

ON WEAK MAPS BETWEEN 2-GROUPS

BEHRANG NOOHI

ABSTRACT. We give an explicit handy cocycle-free description of the groupoid of weak maps between two crossed-modules using what we call a *butterfly* (Theorem 8.4). We define composition of butterflies and this way find a bicategory that is naturally biequivalent to the 2-category of pointed homotopy 2-types. This has applications in the study of 2-group actions (say, on stacks), and in the theory of gerbes bound by crossed-modules and principal-2-bundles).

1. INTRODUCTION

There are several incarnations of 2-groups in mathematics. To mention a few:¹ 1) They appear abstractly as special classes of monoidal categories, e.g., in the context of bitorsors [Bre1] or Picard categories [SGA4]; 2) They classify connected pointed homotopy 2-types (via the fundamental 2-group), as discovered by MacLane and Whitehead; 3) They appear as symmetries of objects in a 2-category. Let us dissect (3) a bit further by giving some examples.

The auto-equivalences of a stack from a 2-group. Thus, 2-groups play an important role in the study of (2-) group actions on stacks [BeNo]. Along similar lines, 2-groups appear in the representation theory of 2-vector spaces [E], [GaKa], and also in the theory of gerbes and higher local systems [Al], [Deb], [Bre1-4], [BrMe], [PoWa], [BDR]. The latter is indeed deeply connected with physics through higher gauge theory: various kind of gerbes that come up in gauge theory are to be thought of as 2-principal-bundles for a certain 2-group. For example: Giraud's G -gerbes are 2-principal-bundles for the 2-group $\mathcal{A}ut(G)$ [Bre1]; S^1 -gerbes [Bry] are 2-principal-bundles for the 2-group whose 2-morphisms are S^1 and has no nontrivial 1-morphisms; string bundles are essentially the same as 2-principal-bundles for the string 2-groups [BCSS], [BaSch]. Loop groups are also closely related to 2-groups [BCSS].

Most 2-groups that arise in nature are *weak*, in the sense that, either the multiplication is only associative up to higher coherences, or inverses exist only in a weak sense (or both). Furthermore, interesting morphisms between 2-groups are also often weak, in the sense that they preserve products only up to higher coherences. It is a standard fact that one can strictify weak 2-groups, but not the weak functors. Put differently, the 2-category of *strict* 2-groups and *weak* functors between them is the "homotopically correct" habitat for 2-groups.

The (strict) 2-groups by themselves can be codified conveniently using crossed-modules. Weak morphisms between strict 2-groups, however, are more complicated and to write them down results in somewhat inconvenient cocycles. It is therefore desirable to find a clean and way to deal with weak morphisms between 2-groups so as to make them more tractable in geometric situations.

¹To see some more explicit example see the last section of [BaLa].

The aim of this paper is to do exactly this. Namely, we give a concrete and manageable cocycle-free model for the space of weak morphisms between two crossed-modules. (It is easy to see that this space is a 1-type, so its homotopy type is described by a groupoid.) **Theorem 8.4** (also see **Theorem 13.1**) gives us a functorial model for this groupoid in terms of what we call *butterflies*. Butterflies indeed furnish a neat bicategory structure on crossed-modules (**Theorem 10.1**), therefore giving rise to a model for the homotopy category of pointed connected 2-types. We also discuss the braided and abelian versions of this bicategory.

In a future paper we generalize these result to the case where everything is relative to a Grothendieck site. As a consequence, the main results of the present paper will apply to the case of Lie 2-groups, 2-group schemes, and so on. Bear in mind that in these geometric settings the cocycle approach to weak morphisms is very inconvenient and sometimes hopeless (see below).

Some applications.

For an application of butterflies to the HRS-tilting theory [HRS] we refer the reader to [No2]. In another application (joint work with E. Aldrovandi), we investigate butterflies from the point of view of gr-stacks. We also employ butterflies (over a Grothendieck site) to study the “change of the structure 2-group along a weak morphism of 2-groups” for 2-principal-bundles and its effect on their geometry. (This has been previously looked at only for strict 2-group morphism [Bre1].)

2-group actions on stacks. Let us spell out in more detail an application of our approach to weak morphisms – this will also serve to explain why a cocycle-free approach could be advantageous sometimes.

We propose a systematic way to study 2-group actions on stacks. Given a stack \mathcal{X} (say, topological, differentiable, analytic, algebraic, etc.), the set $\text{Aut } \mathcal{X}$ of self-equivalences of \mathcal{X} is naturally a weak 2-group. (It is weak because equivalences are not strictly invertible; the associativity, however, remains strict.) To have a 2-group \mathbb{H} act on \mathcal{X} is the same thing as to have a weak map from \mathbb{H} to $\text{Aut } \mathcal{X}$. If two such maps are related by a (pointed) transformation, they should be regarded as giving the “same” action of \mathbb{H} on \mathcal{X} . So the question is to classify such equivalence classes of weak maps $f: \mathbb{H} \rightarrow \text{Aut } \mathcal{X}$.

The weakness of $\text{Aut } \mathcal{X}$, and of the map f , are, however, disturbing and we would like to make things as strict as possible. Using a bit of homotopy theory, and some standard strictification procedures, it can be shown that what we are looking for is $[\mathbb{H}, \mathbb{G}]_{\mathbf{2GP}}$, where \mathbb{G} is a strict model for $\text{Aut } \mathcal{X}$. Here, $[\mathbb{H}, \mathbb{G}]_{\mathbf{2GP}}$ stands for the set of morphisms from \mathbb{H} to \mathbb{G} in the homotopy category $\text{Ho}(\mathbf{2GP})$ of the category of strict 2-groups and strict maps. Equivalence of 2-groups and crossed-modules (§ 3.3) now enables us to translate the problem to the language of crossed-modules, in which case we have an explicit description of $[\mathbb{H}, \mathbb{G}]_{\mathbf{2GP}}$ in simple group theoretic terms thanks to Theorem 8.4 (also see Theorem 13.1 and Corollary 13.2).

All we need is to run this method is to find a crossed-module model for $\text{Aut } \mathcal{X}$

Thanks to the very explicit nature of the above procedure, we are able to give solid constructions with stacks, circumventing a lot of “weaknesses” and coherence conditions that arise in studying group actions on stacks. An application of this strictification method is given in [BeNo], where the covering theory of stacks is used to classify smooth Deligne-Mumford analytic curves, and also to give an explicit description of them as quotient stacks. For instance, using these 2-group theoretic techniques we obtain the following completely geometric result: every smooth analytic (respectively, algebraic) Deligne-Mumford stack of dimension one is the quotient stack for the action of either a finite group or a central finite extension of \mathbb{C}^* on a complex manifold (respectively, complex variety).

Organization of the paper

Sections 3 to 6 are devoted to recalling some standard facts about 2-groups and crossed-modules and fixing the notation. Essential for more easily reading the paper is the fact that the category of 2-groups is equivalent to the category of crossed-modules (§3.3). The reader will find it beneficial to keep in mind how this equivalence works, as we will freely switch back and forth between 2-groups and crossed-modules throughout the paper (sometimes even using the two terms synonymously). For us, 2-groups are the *conceptual* side of the story, whereas crossed-modules provide the *computational* framework.

Viewed as 2-groupoids with one object, 2-groups (hence, also crossed-modules) can be treated via the Moerdijk-Svensson model structure [MoSe]. This is briefly recalled in Section 6. We point out that, all we need from closed model categories is the notion of fibrant/cofibrant resolution and the way it can be used to compute hom-sets in the homotopy category. Taking this for granted, the reader unfamiliar with closed model categories can proceed with no difficulty.

Section 7 concerns some elementary constructions from group theory. We introduce a pushout construction for crossed-modules and work out its basic properties. This section is perhaps not so interesting by itself, but it provides the technical tools required in the proof of Theorem 8.4.

Section 8 is the core of the paper. In it we state and prove our main result (Theorem 8.4). This is based on the notion of *butterfly* (Definition 8.1). We investigate butterflies some more in Section 9. In §10 we give an explicit model \mathcal{CM} for the 2-category of crossed-modules and weak morphisms in terms of butterflies.

In Section 11 we indicate how the notions of kernel, cokernel, exact sequence, and so on of weak morphisms of 2-groups find a natural and simple form in the world of butterflies.

Braided and abelian butterflies are briefly discussed in Section 12. We use the latter to give a simple description of the derived category of complexes of length 2 in an abelian category \mathcal{A} .

In Section 13 we consider a special case of Theorem 8.4 in which the source 2-group is an honest group (Theorem 13.1) and discuss its connection with results of Dedecker and Blanco-Bullejos-Faro. In Section 14 we discuss a cohomological version of this (Theorem 14.6). This cohomological classification is not a new result (with some diligence, the reader can verify that it is a special case of the work of [AzCe]), and our emphasis is only to make precise the way it relates to Theorem 13.1, as it was used in [BeNo].

In Sections 15 and 16 we explain the homotopical meaning of Theorem 13.1 in terms the Postnikov decomposition of the classifying space of a crossed-module; again, this is folklore. We make this precise using the notion of *difference fibration* which is an obstruction theoretic construction introduced in [Ba] used in studying the liftings of a map into a fibration (from the base to the total space).

In the appendix we review basic general facts about 2-categories and 2-groupoids.

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CONTENTS

1. Introduction	1
2. Notation and terminology	5
3. Quick review of 2-groups and crossed-modules	5
3.1. Quick review of 2-groups	5
3.2. Quick review of Crossed-modules	6
3.3. Equivalence of 2-groups and crossed-modules	6
4. Transformations between morphisms of crossed-modules	7
5. Weak morphisms between 2-groups	8
6. Moerdijk-Svensson closed model structure and crossed-modules	9
7. Some group theory	11
7.1. Generalized semi-direct products	11
7.2. A pushout construction for crossed-modules	14
8. Butterflies as weak morphisms	15
8.1. Cohomological point of view	18
9. Basic facts about butterflies	18
9.1. Butterflies vs. spans	18
9.2. Butterflies vs. cocycles	18
9.3. The induced map on homotopy groups	19
9.4. Homotopy fiber of a butterfly	20
9.5. Weak morphisms vs. strict morphisms	23
9.6. Butterflies in the differentiable or algebraic contexts	23
10. Bicategory of crossed-modules and weak maps	24
10.1. The bicategory \mathcal{CM}	24
10.2. A special case of composition of butterflies	25
11. Kernels and cokernels of butterflies	25
11.1. Kernels and cokernels of butterflies	26
11.2. Exact sequences of crossed-modules in \mathcal{CM}	27
12. Braided and abelian butterflies	28
12.1. Braided butterflies	28
12.2. Kernels and cokernels of braided butterflies	29
12.3. Butterflies in an abelian category	29

13.	A special case of Theorem 8.4; Dedecker’s theorem	30
13.1.	Side note 1: split crossed-modules	32
13.2.	Side note 2: Hopf’s formula	32
14.	Cohomological point of view	33
14.1.	Groups extensions and H^2 ; review	33
14.2.	Cohomological classification of maps into a 2-group	34
15.	Homotopical point of view	36
15.1.	The classifying space functor and homotopical proof of Theorem 14.6	37
16.	Compatibility of different approaches	38
16.1.	Difference fibration construction	38
16.2.	Difference fibrations for crossed-modules	40
16.3.	The special case	41
17.	Appendix: 2-categories and 2-groupoids	43
17.1.	2-functors, weak 2-transformations and modifications	43
17.2.	Nerve of a 2-category	44
17.3.	Moerdijk-Svensson closed model structure on 2-groupoids	45
	References	47

2. NOTATION AND TERMINOLOGY

We list some of the notations and conventions used throughout the paper.

The structure map of a crossed-module $\mathbb{G} = [G_2 \rightarrow G_1]$ is usually denoted by $\alpha \mapsto \underline{\alpha}$. The components of a morphism $P : \mathbb{H} \rightarrow \mathbb{G}$ of crossed-modules are denoted by $p_2 : H_2 \rightarrow G_2$ and $p_1 : H_1 \rightarrow G_1$. The action of G_1 on G_2 is denoted by $-^a$, and so is the conjugation action of G_1 on itself.

In a semi-direct product $A \rtimes B$, respectively $B \ltimes A$, the group B acts on A on the left, respectively right.

For objects A and B in a category \mathbf{C} with a notion of weak equivalence, we denote the the set of morphisms in the homotopy category from B to A , that is $\text{Hom}_{\text{Ho}(\mathbf{C})}(B, A)$, by $[B, A]_{\mathbf{C}}$.

We usually denote a short exact sequence

$$1 \rightarrow N \rightarrow E \rightarrow \Gamma \rightarrow 1$$

simply by E . Quotient maps, such as $E \rightarrow \Gamma$ in the above sequence, or $G_1 \rightarrow \pi_1 \mathbb{G}$, are usually denoted by $x \mapsto \bar{x}$.

Some abuse of terminology.

We tend to use the term “map” where it is perhaps more appropriate to use the term “morphism”. Also, we use the term “equivalence” (of 2-groups, crossed-modules, etc.) for what should really be called a “weak equivalence” (or “quasi-isomorphism”).

For 2-groups \mathbb{G} and \mathbb{H} , when we say *the homotopy class of a weak map from \mathbb{H} to \mathbb{G}* , we mean a map in $\text{Ho}(\mathbf{2Gp})$ from \mathbb{H} to \mathbb{G} ; this terminology is justified by Theorem 6.7 and Proposition 6.8.

3. QUICK REVIEW OF 2-GROUPS AND CROSSED-MODULES

3.1. Quick review of 2-groups. We recall some basic facts about 2-groups and crossed-modules. Our main references are [Bro, Wh, McWh, MoSe, Lo, BaLa].

A **2-group** \mathfrak{G} is a group object in the category of groupoids. Alternatively, we can define a 2-group to be a groupoid object in the category of groups, or also, as a (strict) 2-category with one object in which all 1-morphisms and 2-morphisms are invertible (in the strict sense). We will try to stick with the ‘group in groupoids’ point of view throughout the paper, but occasionally switching back and forth between different points of view is inevitable. Therefore, the reader will find it rewarding to master how the equivalence of these three point of views works.

A (strict) *morphism* $f: \mathfrak{G} \rightarrow \mathfrak{H}$ of 2-groups is a map of groupoids that respects the group operation. If we view \mathfrak{G} and \mathfrak{H} as 2-categories with one object, such f is nothing but a strict 2-functor. The category of 2-groups is denoted by **2Gp**.

To a 2-group \mathfrak{G} we associate the groups $\pi_1\mathfrak{G}$ and $\pi_2\mathfrak{G}$ as follows. The group $\pi_1\mathfrak{G}$ is the set of isomorphism classes of object of the groupoid \mathfrak{G} . The group structure on $\pi_1\mathfrak{G}$ is induced from the group operation of \mathfrak{G} . The group $\pi_2\mathfrak{G}$ is the group of automorphisms of the identity object $e \in \mathfrak{G}$. This is an abelian group. A morphism $f: \mathfrak{G} \rightarrow \mathfrak{H}$ of 2-groups is called an *equivalence* if it induces isomorphisms on π_1 and π_2 . The *homotopy category* of 2-groups is the category obtained by inverting all the equivalences in **2Gp**. We denote it by $\text{Ho}(\mathbf{2Gp})$.

Caveat: an equivalence between 2-groups need not have an inverse. Also, two equivalent 2-groups may not be related by an equivalence, but only a zig-zag of equivalences.

3.2. Quick review of Crossed-modules. A **crossed-module** $\mathfrak{G} = [G_2 \rightarrow G_1]$ is a pair of groups G_1, G_2 , a group homomorphism $\partial_{\mathfrak{G}}: G_2 \rightarrow G_1$, and a (right) action of G_1 on G_2 , denoted $-^a$, which lifts to G_2 the conjugation action of G_1 on the image of $\partial_{\mathfrak{G}}$ and descends to G_1 the conjugation action of G_2 on itself. The kernel of ∂ is a central (in particular abelian) subgroup of G_2 and is denoted by $\pi_2\mathfrak{G}$. The image of ∂ is a normal subgroup of G_1 whose cokernel is denoted by $\pi_1\mathfrak{G}$. A (strict) morphism of crossed-modules is a pair of group homomorphisms which commute with the ∂ maps and respect the actions. A morphism is called an *equivalence* if it induces isomorphisms on π_1 and π_2 .

Notation. Elements of G_2 are usually denoted by Greek letters and those of G_1 by lower case Roman letters. The components of a map $P: \mathbb{H} \rightarrow \mathbb{G}$ of crossed-modules are denoted by $p_2: H_2 \rightarrow G_2$ and $p_1: H_1 \rightarrow G_1$. We usually use ∂ instead of $\partial_{\mathfrak{G}}$ if the 2-group \mathfrak{G} is clear from the context. We sometimes suppress ∂ from the notation and denote $\partial(\alpha)$ by $\underline{\alpha}$. For elements g and a in a group G we sometimes denote $a^{-1}ga$ by g^a . The compatibility assumptions built in the definition of a crossed-module make this unambiguous. With this notation, the two compatibility axioms of a crossed-modules can be written in the following way:

- CM1.** $\forall \alpha, \beta \in G_2, \beta^{\underline{\alpha}} = \beta^{\alpha}$;
- CM2.** $\forall \beta \in G_2, \forall a \in G_1, \underline{\beta^a} = \underline{\beta}^a$.

3.3. Equivalence of 2-groups and crossed-modules. There is a natural pair of inverse equivalences between the category **2Gp** of 2-groups and the category **XMod** of crossed-modules. Furthermore, these functors preserve π_1 and π_2 . They are constructed as follows.

Functor from 2-groups to crossed-modules. Let \mathfrak{G} be a 2-group. Let G_1 be the group of objects of \mathfrak{G} , and G_2 the set of arrows emanating from the identity object e ; the latter is also a group (namely, it is a subgroup of the group of arrows of \mathfrak{G}).

Define the map $\partial: G_2 \rightarrow G_1$ by sending $\alpha \in G_2$ to $t(\alpha)$.

The action of G_1 on G_2 is given by conjugation. That is, given $\alpha \in G_2$ and $g \in G_1$, the action is given by $g^{-1}\alpha g$. Here we are thinking of g as an identity arrow and multiplication takes place in the group of arrows of \mathfrak{G} . It is readily checked that $[\partial: G_2 \rightarrow G_1]$ is a crossed-module.

Functor from crossed-modules to 2-groups. Let $[\partial: G_2 \rightarrow G_1]$ be a crossed-module. Consider the groupoid \mathfrak{G} whose underlying set of objects is G_1 and whose set of arrows is $G_1 \times G_2$. The source and target maps are given by $s(g, \alpha) = g$, $t(g, \alpha) = g\partial(\alpha)$. Two arrows (g, α) and (h, β) such that $g\partial(\alpha) = h$ are composed to $(g, \alpha\beta)$. Now, taking into account the group structure on G_1 and the semi-direct product group structure on $G_1 \times G_2$, we see that \mathfrak{G} is indeed a groupoid object in the category of groups, hence a 2-group.

The above discussion shows that there is a pair of inverse functors inducing an equivalence between \mathbf{XMod} and $\mathbf{2Gp}$. These functors respect π_1 and π_2 . Therefore, we have an equivalence

$$\mathrm{Ho}(\mathbf{XMod}) \xrightarrow{\cong} \mathrm{Ho}(\mathbf{2Gp}).$$

4. TRANSFORMATIONS BETWEEN MORPHISMS OF CROSSED-MODULES

We go over the notions of transformation and pointed transformation between maps of crossed-modules. These are adaptations of the usual 2-categorical notions, translated to the crossed-module language via the equivalence $\mathbf{XMod} \cong \mathbf{2Gp}$ (see Appendix, §17.1). The idea is to think of a crossed-module as a 2-group (§3.3), which is itself thought of as a 2-groupoid with one object.

Definition 4.1. Let $\mathbb{G} = [G_2 \rightarrow G_1]$ and $\mathbb{H} = [H_2 \rightarrow H_1]$ be crossed-modules, and let $P, Q: \mathbb{H} \rightarrow \mathbb{G}$ be morphisms between them. A *transformation* $T: Q \Rightarrow P$ consists of a pair (a, θ) where $a \in G_1$ and $\theta: H_1 \rightarrow G_2$ is a crossed homomorphism for the induced action, via p_1 , of H_1 on G_2 (that is, $\theta(hh') = \theta(h)^{p_1(h')} \theta(h')$). We require the following:

T1. $q_1(h)^a = p_1(h)\theta(h)$, for every $h \in H_1$;

T2. $q_2(\beta)^a = p_2(\beta)\theta(\beta)$, for every $\beta \in H_2$.

We say T is *pointed* if $a = 1$; in this case, we denote T simply by θ . When θ is the trivial map, the transformation T is called *conjugation by a* ; in this case, we use the notation $P = Q^a$ or $P = a^{-1}Qa$.

Remark 4.2. Given a 2-group \mathfrak{G} and an element a in G_1 (the group of objects) we define the morphism $c_a: \mathbb{G} \rightarrow \mathbb{G}$, called *conjugation by a* , to be the map that sends an object g (respectively, an arrow α) to $a^{-1}ga$ (respectively, $a^{-1}\alpha a$). If we consider the corresponding crossed-module $[G_2 \rightarrow G_1]$, the conjugation morphism c_a sends $g \in G_1$ to $a^{-1}ga$ and $\alpha \in G_2$ to $a^{-1}\alpha a$. In the notation of Definition 4.1, it is easy to see that $Q^a = c_a \circ Q$.

Lemma 4.3. *Let $P, Q: \mathbb{H} \rightarrow \mathbb{G}$ be maps of 2-groups and (a, θ) a transformation from Q to P . Then $\pi_i P = (\pi_i Q)^a$, $i = 1, 2$. In particular, if P and Q are related by a pointed transformation, then they induce the same map on homotopy groups.*

Proof. Obvious. □

Let $P, Q, R: \mathbb{H} \rightarrow \mathbb{G}$ be maps of crossed-modules. Given homotopies $(b, \sigma): R \Rightarrow Q$ and $(a, \theta): Q \Rightarrow P$, consider the pointwise product $\theta\sigma: H_1 \rightarrow G_2$. It is easily checked that $(ba, \theta\sigma)$ is a transformation from R to P . This construction, of course, corresponds to the usual composition of weak 2-transformation between 2-functors.

A transformation $(a, \theta): Q \Rightarrow P$ has an inverse $(a^{-1}, \theta^{-1}): P \Rightarrow Q$, where $\theta^{-1}: H_1 \rightarrow G_2$ is defined by $\theta^{-1}(h) := \theta(h)^{-1}$.

Definition 4.4. Let \mathbb{G} and \mathbb{H} be crossed-modules. We define the *mapping groupoid* $\underline{\mathcal{H}om}_*(\mathbb{H}, \mathbb{G})$ to be the groupoid whose objects are crossed-module maps $\mathbb{H} \rightarrow \mathbb{G}$ and whose morphisms are pointed transformations.

Remark 4.5. Observe that in the definition above of $\underline{\mathcal{H}om}_*(\mathbb{H}, \mathbb{G})$ we have not used ‘modifications’ and, in particular, the outcome is a groupoid and not a 2-groupoid. This is because between two pointed transformations there is no non-trivial *pointed* modification.

With hom-groupoids being $\underline{\mathcal{H}om}_*(\mathbb{H}, \mathbb{G})$, the category \mathbf{XMod} is enriched over groupoids. We denote the resulting 2-category by $\underline{\mathbf{XMod}}$. Similarly, $\mathbf{2Gp}$ can be enriched over groupoids by taking 2-morphisms to be pointed transformations (Definition 4.1). We denote the resulting 2-category by $\underline{\mathbf{2Gp}}$. The equivalence

$$\mathbf{XMod} \cong \mathbf{2Gp}$$

of §3.3 now becomes a biequivalence of 2-categories

Proposition 4.6. *The construction of §3.3 gives rise to a biequivalence*

$$\underline{\mathbf{XMod}} \cong \underline{\mathbf{2Gp}}.$$

of 2-categories.

The biequivalence of Proposition 4.6 is a biequivalence in a strong sense: it induces *isomorphisms* on hom-groupoids.

The mapping space $\underline{\mathcal{H}om}_*(\mathbb{H}, \mathbb{G})$ is not the homotopically ‘correct’ mapping space, as it lacks the expected homotopy invariance property. That is, an equivalence $\mathbb{H}' \rightarrow \mathbb{H}$ of crossed-modules does not necessarily induce an equivalence $\underline{\mathcal{H}om}_*(\mathbb{H}, \mathbb{G}) \rightarrow \underline{\mathcal{H}om}_*(\mathbb{H}', \mathbb{G})$ of groupoids. We explain in §6 how this failure can be fixed by making use of cofibrant replacements in the category of crossed-modules (especially, see Definition 6.6).

5. WEAK MORPHISMS BETWEEN 2-GROUPS

A 2-group \mathbb{G} can equivalently be defined to be a strict monoidal groupoid in which multiplication (on the left, and on the right) by any object is an isomorphism of categories. Given two 2-groups \mathbb{G} and \mathbb{H} , the mapping groupoid $\underline{\mathcal{H}om}_*(\mathbb{H}, \mathbb{G})$ is then naturally isomorphic to the groupoid whose objects are strict monoidal functors and whose morphisms are natural monoidal transformations.

We define a **weak morphism** $f: \mathbb{H} \rightarrow \mathbb{G}$ to be a monoidal functor which respects the unit objects strictly (also see [No1], §7 and §8). With monoidal transformations between them, these are objects of a groupoid which we denote by $\underline{HOM}_*(\mathbb{H}, \mathbb{G})$.²

The goal of the paper is to give an explicit model for $\underline{HOM}_*(\mathbb{H}, \mathbb{G})$. Our strategy is to use the fact that $\underline{HOM}_*(\mathbb{H}, \mathbb{G})$ is equivalent to the derived mapping groupoid $\underline{RHom}_*(\mathbb{H}, \mathbb{G})$; see Theorem 6.7. We then give an explicit model for the derived mapping space $\underline{RHom}_*(\mathbb{H}, \mathbb{G})$ in terms of *butterflies* (Definition 8.1 and Theorem 8.4).

6. MOERDIJK-SVENSSON CLOSED MODEL STRUCTURE AND CROSSED-MODULES

It has been known since [Wh] that crossed-modules model pointed connected homotopy 2-types. That is, the pointed homotopy type of a connected pointed CW-complex with $\pi_i X = 0$, $i \geq 3$, is determined by (the equivalence class of) a crossed-module. In particular, the homotopical invariants of such a CW-complex can be read off from the corresponding crossed-module.

The approach in [Wh] and [McWh] to the classification of 2-types is, however, not functorial. To have a functorial classification of homotopy 2-types (i.e. one that also accounts for maps between such objects), it is best to incorporate closed model categories. To do so, recall that a crossed-module can be regarded as a 2-group, and a 2-group is in turn a 2-groupoid with one object.

In [MoSe], Moerdijk and Svensson introduce a closed model structure on the (strict) category of (strict) 2-groupoids, and show that there is a Quillen pair between the closed model category of 2-groupoids and the closed model category of CW-complexes, which induce an equivalence between the homotopy category of 2-groupoids and the homotopy category of CW-complexes with vanishing π_i , $i \geq 3$. We use this model structure to deduce some results about crossed-modules.

We emphasize that, in working with crossed-modules, what we are using is the *pointed* homotopy category. So we need to adopt a pointed version of the Moerdijk-Svensson structure. But this does not cause any additional difficulty as everything in [MoSe] carries over to the pointed case. For a quick review of the Moerdijk-Svensson structure see Appendix.

It is easy to see that a weak equivalence between 2-groups in the sense of Moerdijk-Svensson is the same as a weak equivalence between crossed-modules in the sense of §3. Let us see what the fibrations look like.

Definition 6.1. A map $(f_2, f_1): [H_2 \rightarrow H_1] \rightarrow [G_2 \rightarrow G_1]$ of crossed-modules is called a *fibration* if f_2 and f_1 are both surjective. It is called a *trivial fibration* if, furthermore, the map $H_2 \rightarrow H_1 \times_{G_1} G_2$ is an isomorphism.

We leave it to the reader to translate these to the language of 2-groups and verify that they coincide with Moerdijk-Svensson definition of (trivial) fibration.

Let us now look at cofibrations. In fact, we will only describe what the cofibrant objects are, because that is all we need in this paper.

Definition 6.2. A crossed-module $[G_2 \rightarrow G_1]$ is *cofibrant* if G_1 is a free group.

Observe that this is much weaker than Whitehead's notion of a free crossed-module. However, this is the one that corresponds to Moerdijk-Svensson's definition.

²For the interpretation of weak morphisms in the crossed-module language see [No1], §8.

Proposition 6.3. *A crossed-module $\mathfrak{G} = [G_2 \rightarrow G_1]$ is cofibrant in the sense of Definition 6.2 if and only if its corresponding 2-group is cofibrant in the Moerdijk-Svensson structure.*

Proof. This follows immediately from the Remark on page 194 of [MoSe], but we give a direct proof. A 2-group \mathbb{G} is cofibrant in Moerdijk-Svensson structure, if and only if every trivial fibration $\mathbb{H} \rightarrow \mathbb{G}$, where \mathbb{H} is a 2-groupoid, admits a section. But, we can obviously restrict ourselves to 2-groups \mathbb{H} . So, we can work entirely within crossed-modules, and use the notion of trivial fibration as in Definition 6.1.

Assume G_1 is free. Let $(f_2, f_1): [H_2 \rightarrow H_1] \rightarrow [G_2 \rightarrow G_1]$ be a trivial fibration. Since G_1 is free and f_1 is surjective, there is a section $s_1: G_1 \rightarrow H_1$. Using the fact that $H_2 \cong H_1 \times_{G_1} G_2$, we also get a natural section $s_2: G_2 \rightarrow H_2$ for the projection $H_1 \times_{G_1} G_2 \rightarrow G_2$, namely, $s_2(\alpha) = (s_1(\underline{\alpha}), \alpha)$. It is easy to see that $(s_2, s_1): [G_2 \rightarrow G_1] \rightarrow [H_2 \rightarrow H_1]$ is a map of crossed-modules.

To prove the converse, choose a free group F_1 and a surjection $f_1: F_1 \rightarrow G_1$. Form the pull back crossed-module $[F_2 \rightarrow F_1]$ by setting $F_2 = F_1 \times_{G_1} G_2$. Then, we have a trivial fibration $[F_2 \rightarrow F_1] \rightarrow [G_2 \rightarrow G_1]$. By assumption, this has a section, so in particular we get a section $s_1: G_1 \rightarrow F_1$ which embeds G_1 as a subgroup of F_1 . It follows from Nielsen's theorem that G_1 is free. \square

Remark 6.4. It is easy to see that a 2-group \mathbb{G} is cofibrant in the Moerdijk-Svensson structure if and only if the inclusion $*$ $\rightarrow \mathbb{G}$ is a cofibration. So the definition of cofibrant is the same in the pointed category. Also, in the pointed category, all 2-groupoids are fibrant.

Example 6.5.

1. Let $\mathbb{G} = [G_2 \rightarrow G_1]$ be an arbitrary crossed-module. Let $F_1 \rightarrow G_1$ be a surjective map from a free group F_1 , and set $F_2 := F_1 \times_{G_1} G_2$. Consider the crossed-module $\mathbb{F} = [F_2 \rightarrow F_1]$. Then \mathbb{F} is cofibrant, and the natural map $\mathbb{F} \rightarrow \mathbb{G}$ is a trivial fibration (Definition 6.1). In other words, $\mathbb{F} \rightarrow \mathbb{G}$ is a cofibrant replacement for \mathbb{G} .
2. Let Γ be a group, and $F/R \cong \Gamma$ be a presentation of Γ as a quotient of a free group F . Then the map of crossed-modules $[R \rightarrow F] \rightarrow [1 \rightarrow \Gamma]$ is a cofibrant replacement for Γ .

Definition 6.6. Let \mathbb{H} and \mathbb{G} be 2-groups (or crossed-modules). Choose a cofibrant replacement $\mathbb{F} \rightarrow \mathbb{H}$ for \mathbb{H} , as in Example 6.5.1. The *derived mapping groupoid* $\underline{\mathcal{R}Hom}_*(\mathbb{H}, \mathbb{G})$ is defined to be $\underline{\mathcal{H}om}_*(\mathbb{F}, \mathbb{G})$, where $\underline{\mathcal{H}om}_*$ is as in Definition 4.4.

Observe that in the Moerdijk-Svensson structure all 2-groups are automatically fibrant, so in the above definition we do not need a fibrant replacement for \mathbb{G} .

The derived mapping groupoid $\underline{\mathcal{R}Hom}_*(\mathbb{H}, \mathbb{G})$ depends on the choice of the cofibrant replacement $\mathbb{F} \rightarrow \mathbb{H}$, but it is unique up to an equivalence of groupoids (which is itself unique up to transformation). Another way of thinking about the derived mapping groupoid $\underline{\mathcal{R}Hom}_*(\mathbb{H}, \mathbb{G})$ is that it gives a model for the groupoid of weak morphisms from \mathbb{H} to \mathbb{G} and pointed weak transformations between them.³

³Since we are working in the *pointed* category, the modifications are trivial. This is due to the fact that, whenever X and Y are pointed connected homotopy 2-types, the pointed mapping space $\mathbf{Hom}_*(X, Y)$ is a 1-type.

Theorem 6.7 ([No1], Proposition 8.1). *Let \mathbb{G} and \mathbb{H} be 2-groups. Then, there is a natural (up to homotopy) equivalence of groupoids*

$$\underline{\mathcal{R}Hom}_*(\mathbb{H}, \mathbb{G}) \simeq \underline{\mathcal{H}OM}_*(\mathbb{H}, \mathbb{G}).$$

The fact that derived mapping groupoids are the correct models for homotopy invariant mapping spaces is justified by the following.

Proposition 6.8. *Let \mathbb{H} and \mathbb{G} be 2-groups (or crossed-modules). We have a natural bijection*

$$\pi_0 \underline{\mathcal{R}Hom}_*(\mathbb{H}, \mathbb{G}) \cong [\mathbb{H}, \mathbb{G}]_{\mathbf{2GP}}.$$

Proof. First let us remark that the proposition is not totally obvious because the category of 2-groupoids with the Moerdijk-Svensson model structure is *not* a monoidal model category.

By Proposition 17.12, we have

$$\pi_0 \underline{\mathcal{R}Hom}_*(\mathbb{H}, \mathbb{G}) \cong \pi_0 \mathbf{Hom}_*(N\mathbb{H}, N\mathbb{G}) \cong [N\mathbb{H}, N\mathbb{G}]_{\mathbf{SSet}_*}.$$

By Proposition 17.9, $[N\mathbb{H}, N\mathbb{G}]_{\mathbf{SSet}_*} \cong [\mathbb{H}, \mathbb{G}]_{\mathbf{2GP}}$. \square

In §8 we give a canonical explicit model for $\underline{\mathcal{R}Hom}_*(\mathbb{H}, \mathbb{G})$, which is what we want.

7. SOME GROUP THEORY

In this section we introduce some basic group theoretic lemmas which will be used in the proof of Theorem 8.4. The results in this section are mostly of technical nature.

7.1. Generalized semi-direct products. We define a generalized notion of semi-direct product of groups, and use that to introduce a pushout construction for crossed-modules.

Let H , G and K be groups, each equipped with a right action of K , the one on K itself being conjugation. We denote all the actions by $-^k$ (even the conjugation one). Assume we are given a K -equivariant diagram

$$\begin{array}{ccc} H & \xrightarrow{p} & G \\ a \downarrow & & \\ & & K \end{array}$$

in which we require the compatibility condition $g^{d(h)} = g^{p(h)}$ ($:= p(h)^{-1}gp(h)$) is satisfied for every $h \in H$ and $g \in G$.

Definition 7.1. The *semi-direct product* $K \rtimes^H G$ of K and G along H is defined to be $K \rtimes G/N$, where

$$N = \{(d(h)^{-1}, p(h)), h \in H\}.$$

For this definition to make sense, we have to verify that N is a normal subgroup of $K \rtimes G$. This is left to the reader. Hint: show that G centralizes N , and an element $k \in K$ acts by

$$(d(h)^{-1}, p(h)) \mapsto (d(h^k)^{-1}, p(h^k)).$$

There are natural group homomorphisms $p': K \rightarrow K \rtimes^H G$ and $d': G \rightarrow K \rtimes^H G$, making the following diagram commute

$$\begin{array}{ccc} H & \xrightarrow{p} & G \\ d \downarrow & & \downarrow d' \\ K & \xrightarrow[p']{} & K \rtimes^H G \end{array}$$

There is also an action of $K \rtimes^H G$ on G which makes the above diagram equivariant. An element $(k, g) \in K \rtimes^H G$ acts on $x \in G$ by sending it to $g^{-1}x^k g$. Indeed, $[d': G \rightarrow K \rtimes^H G]$ is a crossed-module.

Lemma 7.2. *In the above square, the induced map $\text{Coker}(d) \rightarrow \text{Coker}(d')$ is an isomorphism and the induced map $\text{Ker}(d) \rightarrow \text{Ker}(d')$ is surjective. The kernel of the latter is equal to $\text{Ker}(p) \cap \text{Ker}(d)$.*

Proof. Straightforward. \square

The relative semi-direct product construction satisfies the obvious universal property. Namely, to give a homomorphism $K \rtimes^H G \rightarrow T$ to an arbitrary group T is equivalent to giving a pair of homomorphisms $\delta: G \rightarrow T$ and $\varpi: K \rightarrow T$ such that

- $$\begin{array}{ccc} H & \xrightarrow{p} & G \\ d \downarrow & \circ & \downarrow \delta \\ K & \xrightarrow{\varpi} & T \end{array}$$
- $\delta(g^k) = \delta(g)^{\varpi(k)}$, for every $g \in G$ and $k \in K$.

Remark 7.3. Consider the the subgroup $I = p(\text{Ker}(d)) = \text{Ker } d' \subseteq G$. It is a K -invariant central subgroup of G . If in the relative semi-direct product construction we replace G by G/I the outcome will be the same.

In the following lemma we slightly modify the notation and denote $K \rtimes^H G$ by $K \rtimes^{H,p} G$.

Lemma 7.4. *Notation being as above, let $\theta: K \rightarrow G$ be a crossed homomorphism (i.e. $\theta(kk') = \theta(k)^{k'}\theta(k)$). Consider the group homomorphism $q: H \rightarrow G$ defined by $q(h) = p(h)\theta(d(h))$, and use it to form $K \rtimes^{H,q} G$. Also consider the new action of K on G given by $g^{*k} := \theta(k)^{-1}g^k\theta(k)$. (The $*$ is used just to differentiate the new action from the old one.) Then, there is a natural isomorphism*

$$\theta_*: \begin{array}{ccc} K \rtimes^{H,q} G & \xrightarrow{\sim} & K \rtimes^{H,p} G \\ (k, g) & \mapsto & (k, \theta(k)g). \end{array}$$

The map θ_* makes the following triangle commute:

$$\begin{array}{ccc} & G & \\ d'_q \swarrow & & \searrow d'_p \\ K \rtimes^{H,q} G & \xrightarrow{\theta_*} & K \rtimes^{H,p} G \end{array}$$

Furthermore, we have the following commutative triangle of isomorphisms

$$\begin{array}{ccc} \text{Coker}(d'_q) & \xrightarrow{\sim} & \text{Coker}(d'_p) \\ & \searrow \sim & \swarrow \sim \\ & \text{Coker } d & \end{array}$$

where the top row is induced by θ_* .

Proof. We use the universal property of the relative semi-direct product. To give a map from $K \ltimes^{H,p} G$ to a group T is equivalent to giving a pair (δ, ϖ) of maps $\delta: G \rightarrow T$ and $\varpi: K \rightarrow T$ satisfying the two conditions described in the paragraph just before the lemma. To such a pair, we can associate a new pair (δ', ϖ') , with $\delta' := \delta$ and $\varpi'(k) := \varpi(k)\delta(\theta(k))$. It is easy to see that the pair (δ', ϖ') satisfies the two conditions required by the universal property of $K \ltimes^{H,q} G$. Similarly, we can go backwards from a pair (δ', ϖ') for $K \ltimes^{H,q} G$ to a pair (δ, ϖ) for $K \ltimes^{H,p} G$. It is easy to see that this correspondence is realized by θ_* . This proves that θ_* is an isomorphism.

Commutativity of the triangles is obvious. (Also see Lemma 7.2.) \square

We have the following converse for Lemma 7.4

Lemma 7.5. *Consider the semi-direct product diagrams*

$$\begin{array}{ccc} H & \xrightarrow{p} & G \\ d \downarrow & & d \downarrow \\ K & & K \end{array} \qquad \begin{array}{ccc} H & \xrightarrow{q} & G \\ d \downarrow & & d \downarrow \\ K & & K \end{array}$$

where the K -actions on H are the same. Assume we are given an isomorphism of groups $\vartheta: K \ltimes^{H,q} G \xrightarrow{\sim} K \ltimes^{H,p} G$ such that the triangles of Lemma 7.4 commute. Denote $p(\text{Ker } d) \subseteq G$ by I .

- i. If $\text{Ker } d \subseteq \text{Ker } p$, then there is a unique crossed homomorphism $\theta: K \rightarrow G$ such that $\vartheta = \theta_*$ (see Lemma 7.4, and Remark 7.3).
- ii. If K is a free group, then there exists a (not necessarily unique) crossed homomorphism $\theta: K \rightarrow G$ such that $\vartheta = \theta_*$.
- iii. If for crossed-homomorphisms θ and θ' we have $\theta_* = \theta'_*$, then the difference of θ and θ' factors through $I \subseteq G$. That is, for every $k \in K$, $\theta^{-1}(k)\theta'(k)$ lies in I .

Proof of (i). Pick an element $(k, g) \in K \ltimes^{H,q} G$, and let $\theta(k, 1) = (k', g')$. (Note that $(k, 1)$ and (k', g') are just representatives for actual elements in the corresponding relative semi-direct product groups). By the commutativity of the above triangle, the images of k and k' are the same in $\text{Coker}(d)$; that is, there exists $h \in H$ such that $kd(h) = k'$. So, after adjusting (k', g') by the $(d(h)^{-1}, p(h)) \in N$ (see Definition 7.1), we may assume $k' = k$; that is $\vartheta(k, 1) = (k, g')$. Define $\theta(k)$ to be g' . It is easily verified that θ is a crossed homomorphism, and that $\theta_* = \vartheta$.

Proof of (ii). Replace G by G/I and apply (i) to obtain $\theta: K \rightarrow G/I$. Then use freeness of K to lift θ to G .

Proof of (iii). Easy. \square

7.2. A pushout construction for crossed-modules. Continuing with the setup of the previous section, we now bring crossed-modules into the picture. Namely, we assume that $[d: H \rightarrow K]$ is a crossed-module. (Note that the condition **CM2** of crossed-modules (§3.2) is already part of the hypothesis.) To be compatible with our crossed-module notation, let us denote H, K, G and d by H_2, H_1, G_2 and $-$ respectively. Recall that $[G_2 \rightarrow H_1 \times^{H_2} G_2]$ is again a crossed-module.

Definition 7.6. Let $\mathbb{H} = [H_2 \rightarrow H_1]$ be a crossed-module. Let G_2 be a group with an action of H_1 , and $p: H_2 \rightarrow G_2$ an H_1 -equivariant group homomorphism such that for every $\beta \in H_2$ and $\alpha \in G_2$ we have $\alpha^{\underline{\beta}} = \alpha^{p(\beta)}$. We call the crossed-module $[G_2 \rightarrow H_1 \times^{H_2} G_2]$ the *pushout* of \mathbb{H} along p and denote it by $p_*\mathbb{H}$.

Lemma 7.7. *There is a natural induced map of crossed-modules $p_\diamond: \mathbb{H} \rightarrow p_*\mathbb{H}$. Furthermore, $\pi_1(p_\diamond)$ is an isomorphism and $\pi_2(p_\diamond)$ is surjective. The kernel of $\pi_2(p_\diamond)$ is equal to*

$$\{\beta \in H_2 \mid \underline{\beta} = 1, p(\beta) = 1\}.$$

In particular, if $p: H_2 \rightarrow G_2$ is injective, then $p_\diamond: [H_2 \rightarrow H_1] \rightarrow [G_2 \rightarrow H_1 \times^{H_2} G_2]$ is an equivalence of crossed-modules.

Proof. Straightforward. □

Assume now that we are given two crossed-modules $\mathbb{G} = [G_2 \rightarrow G_1]$, $\mathbb{H} = [H_2 \rightarrow H_1]$ and a morphism $P: \mathbb{H} \rightarrow \mathbb{G}$ between them. This gives us a diagram

$$\begin{array}{ccc} H_2 & \xrightarrow{p_2} & G_2 \\ -\downarrow & & \\ & & H_1 \end{array}$$

like the one in the beginning of this section. We also have an action of H_1 on G_2 with respect to which p_2 is H_1 -equivariant. Namely, for $\alpha \in G_2$ and $h \in H_1$, we define α^h to be $\alpha^{p_1(h)}$, the latter being the action in \mathbb{G} . So, we can form the crossed-module $[G_2 \rightarrow H_1 \times^{H_2} G_2]$.

Define the map $\rho: H_1 \times^{H_2} G_2 \rightarrow G_1$ by $\rho(h, \alpha) := p_1(h)\alpha$. It is easily seen to be well-defined. We obtain the following commutative diagram of crossed-modules:

$$\begin{array}{ccccc} H_2 & \xrightarrow{p_2} & & \rightarrow & G_2 \\ & \searrow & & \nearrow = & \downarrow \\ & & G_2 & & \\ & \downarrow & \downarrow & & \downarrow \\ H_1 & \xrightarrow{p_1} & & \rightarrow & G_1 \\ & \searrow & & \nearrow \rho & \\ & & H_1 \times^{H_2} G_2 & & \end{array}$$

Note that the front-left square is almost an equivalence of crossed-modules (Lemma 7.7); it is an actual equivalence if and only if $\pi_2 P: \pi_2 \mathbb{H} \rightarrow \pi_2 \mathbb{G}$ is injective. If this is the case, the above diagram means that, up to equivalence, we have managed to replace our crossed-module map $P: \mathbb{H} \rightarrow \mathbb{G}$ with one, i.e. $P_*\mathbb{H} \rightarrow \mathbb{G}$, in which p_2 is the identity map (the front-right square).

Notation. If $P: \mathbb{H} \rightarrow \mathbb{G}$ is a map of crossed-modules, we use the notation $P_*\mathbb{H}$ instead of $p_{2,*}\mathbb{H}$.

The next thing we consider is, how the pushout construction for crossed-modules behaves with respect to pointed transformations between maps.

Lemma 7.8. *Let $P, Q: \mathbb{H} \rightarrow \mathbb{G}$ be maps of crossed-modules and $\theta: Q \Rightarrow P$ a pointed transformation between them (Definition 4.1). Then, we have the following commutative diagram of maps of crossed-modules:*

$$\begin{array}{ccccc}
 H_2 & \xrightarrow{q_2} & G_2 & \xrightarrow{=} & G_2 \\
 \downarrow & & \downarrow & \searrow & \downarrow \\
 & & H_2 & \xrightarrow{p_2} & G_2 \\
 & & \downarrow & & \downarrow \\
 H_1 & \xrightarrow{q_1} & H_1 \ltimes^{H_2, Q} G_2 & \xrightarrow{\rho_Q} & G_2 \\
 & & \downarrow & \searrow & \downarrow \\
 & & H_1 & \xrightarrow{p_1} & H_1 \ltimes^{H_2, P} G_2 \\
 & & & & \downarrow \\
 & & & & G_1
 \end{array}$$

$\theta_* \xrightarrow{\sim} \rho_P$

in which the front faces compose to P and the back faces compose to Q . Here θ_* is obtained by the construction of Lemma 7.4 applied to $\theta: H_1 \rightarrow G_2$. Furthermore, the following triangle commutes:

$$\begin{array}{ccc}
 \pi_1(Q_*\mathbb{H}) & \xrightarrow[\sim]{\pi_1(\theta_*)} & \pi_1(P_*\mathbb{H}) \\
 \searrow \sim & & \swarrow \sim \\
 & \pi_1(\mathbb{H}) &
 \end{array}$$

Proof. This is basically a restatement of Lemma 7.4. Only proof of the equality $\rho_Q = \rho_P \circ \theta_*$ is missing. To prove this, pick $(h, \alpha) \in H_1 \ltimes^{H_2, Q} G_2$. Since $\theta_*(h, \alpha) = (h, \theta(h)\alpha)$, we have

$$\rho_P(\theta_*(h, \alpha)) = p_1(h)\theta(h)\alpha = q_1(h)\alpha = \rho_Q(h, \alpha).$$

□

Lemma 7.9. *Consider the commutative diagrams of Lemma 7.8, but with ϑ instead of θ_* . Assume $\pi_2 P: \pi_2 \mathbb{H} \rightarrow \pi_2 \mathbb{G}$ is the zero homomorphism. Then, there is a unique transformation $\theta: Q \Rightarrow P$ such that $\vartheta = \theta_*$.*

Proof. This is more or less a restatement of Lemma 7.5.i, with H_1 , H_2 and G_2 playing the roles of K , H and G , respectively. More explicitly, construct $\theta: H_1 \rightarrow G_2$ as in Lemma 7.5. It automatically satisfies condition **T2** of Definition 4.1. The fact that it satisfies **T1** follows from the definition of θ_* ; see Lemma 7.4. □

8. BUTTERFLIES AS WEAK MORPHISMS

In this section, we give a description for the groupoid of weak maps between two crossed-modules (Theorem 8.4). The key is the following definition.

Definition 8.1. Let $\mathbb{G} = [G_2 \rightarrow G_1]$ and $\mathbb{H} = [H_2 \rightarrow H_1]$ be crossed-modules. By a *butterfly* from \mathbb{H} to \mathbb{G} we mean a commutative diagram of groups

$$\begin{array}{ccccc} & H_2 & & G_2 & \\ & \downarrow & \swarrow \kappa & \swarrow \iota & \downarrow \\ & & E & & \\ & \downarrow & \swarrow \sigma & \swarrow \rho & \downarrow \\ & H_1 & & G_1 & \end{array}$$

in which both diagonal sequences are complexes, and the NE-SW sequence, that is, $G_2 \rightarrow E \rightarrow H_1$, is short exact. We require ρ and σ satisfy the following compatibility with actions. For every $x \in E$, $\alpha \in G_2$, and $\beta \in H_2$,

$$\iota(\alpha^{\rho(x)}) = x^{-1}\iota(\alpha)x, \quad \kappa(\beta^{\sigma(x)}) = x^{-1}\kappa(\beta)x.$$

We denote the above butterfly by the tuple $(E, \rho, \sigma, \iota, \kappa)$. A *morphism* between two butterflies $(E, \rho, \sigma, \iota, \kappa)$ and $(E', \rho', \sigma', \iota', \kappa')$ is an isomorphism $f: E \rightarrow E'$ commuting with all four maps. We define $\mathcal{B}(\mathbb{H}, \mathbb{G})$ to be the groupoid of butterflies from \mathbb{H} to \mathbb{G} .

Remark 8.2. Our notion of butterfly should not be confused with J. Pradines'. The latter correspond to morphisms in the localized category of topological groupoids obtained by inverting Morita equivalences.

Lemma 8.3. *In a butterfly $(E, \rho, \sigma, \iota, \kappa)$, every element in the image of ι commutes with every element in $\text{Ker } \rho$. Similarly, every element in the image of κ commutes with every element in $\text{Ker } \sigma$. In particular, the elements in the images of ι commute with the elements in the image of κ .*

Proof. Easy. □

The following theorem explains why we butterflies are interesting objects: they correspond to weak morphisms between crossed-modules (§5).

Theorem 8.4. *There is an equivalence of groupoids, natural up to a natural homotopy,*

$$\Omega: \underline{\mathcal{R}\mathcal{H}\text{om}}_*(\mathbb{H}, \mathbb{G}) \rightarrow \mathcal{B}(\mathbb{H}, \mathbb{G}).$$

Proof. We begin with the following observation. Consider the product crossed-module $[H_2 \times G_2 \rightarrow H_1 \times G_1]$. Then, to give a butterfly from \mathbb{H} to \mathbb{G} is equivalent to giving a triangle

$$\begin{array}{ccc} & H_2 \times G_2 & \\ \swarrow & & \searrow (\partial_{\mathbb{H}}, \partial_{\mathbb{G}}) \\ E & \xrightarrow{(\sigma, \rho)} & H_1 \times G_1 \end{array}$$

which has the property that ρ intertwines the action on $H_2 \times G_2$ of E (by conjugation) with the action of $H_1 \times G_1$ (from the crossed-module structure) and that the projection map $[H_2 \times G_2 \rightarrow E] \rightarrow [H_2 \rightarrow H_1]$ is an equivalence of crossed-modules. (For this we use Lemma 8.3.)

Let us now construct the functor $\Omega: \underline{\mathcal{R}\mathcal{H}\text{om}}_*(\mathbb{H}, \mathbb{G}) \rightarrow \mathcal{B}(\mathbb{H}, \mathbb{G})$. Choose a cofibrant replacement $\mathbb{F} = [F_2 \rightarrow F_1]$ for \mathbb{H} . Then, $\underline{\mathcal{R}\mathcal{H}\text{om}}_*(\mathbb{H}, \mathbb{G}) \cong \underline{\mathcal{H}\text{om}}_*(\mathbb{F}, \mathbb{G})$.

So, we have to construct $\mathcal{H}om_*(\mathbb{F}, \mathbb{G}) \rightarrow \mathcal{B}(\mathbb{H}, \mathbb{G})$. We use the pushout construction of §7.1. Namely, given a morphism $P: \mathbb{F} \rightarrow \mathbb{G}$, we pushout \mathbb{F} along the map $F_2 \rightarrow H_2 \times G_2$ to obtain the crossed module $H_2 \times G_2 \rightarrow E$. This is defined to be $\Omega(P)$. This way we obtain a triangle

$$\begin{array}{ccc} & H_2 \times G_2 & \\ \swarrow & & \searrow^{(\partial_{\mathbb{H}}, \partial_{\mathbb{G}})} \\ E & \xrightarrow{\rho} & H_1 \times G_1 \end{array}$$

Lemma 7.7 implies that the induced map $\mathbb{F} \rightarrow [H_2 \times G_2 \rightarrow E]$ is a weak equivalence of crossed modules. Therefore, $[H_2 \times G_2 \rightarrow E] \rightarrow [H_2 \rightarrow H_1]$ is also an equivalence of crossed-modules. Thus, we see that $\Omega(P) := [H_2 \times G_2 \rightarrow E]$ is really a butterfly from \mathbb{H} to \mathbb{G} . This defines the effect of Ω on objects. The effect on morphisms is defined in the obvious way (see Lemma 7.8).

We need to show that Ω is essentially surjective, full and faithful. Essential surjectivity follows from the fact that \mathbb{F} is cofibrant, and fullness follows from Lemma 7.5.ii. Let us prove the faithfulness. Let $\theta, \theta': F_1 \rightarrow G_2$ be transformations between $P, Q: \mathbb{F} \rightarrow \mathbb{G}$ such that $\Omega(\theta) = \Omega(\theta')$. Define $\hat{\theta}: F_1 \rightarrow H_2 \times G_2$ by $\hat{\theta} = (1, \theta)$. Define $\hat{\theta}': F_1 \rightarrow H_2 \times G_2$ in the similar way. By hypothesis, we have $\hat{\theta}_* = \hat{\theta}'_*: E_Q \rightarrow E_P$. By Lemma 7.5.iii, for every $x \in F_1$, the element $\hat{\theta}^{-1}(x)\hat{\theta}'(x)$ lies in the image of $\pi_2\mathbb{F}$ under the map $F_2 \rightarrow H_2 \times G_2$. Since $\mathbb{F} \rightarrow \mathbb{H}$ induces an isomorphism on π_2 , the only element in this image which is of the form $(1, \alpha)$ is $(1, 1)$. On the other hand, every element $\hat{\theta}^{-1}(x)\hat{\theta}'(x)$ is of the form $(1, \alpha)$. We conclude that $\hat{\theta}^{-1}(x)\hat{\theta}'(x) = (1, 1)$. Therefore, $\theta(x) = \theta'(x)$. This completes the proof. \square

Remark 8.5. We can think of the category of crossed-modules and weak maps as the localization of $\mathbf{2Gp}$ with respect to equivalences of crossed-modules. Theorem 8.4 says that every weak map $f: \mathbb{H} \rightarrow \mathbb{G}$ can be canonically written as a fraction

$$\begin{array}{ccc} & \mathfrak{K} & \\ \swarrow \sim & & \searrow \\ \mathbb{H} & \xrightarrow{\quad f \quad} & \mathbb{G} \end{array}$$

where

$$\mathfrak{K} := \sigma^*\mathbb{H} = [E \times_{H_1} H_2 \xrightarrow{pr_1} E] \cong [H_2 \times G_2 \xrightarrow{(\kappa, \iota)} E].$$

Example 8.6. Let X be a topological space, and A an abelian group. We can use the above theorem to give a description of the second cohomology $H^2(X, A)$. Recall that this cohomology group is in bijection with the set of homotopy classes of maps $X \rightarrow K(A, 2)$, where $K(A, 2)$ stands for the Eilenberg-MacLane space. Since $K(A, 2)$ is simply connected, we can, equivalently, work with pointed homotopy classes. Assume the 2-type of X is represented by the crossed-module $\mathbb{H} = [H_2 \rightarrow H_1]$. Then, there is a natural bijection $H^2(X, A) \cong [\mathbb{H}, A]_{\mathbf{2Gp}}$, where we think of A as the crossed module $[A \rightarrow 1]$. From Theorem 8.4 we conclude that $H^2(X, A)$ is in natural bijection with the set of isomorphism classes of pairs (E, κ) , where E is a central extension of H_1 by A , and $\kappa: H_2 \rightarrow E$ is an E -equivariant homomorphism. Here, E acts on itself by conjugation and on H_2 via the projection $E \rightarrow H_1$.

Of course, we can take any crossed-module \mathbb{G} instead of $K(A, 2)$ and this way we find a description of the non-abelian cohomology $H^1(X, \mathbb{G})$.

8.1. Cohomological point of view. We quote the following cohomological description of the set of pointed homotopy classes of weak maps from \mathbb{H} to \mathbb{G} , that is, $[\mathbb{H}, \mathbb{G}]_{\mathbf{XMod}}$ from [E1] (which apparently goes back to Joyal and Street; see [ibid.], §3.5).

Theorem 8.7 ([E1], Theorem 2.7). *Let \mathbb{G} and \mathbb{H} be crossed-modules, and assume they are represented by triples $(\pi_1\mathbb{G}, \pi_2\mathbb{G}, [\alpha])$ and $(\pi_1\mathbb{H}, \pi_2\mathbb{H}, [\beta])$, respectively, where α and β are 3-cocycles representing the corresponding Postnikov invariants (see §15). Then, there is a bijection between the set of pointed homotopy classes of weak maps from \mathbb{H} to \mathbb{G} , that is, $[\mathbb{H}, \mathbb{G}]_{\mathbf{XMod}}$, and the set of triples $(\chi, \lambda, [c])$, where $\chi: \pi_1\mathbb{H} \rightarrow \pi_1\mathbb{G}$ is a group homomorphism, $\lambda: \pi_2\mathbb{H} \rightarrow \pi_2\mathbb{G}$ is a χ -equivariant homomorphism such that $[\chi^*(\alpha)] = [\lambda_*(\beta)]$ in $H^3(\pi_1\mathbb{H}, \pi_2\mathbb{G}^\chi)$, and $[c]$ is the class, modulo coboundary, of a 2-cochain on $\pi_1\mathbb{H}$ with values in $\pi_2\mathbb{G}^\chi$, such that $\partial c = \chi^*(\alpha) - \lambda_*(\beta)$. Here, $\pi_2\mathbb{G}^\chi$ stands for $\pi_2\mathbb{G}$ made into a $\pi_1\mathbb{H}$ -module via χ .*

We will say more about this in the case where $\mathbb{H} = \Gamma$ is an ordinary group in sections 14-16.

9. BASIC FACTS ABOUT BUTTERFLIES

In this section we investigate butterflies in more detail.

9.1. Butterflies vs. spans. Theorem 8.4 can be interpreted as saying that once we invert strict equivalences of crossed-modules in \mathbf{XMod} , the morphisms of the resulting localized category can be presented, in a canonical way, by butterflies. In fact, every butterfly $(E, \rho, \sigma, \iota, \kappa)$ gives rise to a span of strict crossed-module morphisms

$$\begin{array}{ccccc}
 & & H_2 \times G_2 & & \\
 & \swarrow pr_1 & \downarrow \mu & \searrow pr_2 & \\
 H_2 & & E & & G_2 \\
 \downarrow & \cong & \downarrow & & \downarrow \\
 H_1 & \swarrow \sigma & & \searrow \rho & G_1
 \end{array}$$

The map $\mu: H_2 \times G_2 \rightarrow E$ is defined by $(\alpha, \beta) \mapsto \kappa(\alpha)\iota(\beta)$. Note that, by Lemma 8.3, the images of ι and κ in E commute. The componentwise action of E on H_2 and G_2 makes $\mathbb{E} := [\mu: H_2 \times G_2 \rightarrow E]$ into a crossed-module. It is easy to verify that $\mathbb{E} \rightarrow \mathbb{H}$ is an equivalence of crossed-modules.

9.2. Butterflies vs. cocycles. In ([No1], Definition 8.4), we give a definition of a weak morphism between crossed-modules $[H_2 \rightarrow H_1]$ and $[G_2 \rightarrow G_1]$ in terms of certain cocycles. By definition, such a cocycle consists of a triple (p_1, p_2, ε) , where $p_1: H_1 \rightarrow G_1$, $p_2: H_2 \rightarrow G_2$, and $\varepsilon: H_1 \times H_1 \rightarrow G_2$ are pointed set maps satisfying certain axioms [ibid.].⁴ Given such a triple, one can recover the corresponding butterfly as follows.

⁴There is an error in the set of axioms in the published version of [ibid.]. This is corrected in an erratum which is available on my webpage.

Let E be the group that has $H_1 \times G_2$ as the underlying set and whose product is defined by

$$(h, g) \cdot (h', g') := (hh', \varepsilon_{h,h'}^{-1} g^{p_1(h')} g').$$

Define the group homomorphisms $\rho: E \rightarrow G_1$ and $\kappa: H_2 \rightarrow E$ by

$$\rho(h, g) = p_1(h)g, \quad \kappa(h) = (\underline{h}, p_2(h)^{-1}).$$

The homomorphisms $\iota: G_2 \rightarrow E$ and $\sigma: E \rightarrow H_1$ are the inclusion and the projection maps on the corresponding components.

It is easy to verify that this gives rise to a butterfly, and that this construction takes a (pointed) transformation of weak maps of crossed-modules (see *loc. cit.*) to a morphism of butterflies.

Conversely, given a butterfly $(E, \rho, \sigma, \iota, \kappa)$, any choice of a set theoretic section $s: H_1 \rightarrow E$ for $\sigma: E \rightarrow H_1$ gives rise to a cocycle (p_1, p_2, ε) defined by:

$$\begin{aligned} p_1: H_1 &\rightarrow G_1, & h &\mapsto \rho s(h), \\ p_2: H_2 &\rightarrow G_2, & \alpha &\mapsto \kappa(\alpha)^{-1} s(\underline{\alpha}), \\ \varepsilon_{h,h'} &= s(h')^{-1} s(h)^{-1} s(hh'). \end{aligned}$$

If we choose a different section s' for σ , we find a pointed transformation θ between (p_1, p_2, ε) and $(p'_1, p'_2, \varepsilon')$ given by

$$\theta: H_1 \rightarrow G_2, \quad h \mapsto s(h)^{-1} s'(h).$$

We can summarize this discussion by saying that, to give a triple (p_1, p_2, ε) as in ([No1], Definition 8.4) is the same thing as to give a butterfly $(E, \rho, \sigma, \iota, \kappa)$ together with a set theoretic splitting $s: H_1 \rightarrow E$ of σ . In particular, we have an equivalence of groupoids

$$\mathcal{B}(\mathbb{H}, \mathbb{G}) \cong \text{Hom}_{\text{Weak}}(\mathbb{H}, \mathbb{G})$$

where the right hand side is the groupoid whose morphisms of triples (p_1, p_2, ε) and whose morphisms of pointed transformations θ as in *loc. cit.*

9.3. The induced map on homotopy groups. By Theorem 8.4, a butterfly $\mathcal{P} = (E, \rho, \sigma, \iota, \kappa)$ from \mathbb{H} to \mathbb{G} induces a well-defined map $N\mathbb{H} \rightarrow N\mathbb{G}$ in the *homotopy category* of simplicial sets, which should be thought of as the “nerve of the weak map \mathcal{P} ”. Indeed, any choice of a set-theoretic section s for the map $\sigma: E \rightarrow H_1$ gives rise to a natural simplicial map $N_s\mathcal{P}: N\mathbb{H} \rightarrow N\mathbb{G}$. Furthermore, if s' is another choice of a section, there is a natural simplicial homotopy between $N_s\mathcal{P}$ and $N_{s'}\mathcal{P}$.

In particular, a butterfly \mathcal{P} induces natural homomorphisms on π_1 and π_2 . We can in fact describe these maps quite explicitly.

To define $\pi_1\mathcal{P}: \pi_1\mathbb{H} \rightarrow \pi_1\mathbb{G}$, let x be an element in $\pi_1\mathbb{H}$ and choose $y \in E$ such that $\sigma(y) = x$. Define $\pi_1\mathcal{P}(x)$ to be the class of $\rho(y)$ in $\pi_1\mathbb{G}$. This is easily seen to be well-defined. To define $\pi_2\mathcal{P}: \pi_2\mathbb{H} \rightarrow \pi_2\mathbb{G}$, let β be an element in $\pi_2\mathbb{H}$ and consider $y = \kappa(\beta) \in E$. Since $\sigma(y) = \partial(\beta) = 1$, there exists a unique $\alpha \in G_2$ such that $\iota(\alpha) = y$. We define $\pi_2\mathcal{P}(\beta)$ to be α . Note that $\partial(\alpha) = \rho(y) = 1$, so α is indeed in $\pi_2\mathbb{G}$.

9.4. Homotopy fiber of a butterfly. We saw in §9.3 that a butterfly $(E, \rho, \sigma, \iota, \kappa)$ from \mathbb{H} to \mathbb{G} induces a map $N\mathbb{H} \rightarrow N\mathbb{G}$ of simplicial sets which is well-defined up to simplicial homotopy. It is natural to ask what is the homotopy fiber of this map. In this subsection we see that this homotopy fiber can be recovered from the NW-SE sequence of the butterfly. We describe two ways of doing this.

Let $\mathcal{P}: (E, \rho, \sigma, \iota, \kappa)$ be a butterfly from \mathbb{H} to \mathbb{G} . We define its *homotopy fiber* $\mathfrak{F} = \mathfrak{F}_{\mathcal{P}}$ to be the following 2-groupoid. The set of objects of \mathfrak{F} is G_1 . Given $g, g' \in G_1$, the set of 1-morphisms in \mathfrak{F} from g to g' is the set of all $x \in E$ such that $g\rho(x) = g'$. For every two such $x, y \in E$, the set of 2-morphisms from x to y is the set of all $\gamma \in H_2$ such that $x\kappa(\gamma) = y$. We depict this 2-cell by

$$\begin{array}{ccc} & x & \\ & \curvearrowright & \\ g & \Downarrow \gamma & g' \\ & \curvearrowleft & \\ & y & \end{array}$$

It is clear how to define compositions rules in \mathfrak{F} , except perhaps for horizontal composition of 2-morphisms. Consider two 2-morphisms

$$\begin{array}{ccc} g & \xrightarrow{x} & g' \\ & \Downarrow \gamma & \\ g & \xrightarrow{y} & g' \end{array} \quad \begin{array}{ccc} g' & \xrightarrow{z} & g'' \\ & \Downarrow \delta & \\ g' & \xrightarrow{t} & g'' \end{array}$$

We define their composition to be

$$\begin{array}{ccc} g & \xrightarrow{xz} & g'' \\ & \Downarrow \gamma^{\sigma(z)} \delta & \\ g & \xrightarrow{yt} & g'' \end{array}$$

There is a natural (strict) morphism of 2-groupoids $\Phi: \mathfrak{F} \rightarrow \mathbb{H}$. To describe this map, we need to think of \mathbb{H} as a 2-groupoid with one object, as in §3.3. We recall how this works. The unique object of \mathbb{H} is denoted \bullet . The set of 1-morphisms of \mathbb{H} is H_1 . Given two 1-morphisms $h, h' \in H_1$, the set of 2-morphisms from h to h' is the set of all $\gamma \in H_2$ such that $h\partial(\gamma) = h'$.

The natural map $\Phi: \mathfrak{F} \rightarrow \mathbb{H}$ is described as follows:

$$\begin{array}{ccc} g & \xrightarrow{x} & g' \\ & \Downarrow \gamma & \\ g & \xrightarrow{y} & g' \end{array} \quad \mapsto \quad \begin{array}{ccc} \bullet & \xrightarrow{\sigma(x)} & \bullet \\ & \Downarrow \gamma & \\ \bullet & \xrightarrow{\sigma(y)} & \bullet \end{array}$$

Theorem 9.1. *The sequence $N\mathfrak{F} \xrightarrow{N\Phi} N\mathbb{H} \xrightarrow{N\mathcal{P}} N\mathbb{G}$, which is well-defined in the homotopy category of simplicial sets, is a homotopy fiber sequence.*

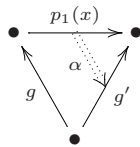
In order to prove Theorem 9.1, we recall a few facts about homotopy fibers in **2Gpd**. Given a strict morphism $P: \mathbb{H} \rightarrow \mathbb{G}$ of 2-groupoids, and a base point \bullet in \mathbb{G} , there is a standard model for the homotopy fiber of P which is given by the following strict fiber product:

$$\text{Fib}_P := * \times_{\bullet, \mathbb{G}, s} \mathbb{G}^I \times_{t, \mathbb{G}, P} \mathbb{H}.$$

Here $\mathbb{G}^I := \underline{\text{Hom}}(0 \rightarrow 1, \mathbb{G})$ is the 2-groupoid of 1-morphisms of \mathbb{G} , and $s, t: \mathbb{G}^I \rightarrow \mathbb{G}$ are the source and target functors. (For a more precise definition of \mathbb{G}^I see Definition 17.1.)

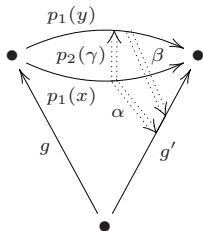
In the case where \mathbb{G} and \mathbb{H} are 2-groups associated to crossed-modules $[G_2 \rightarrow G_1]$ and $[H_2 \rightarrow H_1]$, the 2-groupoid Fib_P is described more explicitly as follows:

- Objects are elements of G_1 .
- 1-morphisms from g to g' are pairs $(x, \alpha) \in H_1 \times G_2$, as in the 2-cell



This means, $gp_1(x)\underline{\alpha} = g'$.

- 2-morphisms from (x, α) to (y, β) are elements $\gamma \in H_2$ making the following diagram commutative:



More precisely, we want $x\underline{\gamma} = y$ and $p_2(\gamma)\beta = \alpha$.

There is a natural projection functor $pr: \text{Fib}_P = * \times_{\bullet, \mathbb{G}, s} \mathbb{G}^J \times_{t, \mathbb{G}, f} \mathbb{H} \rightarrow \mathbb{H}$ which fits in the following fiber homotopy sequence:

$$\text{Fib}_P \xrightarrow{pr} \mathbb{H} \xrightarrow{P} \mathbb{G}.$$

In the case where the map $p_2: H_2 \rightarrow G_2$ is surjective, there is a smaller model for the homotopy fiber of P which we now describe. Let Fib'_P be the full sub-2-category of Fib_P in which for 1-morphisms we only take the ones for which α is the identity. It is easily checked that, in this case, the inclusion $\text{Fib}'_P \subset \text{Fib}_P$ is an equivalence of 2-groupoids.

Let us record this as a lemma.

Lemma 9.2. *Let $\mathbb{G} = [G_2 \rightarrow G_1]$ and $\mathbb{H} = [H_2 \rightarrow H_1]$ be crossed-modules, viewed as 2-groups. Let $P: \mathbb{H} \rightarrow \mathbb{G}$ be a strict morphism of 2-groups, and let Fib'_P be the 2-groupoid defined in the previous paragraph. If $p_2: H_2 \rightarrow G_2$ is surjective, then the sequence*

$$\text{Fib}'_P \xrightarrow{pr} \mathbb{H} \xrightarrow{P} \mathbb{G}$$

is a homotopy fiber sequence in the category of 2-groupoids.

We can now prove Theorem 9.1.

Proof of Theorem 9.1. We apply Lemma 9.2 to the morphism of crossed-modules $P: [H_2 \times G_2 \rightarrow E] \rightarrow [G_2 \rightarrow G_1]$. Observe that the crossed-module on the left is a model for \mathbb{H} (via the natural weak equivalence $(pr_1, \sigma): [H_2 \times G_2 \rightarrow E] \rightarrow [H_2 \rightarrow H_1]$), so P is a strict model for \mathcal{P} .

It is easily verified that the 2-groupoid \mathfrak{F} of the theorem is canonically *isomorphic* to the 2-groupoid Fib'_P of Lemma 9.2. So, the homotopy fiber sequence of

Lemma 9.2 is naturally isomorphic, in the homotopy category of 2-groupoids, to the sequence

$$\mathfrak{F} \xrightarrow{\Phi} \mathbb{H} \xrightarrow{\mathcal{P}} \mathbb{G}.$$

Taking the nerves gives the homotopy fiber sequence of Theorem 9.1. \square

We now derive some corollaries of Theorem 9.1.

Proposition 9.3. *Let $\mathcal{P}: (E, \rho, \sigma, \iota, \kappa)$ be a butterfly from \mathbb{H} to \mathbb{G} . Consider the nerve $N\mathcal{P}: N\mathbb{H} \rightarrow N\mathbb{G}$, which is well-defined in the homotopy category of simplicial sets, and let F be its homotopy fiber (note that F is naturally pointed). Let*

$$C: H_2 \xrightarrow{\kappa} E \xrightarrow{\rho} G_1$$

be the NW-SE sequence of \mathcal{P} . Then, there are natural isomorphisms $H_i(C) \cong \pi_i F$, $i = 0, 1, 2$. (Of course, for $i = 0$ this means an isomorphism of pointed sets.)

Proof. By Theorem 9.1, F is naturally homotopy equivalent to $N\mathfrak{F}$. It is easily verified that $H_i(C) \cong \pi_i \mathfrak{F}$, $i = 0, 1, 2$. The result follows. \square

Remark 9.4. Note that the truncated sequence $H_2 \rightarrow E$ is indeed naturally a crossed-module (take the action of E on H_2 induced via σ). The 2-groupoid \mathfrak{F} of Theorem 9.1 can be recovered from the sequence C together with this extra structure.

Corollary 9.5. *Notation being as in Proposition 9.3, there is a long exact sequence*

$$1 \rightarrow H_2(C) \rightarrow \pi_2 \mathfrak{F} \rightarrow \pi_2 \mathfrak{G} \rightarrow H_1(C) \rightarrow \pi_1 \mathfrak{F} \rightarrow \pi_1 \mathfrak{G} \rightarrow H_0(C) \rightarrow 1.$$

Proof. Immediate. \square

Proposition 9.6. *A butterfly $(E, \rho, \sigma, \iota, \kappa)$ from \mathbb{H} to \mathbb{G} is a weak equivalence (i.e. induces a homotopy equivalence on the nerves), if and only if the NW-SE sequence*

$$H_2 \xrightarrow{\kappa} E \xrightarrow{\rho} G_1$$

is short exact. A weak inverse for this butterfly is obtained by simply flipping the butterfly, as in the following diagram

$$\begin{array}{ccc} G_2 & & H_2 \\ & \searrow \iota & \swarrow \kappa \\ & & E \\ & \swarrow \rho & \searrow \sigma \\ G_1 & & H_1 \end{array}$$

Proof. Immediate. \square

There is another way of describing the homotopy fiber of a butterfly. Define \mathbb{F} to be the following crossed-module in groupoids:

$$\mathbb{F} := \left[\coprod_{g \in G_1} H_2 \xrightarrow{f} G_1 \times E \rightrightarrows G_1 \right].$$

The groupoid $[G_1 \times E \rightrightarrows G_1]$ is the translation groupoid of the right multiplication action of E on G_1 via ρ . Its source and target maps are $s: (g, x) \mapsto g$ and $t: (g, x) \mapsto g\rho(x)$. The restriction of f to the copy of H_2 corresponding to the index $g \in G_1$ sends the element $\beta \in H_2$ to $(g, \kappa(\beta))$.

It can be shown that the nerve of \mathbb{F} is naturally equivalent to \mathfrak{F} .

9.5. Weak morphisms vs. strict morphisms. We saw in the Theorem 8.4 that $\mathcal{B}(\mathbb{H}, \mathbb{G})$ is a model for the groupoid of weak morphism from \mathbb{H} to \mathbb{G} . Strict morphisms $\mathbb{H} \rightarrow \mathbb{G}$ form a subgroupoid of $\mathcal{B}(\mathbb{H}, \mathbb{G})$. The objects of this subgroupoid are precisely the butterflies for which the NE-SW short exact sequence is split.

More precisely, given a strict morphisms $P: \mathbb{H} \rightarrow \mathbb{G}$, the corresponding butterfly looks as follows:

$$\begin{array}{ccccc}
 H_2 & & & & G_2 \\
 & \searrow \kappa & & \swarrow \iota & \\
 & & H_1 \times G_2 & & \\
 & \swarrow \sigma & & \searrow \rho & \\
 H_1 & & & & G_1
 \end{array}$$

where $\iota = (1, \text{id})$, $\sigma = pr_1$, $\kappa(\beta) = (\underline{\beta}, p_2(\beta^{-1}))$, and $\rho(x, \alpha) = p_1(x)\underline{\alpha}$. Here, the action of H_1 on G_2 is obtained via p_1 from that of G_1 on G_2 .

Observe that, any butterfly coming from a strict morphism has a canonical splitting. A morphism of butterflies does not necessarily respect this splitting. In fact, the difference between the resulting splittings determines a (pointed) transformation (Definition 4.1) between the corresponding strict functors, and vice versa. Let us state this in the following.

Proposition 9.7. *Let $\mathcal{SB}(\mathbb{H}, \mathbb{G})$ be the groupoid whose objects are butterflies with a splitting and whose morphisms are the morphisms of the underlying butterflies. Then, we have a natural equivalence of groupoids*

$$\underline{\mathcal{H}om}_*(\mathbb{H}, \mathbb{G}) \cong \mathcal{SB}(\mathbb{H}, \mathbb{G}).$$

9.6. Butterflies in the differentiable or algebraic contexts. Our discussion of butterflies can be generalized to a global setting in which everything happens over a Grothendieck site \mathcal{S} . A group is now replaced by a sheaf of groups, and a crossed-module $[G_2 \rightarrow G_1]$ will have G_1 and G_2 sheaves of groups. Also, in the definition of a butterfly we require that the NW-SE sequence is a short exact sequence of sheaves of groups.

It can be shown that the discussion of the previous, and the subsequent, sections on butterflies goes through more or less verbatim in the relative case. In particular, it can be shown that butterflies model morphisms in the homotopy category of crossed-modules over \mathcal{S} .

As a consequence of this global approach, we obtain theories of *butterflies for crossed-modules in Lie groups, topological groups, group schemes* and so on. For example, a Lie butterfly between two Lie crossed-modules is one in which E is a Lie group, all the maps ι , κ , ρ , and σ are differentiable homomorphisms, and the sequence

$$1 \rightarrow G_2 \xrightarrow{\iota} E \xrightarrow{\sigma} H_1 \rightarrow 1$$

is a short exact sequence of Lie groups.

The general theory of butterflies over a Grothendieck site will appear in a joint paper with E. Aldrovandi.

Remark 9.8. The savvy reader may complain that in the example of Lie butterflies mentioned above, one can only expect E to be a sheaf of groups over the site \mathcal{S} of differentiable manifolds. However, it is not hard to see that when E is a sheaf of

groups which sits in a short exact sequence whose both ends are Lie groups, then E itself is necessarily representable by a Lie groups.

10. BICATEGORY OF CROSSED-MODULES AND WEAK MAPS

In this section we construct a bicategory \mathcal{CM} whose objects are crossed-modules and whose 1-morphisms are butterflies. The bicategory \mathcal{CM} is a model for the homotopy category of pointed connected 2-types.

10.1. The bicategory \mathcal{CM} . Theorem 8.4 enables us to give a concrete model for the 2-category of crossed-modules, weak morphisms and weak transformation. More precisely, define \mathcal{CM} to be the bicategory whose objects are crossed-modules and whose morphism groupoids are $\mathcal{B}(\mathbb{H}, \mathbb{G})$. The composition functors $\mathcal{B}(\mathbb{K}, \mathbb{H}) \times \mathcal{B}(\mathbb{H}, \mathbb{G}) \rightarrow \mathcal{B}(\mathbb{K}, \mathbb{G})$ are defined as follows. Given butterflies

$$\begin{array}{ccccc} K_2 & & H_2 & & H_2 & & G_2 \\ \xi \downarrow & \searrow & \iota' \swarrow & \downarrow \partial & \partial \downarrow & \swarrow \kappa & \swarrow & \downarrow \partial \\ & & F & & H_1 & & E & & G_1 \\ & & \rho' \searrow & & \sigma \swarrow & & & & \end{array}$$

we define their composite to be the butterfly

$$\begin{array}{ccccc} K_2 & & & & G_2 \\ \xi \downarrow & \searrow & & \swarrow & \downarrow \partial \\ & & F \times_{H_1}^{H_2} E & & G_1 \\ & & \rho' \searrow & & \sigma \swarrow \end{array}$$

where $F \times_{H_1}^{H_2} E$ is a Baer type product. More precisely, it is the quotient of the group

$$L := \{(y, x) \in F \times E \mid \rho'(y) = \sigma(x) \in H_1\}$$

modulo the subgroup

$$I = \{(\iota'(\beta), \kappa(\beta)) \in F \times E \mid \beta \in H_2\}.$$

We have the following theorem (see §4 for notation).

Theorem 10.1. *With morphism groupoids being $\mathcal{B}(\mathbb{H}, \mathbb{G})$, crossed-modules form a bicategory \mathcal{CM} . There is a natural weak functor $\mathbf{XMod} \rightarrow \mathcal{CM}$ which is fully faithful on morphism groupoids.*

Proof. It is straightforward to verify that \mathcal{CM} is a bicategory. The functor $\mathbf{XMod} \rightarrow \mathcal{CM}$ is the one constructed in §9.5. Fully faithfulness on morphism groupoids is a restatement of Proposition 9.7. \square

Remark 10.2. The bicategory \mathcal{CM} is a model for (i.e., is naturally biequivalent to) the 2-category of 2-groups and *weak* morphisms between them; see [No1], especially Propositions 8.1 and 7.8. More precisely, the latter is the 2-category whose objects are 2-groups, whose 1-morphisms are weak morphisms between 2-groups, and whose 2-morphisms are pointed transformations between them.

The advantage of working with \mathcal{CM} is that 1-morphisms and 2-morphisms in \mathcal{CM} are quite explicit, and in order to describe weak morphism one does not need to

deal with complicated cocycles and coherence conditions (like the ones coming from the hexagon axiom) which in practice make explicit computations intractable. This is a great advantage in geometric contexts, say, when dealing with weak morphisms of Lie or algebraic 2-groups (see 9.6).

10.2. A special case of composition of butterflies. There is a simpler, and quite useful, description for the composition of two butterflies in the case where one of them is strict (§9.5).

When the first morphisms is strict, say

$$\begin{array}{ccc} K_2 & \xrightarrow{q_2} & H_2 \\ \downarrow & & \downarrow \\ K_1 & \xrightarrow{q_1} & H_1 \end{array}$$

then the composition is

$$\begin{array}{ccccc} K_2 & & & & G_2 \\ & \searrow & & \swarrow & \downarrow \\ & & q_1^*(F) & & \\ & \swarrow & & \searrow & \downarrow \\ K_1 & & & & G_1 \end{array}$$

Here, $q_1^*(F)$ stands for the pull back of the extension F along $q_1: K_1 \rightarrow H_1$. More precisely, $q_1^*(F) = K_1 \times_{H_1} F$ is the fiber product.

When the second morphisms is strict, say

$$\begin{array}{ccc} H_2 & \xrightarrow{p_2} & G_2 \\ \downarrow & & \downarrow \\ H_1 & \xrightarrow{p_1} & G_1 \end{array}$$

then the composition is

$$\begin{array}{ccccc} K_2 & & & & G_2 \\ & \searrow & & \swarrow & \downarrow \\ & & p_{2,*}(E) & & \\ & \swarrow & & \searrow & \downarrow \\ K_1 & & & & G_1 \end{array}$$

Here, $p_{2,*}(E)$ stands for the push forward of the extension E along $p_2: H_2 \rightarrow G_2$. More precisely, $p_{2,*}(E) = E \times_{H_2} G_2$ is the pushout.

11. KERNELS AND COKERNELS OF BUTTERFLIES

In this section we give an overview of how certain basic notions of group theory, such as kernels, cokernels, complexes, extensions, and so on, can be generalized to the setting of 2-groups and weak morphisms through the language of butterflies. These notions have, of course, been studied by various authors already, but our emphasis is on showing how our approach via butterflies makes things remarkably simple and reveals structures that are not easy to see using the cocycle approach.

This section is meant as a general overview and we do not give many proofs. The proofs are not at all hard, but we believe this topic deserves a systematic treatment which is out of the scope of this paper. This is being worked out in [No3].

11.1. Kernels and cokernels of butterflies. For a weak morphism $\mathbb{H} \rightarrow \mathbb{G}$ of 2-groups, one can define a kernel and a cokernel using a certain universal property. The kernel is always expected to be a 2-group again. The cokernel, however, is only a pointed groupoid in general. In this section, we give an explicit description of the kernel and the cokernel of a weak morphism of 2-groups using the formalism of butterflies.

Consider the butterfly $\mathcal{P}: \mathbb{H} \rightarrow \mathbb{G}$ given by

$$\begin{array}{ccccc} H_2 & & & & G_2 \\ & \searrow \kappa & & \swarrow \iota & \\ \partial \downarrow & & E & & \downarrow \partial \\ & \swarrow \sigma & & \searrow \rho & \\ H_1 & & & & G_1 \end{array}$$

We define the *kernel* of \mathcal{P} by

$$\text{Ker } \mathcal{P} := [H_2 \xrightarrow{\kappa} \text{Ker } \rho],$$

and the *cokernel* of \mathcal{P} by

$$\text{Coker } \mathcal{P} := [\text{Coker } \kappa \xrightarrow{\rho} G_1].$$

Note that $\text{Coker } \mathcal{P}$ is just a group homomorphism and may not be a crossed-module in general (also see §12.1).

Remark 11.1. The 2-group associated to the crossed-module $\text{Ker } \mathcal{P}$ is naturally equivalent to the 2-group of automorphisms of the base point of the homotopy fiber $\mathfrak{F}_{\mathcal{P}}$ of \mathcal{P} defined in §9.4. The pointed set $\pi_1(\text{Coker } \mathcal{P})$ is in natural bijection with the set of connected components of $\mathfrak{F}_{\mathcal{P}}$. We have a natural isomorphism

$$\pi_1 \text{Ker } \mathcal{P} \cong \pi_2 \text{Coker } \mathcal{P}.$$

(Note: using the notation π_1 and π_2 for the cokernel and the kernel of a group homomorphism $[K \rightarrow L]$ which is not a crossed-module (e.g., for $[K \rightarrow L] = \text{Coker } \mathcal{P}$) is not quite appropriate. We have used it here for the sake of notational consistency. This will not appear elsewhere in the paper except for this subsection.)

There is a (strict) morphism $I_{\mathcal{P}} := (\text{id}_{H_2}, \sigma): \text{Ker } \mathcal{P} \rightarrow \mathbb{H}$. The morphism $I_{\mathcal{P}}$ comes with a natural 2-morphism $\theta_{\mathcal{P}}: \mathcal{P} \circ I_{\mathcal{P}} \Rightarrow 1$ in $\text{Hom}_{\mathcal{CM}}(\text{Ker } \mathcal{P}, \mathbb{G})$, where 1 stands for the trivial morphism. The following proposition follows from Proposition 11.6 below. We leave it to the reader to supply the proof and also to formulate the corresponding statement for the cokernel of a butterfly.

Proposition 11.2. *The pair $(I_{\mathcal{P}}, \theta_{\mathcal{P}})$ satisfies the universal property of a kernel for $\mathcal{P}: \mathbb{H} \rightarrow \mathbb{G}$ in the bicategory \mathcal{CM} . That is, for every crossed-module \mathfrak{L} , $(I_{\mathcal{P}}, \theta_{\mathcal{P}})$ induces an equivalence of groupoids between $\text{Hom}_{\mathcal{CM}}(\mathfrak{L}, \text{Ker } \mathcal{P})$ and the homotopy fiber of $\mathcal{P}_*: \text{Hom}_{\mathcal{CM}}(\mathfrak{L}, \mathbb{H}) \rightarrow \text{Hom}_{\mathcal{CM}}(\mathfrak{L}, \mathbb{G})$ over the trivial map $1 \in \text{Hom}_{\mathcal{CM}}(\mathfrak{L}, \mathbb{G})$.*

Proposition 11.3. *Let $\mathcal{P}: \mathfrak{H} \rightarrow \mathfrak{G}$ be a butterfly. The map $I_{\mathcal{P}}: \text{Ker } \mathcal{P} \rightarrow \mathbb{H}$ gives rise to a long exact sequence:*

$$1 \rightrightarrows \pi_2 \text{Ker } \mathcal{P} \rightrightarrows \pi_2 \mathfrak{H} \rightrightarrows \pi_2 \mathfrak{G} \rightrightarrows \pi_1 \text{Ker } \mathcal{P} \rightrightarrows \pi_1 \mathfrak{H} \rightrightarrows \pi_1 \mathfrak{G} \rightrightarrows \pi_1 \text{Coker } \mathcal{P} \rightrightarrows 1.$$

Proof. Exercise. □

Corollary 11.4. *A butterfly $\mathcal{P}: \mathfrak{H} \rightarrow \mathfrak{G}$ is an equivalence if and only if $\text{Ker } \mathcal{P}$ and $\text{Coker } \mathcal{P}$ are trivial (i.e., equivalent to a point).*

Remark 11.5. One can also define the image and the coimage of a butterfly. Kernel, image, cokernel, and the coimage of a butterfly correspond to the top-left, top-right, bottom-right, and the bottom-left arrows in the butterfly, respectively.

In fact, careful study of butterflies shows that each of the notions kernel, image, cokernel, and the coimage can be defined in *two* ways, one of which we have given above. So, we have eight different crossed-modules associated to a butterfly, and these eight crossed-modules interact in an interesting way. This seems to suggest that one ought to consider all eight at once. This is discussed in detail in [No3].

11.2. Exact sequences of crossed-modules in \mathcal{CM} . The following proposition is the key in studying complexes of 2-groups.

Proposition 11.6. *Let \mathcal{Q} and \mathcal{P} be butterflies as in the following diagram:*

$$\begin{array}{ccccccc}
 K_2 & & & H_2 & & & G_2 \\
 & \searrow^{\kappa'} & & \swarrow^{\iota'} & & \searrow^{\kappa} & \\
 & & F & \cdots & E & & \\
 & \swarrow & & \downarrow & & \swarrow & \\
 K_1 & & & H_1 & & & G_1 \\
 & \searrow & & \swarrow_{\rho'} & & \searrow_{\rho} & \\
 & & & & & &
 \end{array}$$

Then, the composition $\mathcal{P} \circ \mathcal{Q}$ is the trivial butterfly if and only if there exists a group homomorphism $\delta: F \rightarrow E$ making the above diagram commutative such that the sequence

$$1 \longrightarrow K_2 \xrightarrow{\kappa'} F \xrightarrow{\delta} E \xrightarrow{\rho} G_1 \longrightarrow 1$$

is a complex (i.e., the composition of consecutive maps is the trivial map).

Let $\mathcal{Q}: \mathbb{K} \rightarrow \mathbb{H}$ and $\mathcal{P}: \mathbb{H} \rightarrow \mathbb{G}$ be butterflies. Proposition 11.6 gives a necessary and sufficient condition for the composition $\mathcal{P} \circ \mathcal{Q}$ to be zero. Observe that when this condition is satisfied, we have a natural morphism $\mathbb{K} \rightarrow \text{Ker } \mathcal{P}$ given by the butterfly

$$\begin{array}{ccc}
 K_2 & & H_2 \\
 & \searrow^{\kappa'} & \swarrow^{\iota'} \\
 & & F \\
 & \swarrow_{\sigma'} & \searrow_{\delta} \\
 K_1 & & \text{Ker } \rho
 \end{array}$$

The next question to ask is when the sequence

$$\mathbb{K} \xrightarrow{\mathcal{Q}} \mathbb{H} \xrightarrow{\mathcal{P}} \mathbb{G}$$

is exact at \mathbb{H} . The correct definition of exactness seems to be the following.

Definition 11.7. We say that the sequence

$$\mathbb{K} \xrightarrow{\mathcal{Q}} \mathbb{H} \xrightarrow{\mathcal{P}} \mathbb{G}$$

is **exact** at \mathbb{H} if $\mathcal{P} \circ \mathcal{Q}$ is zero and $\text{Coker}(\mathbb{K} \rightarrow \text{Ker } \mathcal{P})$ is trivial.

Remark 11.8. If we pretend for a moment that $\text{Coker } \mathcal{Q} = [\text{Coker } \kappa' \xrightarrow{\rho'} H_1]$ is a crossed-module, then we obtain a “butterfly” $\text{Coker } \mathcal{Q} \rightarrow \mathbb{G}$ given by

$$\begin{array}{ccccc}
 \text{Coker } \kappa' & & & & G_2 \\
 \downarrow \rho' & \searrow \delta & & \swarrow \iota & \downarrow \\
 & & E & & \\
 & \swarrow \sigma & & \searrow \rho & \\
 H_1 & & & & G_1
 \end{array}$$

Definition 11.7 is now equivalent to $\text{Ker}(\text{Coker } \mathcal{Q} \rightarrow \mathbb{G})$ being trivial. This argument is actually a valid argument if we assume \mathbb{H} is a braided crossed-module because in this case $\text{Coker } \mathcal{Q}$ is, indeed, a crossed-module and the above diagram is an honest butterfly; see §12.

The following proposition is immediate from the definition.

Proposition 11.9. *The sequence $\mathbb{K} \xrightarrow{\mathcal{Q}} \mathbb{H} \xrightarrow{\mathcal{P}} \mathbb{G}$ is exact at \mathbb{H} (respectively, short exact) if and only if the sequence*

$$1 \rightarrow K_2 \xrightarrow{\kappa'} F \xrightarrow{\delta} E \xrightarrow{\rho} G_1 \rightarrow 1$$

of Proposition 11.6 is exact at F and E (respectively, exact everywhere).

Using the above proposition the reader can work out what a complex (or an exact sequence) of crossed-modules in \mathcal{CM} looks like.

Proposition 11.9 also provides a new perspective on the problem of studying extensions of a 2-group \mathbb{G} by a 2-group \mathbb{K} (see [Bre2] and [Rou]). This will be studied in more detail in [No3].

12. BRAIDED AND ABELIAN BUTTERFLIES

In this section we will discuss butterflies in an abelian category \mathbb{A} . This is intended to be an overview of the main features of braided butterflies and we will not give proofs.

We obtain an explicit description of the derived category of complexes of length two in \mathbb{A} ; compare [SGA4]. We begin by discussing braided butterflies.

12.1. Braided butterflies. Assume \mathbb{H} and \mathbb{G} are braided crossed-modules [Co], and let $\{-, -\}_{\mathbb{H}}$ and $\{-, -\}_{\mathbb{G}}$ denote the corresponding braidings. (Our braiding convention is that $\partial\{x, y\} = xyx^{-1}y^{-1}$.)

Definition 12.1. A butterfly $\mathcal{P} = (E, \rho, \sigma, \iota, \kappa): \mathbb{H} \rightarrow \mathbb{G}$ is called **braided** if the following conditions are satisfied:

$$\forall x, y \in E, \quad \kappa\{\sigma(x), \sigma(y)\}_{\mathbb{H}} = xyx^{-1}\iota\{\rho(x), \rho(y^{-1})\}_{\mathbb{G}}y^{-1}.$$

In the case where \mathcal{P} comes from a strict morphism of 2-groups (§9.5) these correspond to the usual braided morphisms of crossed-modules (which, in turn, corresponds to the braided morphisms of 2-groups under the equivalence of §3.3).

It is easy to verify that two braided butterflies compose to a braided butterfly. Braided crossed-modules and braided butterflies form a bicategory \mathcal{BRCM} . There is a forgetful bifunctor $\mathcal{BRCM} \rightarrow \mathcal{CM}$. The braided version of Theorem 10.1 is also true.

12.2. Kernels and cokernels of braided butterflies. The most interesting aspect of braided butterflies is that the NE-SW of such a butterfly has a natural structure of a 2-crossed-module.

Also, the kernel, the cokernel, and the image of a braided butterfly $\mathcal{P}: \mathbb{H} \rightarrow \mathbb{G}$ (see §11.1) between braided crossed-modules are naturally braided crossed-modules, and the natural maps $\text{Ker } \mathcal{P} \rightarrow \mathbb{H}$ and $\mathbb{G} \rightarrow \text{Coker } \mathcal{P}$ are braided morphisms of crossed-modules. The action of G_2 on E given by $x^g := x\iota(\{\rho(x), g\}_{\mathbb{G}})$.

12.3. Butterflies in an abelian category. Let \mathbf{A} be an abelian category. The results of the previous sections are also valid for the category of complexes of length 2 in \mathbf{A} . More precisely, let $\mathcal{C}h^{[-1,0]}(\mathbf{A})$ be the bicategory whose objects are complexes $X^{-1} \rightarrow X^0$, whose morphisms are abelian⁵ butterflies

$$\begin{array}{ccccc} X^{-1} & & & & Y^{-1} \\ & \searrow^{\kappa} & & \swarrow^{\iota} & \\ \partial \downarrow & & E & & \downarrow \partial \\ & \swarrow_{\sigma} & & \searrow_{\rho} & \\ X^0 & & & & Y^0 \end{array}$$

and whose 2-morphisms are isomorphisms of butterflies. The composition of butterflies is defined as in the case of usual butterflies (§10). The criterion for strictness of a butterfly is also valid (§9.5).

Let us describe the additive structure on $\mathcal{C}h^{[-1,0]}(\mathbf{A})$. Given two morphisms P, P' in $\mathcal{C}h^{[-1,0]}(\mathbf{A})$

$$\begin{array}{ccccc} X^{-1} & & & & Y^{-1} \\ & \searrow^{\kappa} & & \swarrow^{\iota} & \\ \downarrow & & E & & \downarrow \\ & \swarrow_{\sigma} & & \searrow_{\rho} & \\ X^0 & & & & Y^0 \end{array} \quad \begin{array}{ccccc} X^{-1} & & & & Y^{-1} \\ & \searrow^{\kappa'} & & \swarrow^{\iota'} & \\ \downarrow & & E' & & \downarrow \\ & \swarrow_{\sigma'} & & \searrow_{\rho'} & \\ X^0 & & & & Y^0 \end{array}$$

with the same source and target, we define $P + P'$ to be the butterfly

$$\begin{array}{ccccc} X^{-1} & & & & Y^{-1} \\ & \searrow^{(\kappa, \kappa')} & & \swarrow^{(0, \iota)} & \\ \downarrow & & E \times_{X^0} E' & & \downarrow \\ & \swarrow_{\sigma = \sigma'} & & \searrow_{\rho + \rho'} & \\ X^0 & & & & Y^0 \end{array}$$

We define $-P$ to be the butterfly

$$\begin{array}{ccccc} X^{-1} & & & & Y^{-1} \\ & \searrow^{\kappa} & & \swarrow^{-\iota} & \\ d \downarrow & & E & & \downarrow d \\ & \swarrow_{\sigma} & & \searrow_{-\rho} & \\ X^0 & & & & Y^0 \end{array}$$

⁵Abelian means that, since there are no actions, we are dropping the requirement for compatibility of actions.

Using the above addition, the morphism groupoids in $\mathcal{C}h^{[-1,0]}(\mathbf{A})$ become symmetric monoidal categories, and the composition rule becomes a symmetric monoidal functor.

The category obtained by identifying 2-isomorphic morphisms in $\mathcal{C}h^{[-1,0]}(\mathbf{A})$ is naturally equivalent to the full subcategory of the derived category $\mathcal{D}(\mathbf{A})$ consisting of complexes sitting in degrees $[-1, 0]$. Under this equivalence, the diagonal sequence

$$X^{-1} \xrightarrow{\kappa} E \xrightarrow{\rho} Y^0,$$

viewed as a complex sitting in degrees $[-2, 0]$, corresponds to the mapping cone.

Remark 12.2. As in the non-abelian case, an abelian butterfly as above comes from a chain map if and only if the NE-SW sequence is split. This is automatically the case if either X_0 is projective or Y^{-1} is injective.

Let us define $\mathcal{C}h_{st}^{[-1,0]}(\mathbf{A})$ to be the category whose objects are complexes sitting in degrees $[-1, 0]$, whose morphisms are morphisms of complexes, and whose 2-morphisms are chain homotopies. Note that morphism groupoids in $\mathcal{C}h_{st}^{[-1,0]}(\mathbf{A})$ are (strict) symmetric monoidal. There is natural (weak) functor $\mathcal{C}h_{st}^{[-1,0]}(\mathbf{A}) \rightarrow \mathcal{C}h^{[-1,0]}(\mathbf{A})$ defined as in §9.5. (This is the 2-categorified version of the quotient functor from the homotopy category to the derived category.) This functor is a bijection on objects and faithful (and symmetric monoidal) on morphism groupoids; see Theorem 10.1.

Let \mathbb{X} and \mathbb{Y} be objects in $\mathcal{C}h^{[-1,0]}(\mathbf{A})$. The next proposition gives us some information about the groupoid $\text{Hom}_{\mathcal{C}h^{[-1,0]}(\mathbf{A})}(\mathbb{X}, \mathbb{Y})$.

Proposition 12.3. *Let $\mathbb{X} = [X^{-1} \rightarrow X^0]$ and $\mathbb{Y} = [Y^{-1} \rightarrow Y^0]$ be objects in $\mathcal{C}h^{[-1,0]}(\mathbf{A})$. Let $\mathcal{E}xt(X^0, Y^{-1})$ be the groupoid whose objects are extensions of X^0 by Y^{-1} and whose morphisms are isomorphisms of extensions. Then, the forgetful map $\text{Hom}_{\mathcal{C}h^{[-1,0]}(\mathbf{A})}(\mathbb{X}, \mathbb{Y}) \rightarrow \mathcal{E}xt(X^0, Y^{-1})$ which sends a butterfly to its NE-SW sequence is a fibration of groupoids whose fiber is equivalent to $\text{Hom}_{\mathcal{C}h_{st}^{[-1,0]}(\mathbf{A})}(\mathbb{X}, \mathbb{Y})$. Indeed, the sequence*

$$0 \rightarrow \text{Hom}_{\mathcal{C}h_{st}^{[-1,0]}(\mathbf{A})}(\mathbb{X}, \mathbb{Y}) \rightarrow \text{Hom}_{\mathcal{C}h^{[-1,0]}(\mathbf{A})}(\mathbb{X}, \mathbb{Y}) \rightarrow \mathcal{E}xt(X^0, Y^{-1})$$

is an exact sequence of symmetric monoidal categories.

13. A SPECIAL CASE OF THEOREM 8.4; DEDECKER'S THEOREM

In this section we look at the special case of Theorem 8.4 with $\mathbb{H} = [1 \rightarrow \Gamma]$, where Γ is a group. The groupoid $\mathcal{B}(\Gamma, \mathbb{G})$ looks as follows. The object of $\mathcal{B}(\Gamma, \mathbb{G})$ are diagrams of the form

$$\begin{array}{ccc} & G_2 & \\ \swarrow & & \searrow^{\partial} \\ E & \xrightarrow{\rho} & G_1 \end{array}$$

Here E is an extension of Γ by G_2 , and for every $x \in E$ and $\alpha \in G_2$ we require that $\alpha^{\rho(x)} = x^{-1}\alpha x$. In fact, the short exact sequence

$$1 \rightarrow G_2 \rightarrow E \rightarrow \Gamma \rightarrow 1$$

is also part of the data, but we suppress it from the notation and denote such a diagram simply by (E, ρ) .

A morphism in $\mathcal{B}(\Gamma, \mathbb{G})$ from (E, ρ) to (E', ρ') is an isomorphism $f: E \rightarrow E'$ of extensions (so it induces identity on G_2 and Γ) such that $\rho = \rho' \circ f$.

Theorem 13.1. *The functor*

$$\Omega: \underline{\mathcal{R}Hom}_*(\Gamma, \mathbb{G}) \rightarrow \mathcal{B}(\Gamma, \mathbb{G})$$

is an equivalence of groupoids. That is, $\mathcal{B}(\Gamma, \mathbb{G})$ is naturally equivalent to the groupoid of weak functors from Γ to \mathbb{G} .

Proof. Follows immediately from Theorem 8.4. \square

Corollary 13.2 (Dedecker, [Ded]). *There is a natural bijection*

$$\pi_0: \text{Hom}_{\text{Ho}(\mathbf{2GP})}(\Gamma, \mathbb{G}) \xrightarrow{\sim} \pi_0 \mathcal{B}(\Gamma, \mathbb{G}).$$

In other words, the homotopy classes of weak maps from Γ to \mathbb{G} are in a natural bijection with isomorphism classes of diagrams of the form

$$\begin{array}{ccc} & G_2 & \\ \swarrow & & \searrow \partial \\ E & \xrightarrow{\rho} & G_1 \end{array}$$

where the E is an extension of Γ by G_2 , and for every $x \in E$ and $\alpha \in G_2$ the equality $\alpha^{\rho(x)} = x^{-1}\alpha x$ is satisfied.

Example 13.3. Let A be an abelian group, and consider the crossed-module $\mathfrak{A} = [A \rightarrow 1]$. The groupoid of central extensions of Γ by A is naturally equivalent to the groupoid $\mathcal{B}(\Gamma, \mathfrak{A})$, which is itself naturally equivalent to the derived mapping groupoid $\underline{\mathcal{R}Hom}_*(\Gamma, \mathfrak{A})$, by Theorem 13.1. In particular, by Corollary 13.2, the set of homotopy classes of weak maps from Γ to \mathfrak{A} is in natural bijection with isomorphism classes of central extensions of Γ by A , which is itself in natural bijection with $H^2(\Gamma, A)$.⁶

Example 13.4. Let K be a group. Let $\mathfrak{Aut}(K)$ be the crossed-module $[K \rightarrow \text{Aut}(K)]$.⁷ The groupoid of extensions of Γ by K is naturally equivalent to the groupoid $\mathcal{B}(\Gamma, \mathfrak{Aut}(K))$, which is itself naturally equivalent to the derived mapping groupoid $\underline{\mathcal{R}Hom}_*(\Gamma, \mathfrak{Aut}(K))$, by Theorem 13.1. In particular, by Corollary 13.2, the set of homotopy classes of weak maps from Γ to $\mathfrak{Aut}(K)$ is in natural bijection with isomorphism classes of extensions of Γ by K .

Remark 13.5. The above example is identical to Theorem 2 (and Proposition 3) of [BBF] in the case where \mathcal{G} (notation as in *loc. cit.*) has only one object. It seems that, indeed, the general case of Theorem 2 (and also Proposition 3) of [BBF], with \mathfrak{G} an arbitrary groupoid, is equivalent to this special case. To see this, note that we may assume \mathcal{G} is connected. On the other hand, since both sides of the equality in Theorem 2 (and also Proposition 3) of [BBF] are functorial in \mathcal{G} , we may assume \mathcal{G} has only one object.

⁶This is of course not surprising, since \mathcal{A} is an algebraic model for the Eilenberg-MacLane space $K(A, 2)$.

⁷The corresponding 2-group is the 2-group of self-equivalences of K , where K is viewed as a category with one object.

Remark 13.6. Corollary 13.2 was first discovered by Dedecker [Ded]. It is discussed in detail in [Bre1] in the more general case where everything takes place in a Grothendieck site. In analogy with Example 13.4, an element in $\pi_0\mathcal{B}(\Gamma, \mathbb{G})$ is called a \mathbb{G} -*extension of Γ* . Such extensions can be thought of as “ \mathbb{G} -torsors” over the classifying space $B\Gamma$, the same way that group extensions of Γ by K can be regarded as $\mathcal{A}ut(K)$ -torsors (i.e., K -gerbes) over $B\Gamma$. Therefore, one can alternatively describe elements of $\pi_0\mathcal{B}(\Gamma, \mathbb{G})$ as certain first cohomology classes of Γ with coefficients in the crossed-module \mathbb{G} . For more on this, the reader is encouraged to consult [Bre1], especially, §8.

In the rest of this section we present three isolated facts for which we have no use, but we found them interesting nevertheless!

13.1. Side note 1: split crossed-modules. We present a cute (and presumably well-known) application of Corollary 13.2.

A 2-group \mathfrak{G} is called *split* if it is completely determined by $\pi_1\mathfrak{G}$, $\pi_2\mathfrak{G}$, and the action of the former on the latter. More precisely, \mathfrak{G} is split if it is isomorphic in $\text{Ho}(\mathbf{XMod})$ to the crossed-module $[\partial: \pi_2\mathfrak{G} \rightarrow \pi_1\mathfrak{G}]$, where ∂ is the trivial homomorphism. From the homotopical point of view, the following proposition is straightforward (see the beginning of §15). However, to give a purely algebraic proof seems to be tricky. We give a proof that makes use of Corollary 13.2.

Proposition 13.7. *Let $\mathfrak{G} = [G_2 \rightarrow G_1]$ be a crossed-module, and assume that the map $\mathbb{G} \rightarrow \pi_1\mathbb{G}$, viewed in $\text{Ho}(\mathbf{XMod})$, admits a section. Then \mathfrak{G} is split.*

Proof. By Corollary 13.2, there exists an extension

$$1 \longrightarrow G_2 \longrightarrow E \xrightarrow{f} \pi_1\mathbb{G} \longrightarrow 1$$

and a map $\rho: E \rightarrow G_1$ satisfying the conditions stated therein. Consider the semi-direct product $G_2 \rtimes \pi_2\mathbb{G}$ where G_2 acts on $\pi_2\mathbb{G}$ by conjugation. It fits in a crossed-module $\mathbb{G}' = [G_2 \rtimes \pi_2\mathbb{G} \rightarrow E]$ where the map $G_2 \rtimes \pi_2\mathbb{G} \rightarrow E$ is obtained from the first projection map, and the action of E on both factors is by conjugation. We have a homomorphism $\sigma: G_2 \rtimes \pi_2\mathbb{G} \rightarrow G_2$ which on the first factor is just the identity and on the second factor is the inclusion map. It is easy to see that $(\sigma, \rho): \mathbb{G}' \rightarrow \mathbb{G}$ is a crossed-module map; in fact, it is an equivalence of crossed-modules. On the other hand, $(pr_2, f): [G_2 \rtimes \pi_2\mathbb{G} \rightarrow E] \rightarrow [\pi_2\mathbb{G} \rightarrow \pi_1\mathbb{G}]$ is also an equivalence of crossed-modules. So we have constructed a zigzag of equivalences that connect \mathbb{G} to the trivial crossed-module $[\pi_2\mathbb{G} \rightarrow \pi_1\mathbb{G}]$. So \mathbb{G} is split. \square

13.2. Side note 2: Hopf’s formula. It is interesting to compute $\pi_0\mathcal{RHom}_*(\Gamma, \mathfrak{A})$ straight from definition of the derived mapping groupoid (Definition 6.6). This leads to Hopf’s formula for $H^2(\Gamma, A)$.

Choose a presentation $F/R \cong \Gamma$ of Γ , where F is free. The crossed-module maps $[R \rightarrow F] \rightarrow [A \rightarrow 1]$ are precisely the group homomorphism $g: R \rightarrow A$ that are constant on the conjugacy classes (under the F -action) of elements in R . In other words, $g(x) = 1$ for every $x \in [F, R]$. Two such homomorphisms g and g' are homotopic, if there is a group homomorphism $h: F \rightarrow A$ such that $h|_R = g'g^{-1}$. So, $\pi_0\mathcal{RHom}_*(\Gamma, \mathfrak{A})$ is in natural bijection with

$$\text{Coker} \{ \text{Hom}(F, A) \rightarrow \text{Hom}(R/[F, R], A) \}.$$

This is Hopf’s famous formula for $H^2(\Gamma, A)$.

14. COHOMOLOGICAL POINT OF VIEW

In this section we give a cohomological characterization of $\mathcal{M}(\Gamma, \mathbb{G})$, Theorem 14.6, and compare it to Theorem 13.1. Theorem 14.6 is well-known⁸, but the explicit way in which it relates to Theorem 13.1 is what we are interested in. Since this construction has been quoted in [BeNo], we feel obliged to include the precise account. We begin by recalling some standard facts about group extensions.

14.1. Groups extensions and H^2 ; review. We recall some basic facts about classification of group extensions via cohomological invariants. Our main reference is [Bro].⁹

Let N and Γ be groups (not necessarily abelian). We would like to classify extensions

$$1 \rightarrow N \rightarrow E \rightarrow \Gamma \rightarrow 1,$$

up to isomorphism. First of all, notice that such an extension gives rise to a group homomorphism $\psi: \Gamma \rightarrow \text{Out}(N)$. So we might as well fix ψ as part of the data, and classify extension which induce ψ . Denote the set of such extensions by $\mathcal{E}(\Gamma, N, \psi)$. Let C denote the center of N , made into a Γ -module through ψ . We have the following theorem.

Theorem 14.1 ([Bro], Theorem 6.6). *The set $\mathcal{E}(\Gamma, N, \psi)$ admits a natural free, transitive action by the abelian group $H^2(\Gamma, C)$. Hence, either $\mathcal{E}(\Gamma, N, \psi) = \emptyset$, or else there is a bijection $\mathcal{E}(\Gamma, N, \psi) \leftrightarrow H^2(\Gamma, C)$. This bijection depends on the choice of a particular element of $\mathcal{E}(\Gamma, N, \psi)$.*

Remark 14.2. If we are given a lift $\tilde{\psi}: \Gamma \rightarrow \text{Aut}(N)$ of ψ , we obtain a distinguished element in $\mathcal{E}(\Gamma, N, \psi)$, namely, the semi-direct product $N \rtimes \Gamma$. This is automatically the case if, for instance, N is abelian. Therefore, when N is abelian, we have a canonical bijection $\mathcal{E}(\Gamma, N, \psi) \leftrightarrow H^2(\Gamma, C)$.

The meaning of this theorem is that, given two elements E_0, E in $\mathcal{E}(\Gamma, N, \psi)$, one can produce their *difference* as an element in $H^2(\Gamma, C)$. Notice that C is now abelian, so, by Remark 14.2, every element in $H^2(\Gamma, C)$ gives rise to a canonical extension of Γ by C . Below we will explain how this extension can be explicitly constructed from E_0 and E .

Let us call a complex $M \rightarrow E \rightarrow \Gamma$ *semi-exact* if the left map is injective, the right map is surjective, and the kernel K of $E \rightarrow \Gamma$ is generated by M and $C_K(M)$ (the the centralizer of M in K). This last condition guarantees that there is a well-defined homomorphism $\psi: \Gamma \rightarrow \text{Out}(M)$.

Assume we are given two semi-exact sequences

$$M \rightarrow E_0 \rightarrow \Gamma \quad , \quad M \rightarrow E \rightarrow \Gamma$$

such that $\psi_0 = \psi$. Define L to be the group of pairs $(x, y) \in E_0 \times E$ such that $\bar{x} = \bar{y} \in \Gamma$, and that conjugation by x and y induce the same automorphism of M . Observe that $I = \{(a, a) \in E_0 \times E \mid a \in M\}$ is a normal subgroup of L .

Definition 14.3 (Baer product). Notation being as above, define $E_0 \times_{\Gamma}^M E := L/I$.

⁸The diligent reader can dig it out of [AzCe], §5.

⁹Also see Example 13.4.

There is an obvious surjective homomorphism $E_0 \times_{\Gamma}^M E \rightarrow \Gamma$. It fits in a natural exact sequence

$$1 \rightarrow C \rightarrow C_{K_0}(M) \times C_K(M) \rightarrow E_0 \times_{\Gamma}^M E \rightarrow \Gamma \rightarrow 1,$$

where C is the center of M mapping diagonally to $C_K(M) \times C_{K'}(M)$. (This gives us two semi-exact sequences:

$$C_{K_0}(M) \rightarrow E_0 \times_{\Gamma}^M E \rightarrow \Gamma \quad , \quad C_K(M) \rightarrow E_0 \times_{\Gamma}^M E \rightarrow \Gamma$$

which are somehow mirror to each other.)

If the two semi-exact sequences that we started with were actually exact, we would have $C_K(M) = C_{K'}(M) = C$. So, by identifying C as the cokernel of the diagonal map $C \rightarrow C \times C$, we obtain the following exact sequence

$$1 \rightarrow C \rightarrow E_0 \times_{\Gamma}^M E \rightarrow \Gamma \rightarrow 1.$$

More explicitly, we define the map $C \rightarrow E_0 \times_{\Gamma}^M E$ by sending a to $(a, 1)$.

Definition 14.4. Let $E_0, E \in \mathcal{E}(\Gamma, N, \psi)$. Define the *difference* $D(E_0, E)$ to be the the sequence $1 \rightarrow C \rightarrow E_0 \times_{\Gamma}^N E \rightarrow \Gamma \rightarrow 1$ defined above.

Remark 14.5. Observe that $E_0 \times_{\Gamma}^M E$ is symmetric with respect to E_0 and E , but $D(E_0, E)$ is not. What determines the sign in the above construction is the map $C \rightarrow E_0 \times_{\Gamma}^N E$. So, if instead of $a \mapsto (a, 1)$ we used $a \mapsto (1, a)$ we would obtain $D(E, E_0)$, because in $C \rightarrow E_0 \times_{\Gamma}^M E$ the elements $(1, a)$ and $(a, 1)$ are inverse to each other.

Conversely, given $E_0 \in \mathcal{E}(\Gamma, N, \psi)$ and an extension $1 \rightarrow C \rightarrow H \rightarrow \Gamma \rightarrow 1$ (recall that C is the center of N), we can recover E as the difference $E := D(E_0, H)$. In other words, consider the group $E_0 \times_{\Gamma}^C H$. This contains $N = N \times_{\Gamma}^C C$ as a normal subgroup. The sequence

$$1 \rightarrow N \rightarrow E_0 \times_{\Gamma}^C H \rightarrow \Gamma \rightarrow 1$$

is the desired extension.

14.2. Cohomological classification of maps into a 2-group. In this subsection we prove the following cohomological classification of the homotopy classes of weak maps from a group Γ to a 2-group \mathbb{G} . The result itself is well-known, but the way in which it relates to the classification theorem (Theorem 13.1) is what we are interested in.

Theorem 14.6. *Let \mathfrak{G} be a 2-group, and let Γ be a discrete group. Fix a homomorphism $\chi: \Gamma \rightarrow \pi_1 \mathfrak{G}$, and let $[\Gamma, \mathfrak{G}]_{\mathbf{2GP}}^{\chi}$ be the set of homotopy classes of weak maps $\Gamma \rightarrow \mathfrak{G}$ inducing χ on π_1 . Then, either $[\Gamma, \mathfrak{G}]_{\mathbf{2GP}}^{\chi}$ is empty, or it is naturally a transitive $H^2(\Gamma, \pi_2 \mathfrak{G})$ -set. (Here, $\pi_2 \mathfrak{G}$ is made into a Γ module via χ .)*

In §15 we see exactly when $[\Gamma, \mathfrak{G}]_{2\mathbf{G}\mathbf{P}}^\chi$ is non-empty (see Remark 15.1).

Remark 14.7. If in the above proposition we take $\mathbb{G} = \mathfrak{Aut}(N)$ we recover Theorem 14.1; see Example 13.4.

Before proving Theorem 14.6 we need some preliminaries.

Conventions for this subsection. Throughout this subsection, we fix χ (and consequently an action of Γ on $\pi_2\mathbb{G}$). We will think of $H^2(\Gamma, \pi_2\mathfrak{G})$ as the group of isomorphism classes of extensions of Γ by $\pi_2\mathfrak{G}$ for which the induced action of Γ on $\pi_2\mathbb{G}$ is the one we have fixed. Whenever we talk about an extension of Γ by $\pi_2\mathfrak{G}$ we assume that this condition is satisfied.

Construction of the action of $H^2(\Gamma, \pi_2\mathfrak{G})$ on $[\Gamma, \mathfrak{G}]_{2\mathbf{G}\mathbf{P}}^\chi$.

Suppose we are given (E_0, ρ_0) in $[\Gamma, \mathfrak{G}]_{2\mathbf{G}\mathbf{P}}^\chi$ and an extension

$$1 \rightarrow \pi_2\mathbb{G} \rightarrow K \rightarrow \Gamma \rightarrow 1$$

in $H^2(\Gamma, \pi_2\mathfrak{G})$. Set $E := E_0 \times_{\Gamma}^{\pi_2\mathfrak{G}} K$ (see Definition 14.3). The inclusion $G_2 \hookrightarrow E_0$ induces a natural homomorphism $G_2 \rightarrow E$ which identifies G_2 with a normal subgroup of E . The quotient is Γ . We have a natural map $\rho: E \rightarrow G_1$ defined by $\rho(x, a) = \rho_0(x)$. This is easily seen to be well-defined. Finally, it is easy to check that the action of E on G_2 induced via ρ is equal to the conjugation action of E on G_2 . This gives us the desired diagram:

$$\begin{array}{ccc} & G_2 & \\ & \swarrow & \searrow^{\partial} \\ E & \xrightarrow{\rho} & G_1 \end{array}$$

Transitivity of the action. To prove the transitivity of the action of $H^2(\Gamma, \pi_2\mathfrak{G})$ on $[\Gamma, \mathfrak{G}]_{2\mathbf{G}\mathbf{P}}^\chi$ we employ a ‘difference construction’ similar to the ones of the previous subsection. It takes two elements in $[\Gamma, \mathfrak{G}]_{2\mathbf{G}\mathbf{P}}^\chi$ and produces their difference, which is an element in $H^2(\Gamma, \pi_2\mathfrak{G})$.

Assume $[\Gamma, \mathfrak{G}]_{2\mathbf{G}\mathbf{P}}^\chi$ is non-empty, and fix an element (E_0, ρ_0) in it as in the following diagram (see Theorem 13.1):

$$\begin{array}{ccc} & G_2 & \\ & \swarrow & \searrow^{\partial} \\ E_0 & \xrightarrow{\rho_0} & G_1 \end{array}$$

Let (E, ρ) be another such diagram. Define the group L by

$$L = \{(x, y) \in E_0 \times E \mid \bar{x} = \bar{y}, \rho_0(x) = \rho(y)\}.$$

There is a natural surjective group homomorphism $L \rightarrow \Gamma$ sending (x, y) to $\bar{x} = \bar{y}$. The kernel of this map is the following group:

$$\{(\alpha, \beta) \in G_2 \times G_2 \mid \alpha\beta^{-1} \in \pi_2\mathfrak{G}\} = I \times \pi_2\mathfrak{G}$$

where

$$I := \{(\beta, \beta) \in E_0 \times E \mid \beta \in G_2\},$$

and $\pi_2\mathbb{G}$ is identified with the subgroup of elements of the form $(\alpha, 1)$, $\alpha \in \pi_2\mathbb{G}$. It is easy to check that I is normal in L .

Definition 14.8. Define $E_0 \times_{\mathbb{G}} E = L/I$. The map $\alpha \mapsto (\alpha, 1)$ identifies $\pi_2\mathbb{G}$ with a normal subgroup of L with cokernel Γ . The extension

$$1 \rightarrow \pi_2\mathbb{G} \rightarrow E_0 \times_{\mathbb{G}} E \rightarrow \Gamma \rightarrow 1,$$

or its class in $H^2(\Gamma, \pi_2\mathbb{G})$, is called the *difference* of (E_0, ρ_0) and (E, ρ) and is denoted by $D((E_0, \rho_0), (E, \rho))$.¹⁰

Proof of Theorem 14.6. We leave it to the reader to verify that the construction of the action of $H^2(\Gamma, \pi_2\mathfrak{G})$ on $[\Gamma, \mathfrak{G}]_{2\mathbf{Gp}}^{\times}$ and the difference construction of Definition 14.8 are inverse to each other. \square

An interesting special case is when $\chi: \Gamma \rightarrow \pi_1\mathbb{G}$ can be lifted to $\tilde{\chi}: \Gamma \rightarrow G_1$. In the following corollary we fix such a lift.

Corollary 14.9. *With the hypothesis of the preceding paragraph, every class $f \in [\Gamma, \mathfrak{G}]_{2\mathbf{Gp}}^{\times}$ is uniquely characterized by (the isomorphism class of) an extension*

$$1 \rightarrow \pi_2\mathfrak{G} \rightarrow K \rightarrow \Gamma \rightarrow 1.$$

More explicitly, given such an extension we obtain (E, ρ) , where $E := K \rtimes^{\pi_2\mathfrak{G}} G_2$ (Definition 7.1), and $\rho(k, \alpha) := \tilde{\chi}(\bar{k})\underline{\alpha}$, for $(k, \alpha) \in E$. Here the action of K on G_2 is obtained via $\tilde{\chi}$ from that of G_1 on G_2 .

Proof. Set $E_0 = \Gamma \times G_2$, the action being obtained through $\tilde{\chi}$, and define $\rho_0: E_0 \rightarrow G_1$ by $\rho_0(x, \alpha) := \chi(x)\underline{\alpha}$. (Keep in mind that $K \times_{\Gamma}^{\pi_2\mathfrak{G}} (\Gamma \times G_2) = K \rtimes^{\pi_2\mathfrak{G}} G_2$.) \square

An important special case of the above corollary is when there exists a section for the map $G_1 \rightarrow \pi_1\mathfrak{G}$. In this case, after fixing such a section, we have an explicit description of the elements in $[\Gamma, \mathfrak{G}]_{2\mathbf{Gp}}$, for any group Γ .

15. HOMOTOPICAL POINT OF VIEW

The results of the previous sections are best understood if viewed from the point of homotopy theory of 2-types. Recall that 2-groups (respectively, weak functors between 2-groups, weak natural transformations) are algebraic models for pointed and connected homotopy 2-types (respectively, pointed continuous maps, pointed homotopies). Using this dictionary, the problem of classification of weak maps between 2-groups translates to the problem of classification of (pointed) homotopy classes of continuous maps between (pointed) homotopy 2-types. The latter can be solved using standard technique from obstruction theory.

In this section we explain how the homotopical approach works and give a homotopical proof of Theorem 14.6. In the next section we compare the homotopical approach with the approaches of the previous section. These all are presumably folklore and well-known.

¹⁰Definition 14.4 is a special case of Definition 14.8 with $\mathbb{G} = \mathfrak{Aut}(N)$; see Example 13.4.

15.1. **The classifying space functor and homotopical proof of Theorem 14.6.** Consider the *classifying space* functor $B: \text{Ho}(\mathbf{2Gp}) \rightarrow \text{Ho}(\mathbf{Top}_*)$ defined by $B(\mathbb{G}) = |N(\mathbb{G})|$. By Corollary 17.10 of Appendix, we know that this gives rise to an equivalence between the homotopy category of 2-groups and the homotopy category of pointed connected CW-complexes with vanishing π_i , $i \geq 3$ (the so called *connected homotopy 2-types*), a result essentially due to Whitehead [Wh]. So it would be most natural to view the algebraic results of the previous sections from this homotopic perspective. To complete the picture, we recall MacLane-Whitehead characterization of pointed connected homotopy 2-types from [McWh].

Mac Lane and Whitehead show that, to give a connected pointed homotopy 2-types is equivalent to giving a triple (π_1, π_2, κ) where π_1 is an arbitrary group, π_2 is an abelian group endowed with a π_1 action, and $\kappa \in H^3(\pi_1, \pi_2)$. To see where such a triple comes from, let X be a pointed connected CW-complex such that $\pi_i X = 0$, $i \geq 3$. Consider the Postnikov decomposition of X :

$$\begin{array}{c} K(\pi_2; 2) - X_2 \\ \downarrow \\ X_1 = K(\pi_1, 1) \end{array}$$

The triple corresponding to X is $(\pi_1 X, \pi_2 X, \kappa)$, where κ is the Postnikov invariant corresponding to the above picture. Recall that this Postnikov invariant is the obstruction to existence of a section for the fibration $p: X_2 \rightarrow X_1$. We know from obstruction theory that, if this obstruction vanishes, then for any choice of base points $x_1 \in X_1$ and $x_2 \in p^{-1}(x_1)$, there exist a pointed section $X_1 \rightarrow X_2$. Furthermore, after fixing such a section, the pointed homotopy classes¹¹ of such section are in bijection with $H^2(\pi_1 X, \pi_2 X)$.

Homotopical proof of Theorem 14.6. Passing to classifying space induces a bijection between $[\Gamma, \mathfrak{G}]_{\mathbf{2Gp}}$ and pointed homotopy class of maps $B\Gamma \rightarrow B\mathfrak{G}$. Let

$$\begin{array}{c} K(\pi_2 \mathbb{G}, 2) - X_2 \\ \downarrow \\ X_1 = K(\pi_1 \mathbb{G}, 1) \end{array}$$

be the Postnikov tower of $B\mathfrak{G}$. To give a group homomorphism $\chi: \Gamma \rightarrow \pi_1 \mathbb{G}$ is equivalent to giving a pointed homotopy class from $B\Gamma$ to X_1 . Fix such a class $F: B\Gamma \rightarrow X_1$. The question is now to classify the pointed (equivalently, fiberwise – because the fiber is simply connected) homotopy classes of lifts $f: B\Gamma \rightarrow X_2$ of F . We know from obstruction theory that the obstruction to existence of such a lift is precisely $F^*(\kappa) = \chi^*(\kappa) \in H^3(\Gamma, \pi_2 X)$, where $\pi_2 X$ is made into a Γ -module via χ . By obstruction theory, whenever this obstruction vanishes, the set of pointed (equivalently, fiberwise) homotopy classes of lifts of such lifts f is a transitive $H^2(\Gamma, \pi_2 X)$ -set. \square

¹¹We should actually be considering *fiberwise* homotopy classes, but since in our case the fiber is simply connected we get the same thing.

Remark 15.1. As we saw in the above proof, for a fixed $\chi: \Gamma \rightarrow \pi_1\mathbb{G}$, the set $[\Gamma, \mathfrak{G}]_{2\mathbf{Gp}}^\chi$ is non-empty if and only if $\chi^*(\kappa) \in H^3(\Gamma, \pi_2\mathbb{G})$ is zero, where κ is the Postnikov invariant of \mathbb{G} .

Example 15.2. Let Γ and N be discrete groups. For a given $\chi: \Gamma \rightarrow \text{Out}(N)$, the obstruction to lifting χ to a map $\Gamma \rightarrow \mathfrak{Aut}(N)$ (equivalently, to finding an extension of Γ by N giving rise to χ – see Example 13.4), is the element $\chi^*(\kappa) \in H^3(\Gamma, C(N))$, where $C(N)$ is the center of N and $\kappa \in H^3(\text{Out}(N), C(N))$ is the Postnikov invariant of $\mathfrak{Aut}(N)$. (In other words, the Postnikov invariant κ of $\mathfrak{Aut}(N)$ is the universal obstruction class for the existence of group extensions.) When $\chi^*(\kappa) = 0$, the set of lifts of χ to $\mathfrak{Aut}(N)$ (equivalently, extensions of Γ by N giving rise to χ) admits a natural transitive action of $H^2(\Gamma, C)$, where $C = \pi_2\mathfrak{Aut}(N)$ is the center of N .

For the sake of amusement, we also include a homotopical proof for Proposition 13.7.

Homotopical proof of Proposition 13.7. Let \mathbb{G} be as in Proposition 13.7. We have to show that the 2-type $B\mathbb{G}$ is split. That is, it is homotopy equivalent to the product $K(\pi_1\mathfrak{G}, 1) \times K(\pi_2\mathfrak{G}, 2)$ of Eilenberg-MacLane spaces. We know that \mathfrak{G} is classified by the triple $(\pi_1\mathfrak{G}, \pi_2\mathfrak{G}, \kappa)$. By assumption, the action of $\pi_1\mathfrak{G}$ on $\pi_2\mathfrak{G}$ is trivial. Also, by assumption, the corresponding Postnikov tower $X_2 \rightarrow X_1$ has a section, so κ vanishes. Since the 2-type $K(\pi_1\mathfrak{G}, 1) \times K(\pi_2\mathfrak{G}, 2)$ also gives rise to the same triple, it must be (pointed) homotopy equivalent to $B\mathbb{G}$, which is what we wanted to prove. \square

16. COMPATIBILITY OF DIFFERENT APPROACHES

Let $p: Y \rightarrow X$ be a fibration of CW-complexes (or simplicial sets). In this section we recall the notion of *difference fibration* for two liftings of a map $F: A \rightarrow X$, and use it to clarify Definition 14.8, as well as to explain why the cohomological classification of maps into a 2-group (§14.2) is compatible with the homotopical approach (§15).

A more detailed discussion of the difference fibration construction, and its application to obstruction theory, can be found in [Ba].

16.1. Difference fibration construction. The difference constructions of §14.1 and §14.2 are special cases of (and were originally motivated by) a general difference construction for maps of simplicial sets (or topological spaces). In this section we review this general construction and explain how it relates to the algebraic versions of it that we have already encountered in previous sections.

Let X and Y be simplicial sets and $p: Y \rightarrow X$ a simplicial map. Let A be another simplicial set and $F: A \rightarrow X$ a map of simplicial sets. We are interested in the classification of lifts of f to Y , if such lifts exist. In our case of interest, A and X are going to be 1-types and Y a 2-type, so everything is explicit and easy.

A useful tool in the study of such lifting problems is the *difference construction*, as in ([Ba], page 293).¹²

¹²We will use the simplicial version of Baues's definition though.

Let $f_0, f: A \rightarrow Y$ be liftings of F . To measure the difference between f_0 and f , we construct the simplicial set $D_p(f_0, f)$ as in the following cartesian diagram:

$$\begin{array}{ccc} D_p(f_0, f) & \longrightarrow & Y^{\Delta^1} \\ \downarrow & & \downarrow (d_0, d_1, p^{\Delta^1}) \\ A & \xrightarrow{(f_0, f, c)} & Y \times Y \times X^{\Delta^1} \end{array}$$

Here, $c: A \rightarrow X^{\Delta^1}$ is the map that sends $a \in A$ to the constant path at $F(a)$. We are actually interested in the case where $p: Y \rightarrow X$ is a fibration.

The following lemma justifies the terminology, but since we will not need it here we will not give the proof (except in a special case – see Proposition 16.7). In the case of topological spaces, a proof is can be found in [Ba].

Lemma 16.1. *If $p: Y \rightarrow X$ is a fibration, then $D_p(f_0, f) \rightarrow A$ is also a fibration.*

The usefulness of the difference construction is justified by the following proposition.

Proposition 16.2.

- i. *The simplicial set of sections to the map $D_p(f_0, f) \rightarrow A$ is naturally isomorphic to the simplicial set of fiberwise homotopies between f_0 and f .*
- ii. *The primary difference of the sections f_0 and f is precisely the primary obstruction to the existence of a section to $D_p(f_0, f) \rightarrow A$.*

Proof. Part (i) follows from the definition. Part (ii) is proved in [Ba] (see page 295 *loc. cit.*) in the case of topological spaces. The proof can be adopted to the simplicial situation. \square

When all spaces and maps are pointed, $D_p(f_0, f)$ is also naturally pointed. In this case we have:

Proposition 16.3.

- i. *The simplicial set of pointed sections to the map $D_p(f_0, f) \rightarrow A$ is naturally isomorphic to the simplicial set of fiberwise homotopies between f_0 and f which fix the base point.*
- ii. *The primary difference of the sections f_0 and f is precisely the primary obstruction to the existence of a section to $D_p(f_0, f) \rightarrow A$ (everything pointed).*

Difference fibration construction can indeed be performed in any category with fiber products in which there is an interval, and we have a notion of internal hom.¹³ For instance, **Cat**, **2Cat**, and **2Gpd** are examples of such a category, where for the interval we take $\mathbf{I}_1 = \{0 \rightarrow 1\}$, and the internal homs are given by \underline{Hom} , as in

¹³We are not asking for a monoidal structure here.

Definition 17.1. So, given a diagram

$$\begin{array}{ccc}
 & & \mathfrak{D} \\
 & \nearrow^{f_0} & \downarrow p \\
 \mathfrak{A} & \xrightarrow{F} & \mathfrak{C}
 \end{array}$$

of 2-categories, we can talk about the difference $D_p(f_0, f)$ of f_0 and f . This is a 2-category with a natural functor $D_p(f_0, f) \rightarrow \mathfrak{A}$. Furthermore, if \mathfrak{A} , \mathfrak{C} and \mathfrak{D} are pointed (i.e. have a chosen object), then so is $D_p(f_0, f)$. The following 2-categorical version of Proposition 16.2 is also valid.

Proposition 16.4. *Suppose \mathfrak{A} , \mathfrak{C} and \mathfrak{D} are 2-categories (respectively, pointed 2-categories) as above. Then the 2-category of sections (respectively, pointed sections) to the functor $D_p(f_0, f) \rightarrow \mathfrak{A}$ is naturally isomorphic to the 2-category of fiberwise transformations (respectively, pointed fiberwise transformations) between f_0 and f .*

Clearly if \mathfrak{A} , \mathfrak{C} and \mathfrak{D} are 2-groupoids, then so is $D_p(f_0, f)$. So, we can talk about difference construction for 2-groupoids. It is also true that, if p is a fibration (Appendix, Definition 17.2), then so is $D_p(f_0, f) \rightarrow \mathfrak{A}$. The latter statement, whose simplicial counterpart we did not prove (Lemma 16.1), can be proved easily by verifying the conditions of Definition 17.2.

Definition 16.5. In the above situation, assume \mathfrak{A} , \mathfrak{C} and \mathfrak{D} are 2-groupoids with one object (i.e. 2-groups), and let $* \in \text{Ob } D_p(f_0, f)$ be the canonical base point of $D_p(f_0, f)$. We define $D_p(f_0, f)_*$ to be the 2-group of automorphisms of the object $*$.

Remark 16.6. Proposition 16.4 remains valid when \mathfrak{A} , \mathfrak{C} and \mathfrak{D} are 2-groups, and $D_p(f_0, f)$ is replaced by $D_p(f_0, f)_*$. However, the natural functor $D_p(f_0, f)_* \rightarrow \mathfrak{A}$ is not in general a fibration anymore.

Finally, observe that the nerve functor $N: \mathbf{2Cat} \rightarrow \mathbf{SSet}$ respects the difference construction. That is, $ND_p(f_0, f)$ is naturally homotopy equivalent to $D_{Np}(Nf_0, Nf)$. This is because N preserves fiber products (Appendix, Proposition 17.5) and path spaces (Appendix, Proposition 17.11).

16.2. Difference fibrations for crossed-modules. We saw in the previous subsection that we can perform difference construction for 2-groups. We will make this more explicit using the language of crossed-modules. So assume \mathbb{F} , \mathbb{G} and \mathbb{H} are crossed-modules, as in the following picture:

$$\begin{array}{ccc}
 & & \mathbb{G} \\
 & \nearrow^{f'} & \downarrow p \\
 \mathbb{H} & \longrightarrow & \mathbb{F}
 \end{array}$$

To avoid notational complications, we have used f' instead of f_0 . In what follows, it would be helpful to think of elements of G_1 (respectively, G_2) as 1-cells (respectively, 2-cells) of the nerve $N\mathbb{G}$ (see Appendix).

Let $[D_2 \rightarrow D_1]$ be the crossed-module presentation of $D_p(f', f)_*$. We will write down exactly what D_1 and D_2 are. By definition, we have

$$D_1 = \{(h, \alpha) \mid h \in H_1, \alpha \in \text{Ker } p_2, \text{ s.t. } f'_1(h)\underline{\alpha} = f_1(h)\}.$$

It should be clear what this means: α is 2-cell that is vertical (because it is in $\text{Ker } p_2$) and joins the 1-cells $f'_1(h)$ to $f_1(h)$. The group multiplication is

$$(h, \alpha)(k, \beta) = (hk, \alpha^{f'_1(k)}\beta).$$

To determine D_2 , we have to pick a 2-cell $\beta \in H_2$, and find all pointed vertical homotopies from $f'_2(\beta)$ to $f_2(\beta)$. A pointed homotopy from $f'_2(\beta)$ to $f_2(\beta)$ is a 2-cell $\gamma \in G_2$ such that $f'_2(\beta)\gamma = f_2(\beta)$. To ensure it is vertical, we need to have $\gamma \in \text{Ker } p_2$. This, however, is automatic, since $p_2 f'_2(\beta) = p_2 f_2(\beta)$. The conclusion is that, for any $\beta \in H_2$, there is a unique vertical homotopy from $f'_2(\beta)$ to $f_2(\beta)$; it is given by $\gamma = f'_2(\beta)^{-1} f_2(\beta)$. Therefore,

$$D_2 = H_2.$$

The map $D_2 \rightarrow D_1$ is given by

$$H_2 = D_2 \ni \beta \mapsto (\underline{\beta}, f'_2(\beta)^{-1} f_2(\beta)) \in D_1.$$

An element $(h, \alpha) \in D_1$ acts on $\beta \in D_2 = H_2$ by sending it to β^h .

There is a natural map of crossed-modules $q: D_p(f', f)_* \rightarrow \mathbb{H}$ given by $q_1(h, \alpha) = h$ and $q_2 = \text{id}$.

16.3. The special case. We now consider the special case of the difference construction that is relevant to Theorem 14.6, and show that it recovers Definition 14.8 (see Proposition 16.7). Suppose we are given a group Γ , a 2-group \mathbb{G} , and a homomorphism $\chi: \Gamma \rightarrow \pi_1 \mathbb{G}$. We want to study lifts of χ to maps $\Gamma \rightarrow \mathbb{G}$. Keep in mind that here we are talking about maps in the *homotopy category* of 2-groups. So it would definitely be false to consider 2-group maps $\Gamma \rightarrow \mathbb{G}$. One way to handle the situation is to work with *weak maps*. But this is not very convenient. The better way would be to pick a cofibrant replacement \mathbb{H} for Γ (see Example 6.5), and use the fact that a map $\Gamma \rightarrow \mathbb{G}$ in the homotopy category of 2-groups can be represented by a map of 2-groups $\mathbb{H} \rightarrow \mathbb{G}$, and that the latter is unique up to pointed transformation.

Recall (Example 6.5) how we construct a cofibrant replacement for Γ : we choose a presentation $F/R = \Gamma$, where F is a free group, and form the crossed-module $\mathbb{H} = [R \rightarrow F]$; the natural map $\mathbb{H} \rightarrow \Gamma$ is then our cofibrant replacement. Throughout the paper, we fix such a cofibrant replacement.

Our problem is to study the difference construction for the following situation:

$$\begin{array}{ccc} & & \mathbb{G} \\ & \nearrow f' & \downarrow p \\ & \nearrow f & \\ \mathbb{H} & \xrightarrow{\chi} & \pi_1 \mathbb{G} \end{array}$$

All maps are now honest maps of crossed-modules. (We have abused notation and denoted the induced map $\mathbb{H} \rightarrow \pi_1 \mathbb{G}$ also by χ .) As we saw in the previous subsection, this picture gives a map of crossed-modules $D_p(f', f)_* \rightarrow \mathbb{H}$, which is

indeed a fibration of crossed-modules in the sense of Definition 6.1. Our aim is to compare this with Definition 14.8.

Let (E, ρ) , respectively (E', ρ') , be the object of $\mathcal{B}(\Gamma, \mathbb{G})$ obtained from pushing out \mathbb{H} along f , respectively f' ; see Definition 7.6). Recall (Definition 14.8) that the difference $D((E', \rho'), (E, \rho))$ is defined to be the following exact sequence:

$$1 \rightarrow \pi_2 \mathbb{G} \rightarrow E' \times_{\mathbb{G}} E \rightarrow \Gamma \rightarrow 1.$$

We prove the following proposition.

Proposition 16.7. *Notation being as above, the map $D_p(f', f)_* \rightarrow \mathbb{H}$ is a fibration of crossed-modules and is naturally equivalent to $E' \times_{\mathbb{G}} E \rightarrow \Gamma$. More precisely, we have the following commutative square in which the horizontal arrows are equivalences of crossed-modules and the vertical arrows are fibrations:*

$$\begin{array}{ccc} D_p(f', f)_* & \xrightarrow{\sim} & E' \times_{\mathbb{G}} E \\ \downarrow & & \downarrow \\ \mathbb{H} & \xrightarrow{\sim} & \Gamma \end{array}$$

Proof. We use the explicit description of the crossed-module $D_p(f', f)_* = [D_2 \rightarrow D_1]$ given in §16.2:

$$D_1 = \{(x, \alpha) \mid x \in F, \alpha \in G_2, \text{ s.t. } f'_1(x)\underline{\alpha} = f_1(x)\} \quad \text{and} \quad D_2 = R.$$

The map $D_2 \rightarrow D_1$ is given by $r \mapsto (\underline{r}, f'_2(r)^{-1}f_2(r))$, where \underline{r} stands for the image of r in F . Notice that this is an injection, so we can identify D_2 with a subgroup of D_1 . Let us now give an explicit description of $E' \times_{\mathbb{G}} E$. Recall (Definition 7.6) that

$$E = F \rtimes^{R, f} G_2 \quad \text{and} \quad E' = F \rtimes^{R, f'} G_2.$$

Using this, we get

$$E' \times_{\mathbb{G}} E = \{((x, \alpha), (y, \beta)) \mid \bar{x} = \bar{y} \in \Gamma, f'_1(x)\underline{\alpha} = f_1(y)\underline{\beta} \in G_1\} / J,$$

where $x, y \in F$, $\alpha, \beta \in G_2$, and J is the subgroup generated by

$$\begin{aligned} & \{((\underline{r}, f'_2(r)^{-1}), (1, 1)); r \in R\}, \quad \{((1, 1), (\underline{s}, f_2(s)^{-1})); s \in R\}, \\ & \text{and} \quad \{((1, \gamma), (1, \gamma)); \gamma \in G_2\}. \end{aligned}$$

Therefore,

$$J = \{((\underline{r}, f'_2(r)^{-1}\gamma), (\underline{s}, f_2(s)^{-1}\gamma)); r, s \in R, \gamma \in G_2\}.$$

Define the map $\Lambda: D_1 \rightarrow E' \times_{\mathbb{G}} E$ by

$$\Lambda(x, \alpha) = ((x, \alpha), (x, 1)).$$

We claim that Λ is surjective and its kernel is $R = D_2$. This proves that the map $D_p(f', f)_* \rightarrow E' \times_{\mathbb{G}} E$ is an equivalence of crossed-modules.

Surjectivity. Pick an element $a = ((x, \alpha), (y, \beta))$ in $E' \times_{\mathbb{G}} E$. Since $\bar{x} = \bar{y}$, there is $s \in R$ such that $y = x\underline{s}$. Using the fact that

$$((x, \alpha), (x\underline{s}, \beta)) = ((x, \alpha), (x, f_2(s)\beta))$$

in $E' \times_{\mathbb{G}} E$, we may assume that $x = y$, that is, $a = ((x, \alpha), (x, \beta))$. On the other hand, after multiplying on the right by $((1, \beta^{-1}), (1, \beta^{-1})) \in J$, we may assume that $\beta = 1$; that is $a = ((x, \alpha), (x, 1))$. This is obviously in the image of Λ .

Kernel of Λ is R . Easy verification.

To show that $D_p(f', f)_* \rightarrow \mathbb{H}$ is a fibration, we have to show that the map $D_1 \rightarrow F$ which sends (x, α) to x , and the map $\text{id}: D_2 = R \rightarrow R$ are surjective (Definition 6.1). The latter is obvious. The former follows from the fact that, for every $x \in F$, we have the equality $\overline{f_1(x)} = \overline{f'_1(x)}$ in $\pi_1 \mathbb{G}$. This is true because both these elements are equal to $\chi(x)$.

Commutativity of the square is obvious. \square

17. APPENDIX: 2-CATEGORIES AND 2-GROUPOIDS

In this appendix we quickly go over some basic facts and constructions we need about 2-categories, and fix some terminology. Most of the material in this appendix can be found in [No1].

For us, a *2-category* means a strict 2-category. A *2-groupoid* is a 2-category in which every 1-morphism and every 2-morphism has an inverse (in the strict sense). Every category (respectively, groupoid) can be thought of as a 2-category (respectively, 2-groupoid) in which all 2-morphisms are identity.

A *2-functor* between 2-categories means a strict 2-functor. We sometimes refer to a 2-functor simply by a functor, or a *map of 2-categories*. A 2-functor between 2-groupoids is simply a 2-functor between the underlying 2-categories.

By *fiber product* of 2-categories we mean strict fiber product. We will not encounter *homotopy* fiber product of 2-categories in this paper.

The terms ‘morphism’, ‘1-morphism’ and ‘arrow’ will be used synonymously. We use multiplicative notation for elements of a groupoid, as opposed to the compositional notation (it means, fg instead of $g \circ f$).

Notation. We use the German letters $\mathfrak{C}, \mathfrak{D}, \dots$ for general 2-categories and $\mathbb{G}, \mathbb{H}, \dots$ for 2-groupoids. The upper case script letters A, B, C, \dots are used for objects in such 2-categories, lower case script letters a, b, g, h, \dots for 1-morphisms, and lower case Greek letters α, β, \dots for 2-morphisms. We denote the category of 2-categories by $\mathbf{2Cat}$ and the category of 2-groupoids by $\mathbf{2Gpd}$.

17.1. 2-functors, weak 2-transformations and modifications. We recall what weak 2-transformations between strict 2-functors are. More details can be found in [No1]. We usually suppress the adjective weak.

Let $P, Q: \mathfrak{D} \rightarrow \mathfrak{C}$ be (strict) 2-functors. By a *weak 2-transformation* $T: P \Rightarrow Q$ we mean, assignment of an arrow t_A in \mathfrak{C} to every object A in \mathfrak{D} , and a 2-morphism θ_c in \mathfrak{C} to every arrow c in \mathfrak{D} , as in the following diagram:

$$\begin{array}{ccc} P(A) & \xrightarrow{t_A} & Q(A) \\ P(c) \downarrow & \swarrow_{\theta_c} & \downarrow Q(c) \\ P(B) & \xrightarrow{t_B} & Q(B) \end{array}$$

We require that $\theta_{\text{id}} = \text{id}$, and that θ_h satisfy the obvious compatibility conditions with respect to 2-morphisms and composition of morphisms.

A transformation between two weak transformations T, S , sometimes called a *modification*, is a rule to assign to each object $A \in \mathfrak{D}$ a 2-morphism μ_A in \mathfrak{C} as in the following diagram:

$$\begin{array}{ccc}
 & \xrightarrow{t_A} & \\
 P(A) & \Downarrow \mu_A & Q(A) \\
 & \xleftarrow{s_A} &
 \end{array}$$

The 2-morphisms μ_A should satisfy the obvious compatibility relations with θ_c and σ_c , for every arrow $c: A \rightarrow B$ in \mathfrak{D} . (Here σ_c are for S what θ_c are for T .) This relation can be written as $\sigma_c \mu_A = \theta_c \mu_B$.

Definition 17.1. Given 2-categories \mathfrak{C} and \mathfrak{D} , we define the mapping 2-category $\underline{\text{Hom}}(\mathfrak{D}, \mathfrak{C})$ to be the 2-category whose objects are strict 2-functors from \mathfrak{D} to \mathfrak{C} , whose 1-morphisms are weak 2-transformations between 2-functors, and whose 2-morphisms are modifications. When \mathfrak{C} and \mathfrak{D} are 2-groupoids, then $\underline{\text{Hom}}(\mathfrak{D}, \mathfrak{C})$ is also a 2-groupoid.

Viewing $\mathbf{2Gp}$ as a full subcategory of $\mathbf{2Gpd}$, we can use the same notion for 2-groups as well. In fact, in the case of 2-groups, we are more interested in the pointed versions of the above definition. Namely, a *pointed* 2-transformation is required to satisfy the extra condition $t_* = \text{id}$. A pointed modification is, by definition, the identity modification!

For 2-groups \mathbb{G} and \mathbb{H} , we denote the 2-groupoid of *pointed* weak maps from \mathbb{H} to \mathbb{G} by $\underline{\text{Hom}}_*(\mathbb{H}, \mathbb{G})$.

17.2. Nerve of a 2-category. We review the nerve construction for 2-categories, and recall its basic properties [MoSe], [No1].

Let \mathbb{G} be a 2-category. We define the *nerve* of \mathbb{G} , denoted by $N\mathbb{G}$, to be the simplicial set defined as follows. The set of 0-simplices of $N\mathbb{G}$ is the set of objects of \mathbb{G} . The 1-simplices are the morphisms in \mathbb{G} . The 2-simplices are diagrams of the form

$$\begin{array}{ccc}
 & B & \\
 f \nearrow & \Downarrow \alpha & \searrow g \\
 A & \xrightarrow{h} & C
 \end{array}$$

where $\alpha: fg \Rightarrow h$ is a 2-morphism. The 3-simplices of $N\mathbb{G}$ are commutative tetrahedra of the form

$$\begin{array}{ccc}
 & D & \\
 k \nearrow & \Downarrow \beta & \searrow m \\
 & B & \\
 f \nearrow & \Downarrow \alpha & \searrow g \\
 A & \xrightarrow{h} & C
 \end{array}$$

Commutativity of the above tetrahedron means $(f\gamma)(\beta) = (\alpha m)(\delta)$. That is, the following square of transformations is commutative:

$$\begin{array}{ccc} fgm & \xrightarrow{f\gamma} & fl \\ \alpha m \Downarrow & & \Downarrow \beta \\ hm & \xrightarrow[\delta]{} & k \end{array}$$

For $n \geq 3$, an n -simplex of $N\mathbb{G}$ is an n -simplex such that each of its sub 3-simplices is a commutative tetrahedron as described above. In other words, $N\mathbb{G}$ is the coskeleton of the 3-truncated simplicial set $\{N\mathbb{G}_0, N\mathbb{G}_1, N\mathbb{G}_2, N\mathbb{G}_3\}$ defined above.

The nerve gives us a functor $N: \mathbf{2Cat} \rightarrow \mathbf{SSet}$, where \mathbf{SSet} is the the category of simplicial sets.

17.3. Moerdijk-Svensson closed model structure on 2-groupoids. We give a quick review of the Moerdijk-Svensson closed model structure on the category of 2-groupoids. The main reference is [MoSe].

Definition 17.2. Let \mathbb{H} and \mathbb{G} be 2-groupoids, and $P: \mathbb{H} \rightarrow \mathbb{G}$ a functor between them. We say that P is a *fibration*, if it satisfies the following properties:

- F1.** For every arrow $a: A_0 \rightarrow A_1$ in \mathbb{G} , and every object B_1 in \mathbb{H} such that $P(B_1) = A_1$, there is an object B_0 in \mathbb{H} and an arrow $b: B_0 \rightarrow B_1$ such that $P(b) = a$.
- F2.** For every 2-morphism $\alpha: a_0 \Rightarrow a_1$ in \mathbb{G} and every arrow b_1 in \mathbb{H} such that $P(b_1) = a_1$, there is an arrow b_0 in \mathbb{H} and a 2-morphism $\beta: b_0 \Rightarrow b_1$ such that $P(\beta) = \alpha$.

Definition 17.3. Let \mathbb{G} be a 2-groupoid, and A an object in \mathbb{G} . We define the following.

- $\pi_0\mathbb{G}$ is the set of equivalence classes of objects in \mathbb{G} .
- $\pi_1(\mathbb{G}, A)$ is the group of 2-isomorphism classes of arrows from A to itself. The *fundamental groupoid* $\Pi_1\mathbb{G}$ is the groupoid whose objects are the same as those of \mathbb{G} and whose morphisms are 2-isomorphism classes of 1-morphisms in \mathbb{G} .
- $\pi_2(\mathbb{G}, A)$ is the group of 2-automorphisms of the identity arrow $1_A: A \rightarrow A$.

These invariants are functorial with respect to 2-functors. A map $\mathbb{H} \rightarrow \mathbb{G}$ is called a *(weak) equivalence of 2-groupoids* if it induces a bijection on π_0 , π_1 and π_2 , for every choice of a base point.

Having defined the notions of fibration and equivalence between 2-groupoids, we define *cofibrations* using the left lifting property. There is a more explicit description of cofibrations which can be found in ([MoSe] page 194), but we skip it here.

Theorem 17.4 ([MoSe], Theorem 1.2). *With weak equivalences, fibrations and cofibrations defined as above, the category of 2-groupoids has a natural structure of a closed model category.*

The nerve functor is a bridge between the homotopy theory of 2-groupoids and the homotopy theory of simplicial sets. To justify this statement, we quote the following from [MoSe].

Proposition 17.5 (see [MoSe], Proposition 2.1).

- i. The functor $N: \mathbf{2Cat} \rightarrow \mathbf{SSet}$ is faithful, preserves fiber products, and sends transformations between 2-functors to simplicial homotopies.
- ii. The functor N sends a fibration between 2-groupoids (Definition 17.2) to a Kan fibration. Nerve of every 2-groupoid is a Kan complex.
- iii. For every (pointed) 2-groupoid \mathbb{G} we have $\pi_i(\mathbb{G}) \cong \pi_i(N\mathbb{G})$, $i = 0, 1, 2$.
- iv. A map $f: \mathbb{H} \rightarrow \mathbb{G}$ of 2-groupoids is an equivalence if and only if $Nf: N\mathbb{H} \rightarrow N\mathbb{G}$ is a weak equivalence of simplicial sets.

Remark 17.6. We can think of a 2-group as a 2-groupoid with one object. This identifies $\mathbf{2Gp}$ with a full subcategory of $\mathbf{2Gpd}$. So, we can talk about nerves of 2-groups. This is a functor $N: \mathbf{2Gp} \rightarrow \mathbf{SSet}_*$, where \mathbf{SSet}_* is the category of pointed simplicial sets. The above proposition remains valid if we replace 2-groupoids by 2-groups and \mathbf{SSet} by \mathbf{SSet}_* throughout.

The functor $N: \mathbf{2Gpd} \rightarrow \mathbf{SSet}$ has a left adjoint $W: \mathbf{SSet} \rightarrow \mathbf{2Gpd}$, called the *Whitehead 2-groupoid* whose definition can be found in ([MoSe] page 190, Example 2).

It is easy to see that W preserves homotopy groups. In particular, it sends weak equivalences of simplicial sets to equivalences of 2-groupoids. Much less obvious is the following

Theorem 17.7 ([MoSe], §2). *The pair*

$$W: \mathbf{SSet} \rightleftarrows \mathbf{2Gpd} : N$$

is a Quillen pair. It satisfies the following properties:

- i. Each adjoint preserves weak equivalences.
- ii. For every 2-groupoid \mathbb{G} , the counit $WN(\mathbb{G}) \rightarrow \mathbb{G}$ is a weak equivalence
- iii. For every simplicial set X such that $\pi_i X = 0$, $i \geq 3$, the unit of adjunction $X \rightarrow NW(X)$ is a weak equivalence.

In particular, the functor $N: \text{Ho}(\mathbf{2Gpd}) \rightarrow \text{Ho}(\mathbf{SSet})$ induces an equivalence of categories between $\text{Ho}(\mathbf{2Gpd})$ and the category of homotopy 2-types. (The latter is defined to be the full subcategory of $\text{Ho}(\mathbf{SSet})$ consisting of all X such that $\pi_i X = 0$, $i \geq 3$.)

Remark 17.8. The pointed version of the above theorem is also valid. The proof is just a minor modification of the proof of the above theorem.

The following Proposition follows from Theorem 17.7 and Remark 17.8.

Proposition 17.9. *The functor $N: \text{Ho}(\mathbf{2Gp}) \rightarrow \text{Ho}(\mathbf{SSet}_*)$ induces an equivalence between $\text{Ho}(\mathbf{2Gp})$ and the full subcategory of $\text{Ho}(\mathbf{SSet}_*)$ consisting of connected pointed homotopy 2-types.*

It is also well-known that the geometric realization functor $|-|: \mathbf{SSet}_* \rightarrow \mathbf{Top}_*$ induces an equivalence of homotopy categories. So we have the following

Corollary 17.10. *The functor $|N(-)|: \text{Ho}(\mathbf{2Gp}) \rightarrow \text{Ho}(\mathbf{Top}_*)$ induces an equivalence between $\text{Ho}(\mathbf{2Gp})$ and the full subcategory of $\text{Ho}(\mathbf{Top}_*)$ consisting of connected pointed homotopy 2-types.*

The following proposition says that derived mapping 2-groupoids have the correct homotopy type. We have denoted the category $\{0 \rightarrow 1\}$ by \mathbf{I} .

Proposition 17.11. *Let \mathbb{G} and \mathbb{H} be 2-groupoids. Then there is a natural homotopy equivalence*

$$N\mathcal{R}\mathcal{H}om(\mathbb{H}, \mathbb{G}) \simeq \mathbf{Hom}(N\mathbb{H}, N\mathbb{G}),$$

where the left hand side is defined to be $N\mathcal{H}om(\mathbb{F}, \mathbb{G})$, where \mathbb{F} is a cofibrant replacement for \mathbb{H} , and the right hand side is the simplicial mapping space. In particular, $N\mathcal{R}\mathcal{H}om(\mathbf{I}, \mathbb{G}) \simeq (N\mathbb{G})^{\Delta^1}$, that is, the nerve of the path category is naturally homotopy equivalent to the path space of the nerve.

We also have the pointed version of the above proposition.

Proposition 17.12. *Let \mathbb{G} and \mathbb{H} be 2-groups. Then, there is a natural homotopy equivalence*

$$N\mathcal{R}\mathcal{H}om_*(\mathbb{H}, \mathbb{G}) \simeq \mathbf{Hom}_*(N\mathbb{H}, N\mathbb{G}).$$

Remark 17.13. In the definition of $\mathcal{H}om$ we have used *strict* 2-functors as objects, but the arrows are *weak* 2-transformation. There is a variant of this in which the 2-functors are also weak, and this leads to different simplicial mapping spaces between 2-group(oid)s. If in Propositions 17.11 and 17.12 above we used this simplicial mapping space on the left hand side, we would not need to use a cofibrant replacement on \mathbb{H} to compute the derived mapping spaces. In other words, the derived mapping spaces would coincide with the actual mapping spaces.

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