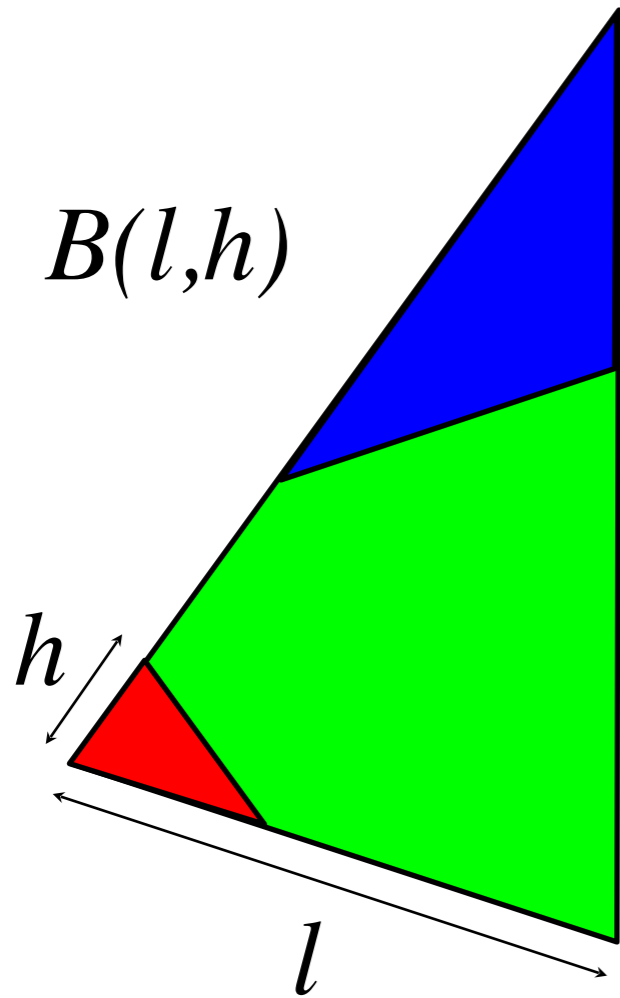
global reflection

Bifurcations at end-points of parameter interval: one atom disappears.

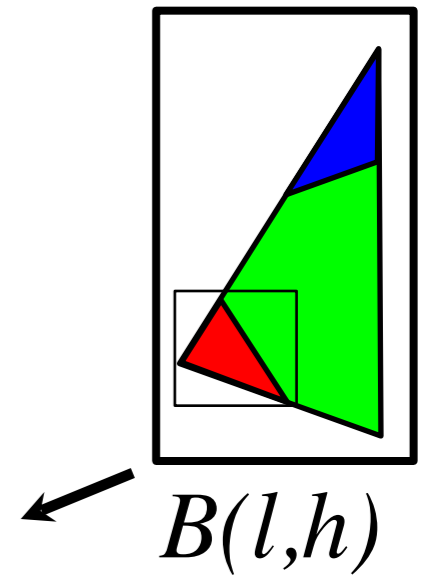
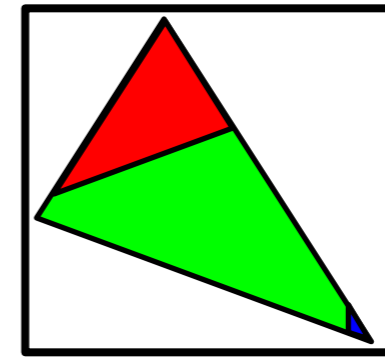
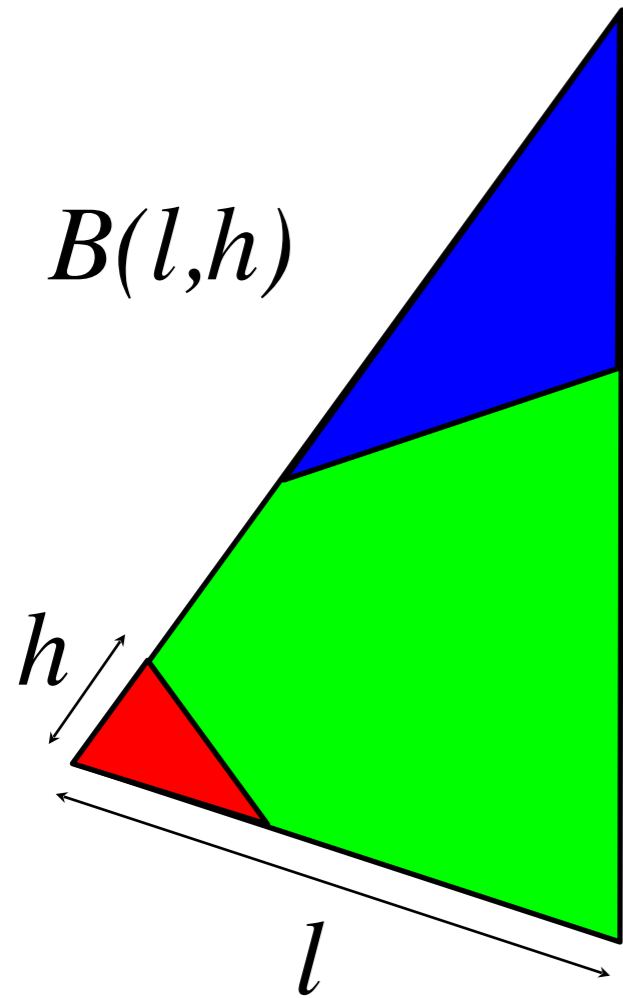
How does the parameter change under induction?



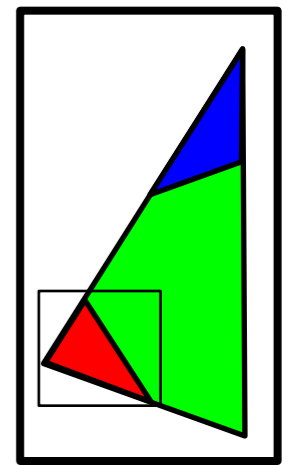
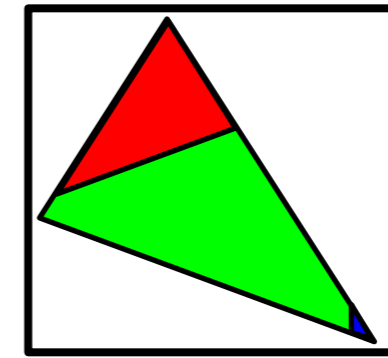
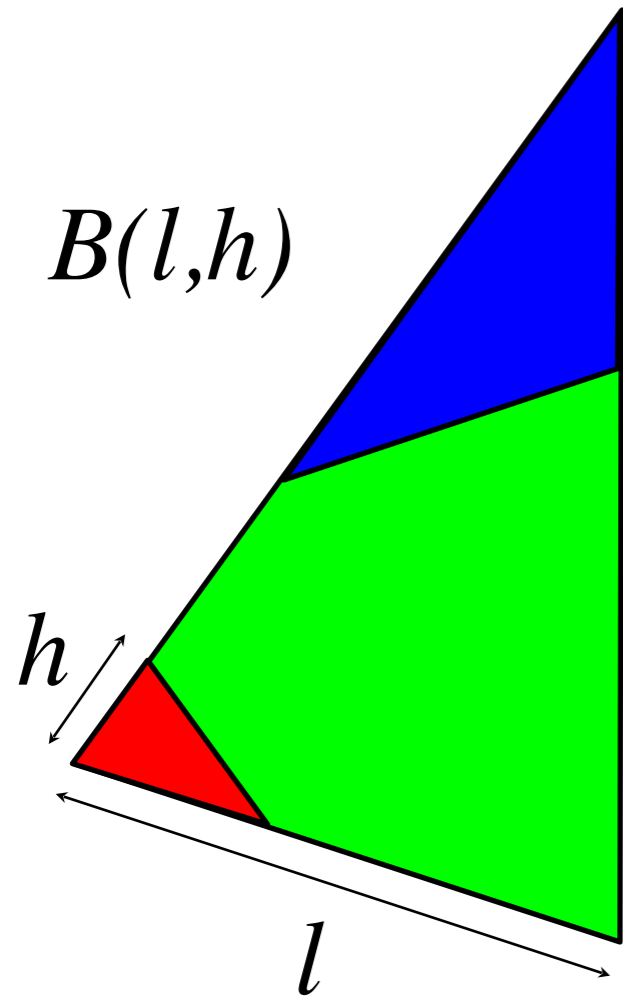
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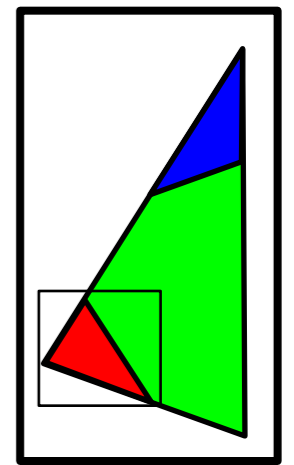
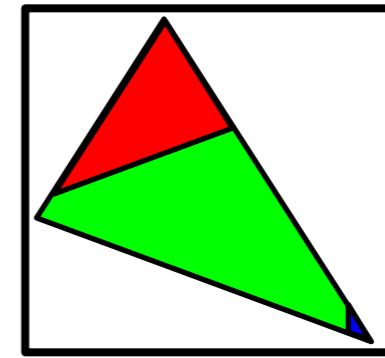
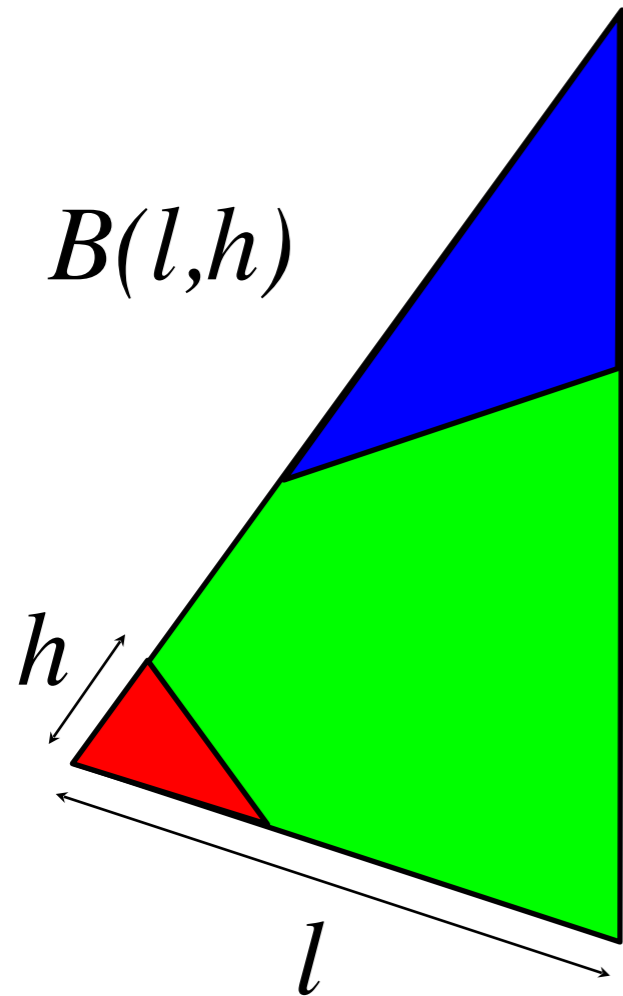
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$$\omega = \frac{\sqrt{5} + 1}{2} \quad \beta = \frac{\sqrt{5} - 1}{2} = \omega^{-1}$$

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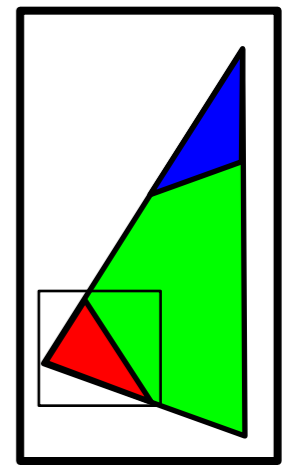
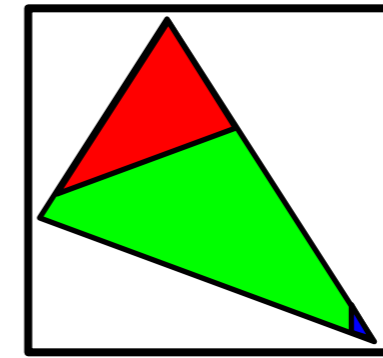
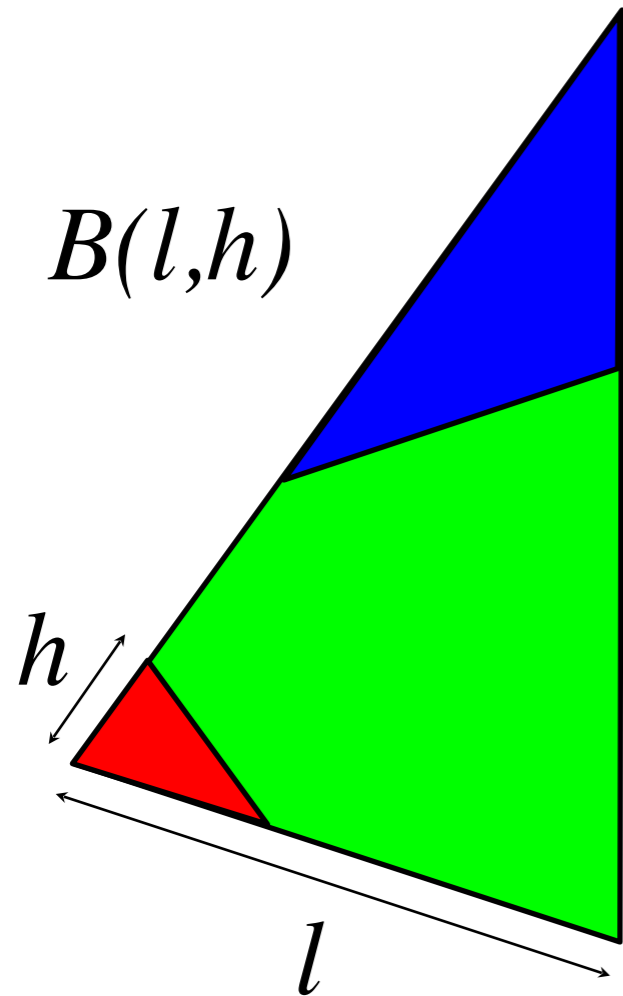
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new parameter: $t=t(s)$

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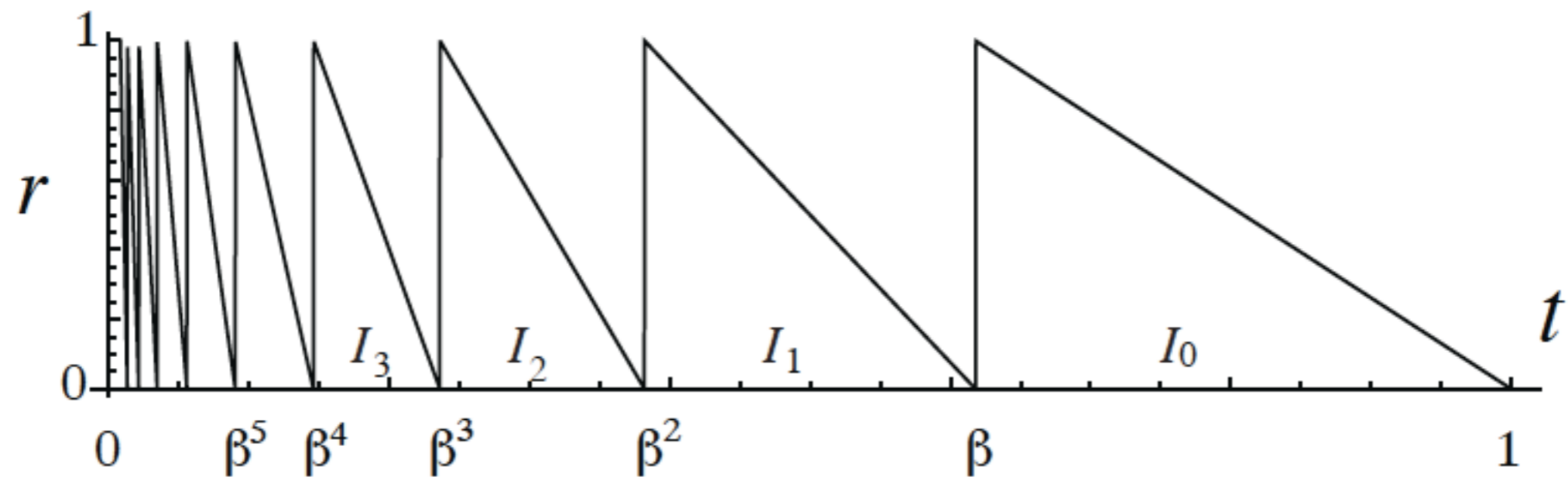
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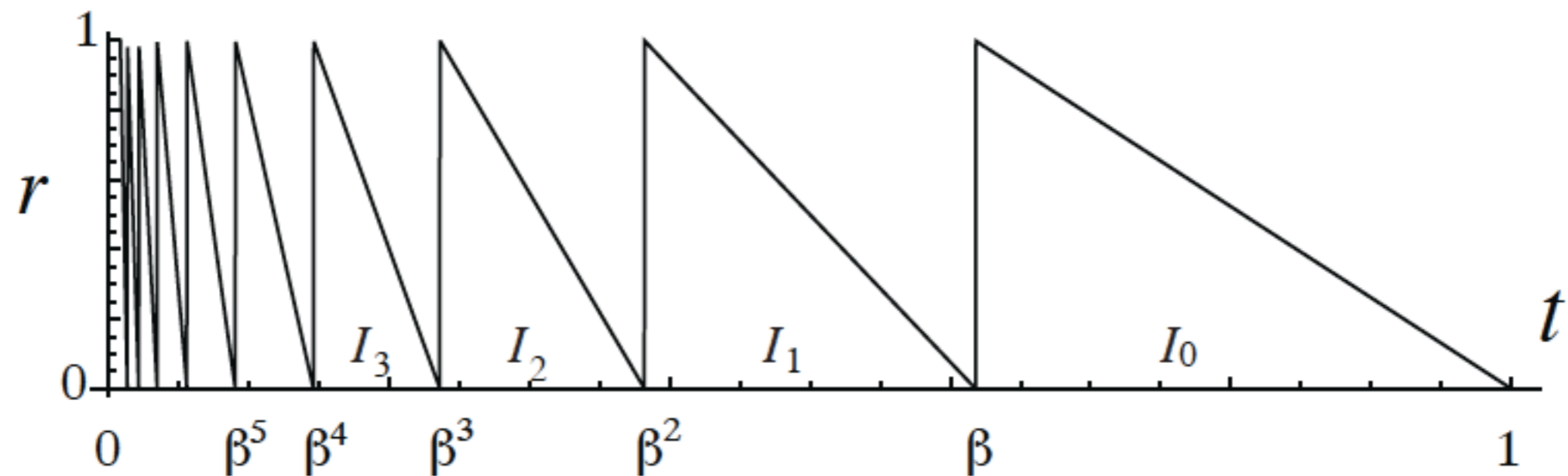
parameter renormalization function

$$t' = r(t)$$

The parameter renormalization function

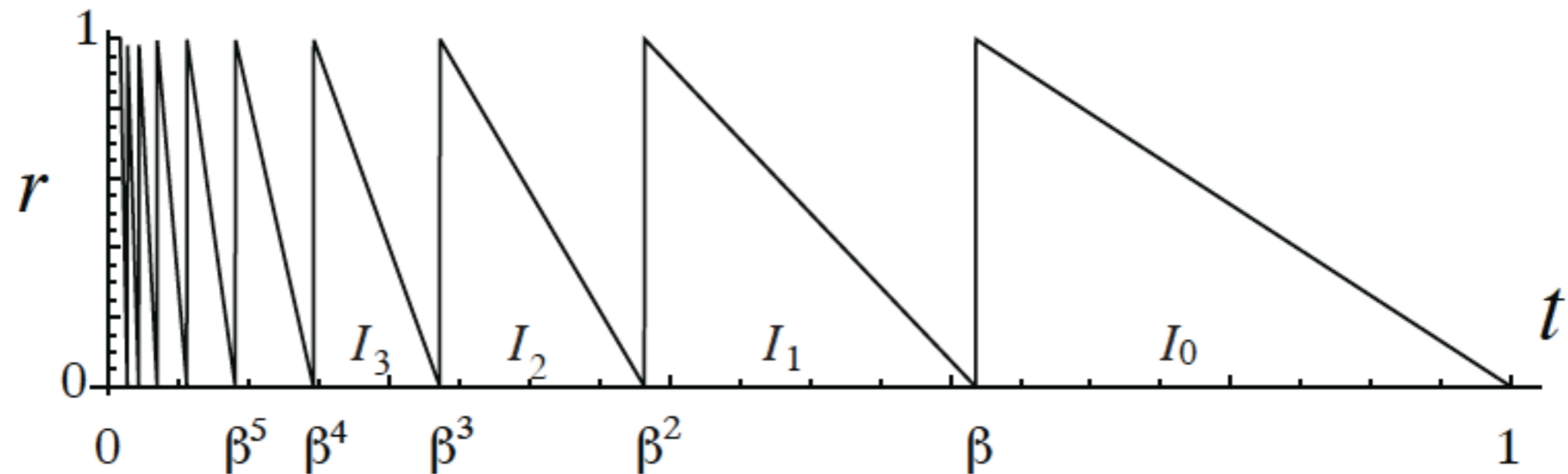


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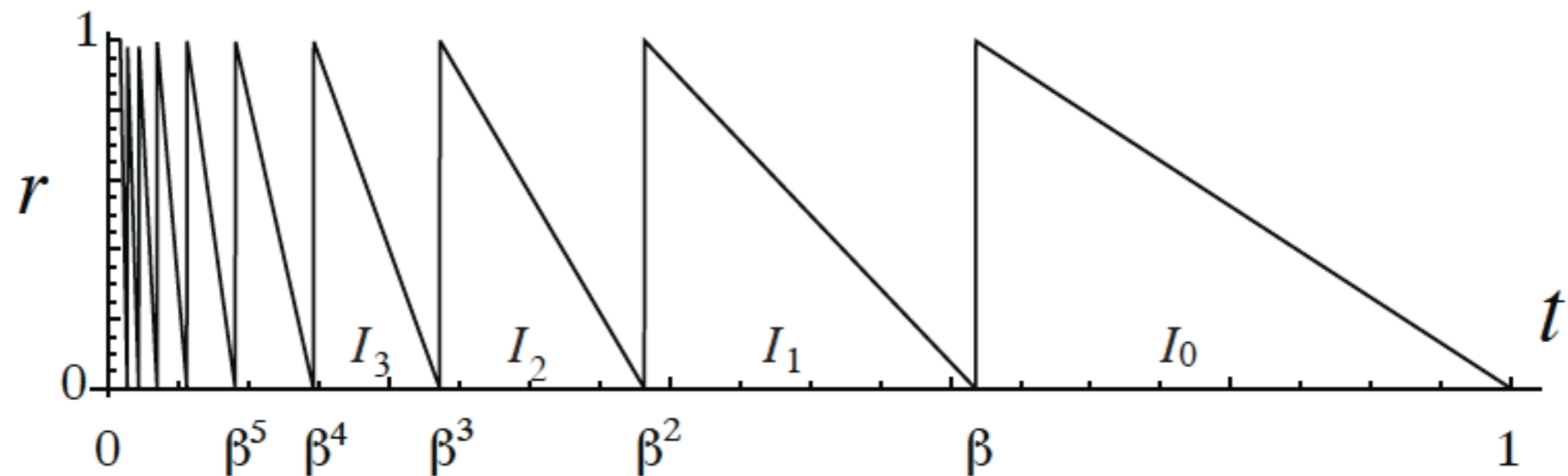
- A piecewise affine map of the unit interval into itself.

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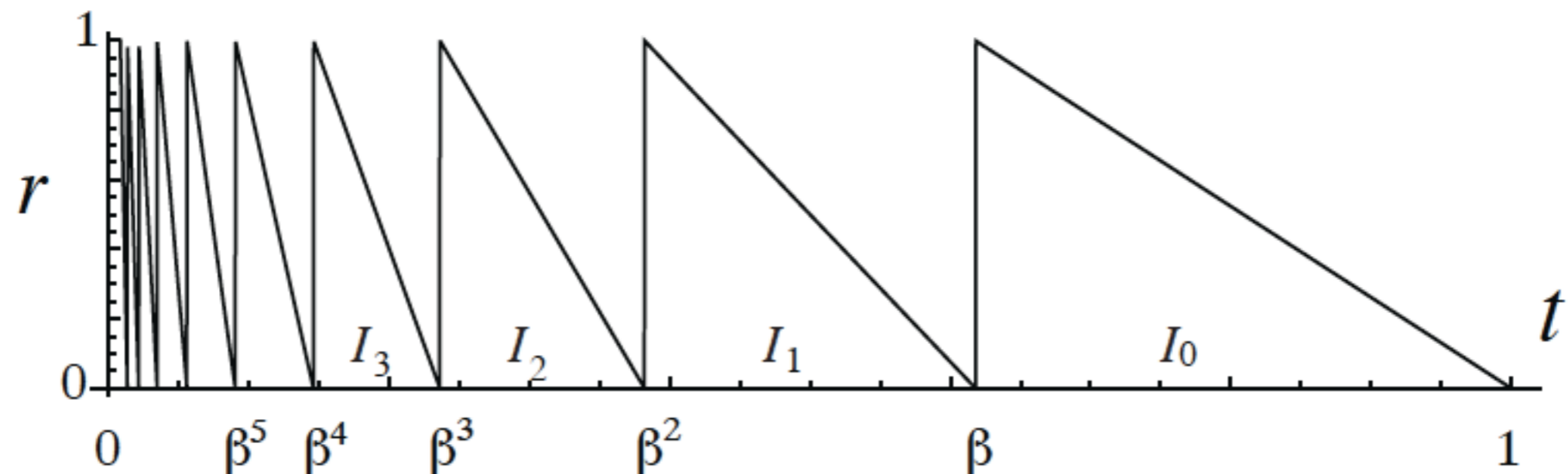
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- The eventually periodic points coincide with $\mathbb{Q}(\sqrt{5})$

Generalised Luroth series

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There is a natural symbolic dynamics, recording the sub-intervals visited by an orbit:

$$(i_0, i_1, i_2, \dots) \quad i_k \in \mathbb{N} \cup \{\infty\}$$

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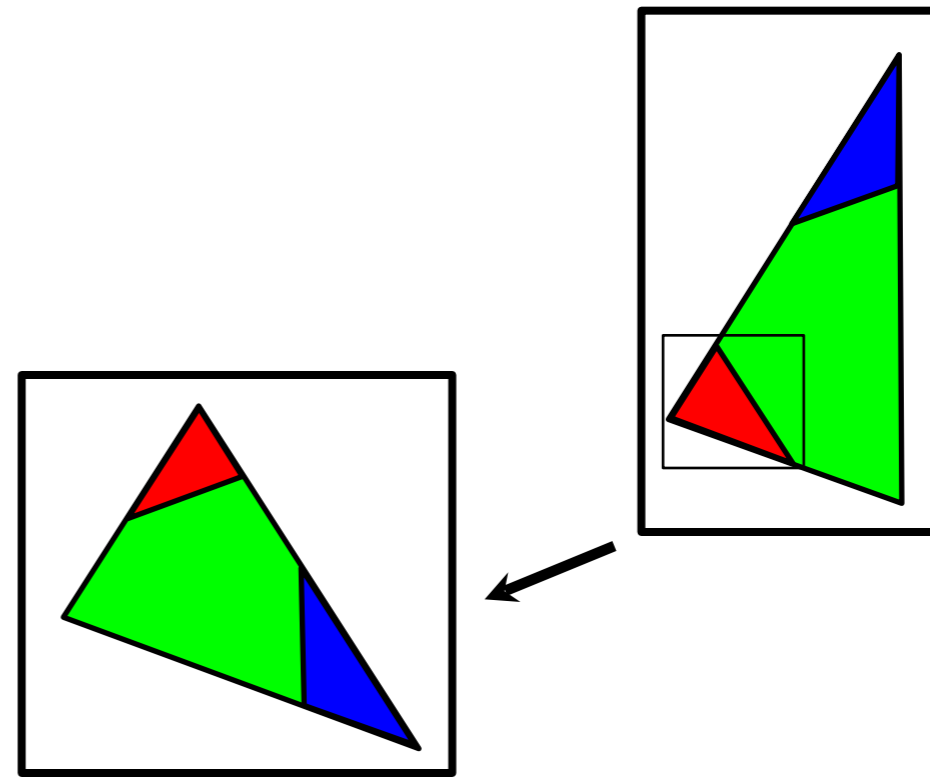
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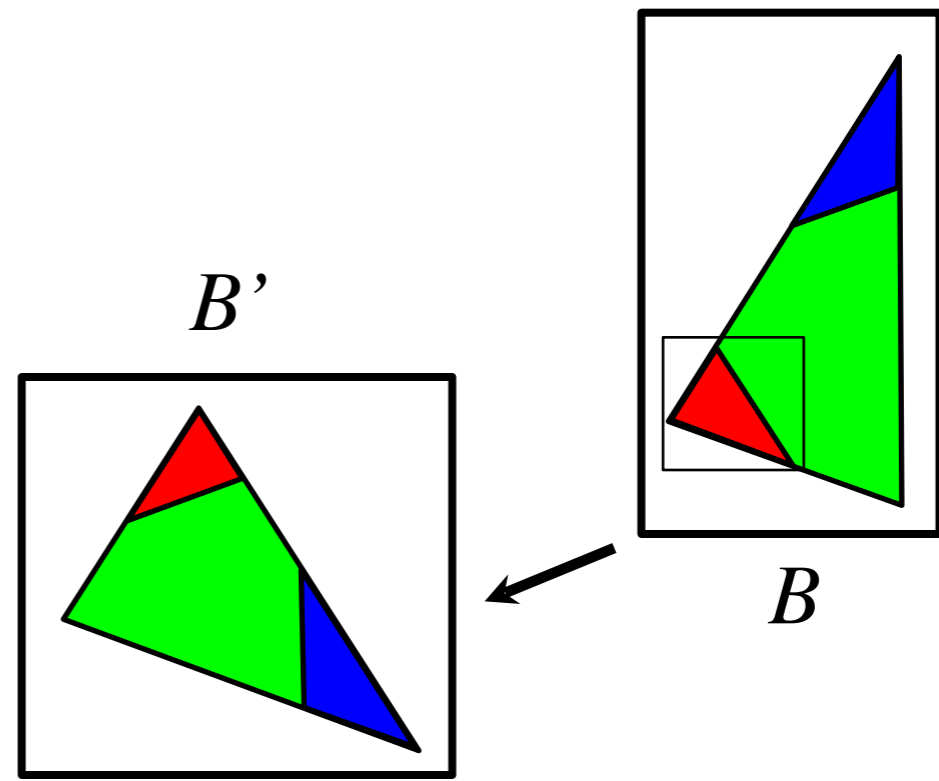
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A contraction argument shows that the field condition is sufficient for periodicity.

Self-similarity

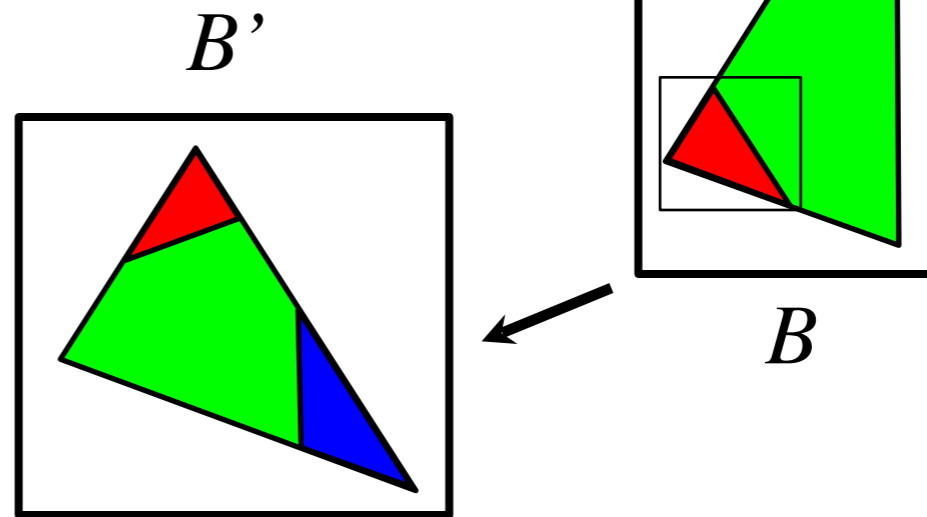


Self-similarity



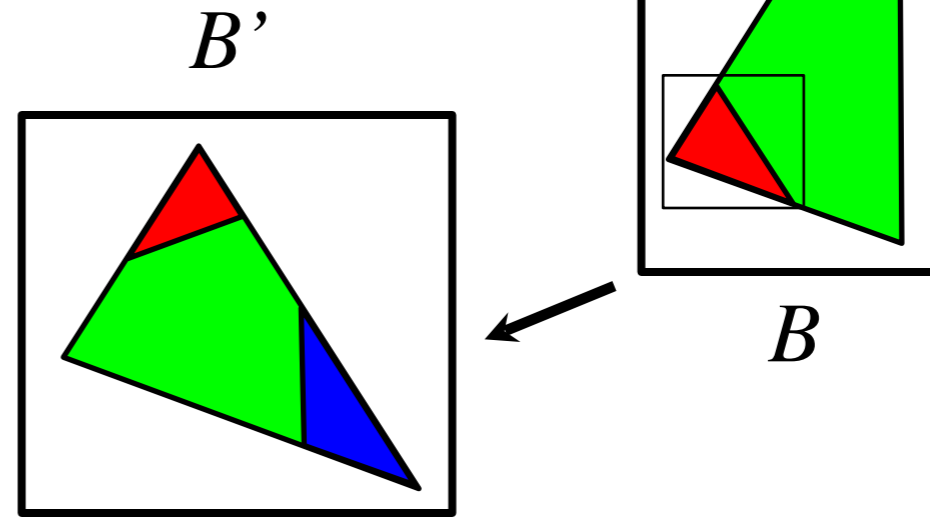
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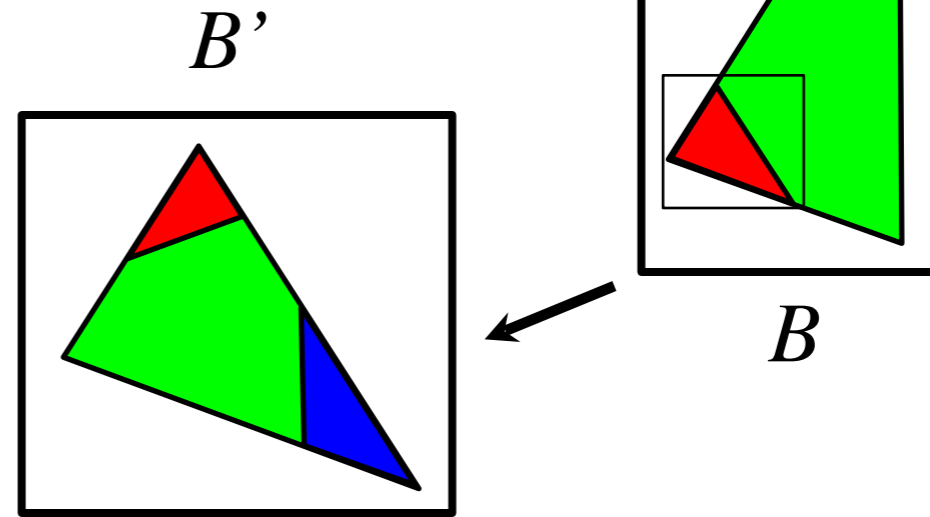
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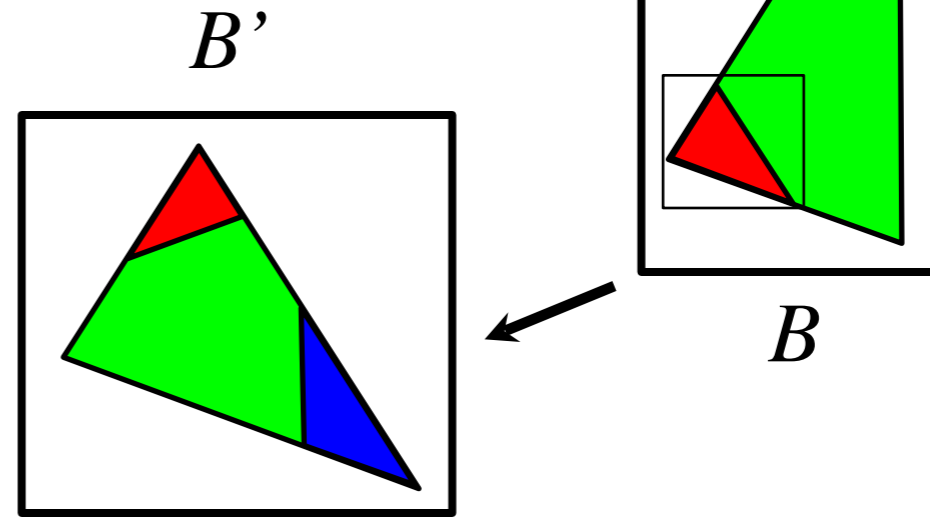


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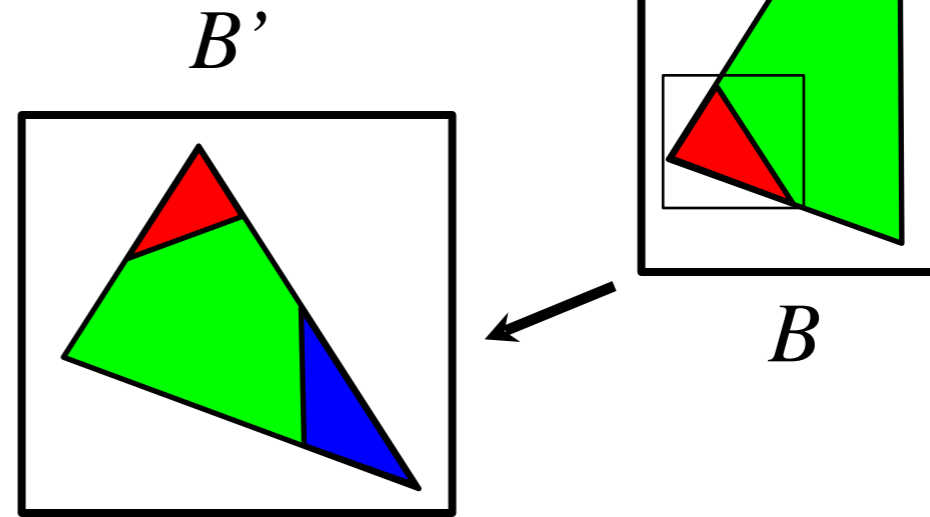


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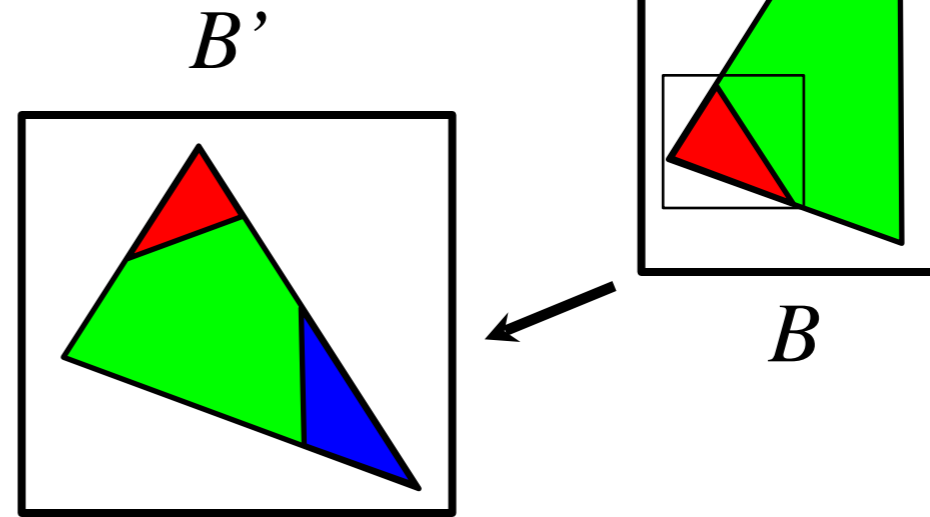


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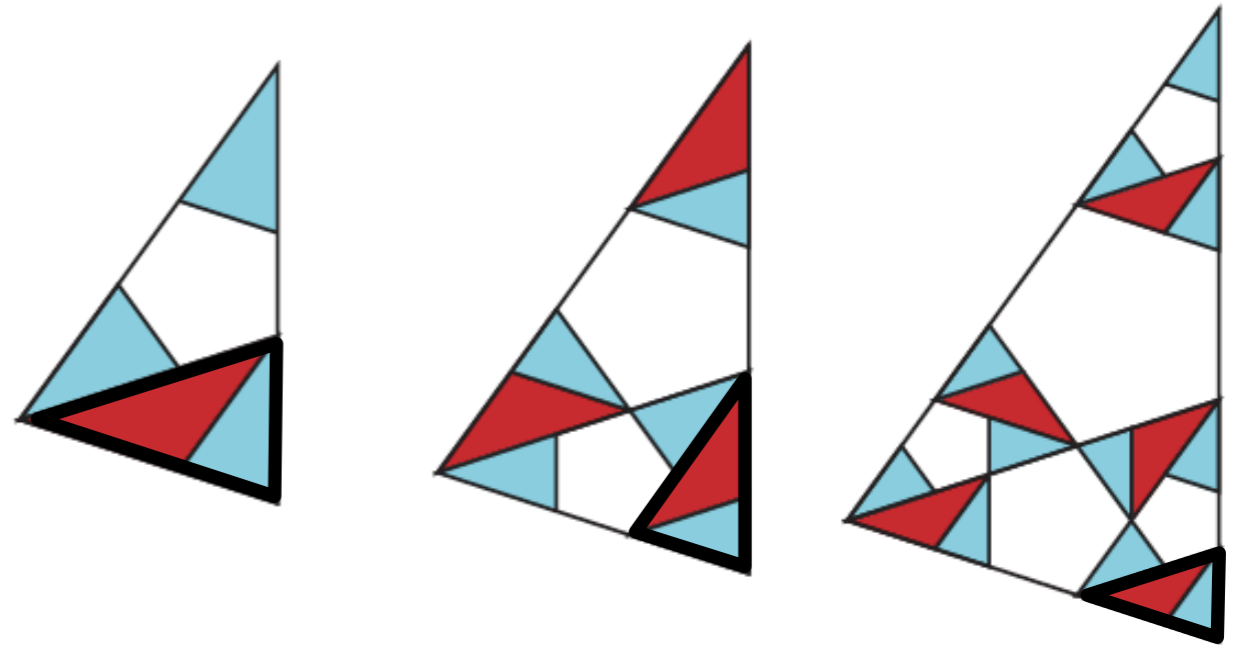
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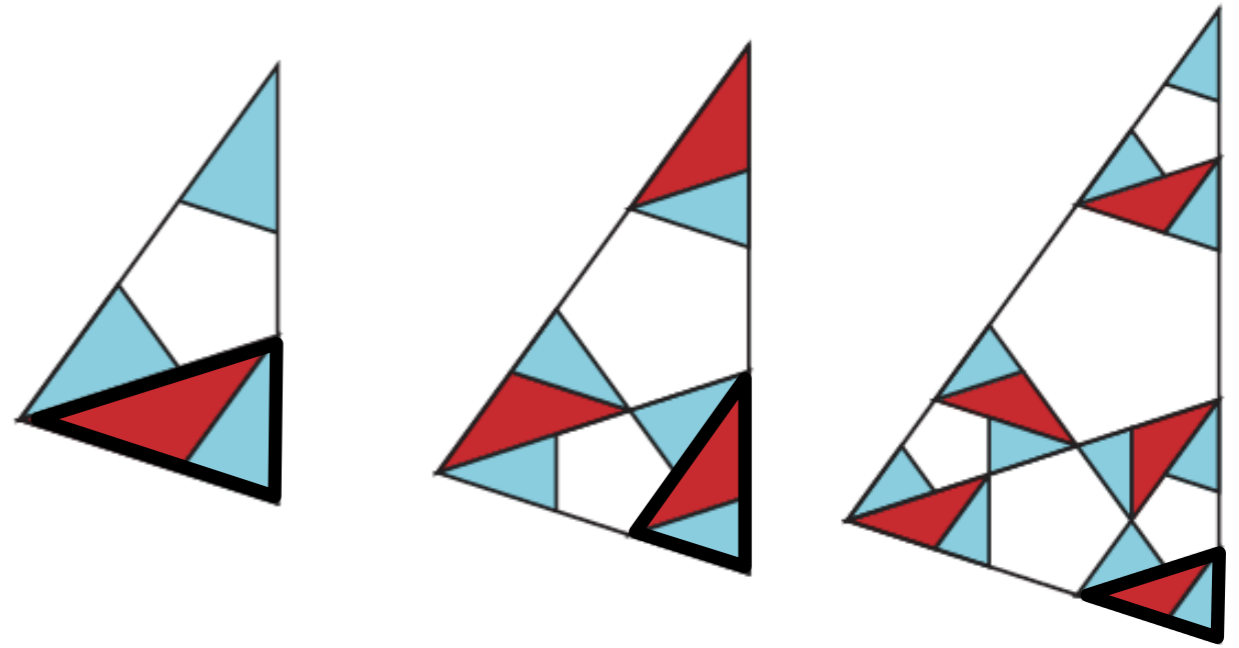
- We write $B \sim B'$ to denote congruence with respect to the following transformations:
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- This equivalence extends naturally to the PWIs on B and B' (written \mathbf{B} , \mathbf{B}'), by matching the corresponding atoms and their images: $\mathbf{B} \sim \mathbf{B}'$.

Tiling and renormalizability



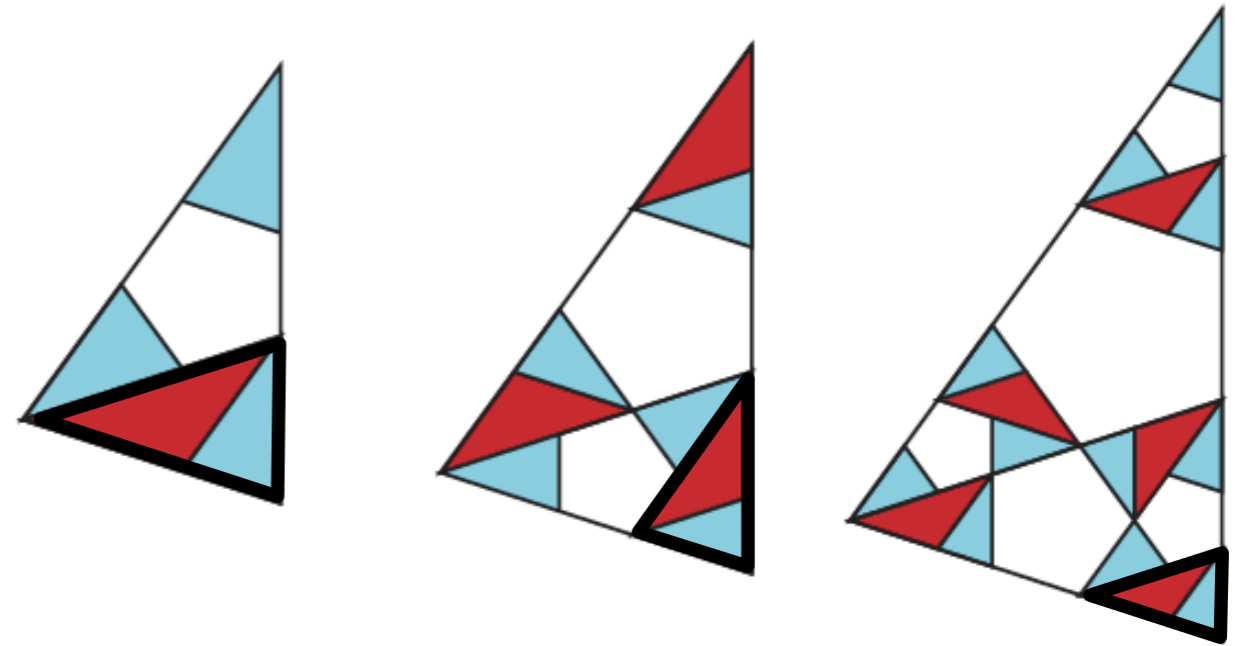
Tiling and renormalizability

Refined coverings of the exceptional set, via recursive tiling.



Tiling and renormalizability

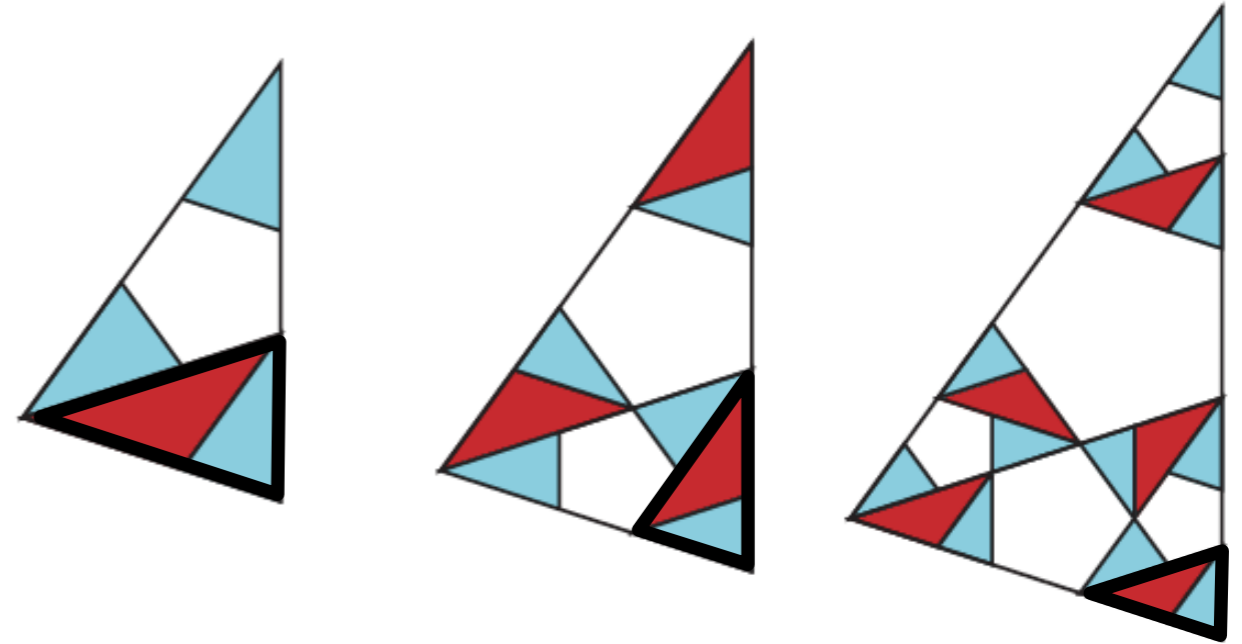
Refined coverings of the exceptional set, via recursive tiling.



- We say that \mathbf{B}' tiles \mathbf{B} if the first return orbit of the atoms of \mathbf{B}' covers B apart from a finite number of periodic tiles.

Tiling and renormalizability

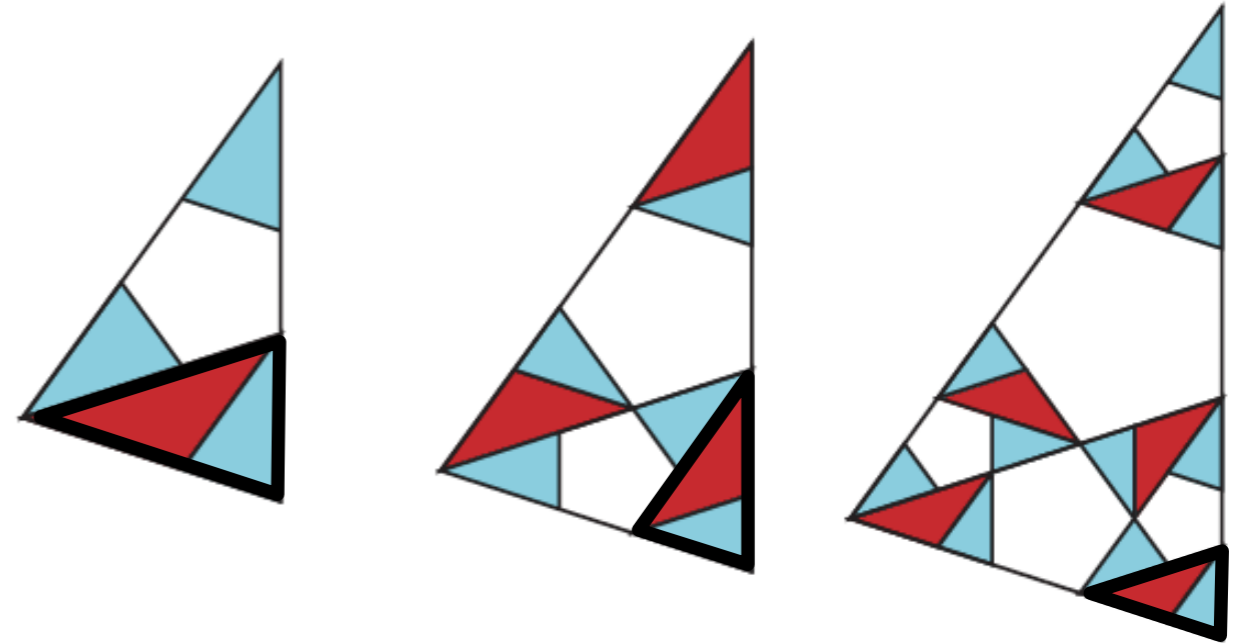
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- \mathbf{B} is renormalizable if there is a subdomain B' of B such that $\mathbf{B} \sim \mathbf{B}'$ and \mathbf{B}' tiles \mathbf{B} .

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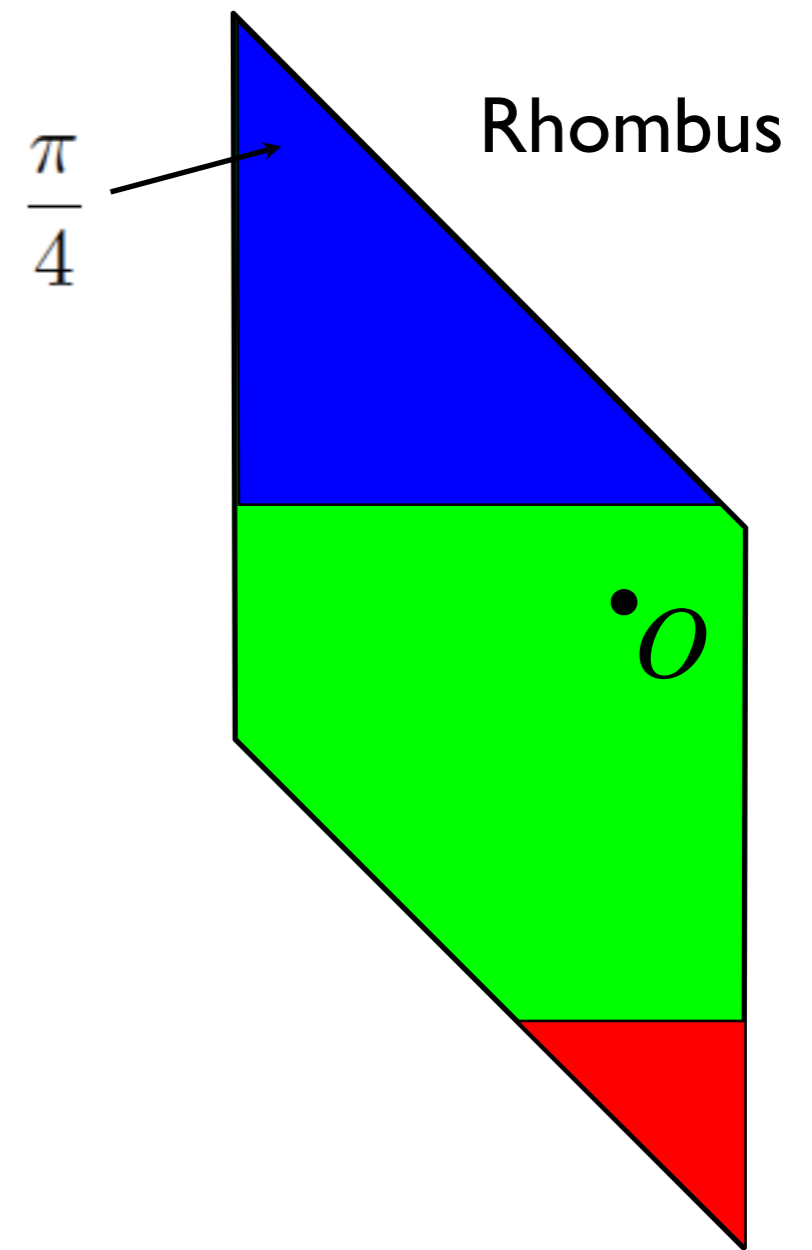


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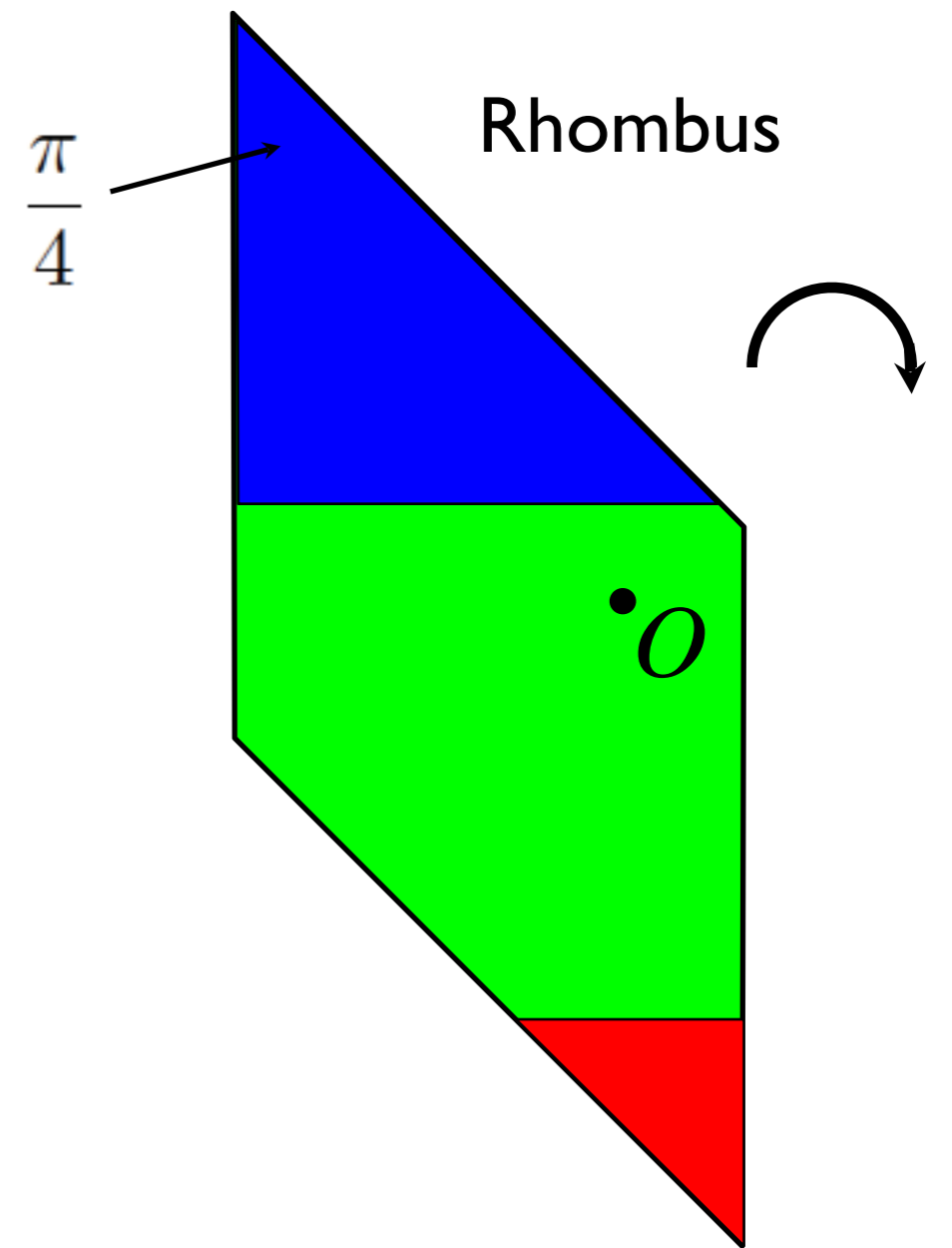
Theorem. The one-parameter pentagonal model is renormalizable if and only if the parameter belongs to the field $\mathbb{Q}(\sqrt{5})$.

The octagonal model (field $\mathbb{Q}(\sqrt{2})$)

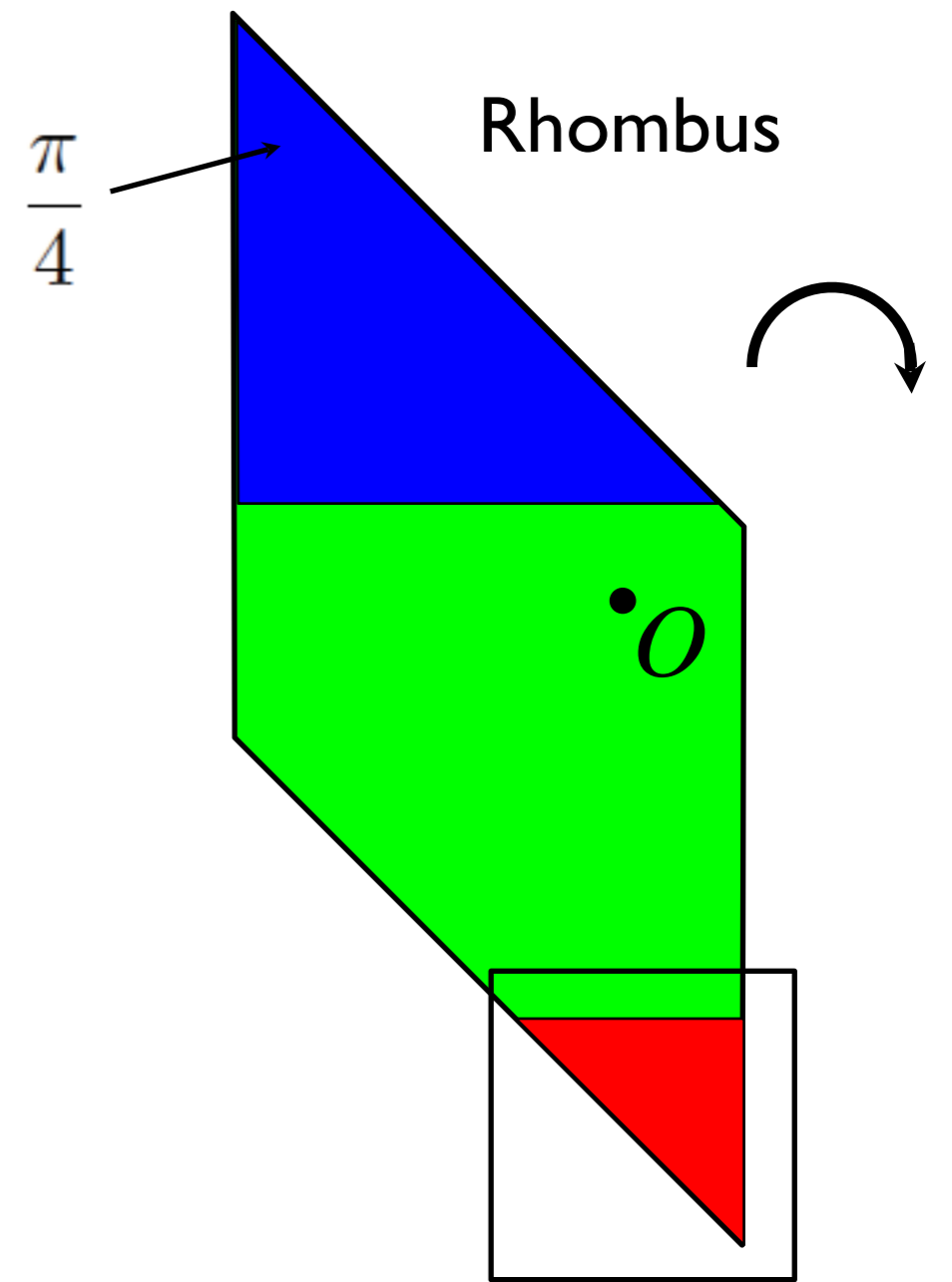
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The octagonal model (field $\mathbb{Q}(\sqrt{2})$)

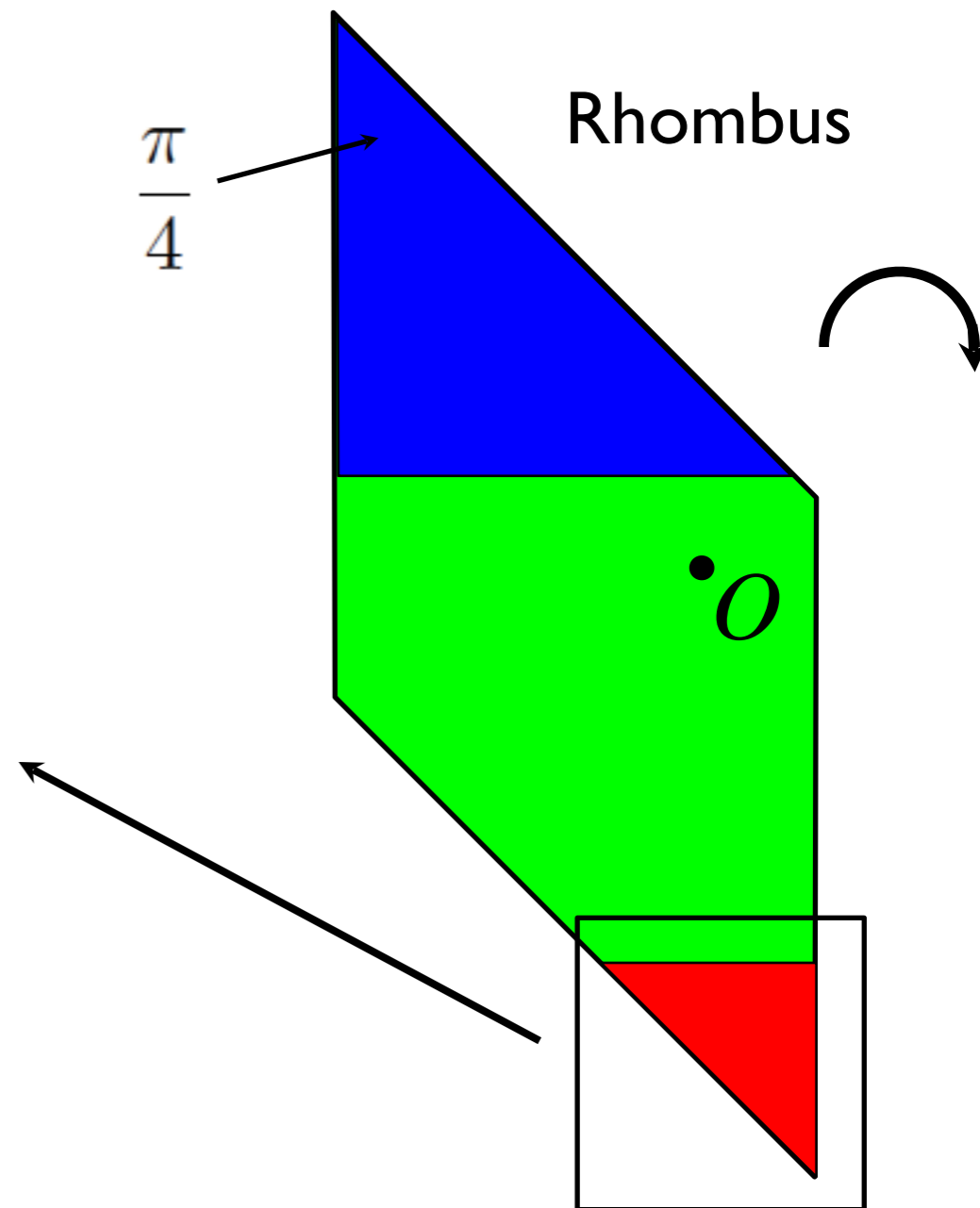
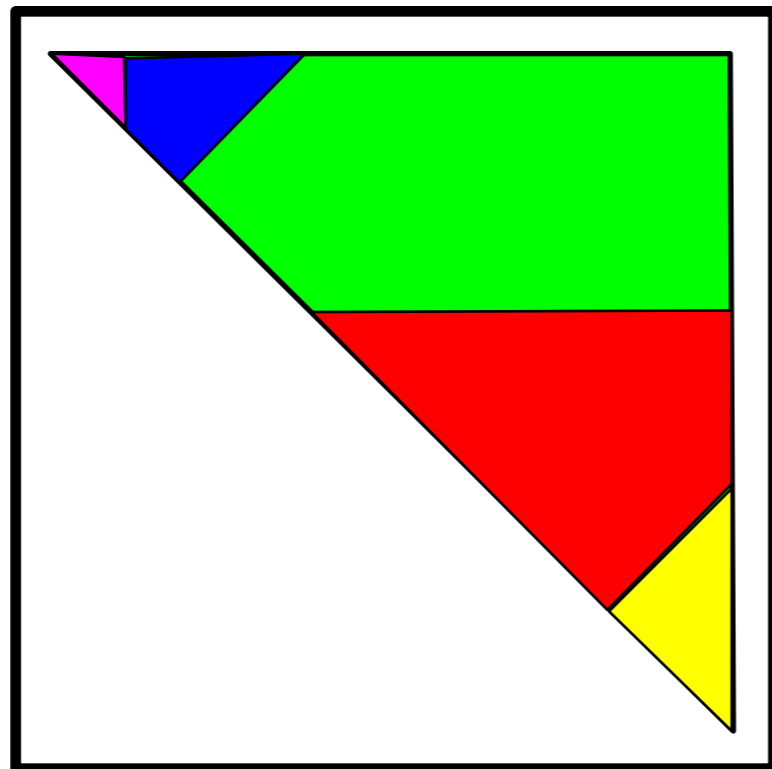


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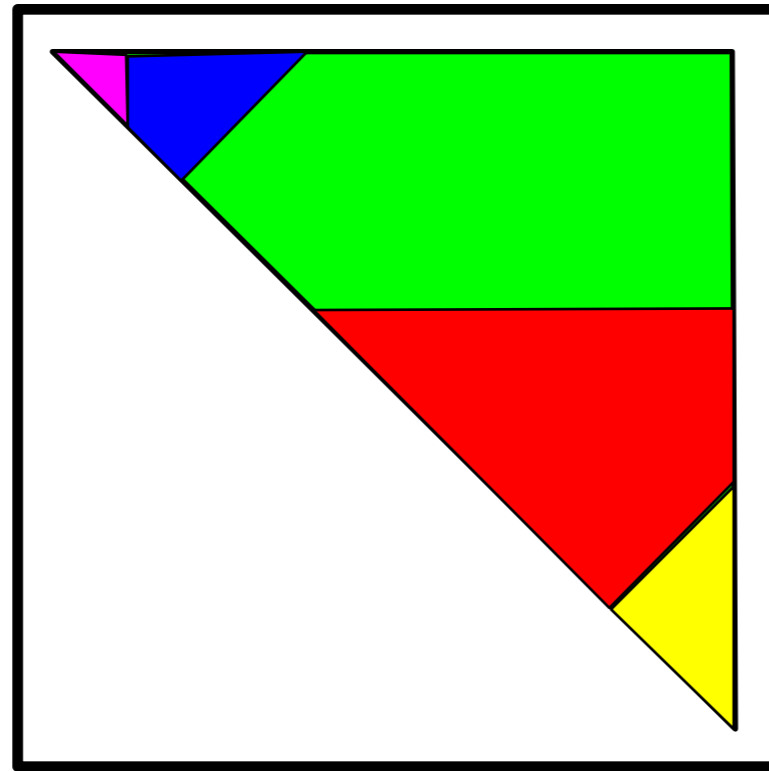
The octagonal model (field $\mathbb{Q}(\sqrt{2})$)

5-atom base triangle



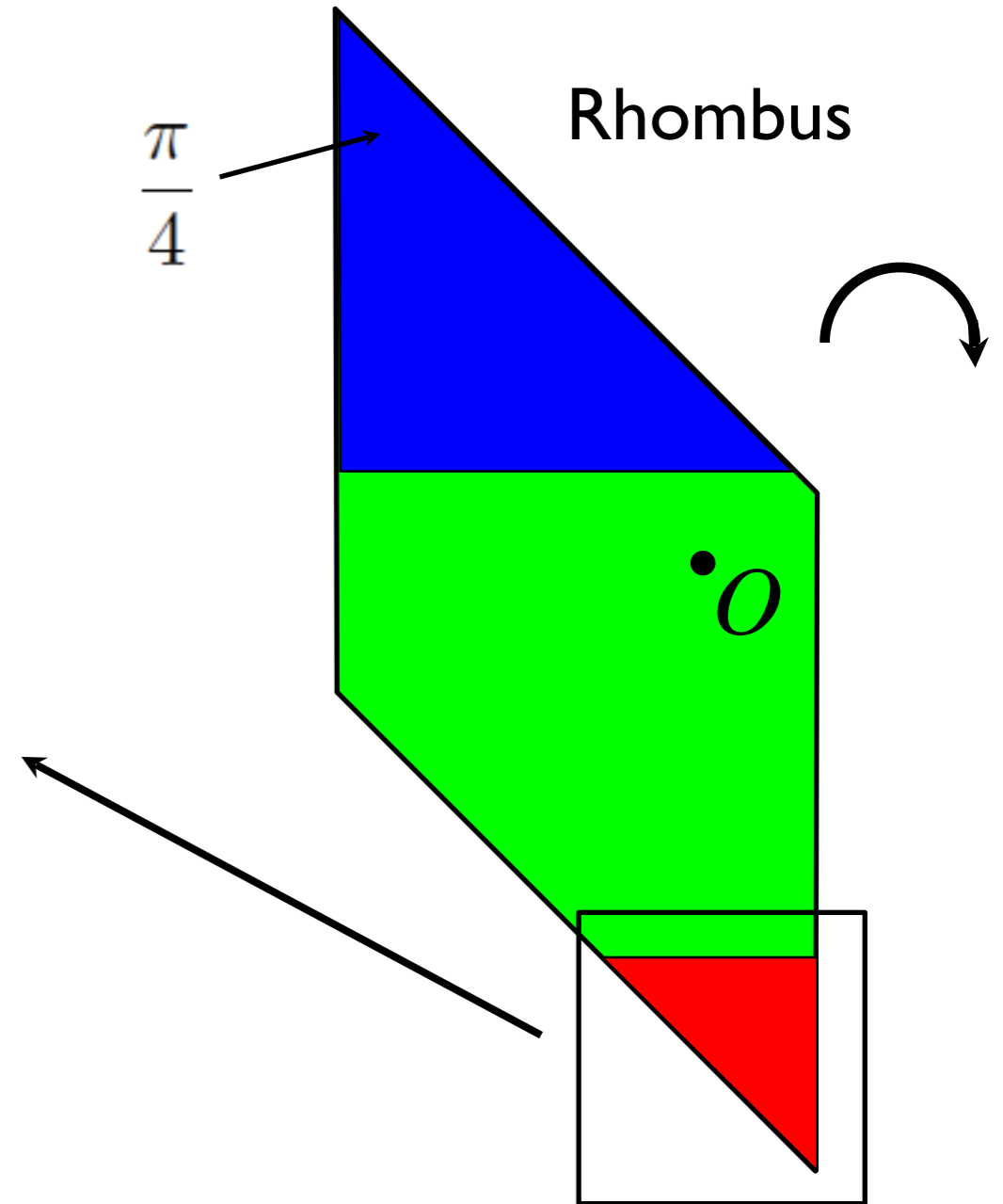
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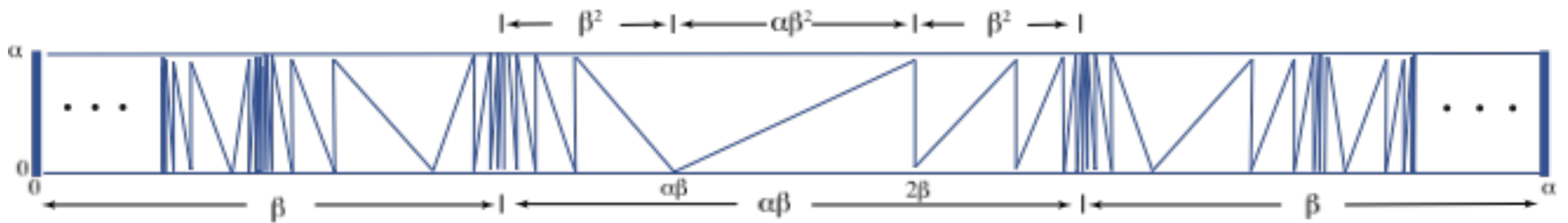


$$\frac{\pi}{4}$$

Rhombus

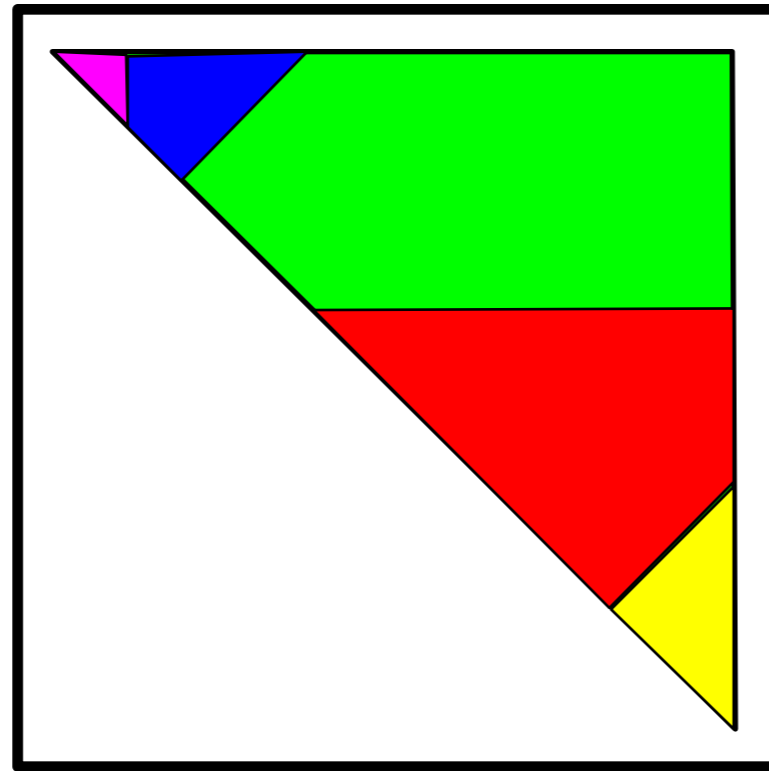


The renormalization function



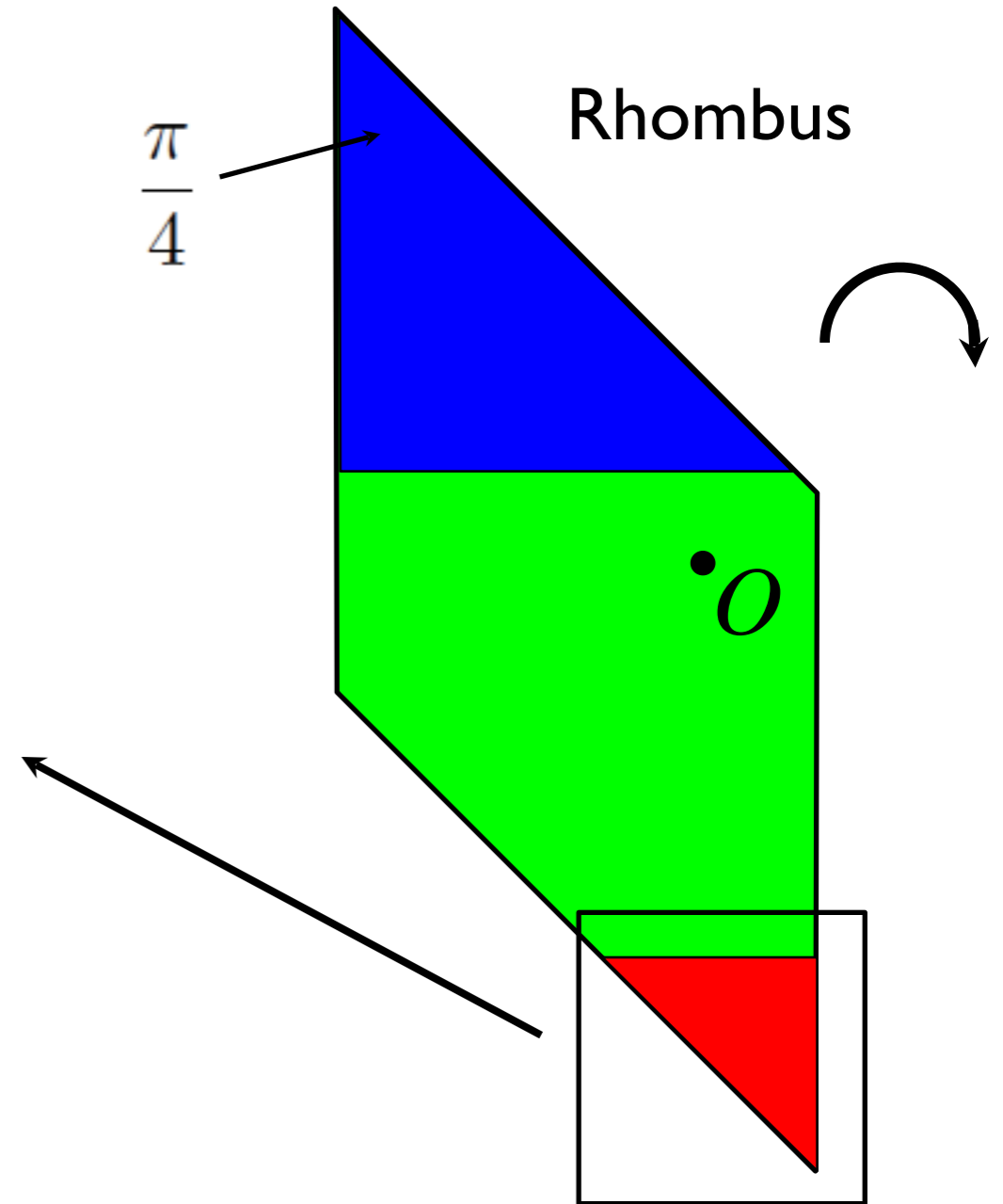
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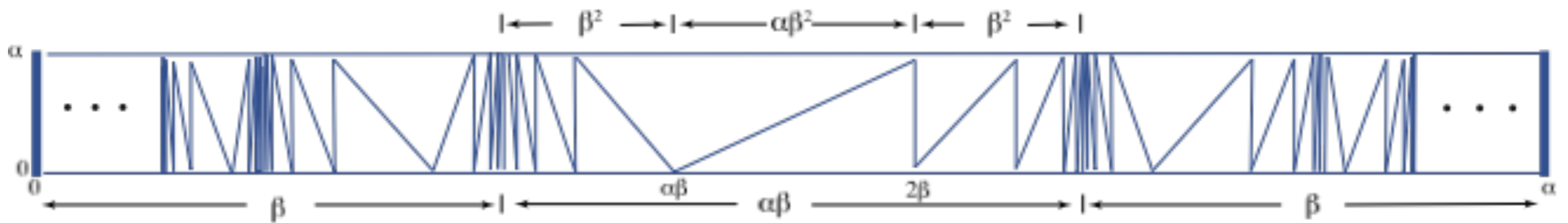


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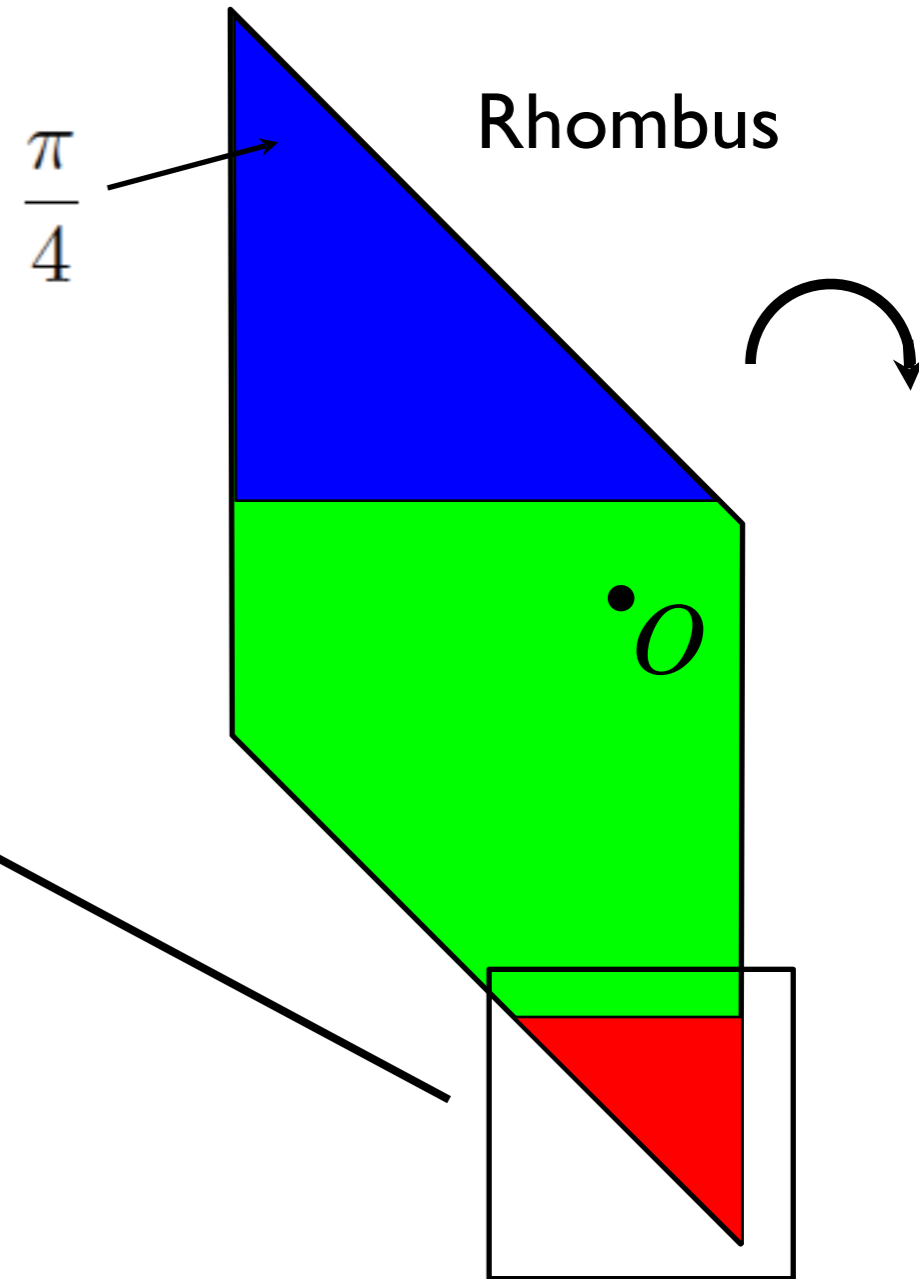
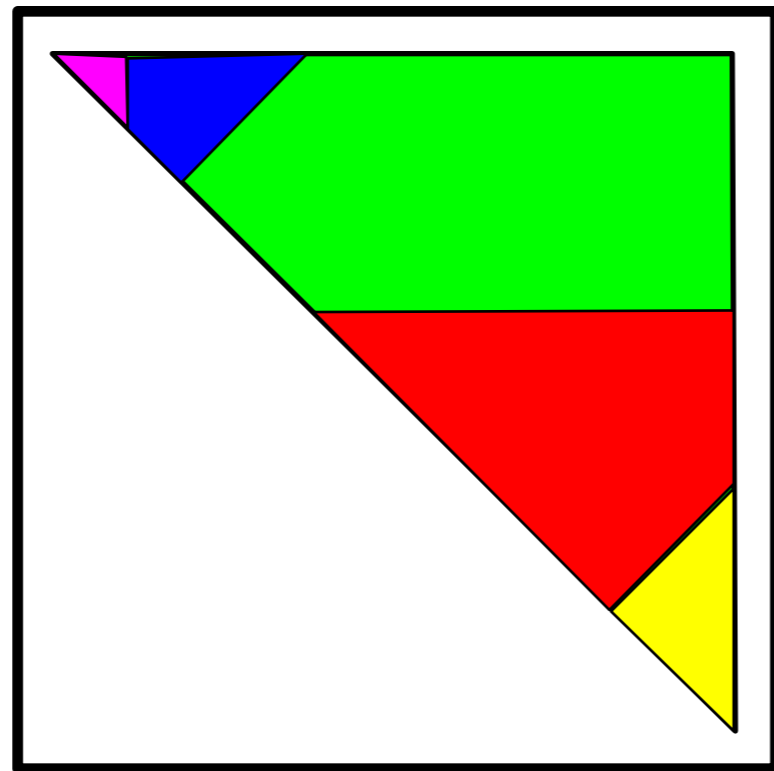
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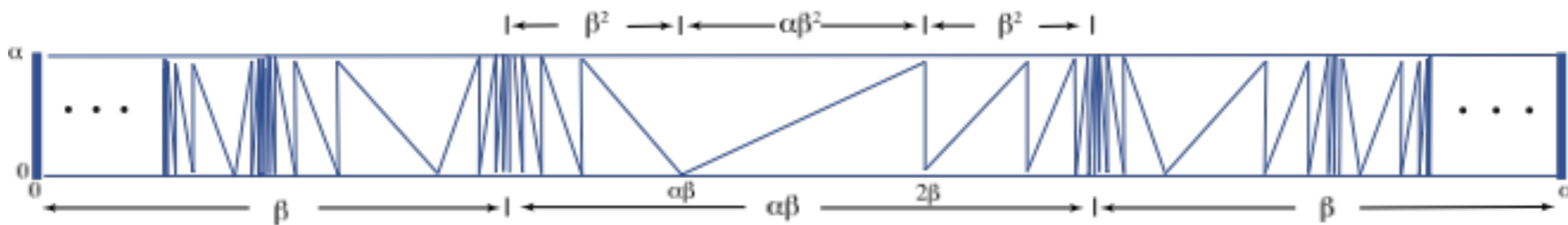
$$r(s) = f^2(s)$$

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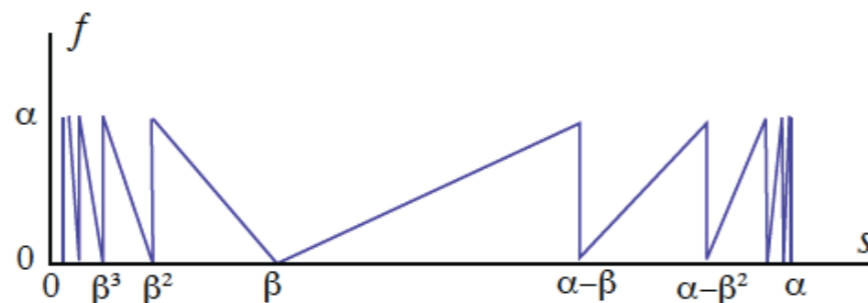
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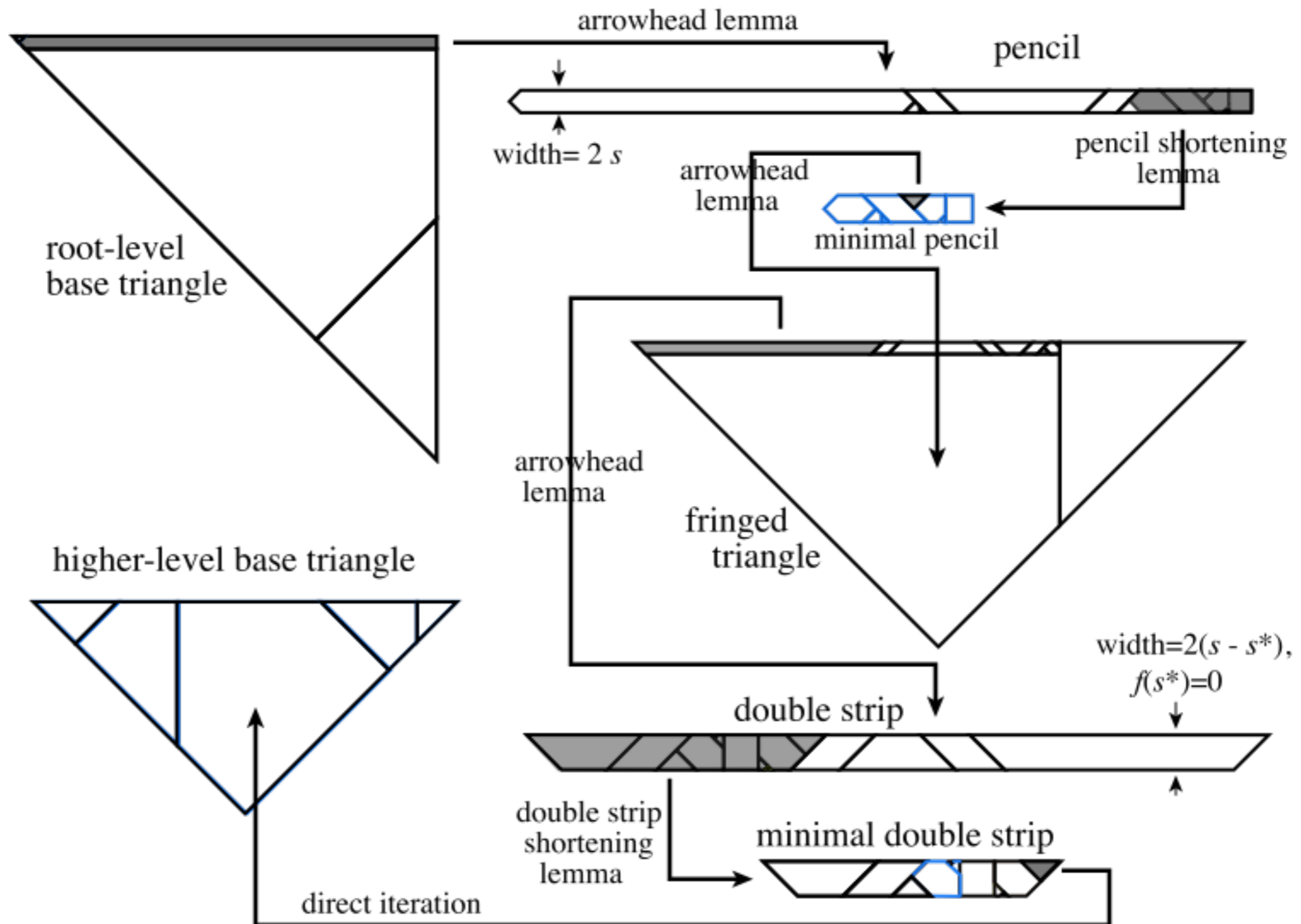
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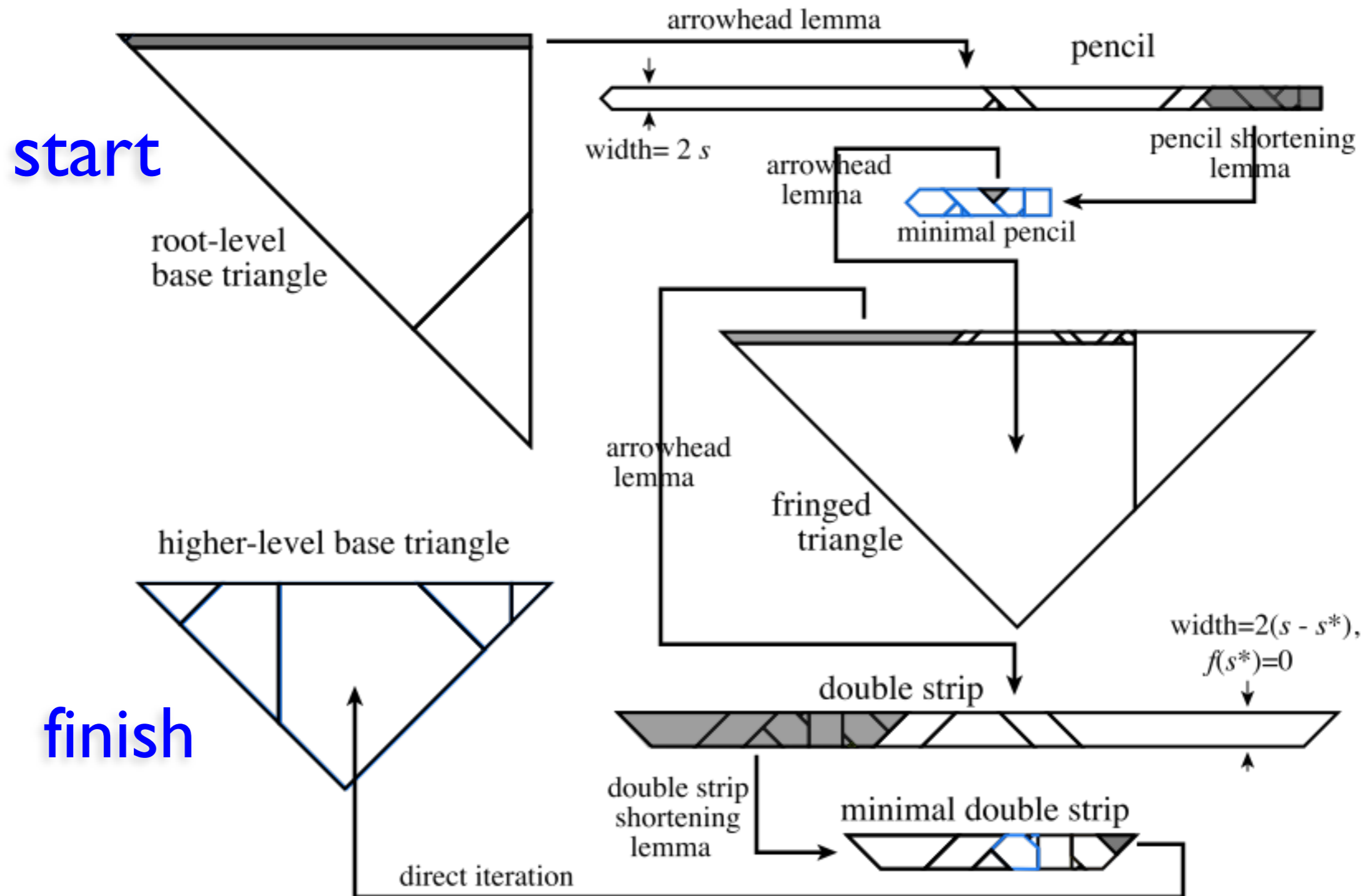
■ Very elaborate induction process

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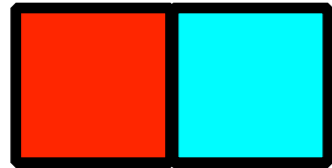
More than one parameter: re-combination of atoms

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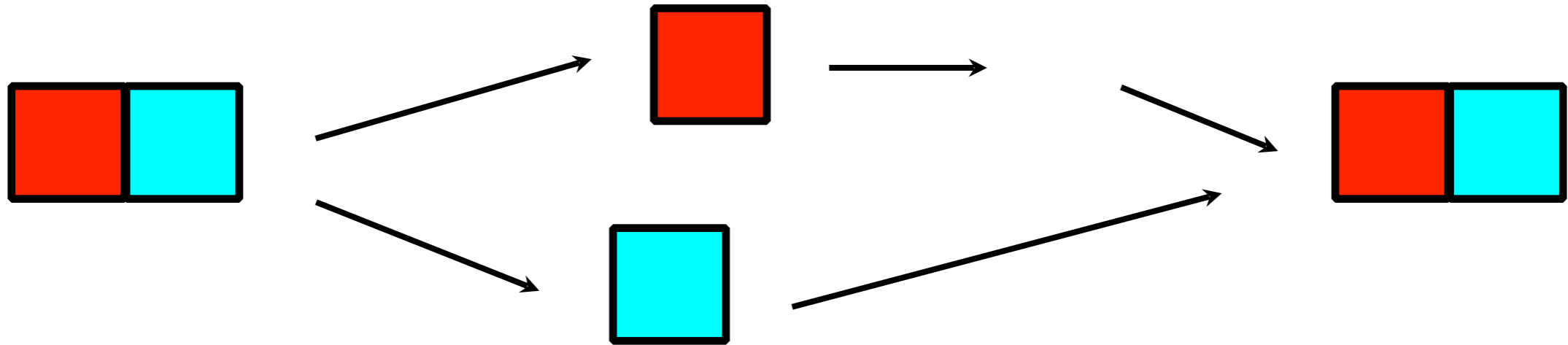
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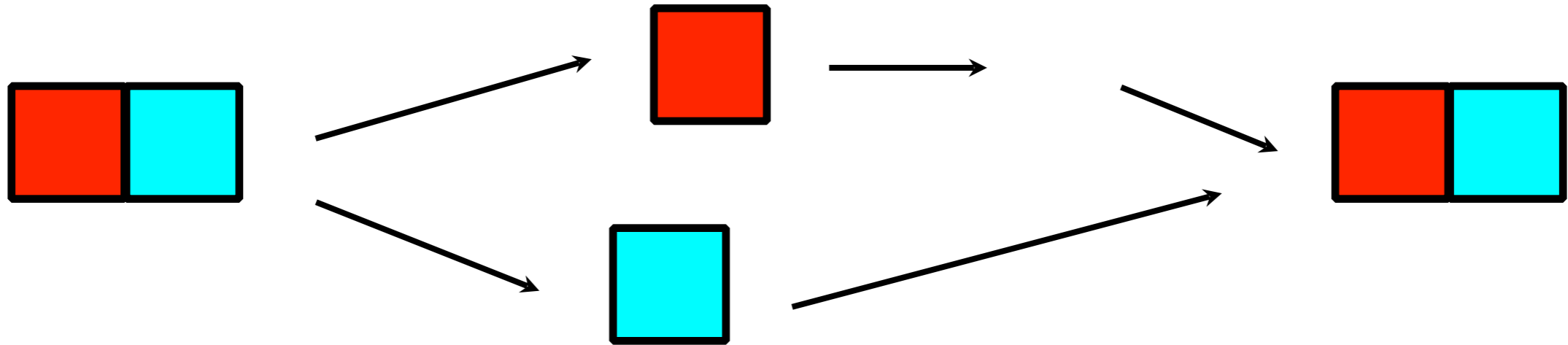
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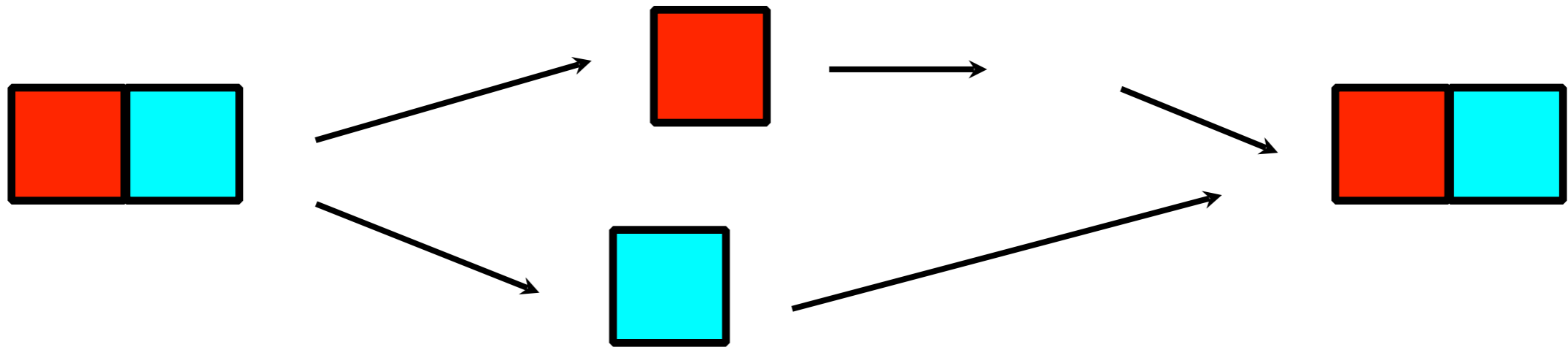
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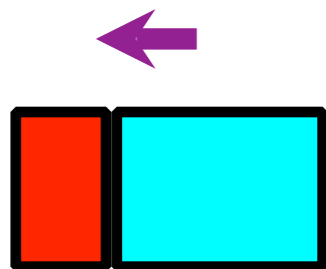
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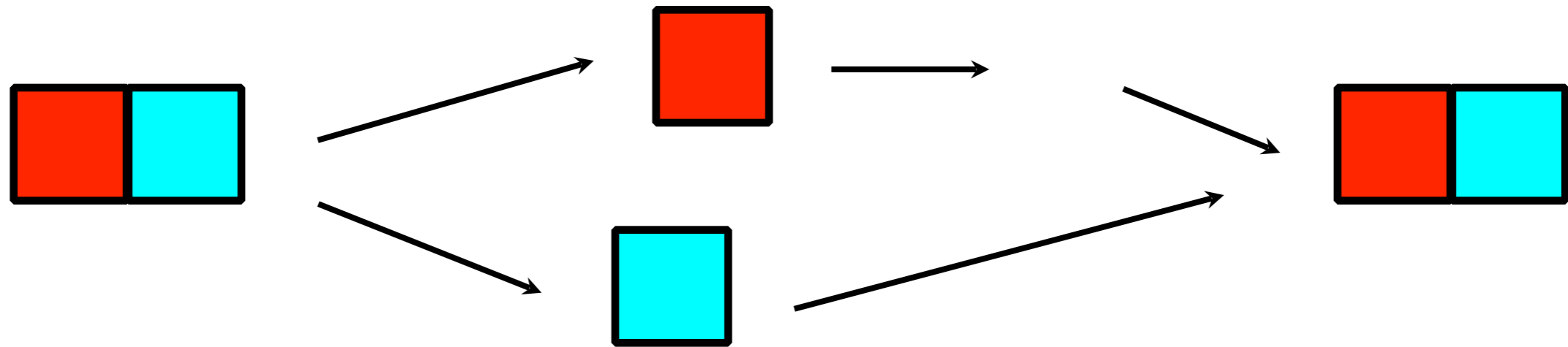


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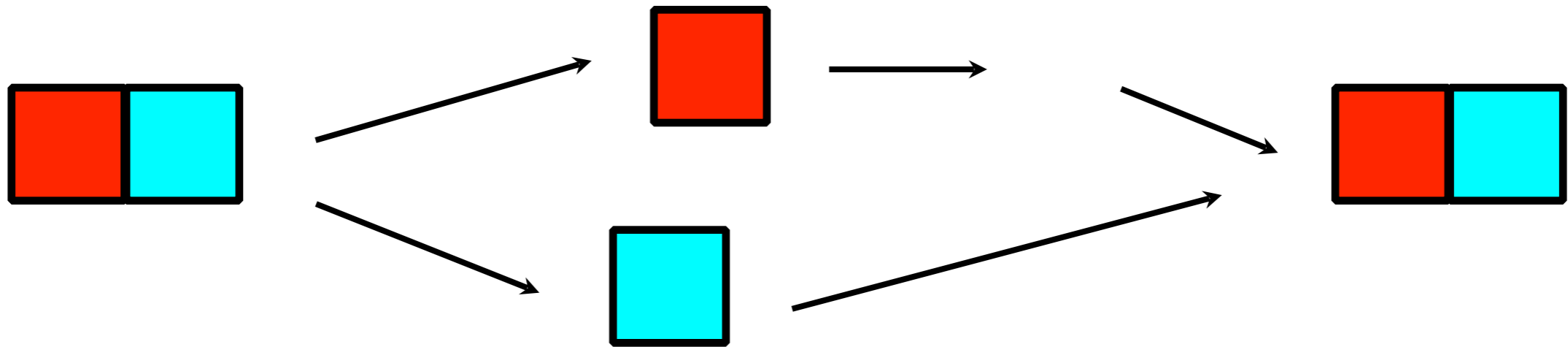


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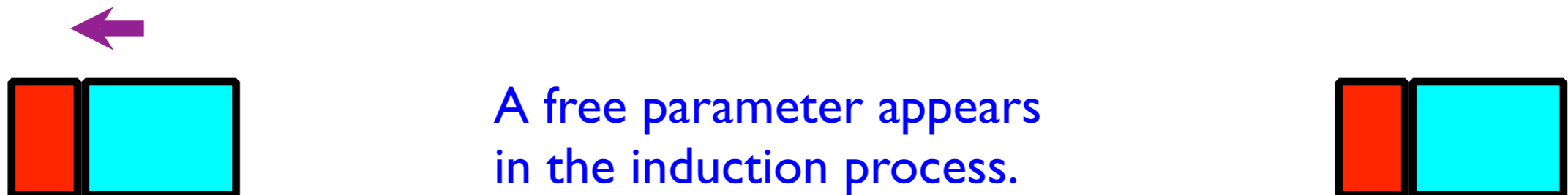


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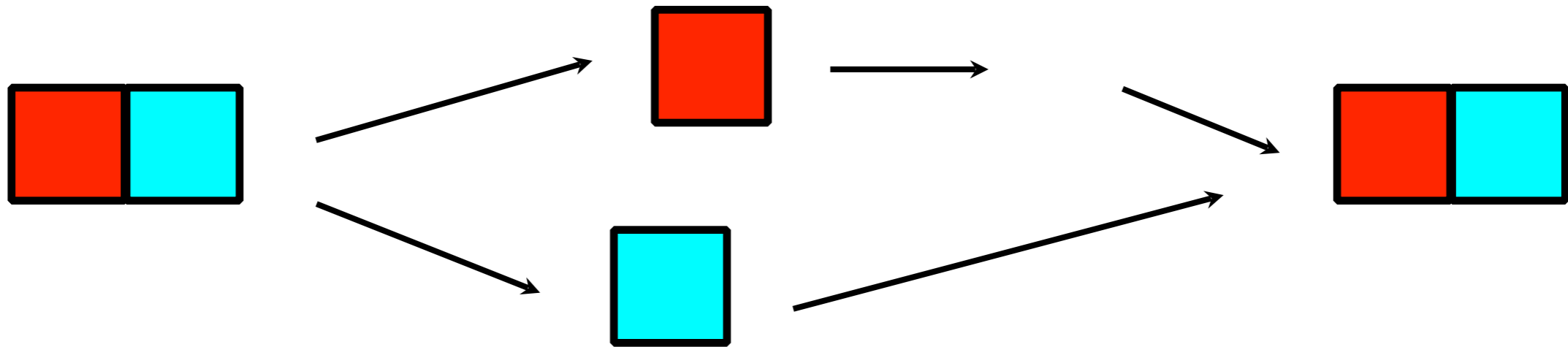


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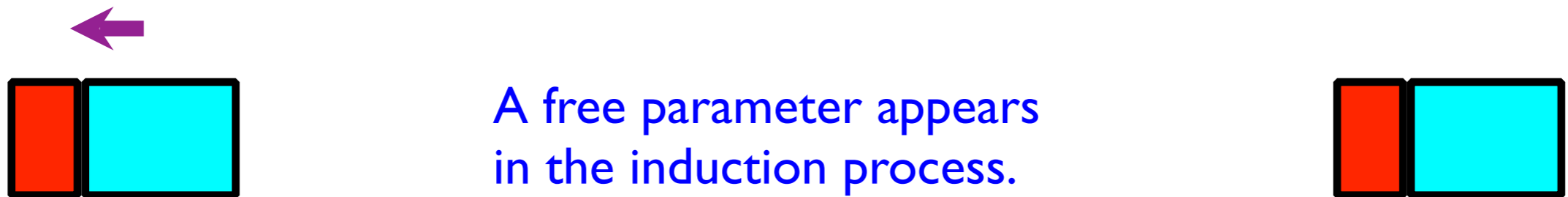


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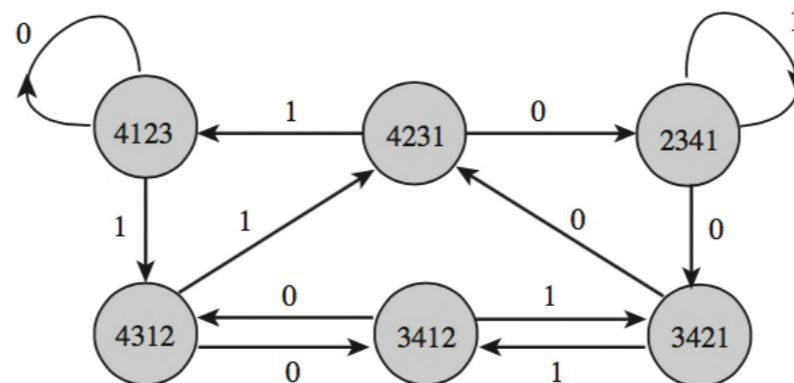
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A free parameter appears in the induction process.

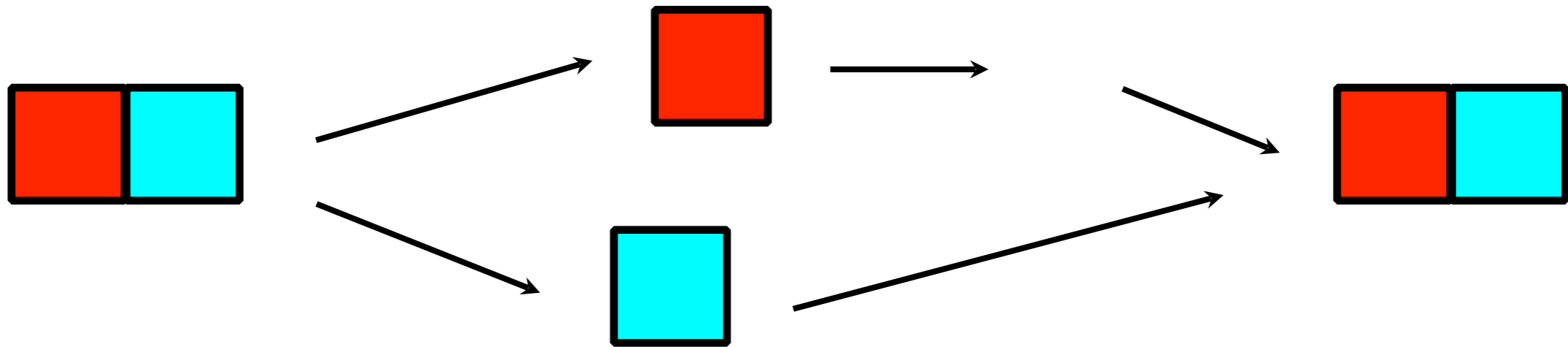
IETs:

Rauzy class

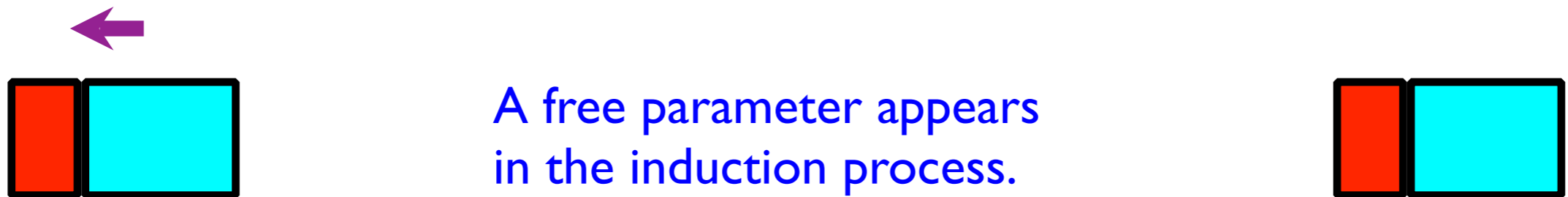


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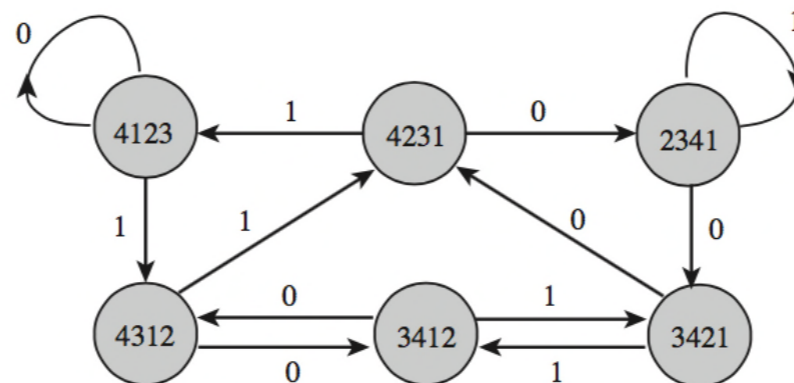


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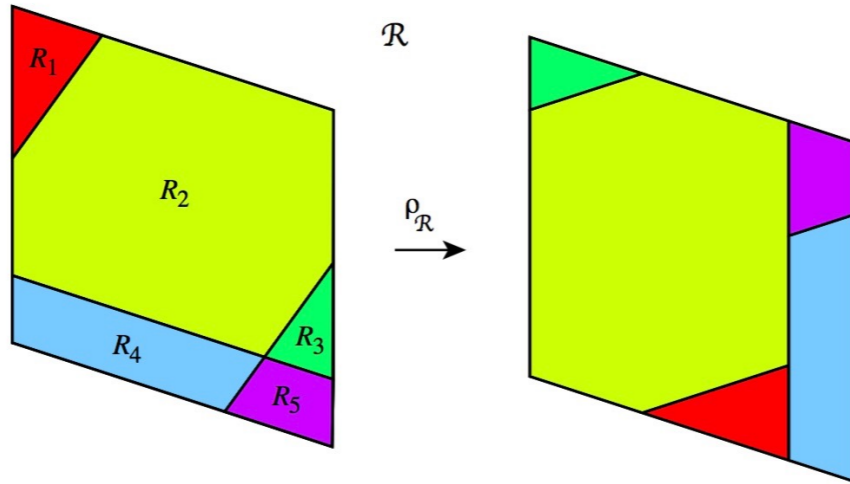
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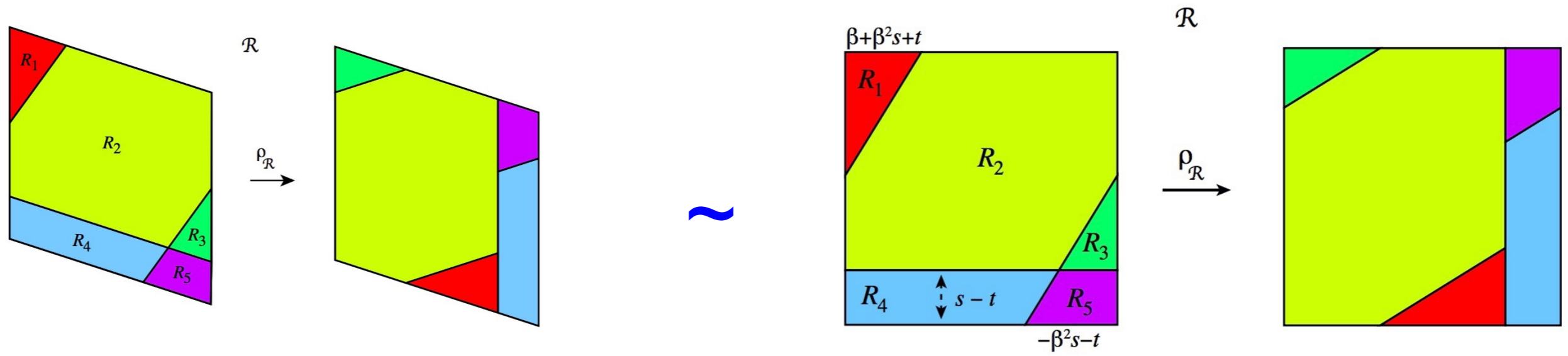
All permutations have a pair of consecutive indices

Two-parameter PETs: degenerate renormalisation (field $\mathbb{K} = \mathbb{Q}(\sqrt{5})$)

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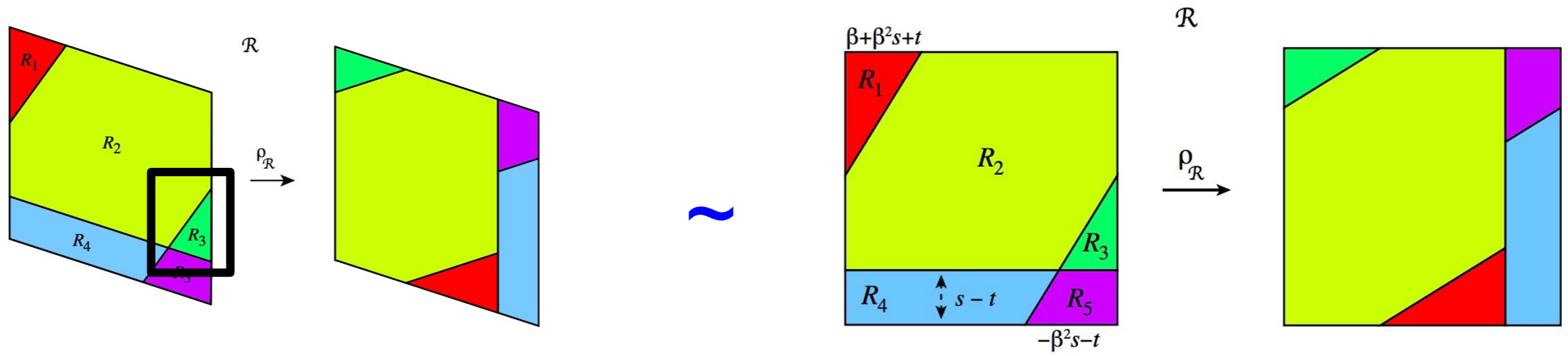


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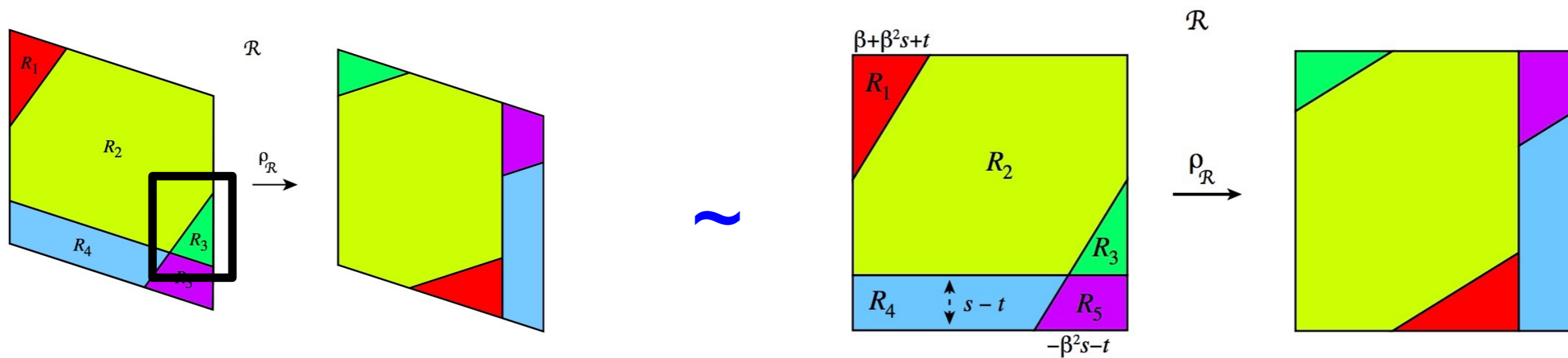
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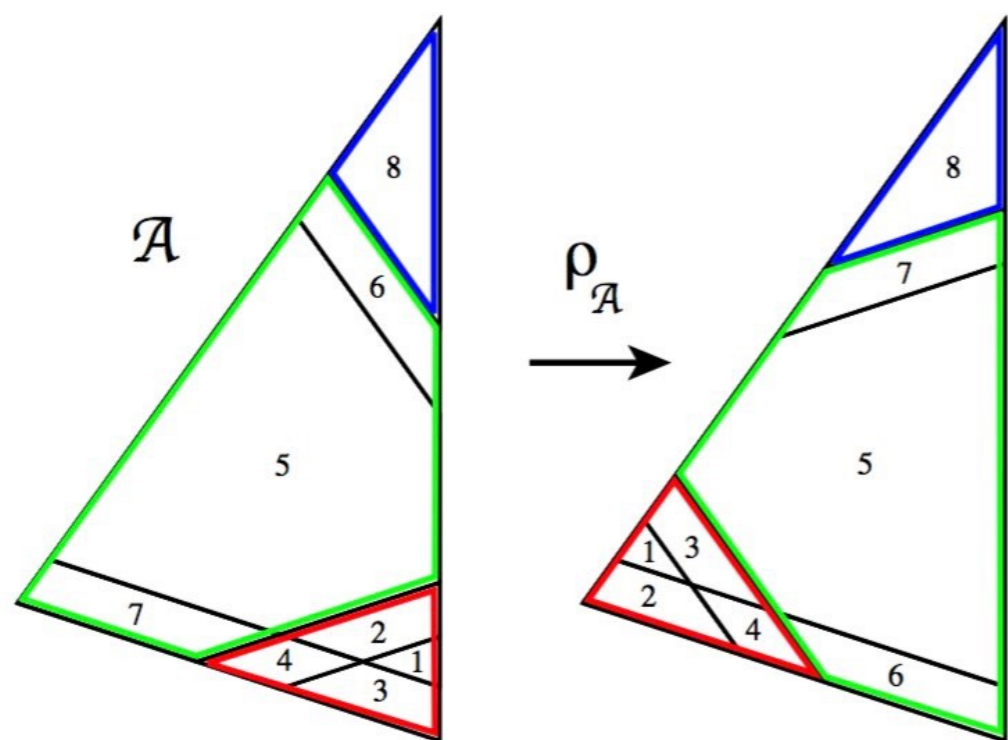


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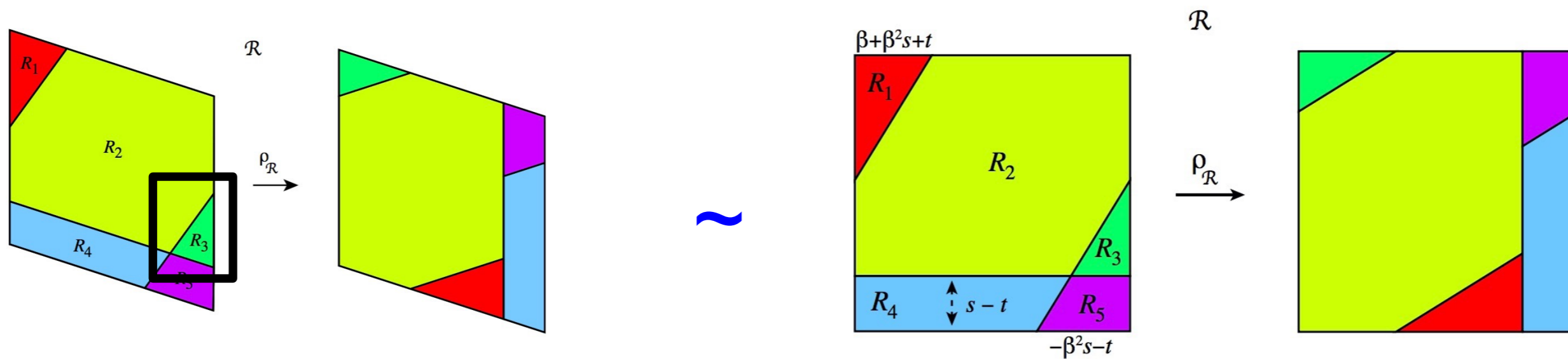


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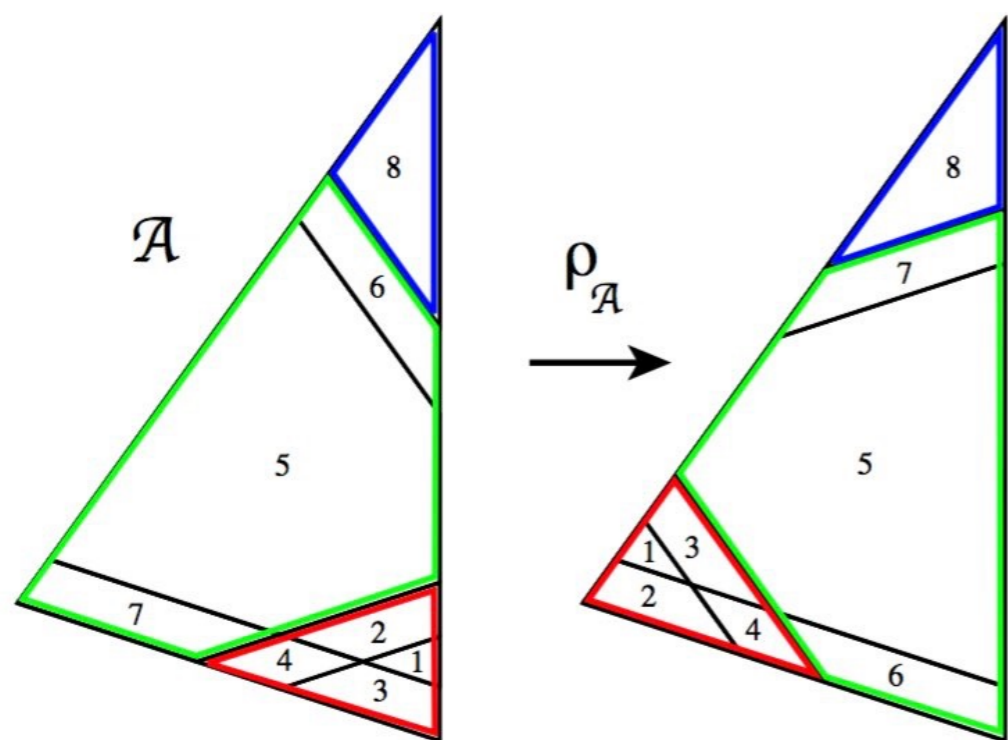


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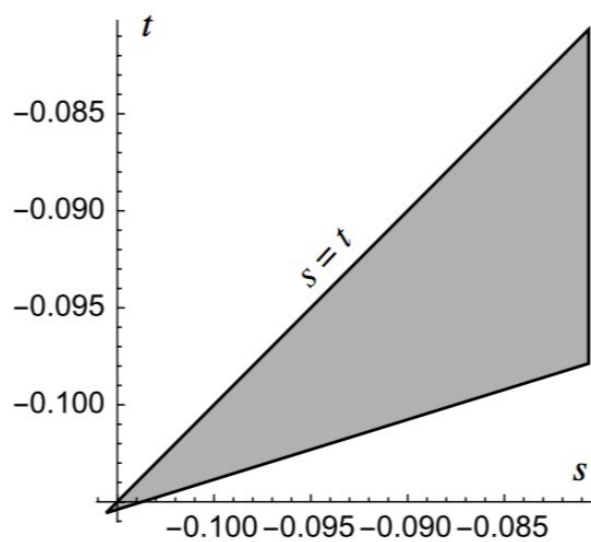
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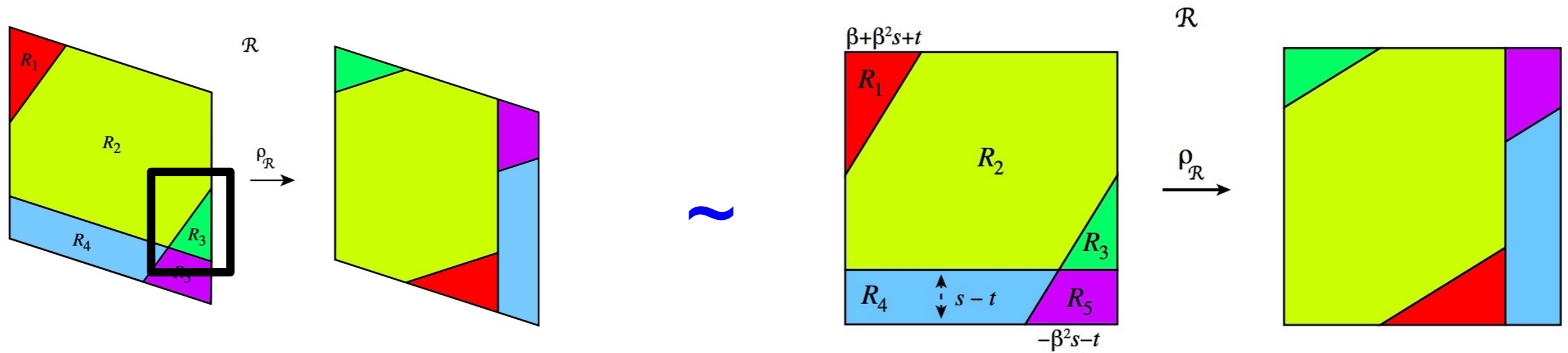


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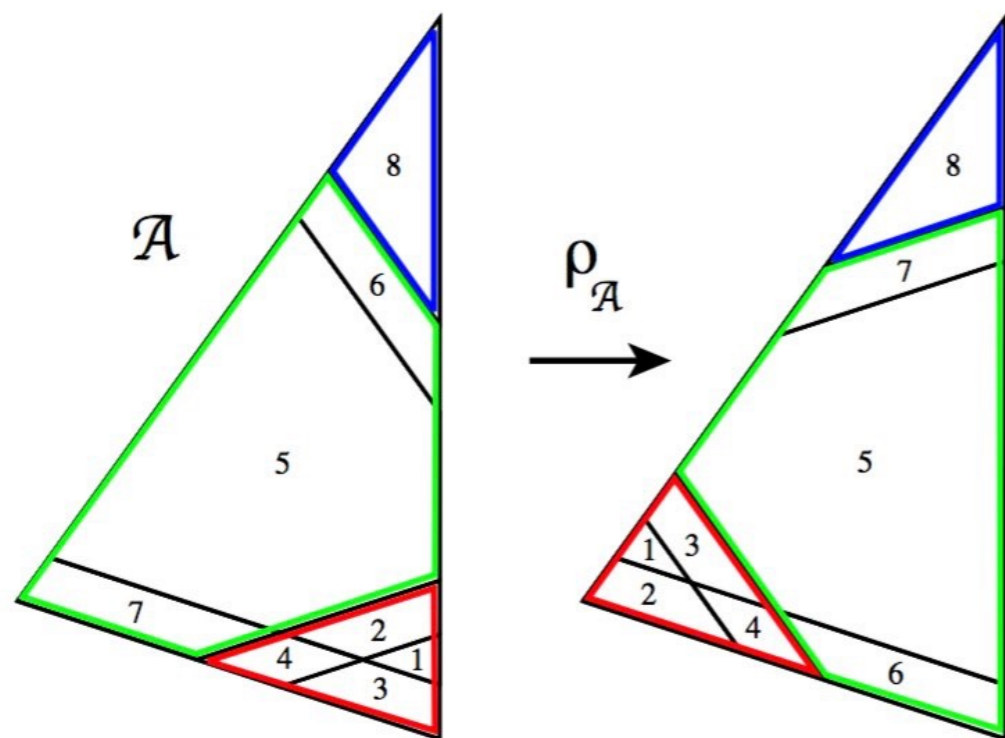


bifurcation-free parametric domain

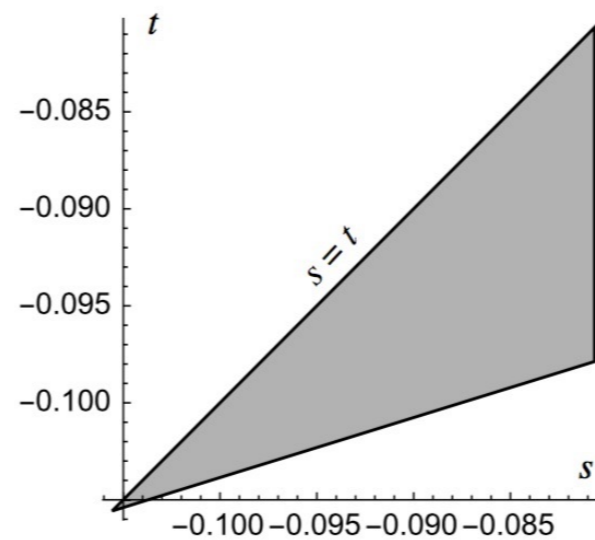
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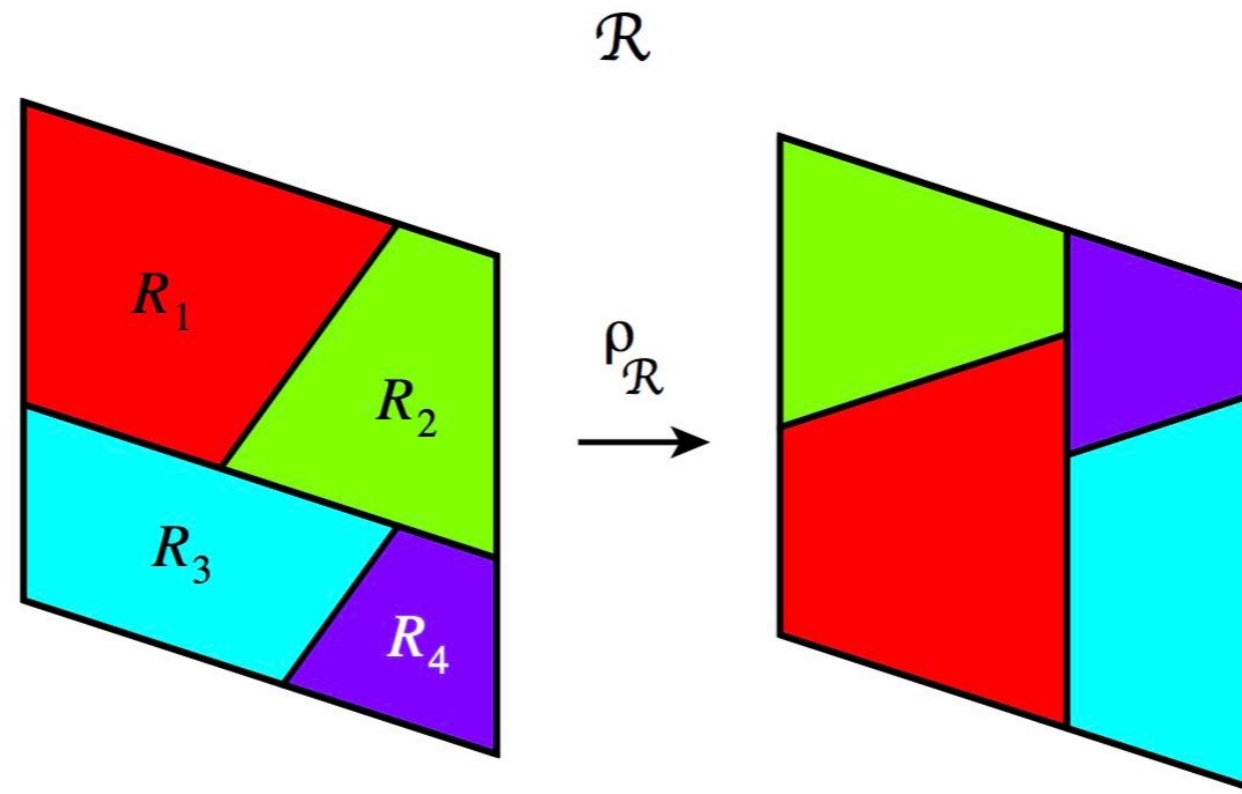
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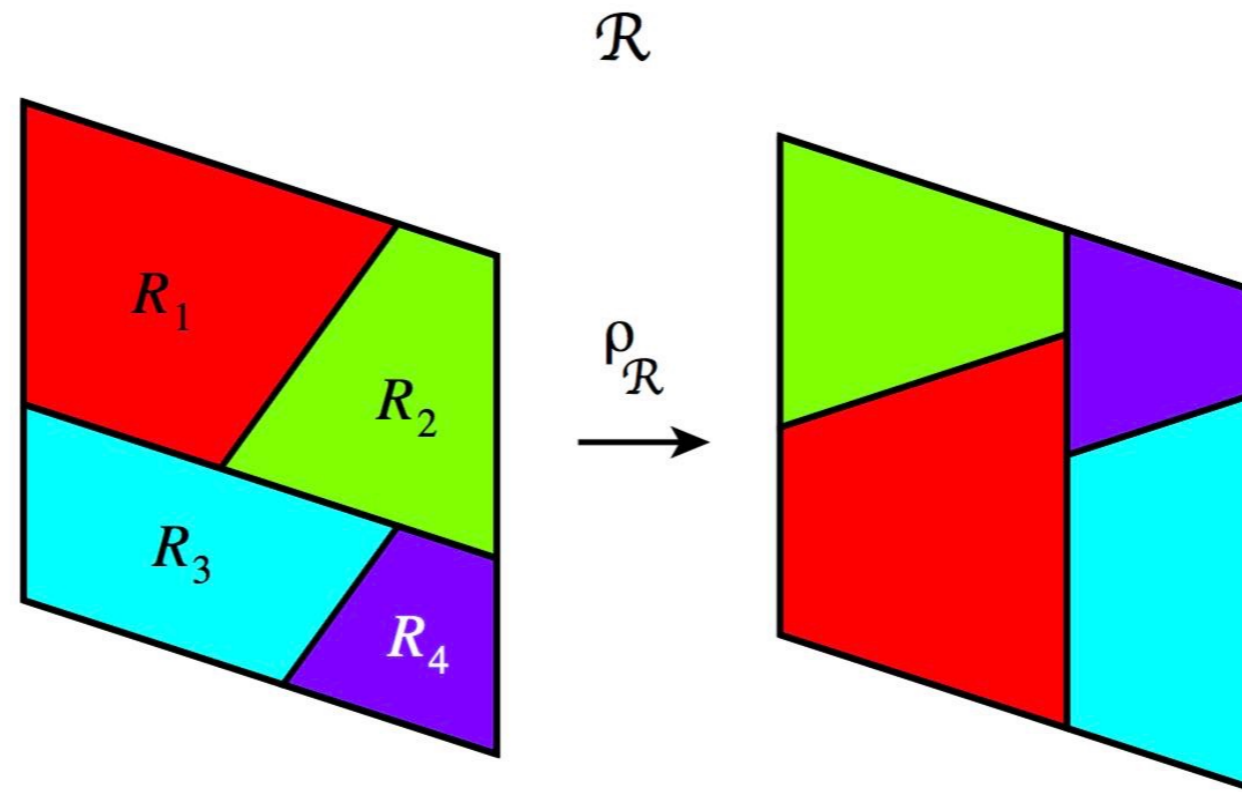
bifurcation-free parametric domain

For renormalizability, s must be constrained to \mathbb{K} , while t is unconstrained.

A weakly non-degenerate renormalisation, with two parameters

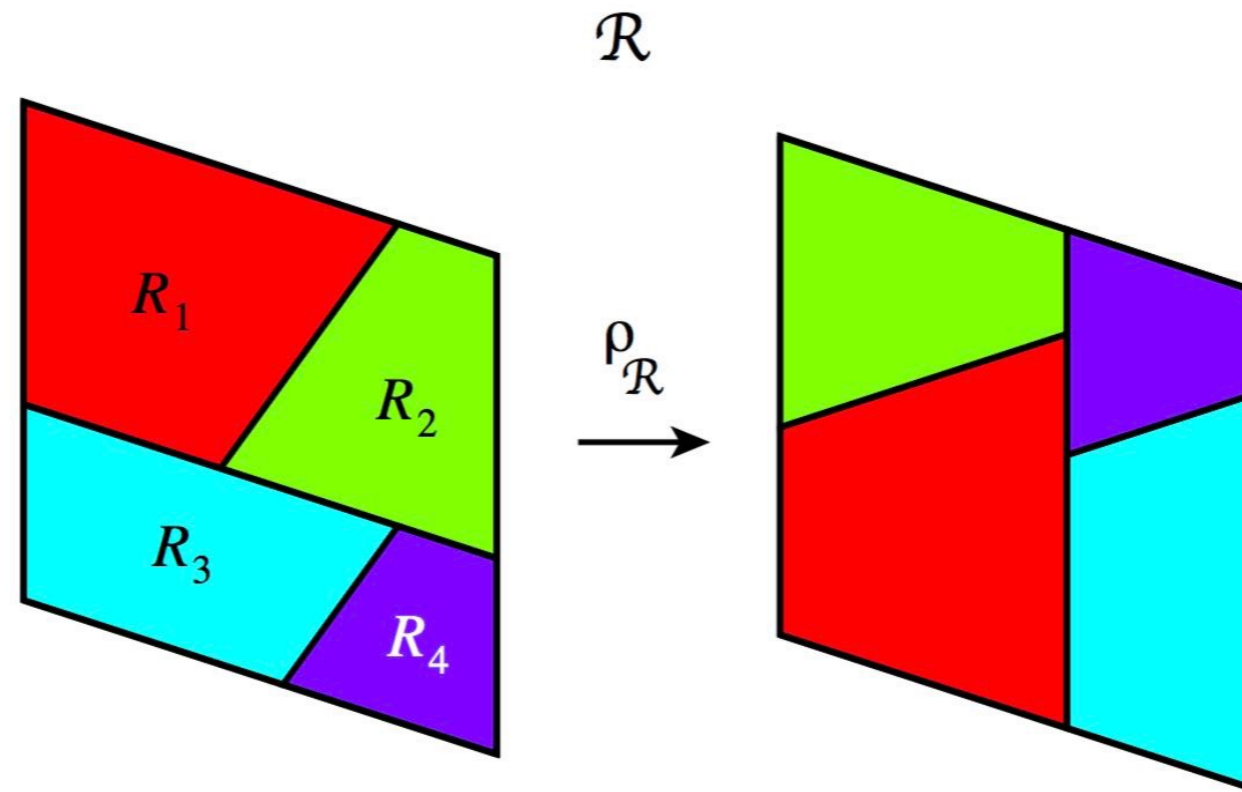


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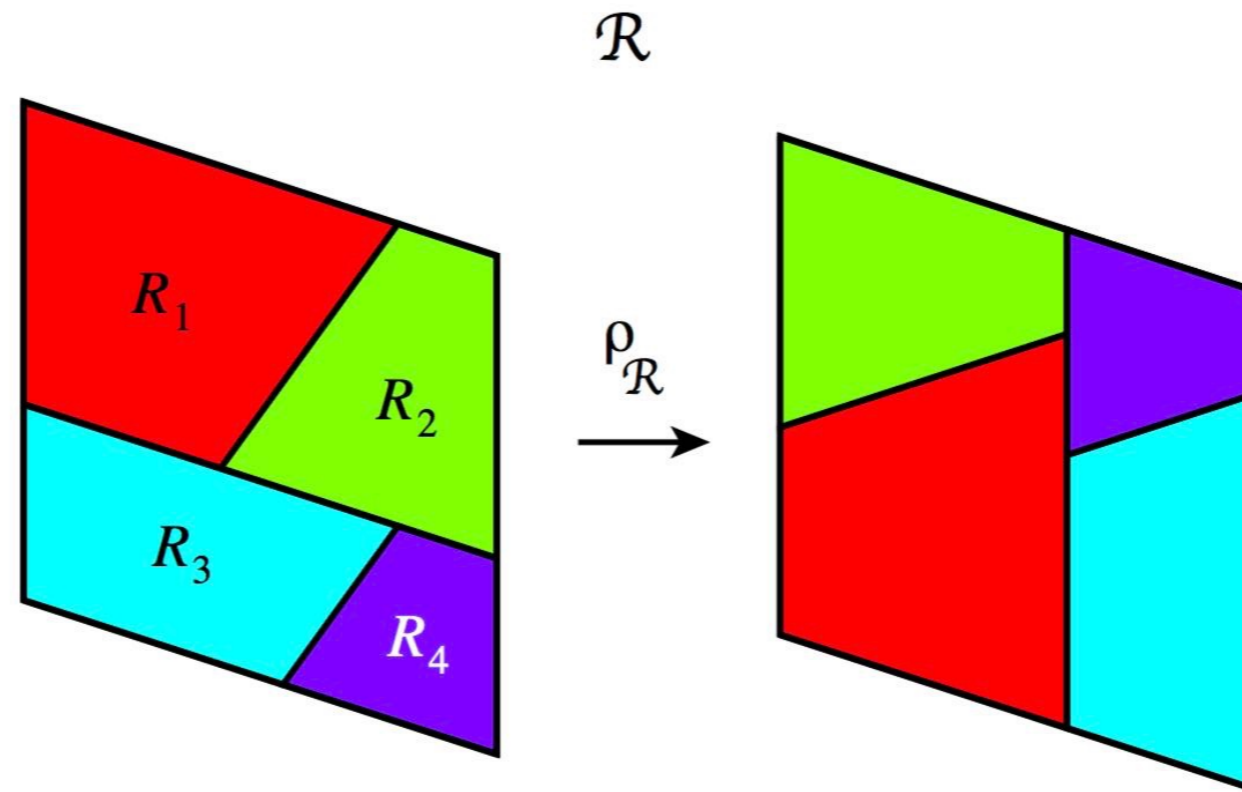
- The renormalization domain splits into three components.

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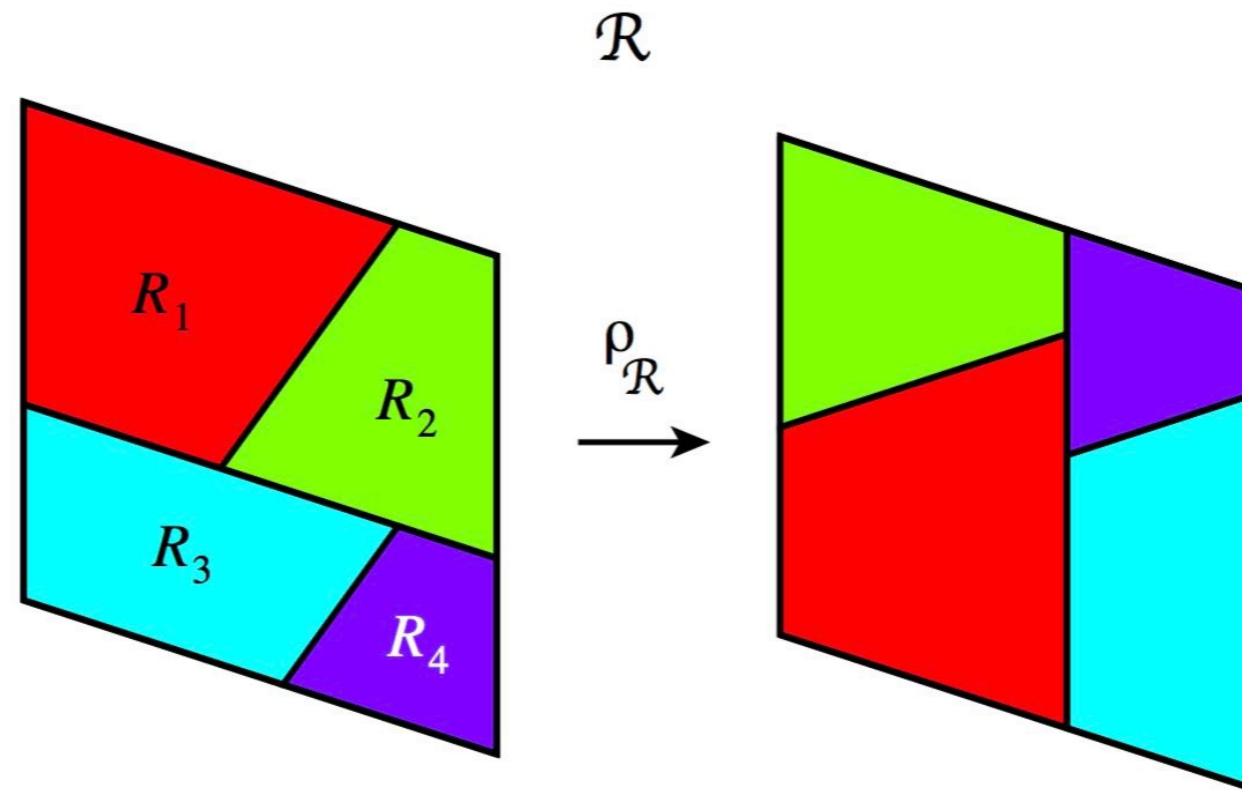
- The renormalization domain splits into three components.
- Each component is renormalisable iff one parameter is in \mathbb{K} , while the other is free.

A weakly non-degenerate renormalisation, with two parameters



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- However, the free parameters are transversal in parameter space.

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- However, the free parameters are transversal in parameter space.
- The system is renormalisable iff both parameters belong to \mathbb{K} .

Thank you for your attention

