

Knots

(and how to tame them...)

By Aryan Ghobadi

Royal Institute Masterclass, 1st Feb 2020

Nature



**Mathematical
Model**

Nature

*Engineers
Scientists
Mathematicians*

**Mathematical
Model**



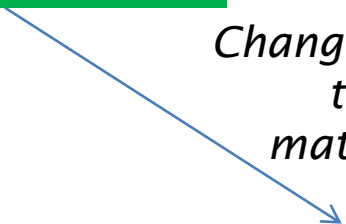
Nature

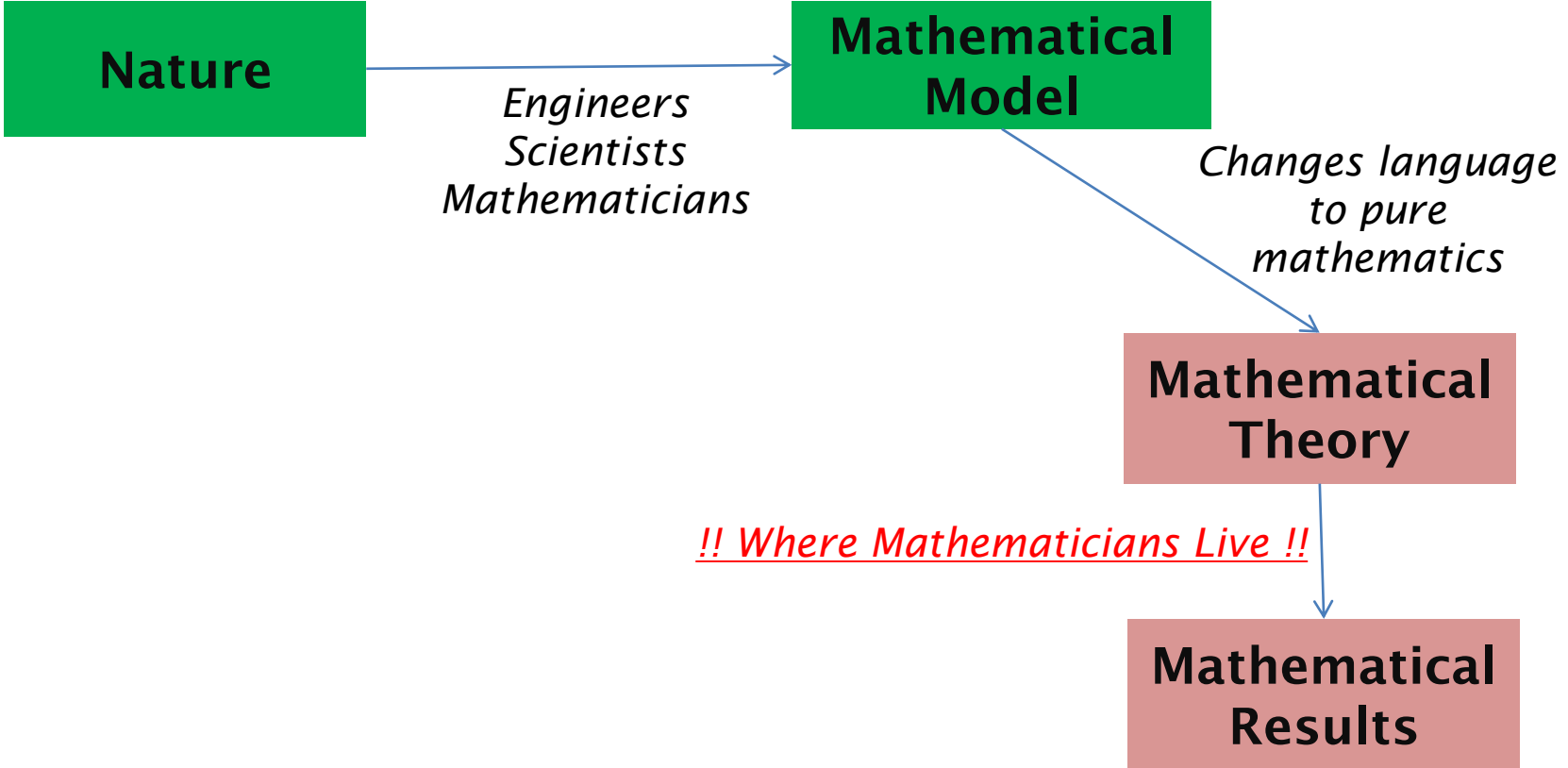
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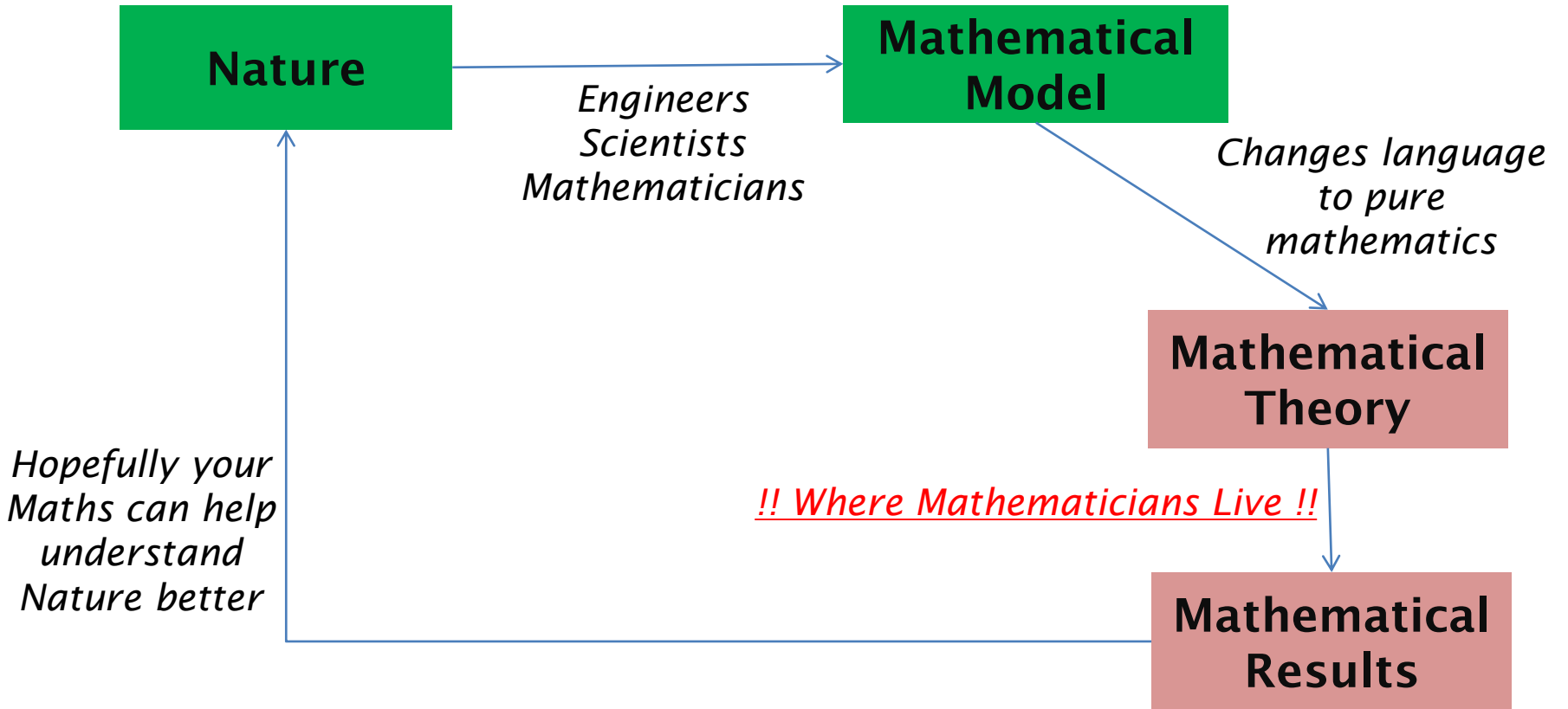
**Mathematical
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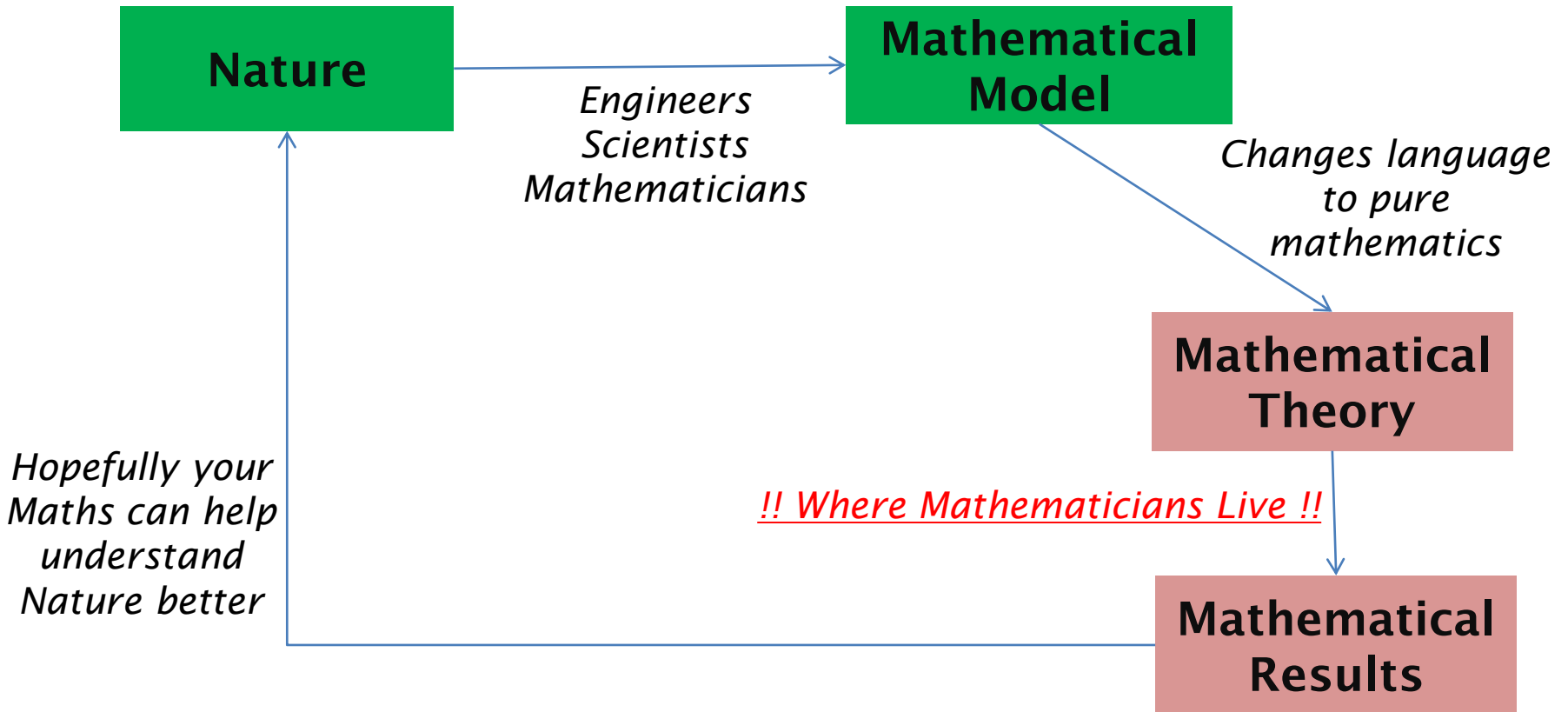
*Changes language
to pure
mathematics*

**Mathematical
Theory**









- *Mathematical results might not solve the big problem at first but add to overall Mathematical knowledge*
- *Good mathematics should connect with other good mathematics!*

What are Knots?

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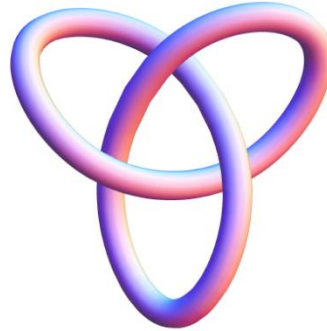
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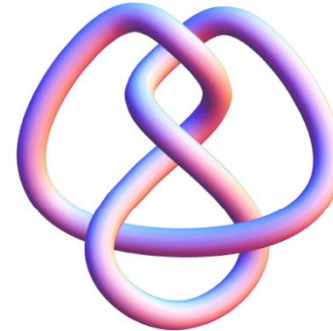
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(a) Unknot



(b) Trefoil



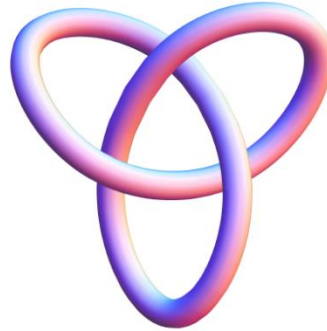
(c) Figure eight

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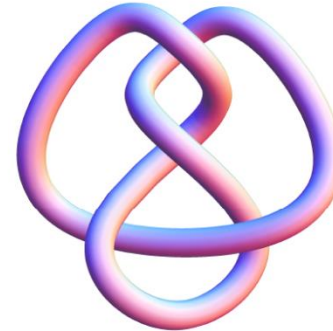
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(c) Figure eight

- **More formally:**

A “smooth” function $f: [0,1] \rightarrow \mathbb{R}^3$ such that $f(0) = f(1)$ and that’s the only case where $f(x) = f(y)$, for $x \neq y$.

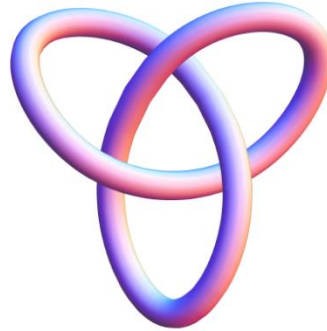
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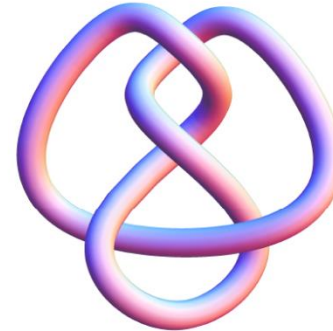
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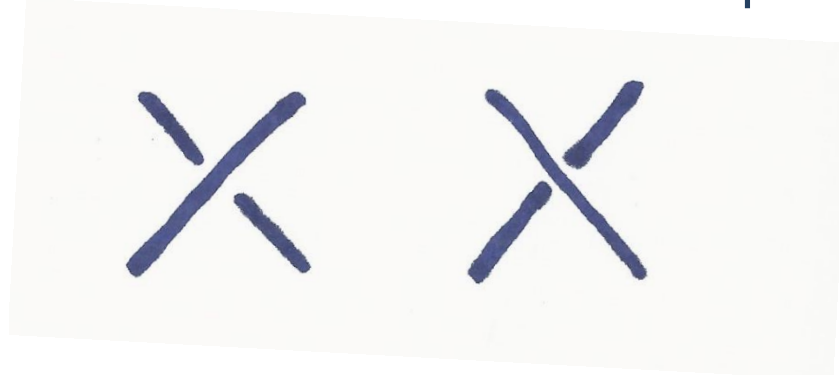


How do we look at Knots?

- As 2 dimensional diagrams



- Crossing behind and in front in 3 dimensional space are represented as



Topological View

Topological View

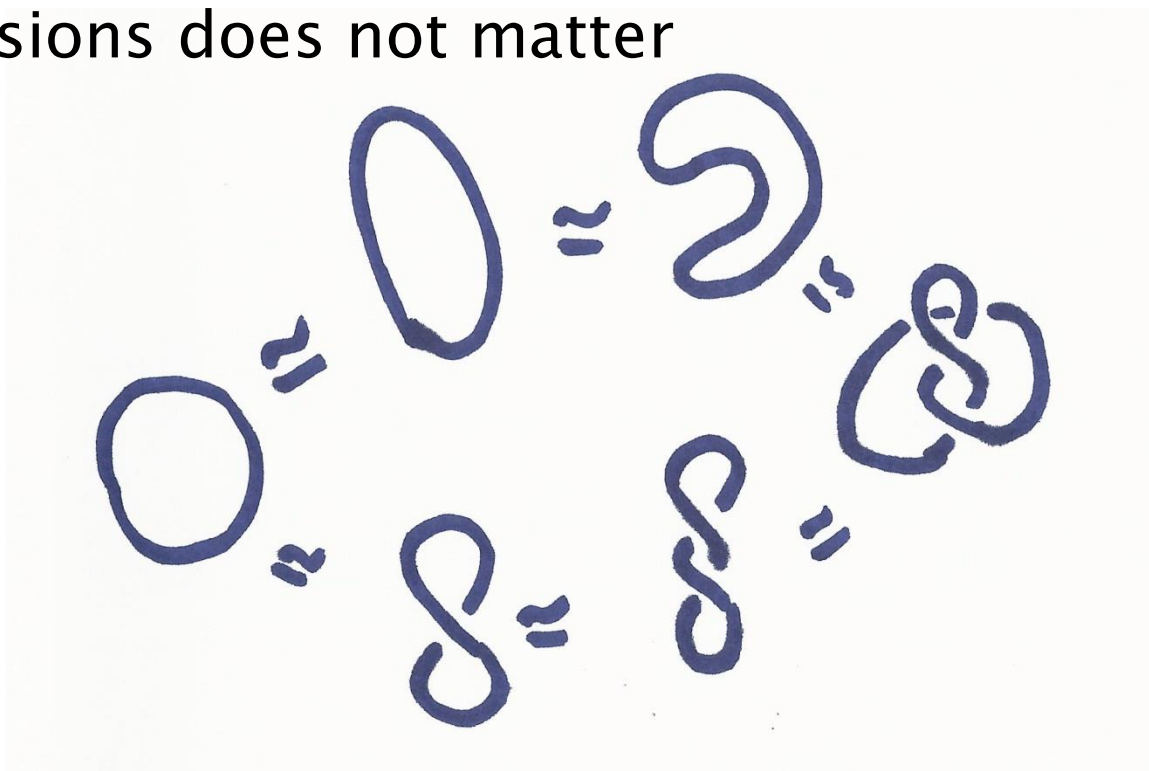
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- **More formally: (Ambient Isotopy)**

Given two knots $k, \bar{k}: [0,1] \rightarrow \mathbb{R}^3$, there exists a continuous map

$$F: \mathbb{R}^3 \times [0,1] \rightarrow \mathbb{R}^3$$

Such that $F(k(x), 0) = k(x)$ and $F(k(x), 1) = \bar{k}(x)$.

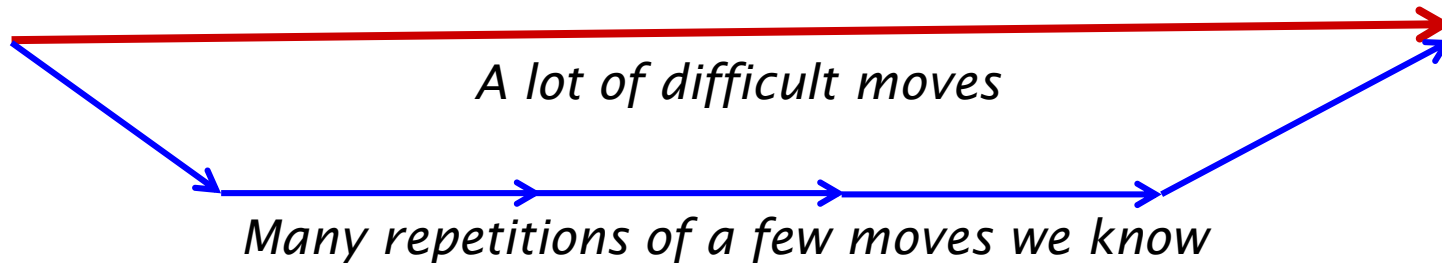
How to tell Knots apart?

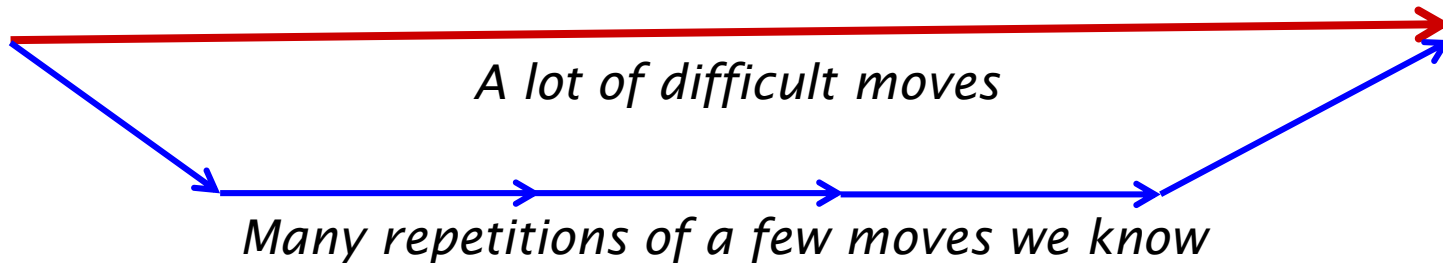
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- One Knot has INFINITELY many equivalent Diagrams.
- Mathematical idea: Find least Crucial Moves

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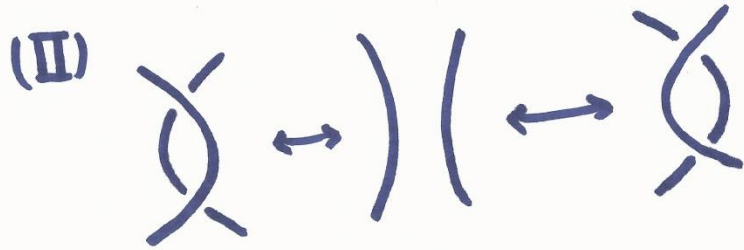
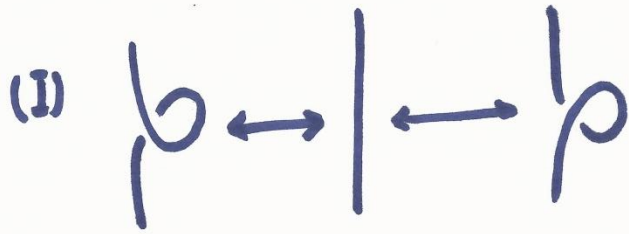
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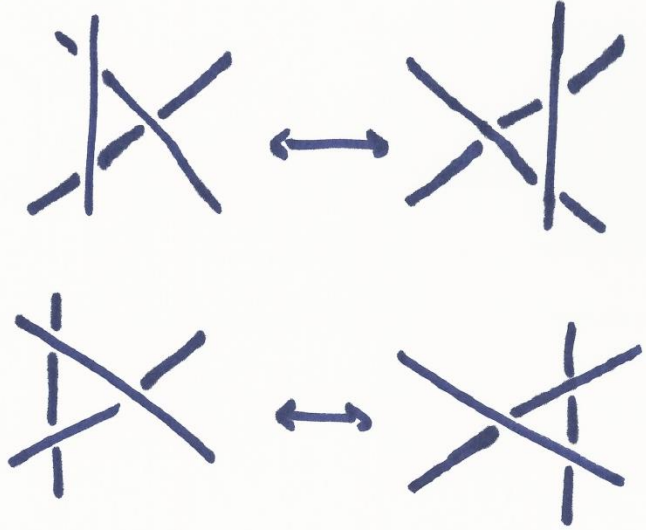


Reidmeister Moves

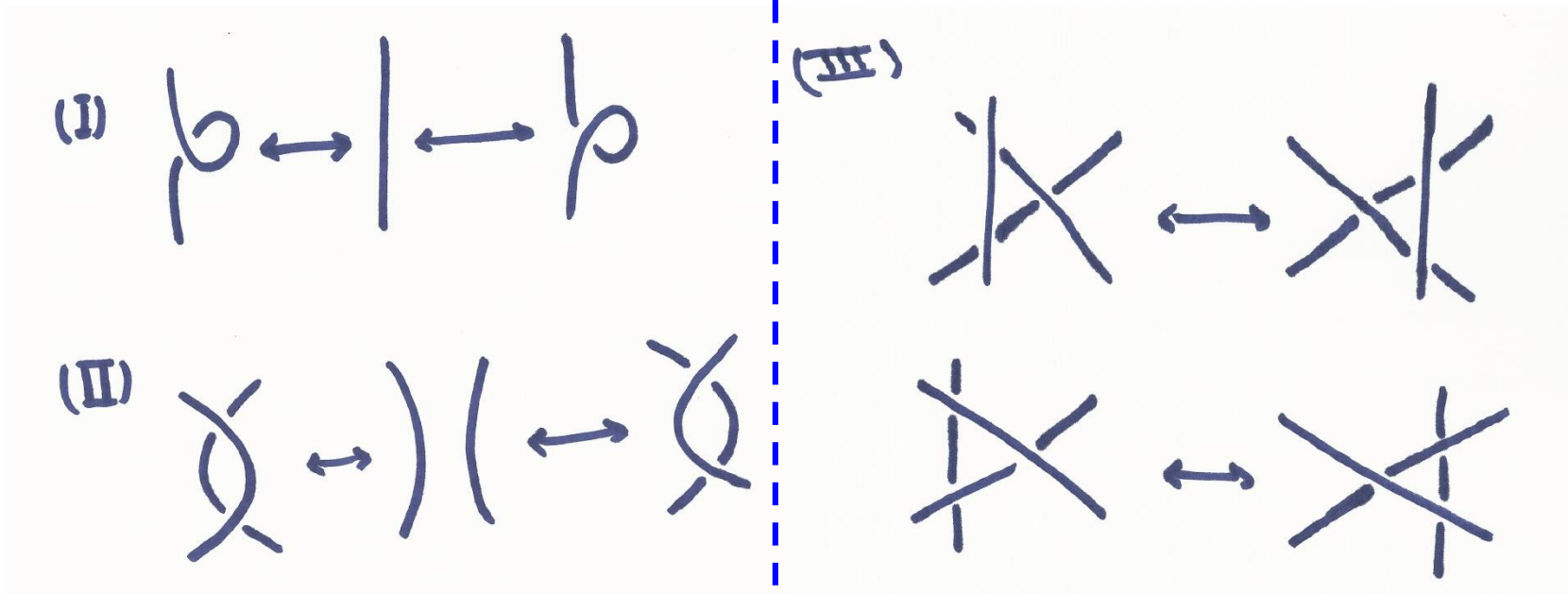
Reidmeister Moves



(III)



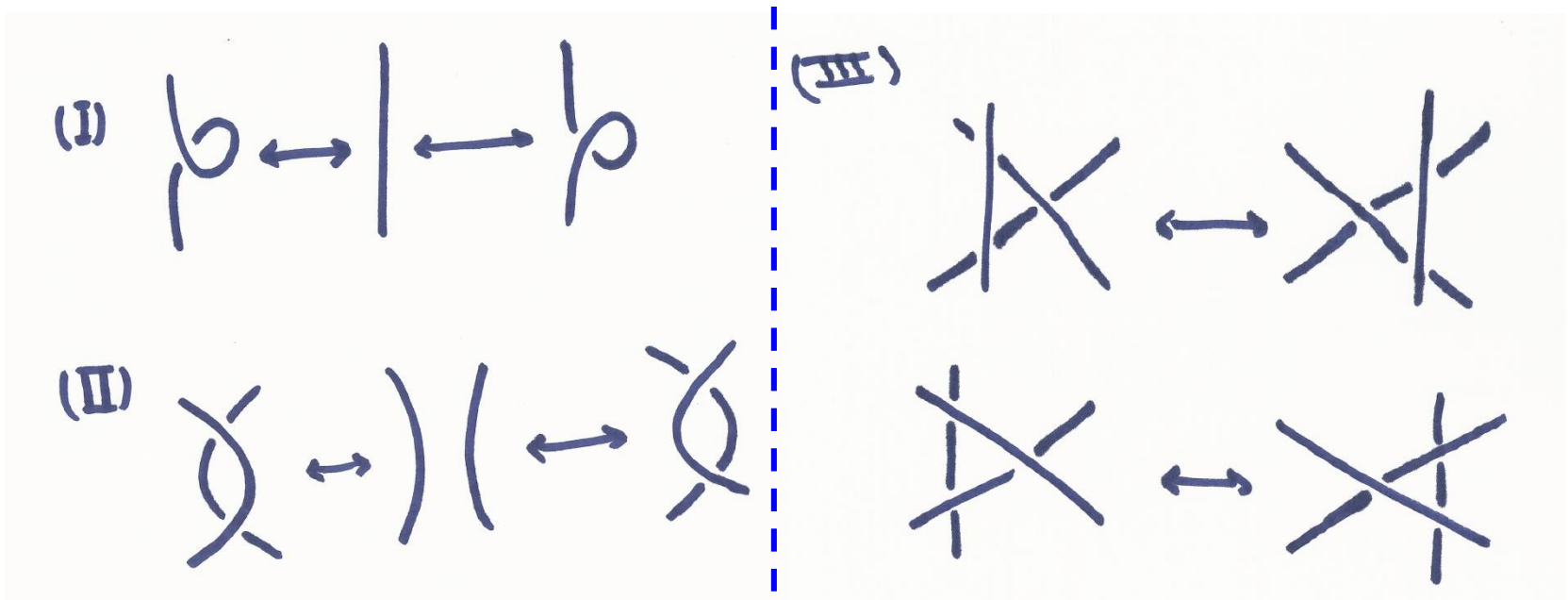
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- **More formally: (Reidmeister's Theorem, 1927)**

Given two knots $k, \bar{k}: [0,1] \rightarrow \mathbb{R}^3$, they are equivalent if and only if one can be transformed to the other by finitely many Reidmeister moves.

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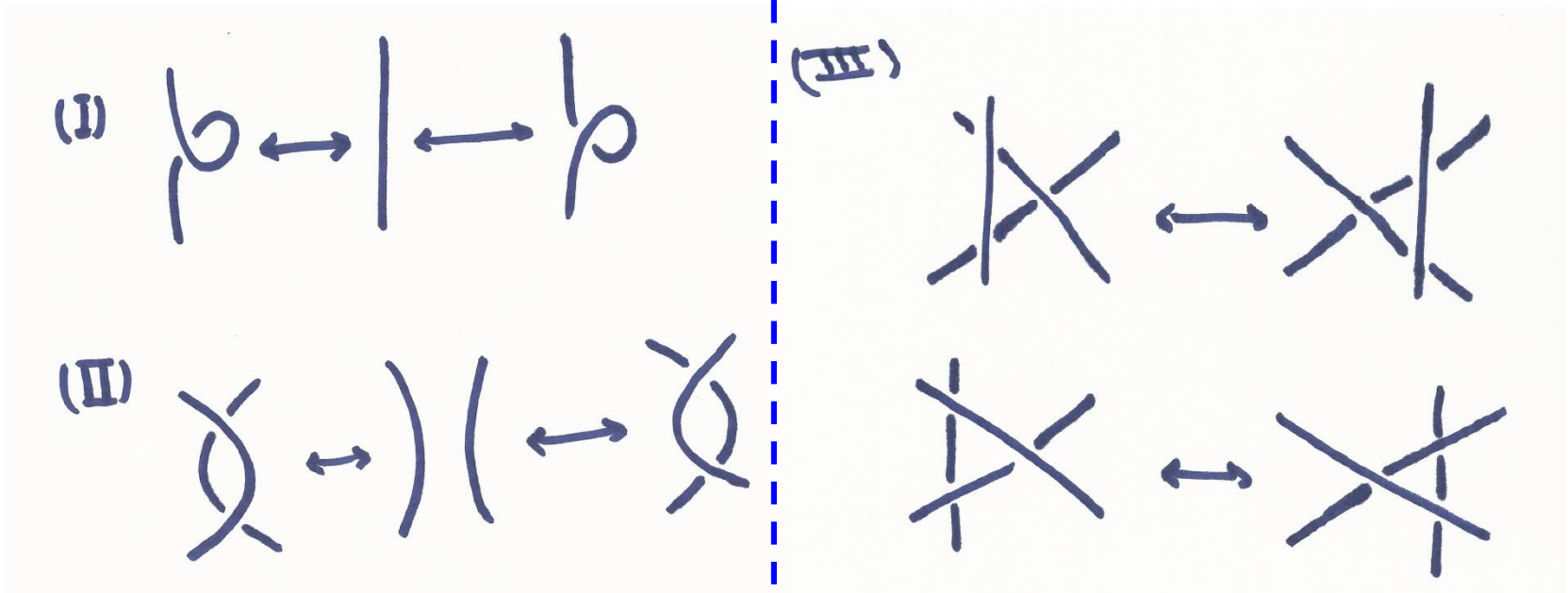


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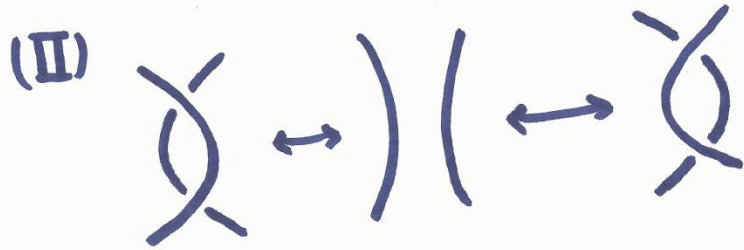
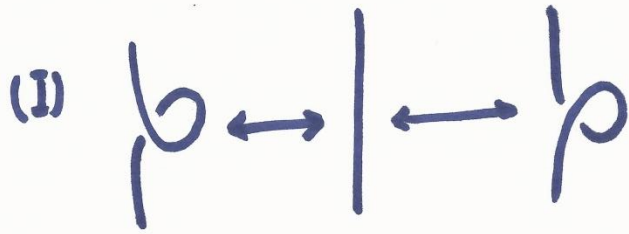
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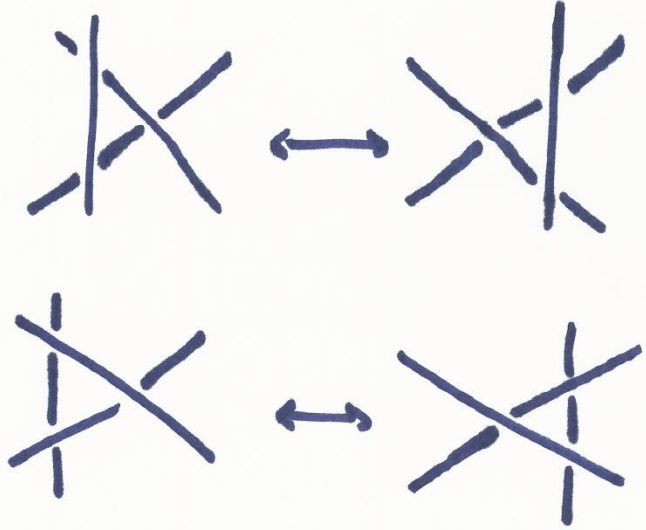


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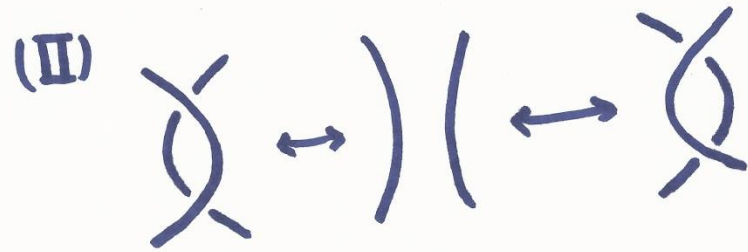
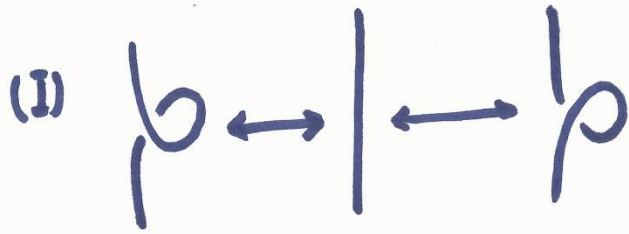
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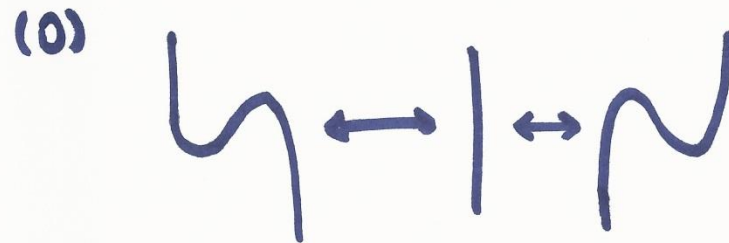
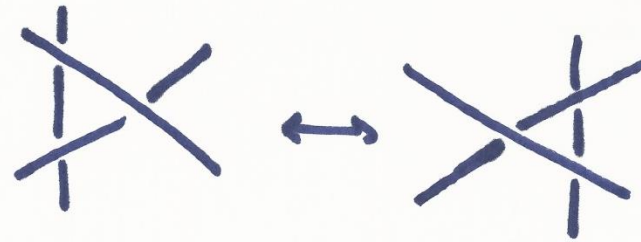
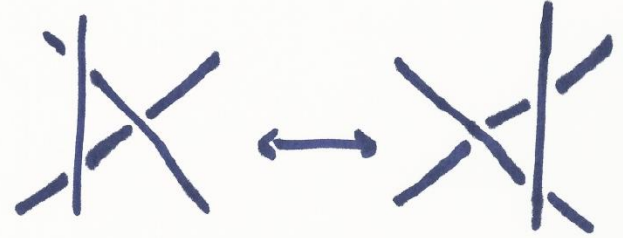
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How to tell Knots apart?

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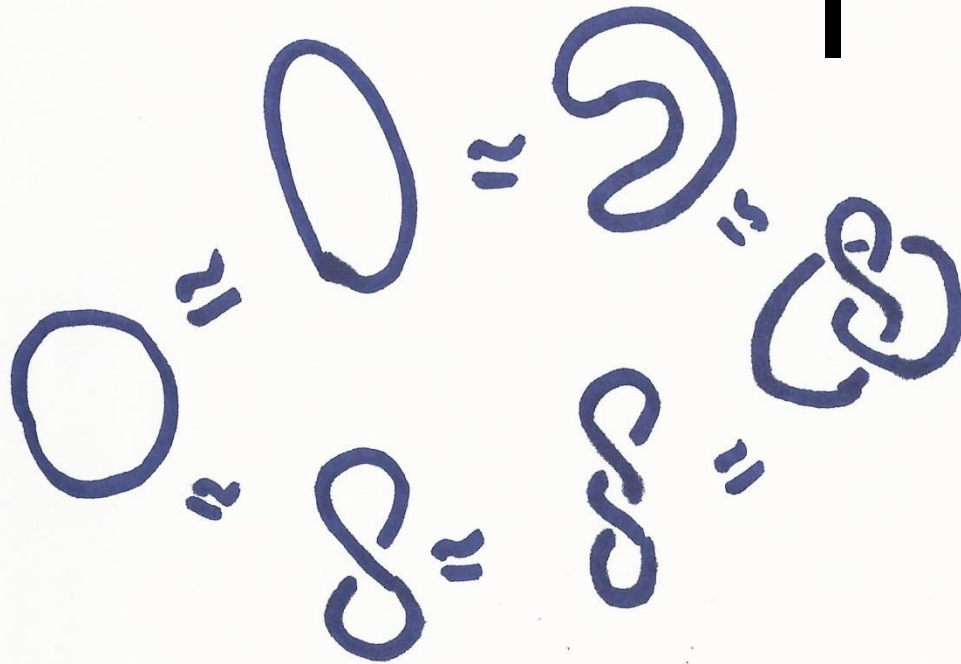
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Answer: (Invariance)

Assign a “number” to each Knot called it’s invariant so that

- Equivalent knots get the same number

Then, if two knots get different numbers, then they’re not equivalent.

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Then, if two knots get different numbers, then they’re not equivalent.

- No guarantee that an assignment tells apart all Knots
- The more it does so, the better (a more *coarse* invariant)

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- Number of Crossings (not an invariant)
- Least Number of crossings possible (invariant)

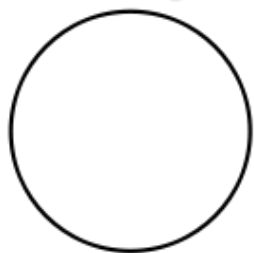
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Unknot



3_1



4_1



5_1



5_2



6_1



6_2



6_3



7_1



7_2



7_3



7_4



7_5



7_6



7_7

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- Why is any knot with 1 trivial?
- Are there any knots with 2 crossings?

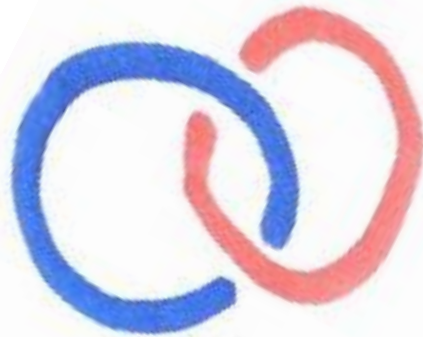
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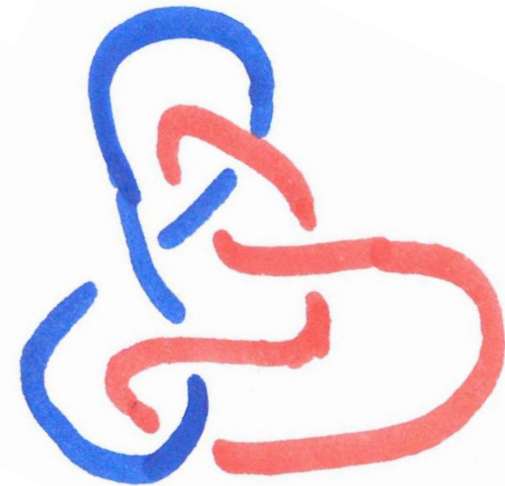
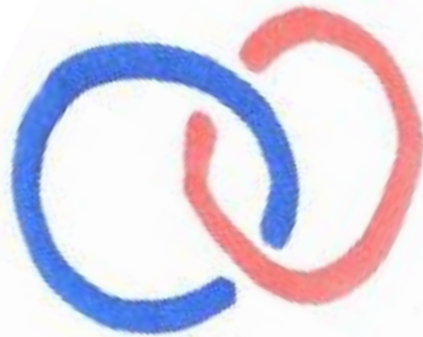
Links



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Links



- For a better invariant we need to work with more complicated numbers than $\mathbb{N} = \{1,2,3,4, \dots\}$

- Assign Polynomials to Knots $\mathbb{Z}[t]$

$$p(t) \in \mathbb{Z}[t] \Rightarrow p(t) = a_n t^n + a_{n-1} t^{n-1} + \dots + a_1 t + a_0$$

For example $5t^3 + 8t^2 - 2, 3t^7 + 4t^3$

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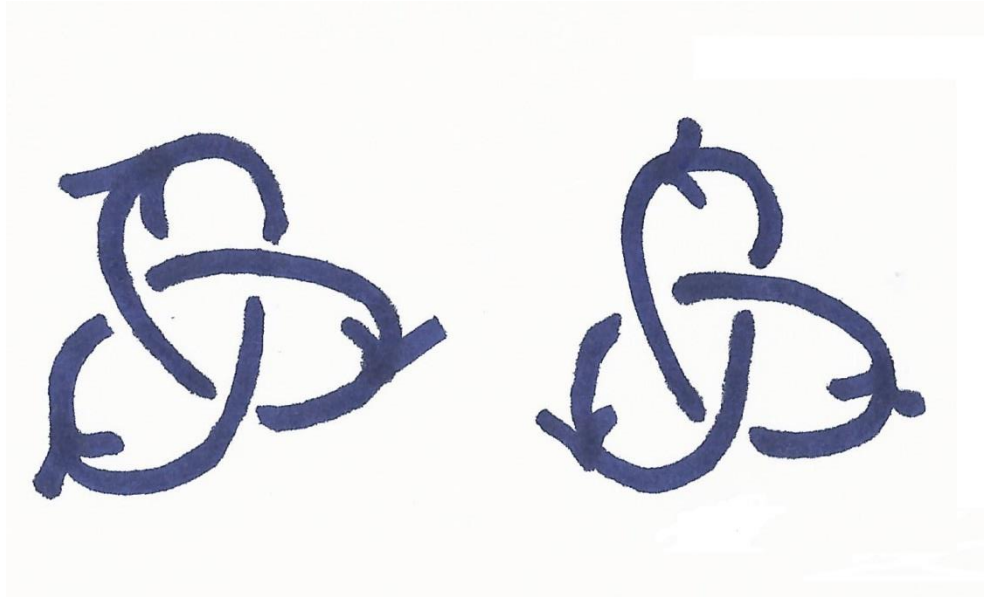
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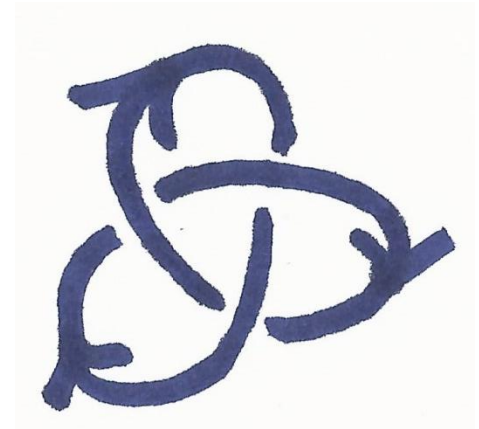


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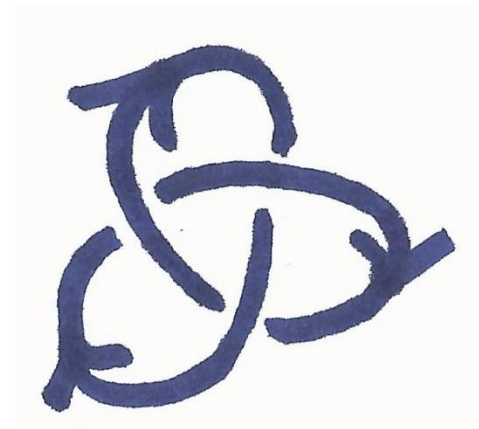
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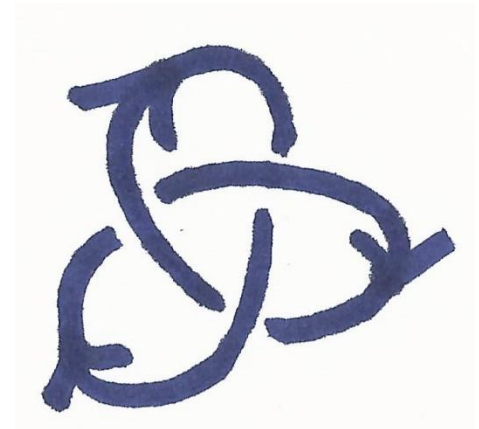
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- Name your regions (r_1, r_2, \dots, r_{n+2})



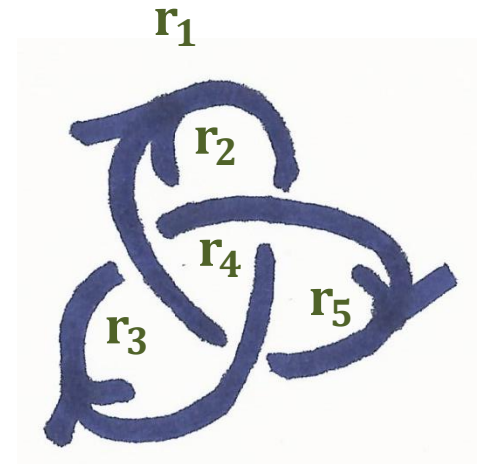
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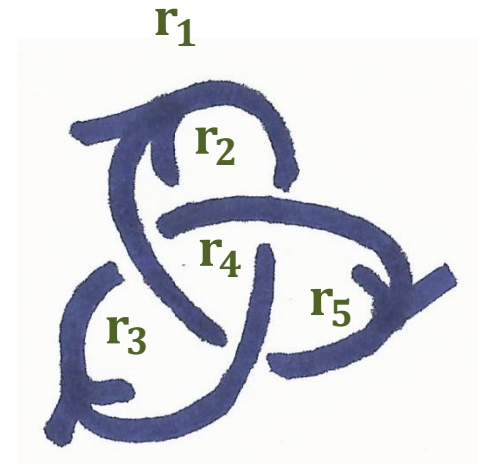
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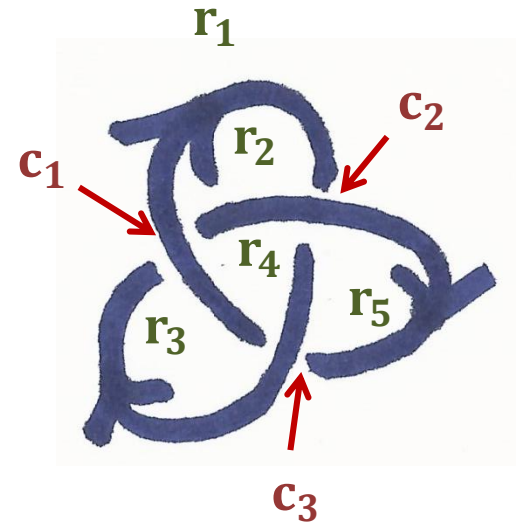
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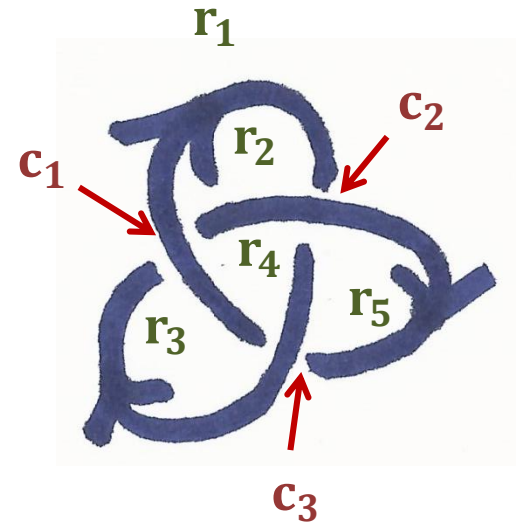
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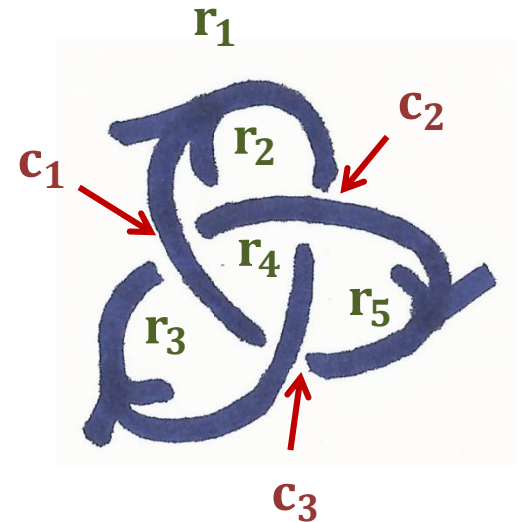
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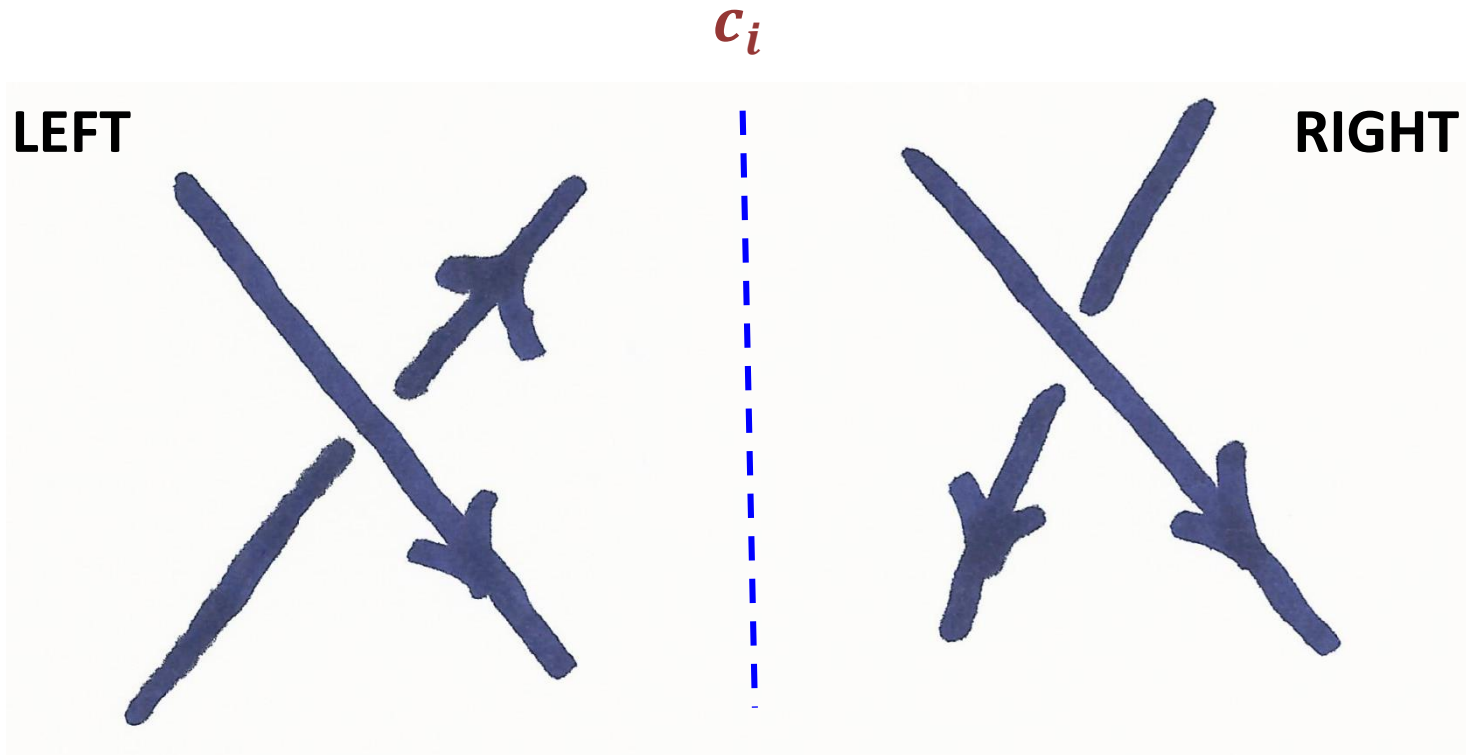
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$$M = \begin{matrix} & r_1 & r_2 & r_3 & r_4 & r_5 \\ \begin{matrix} c_1 \\ c_2 \\ c_3 \end{matrix} & \left(\begin{array}{ccccc} & & & & \\ & & & & \\ & & & & \end{array} \right) \end{matrix}$$

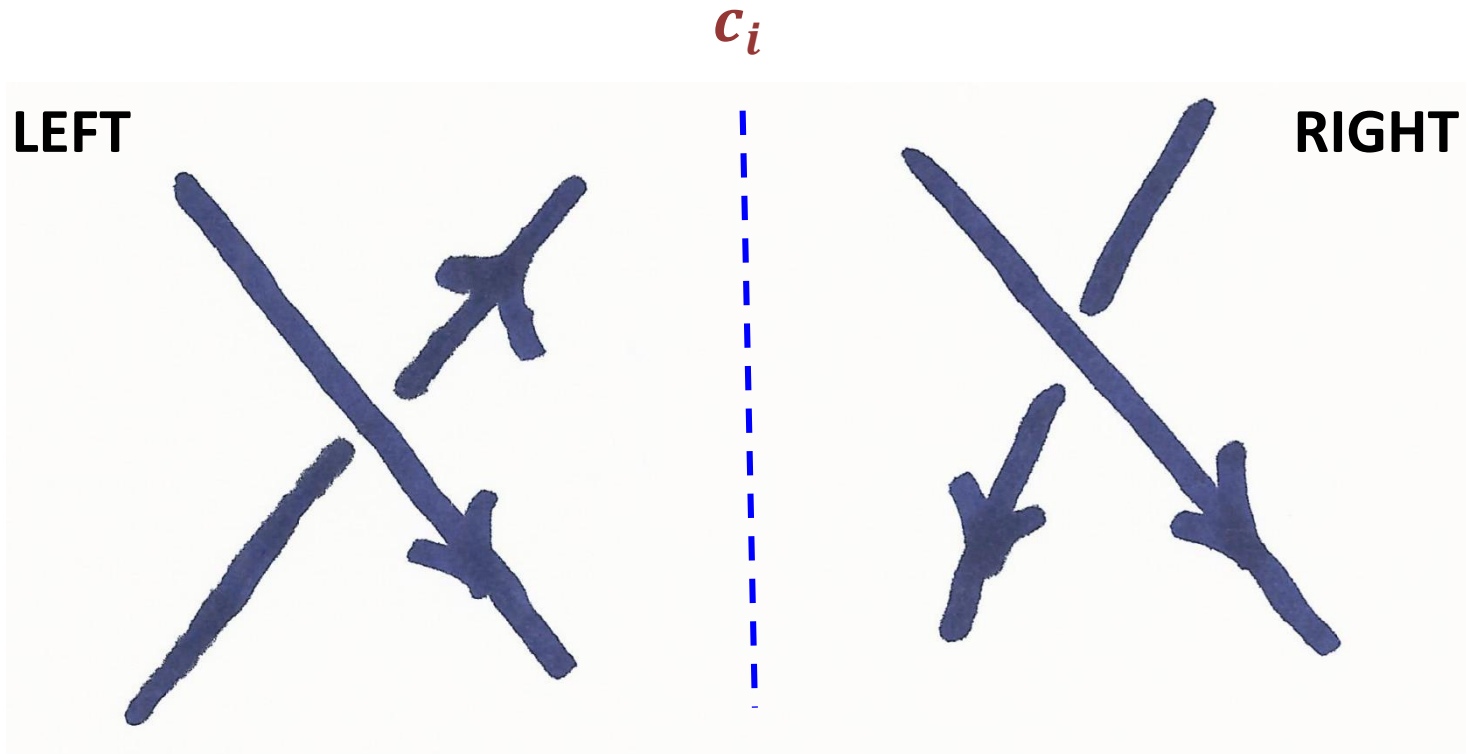


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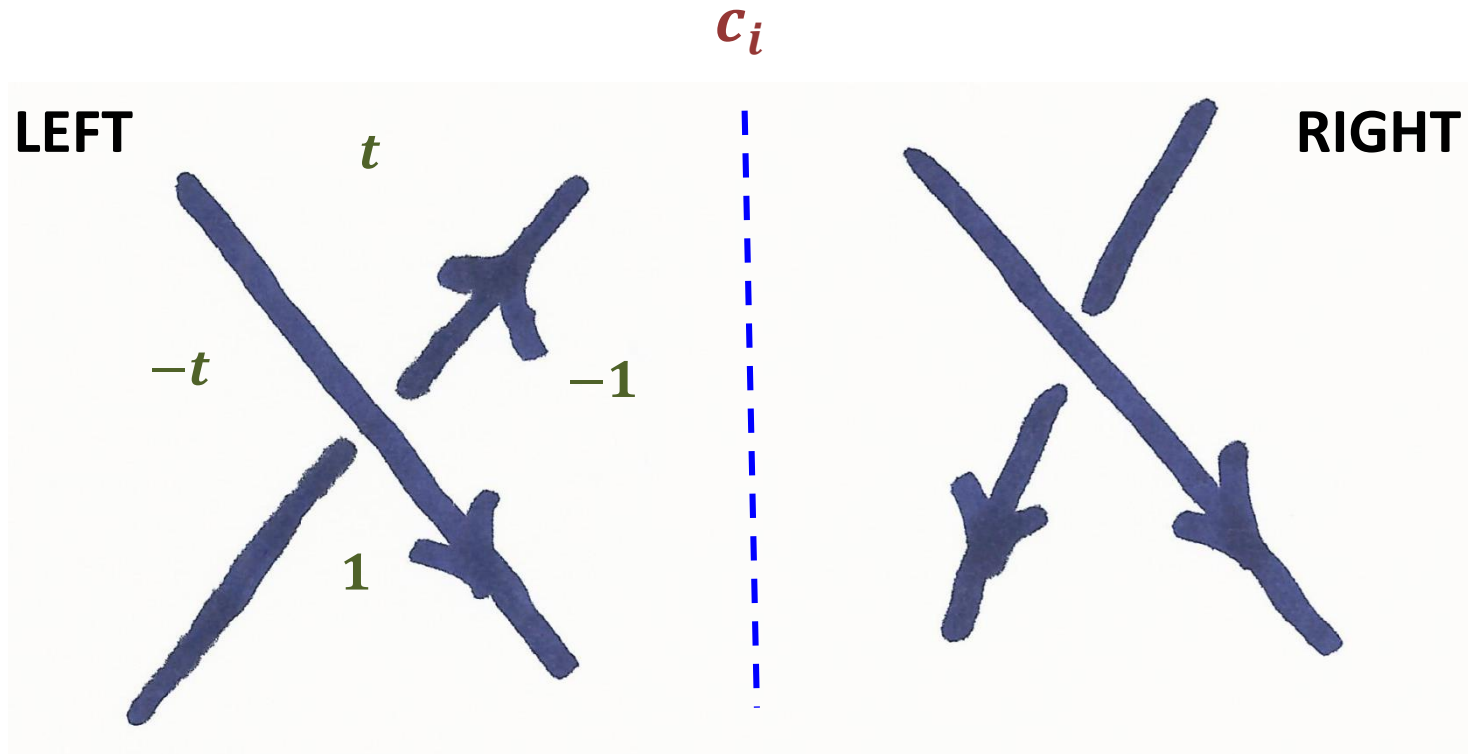
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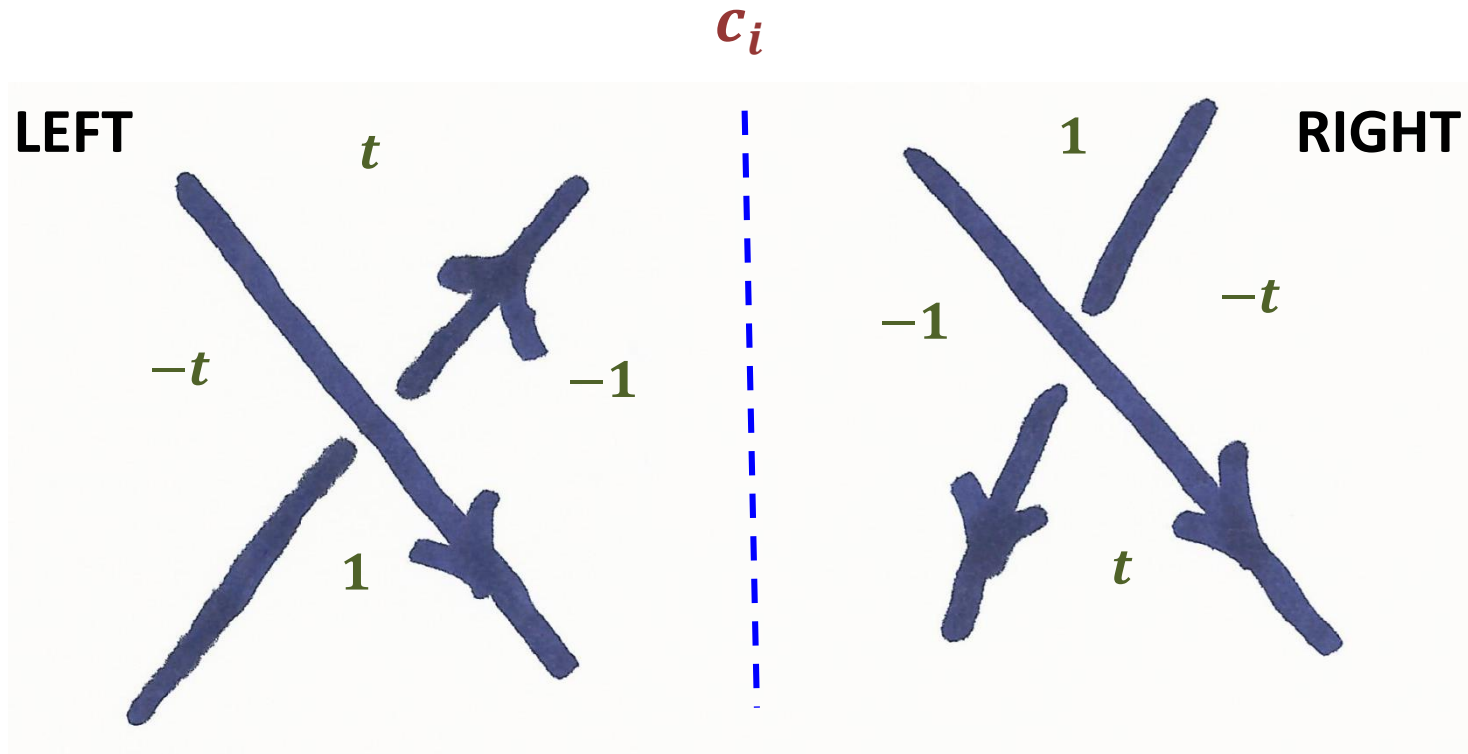
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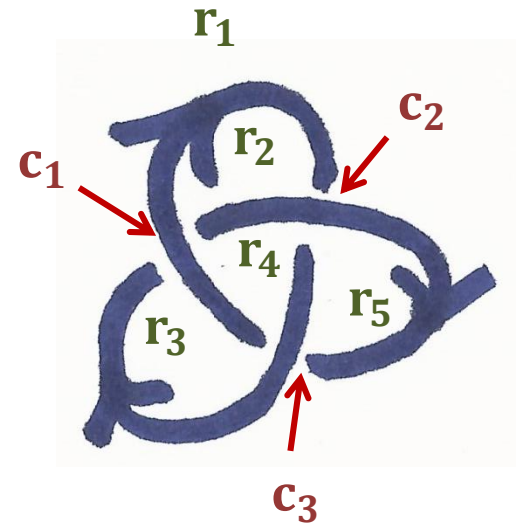
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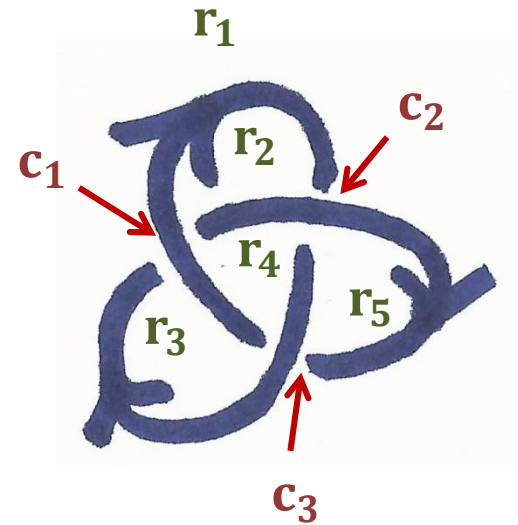
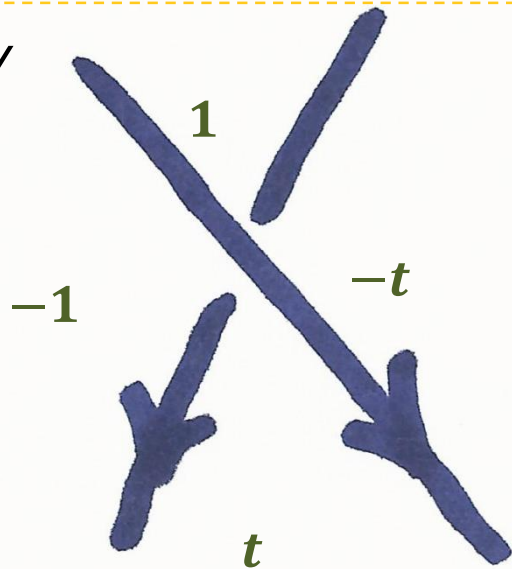
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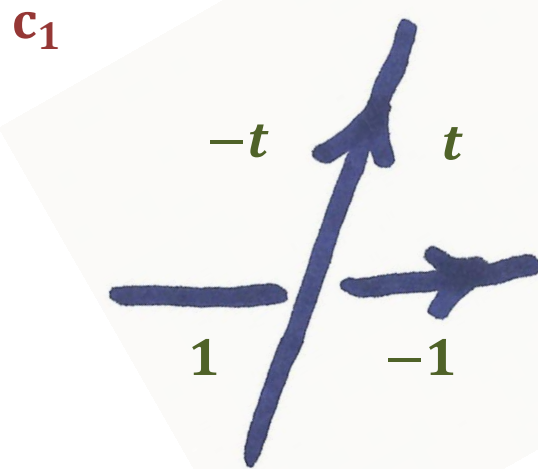
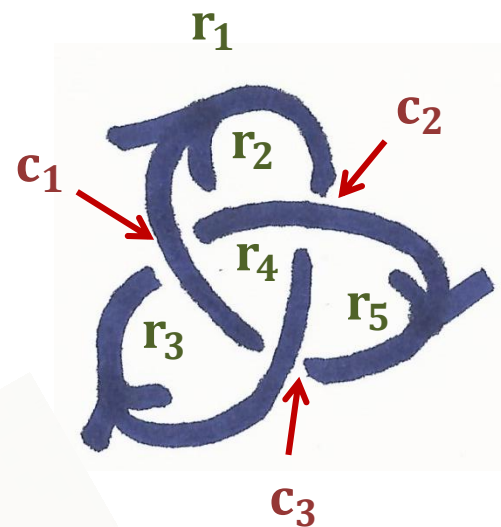
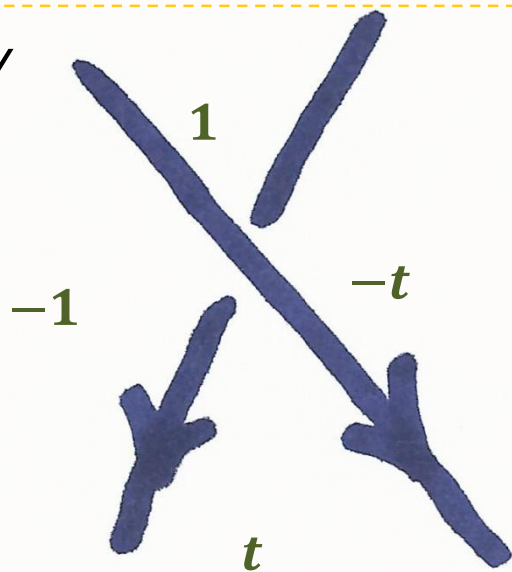
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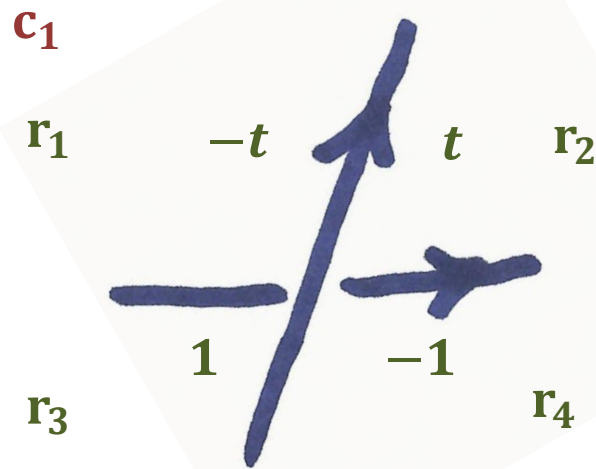
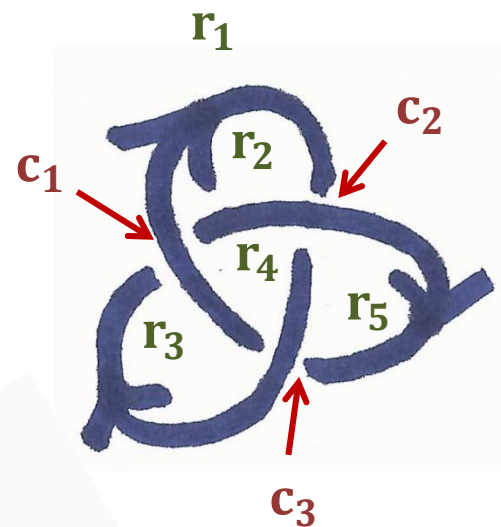
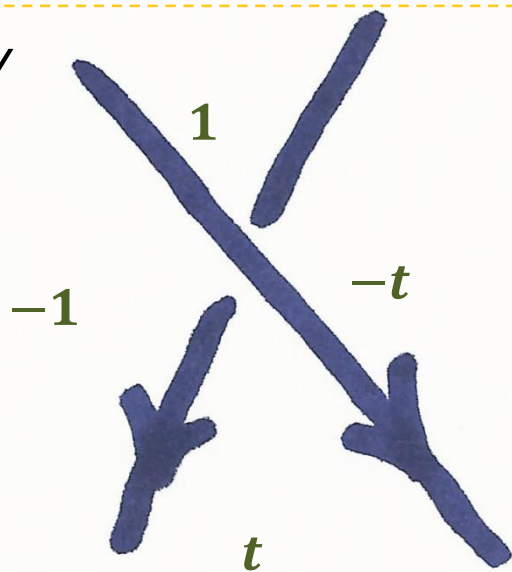
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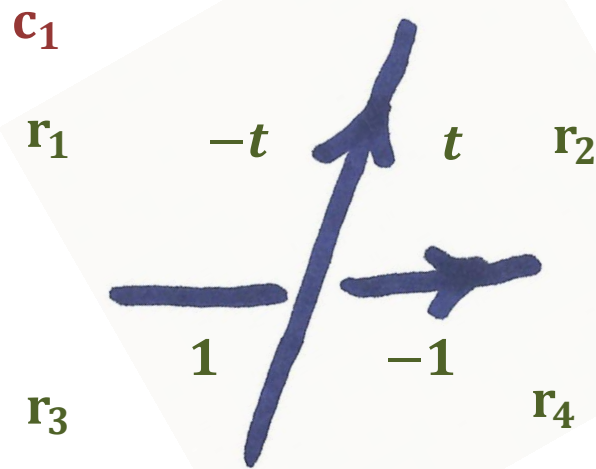
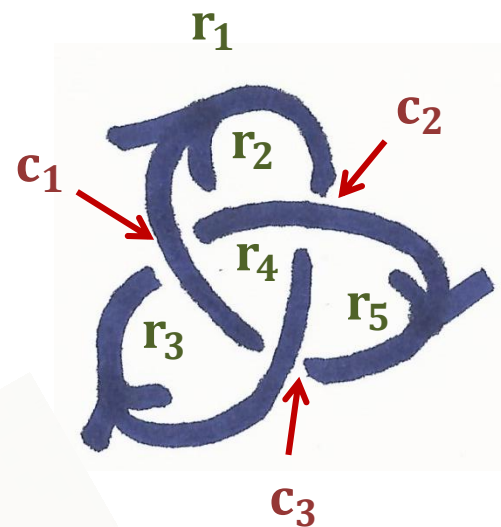
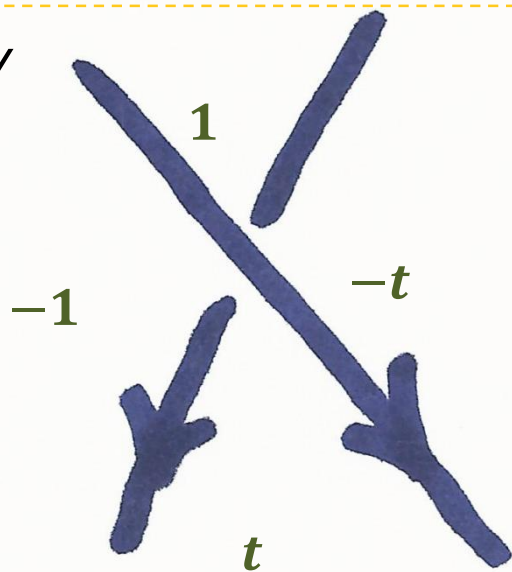
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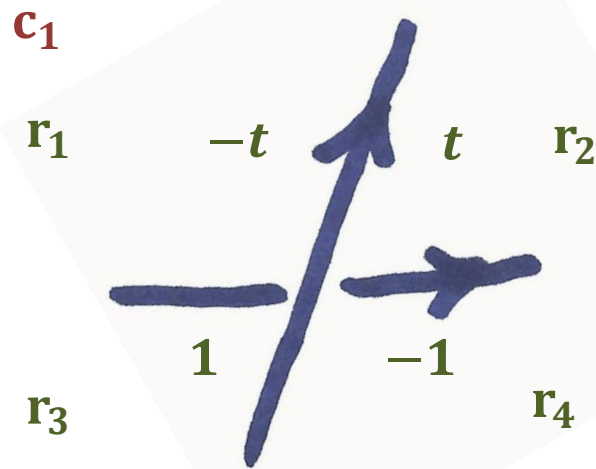
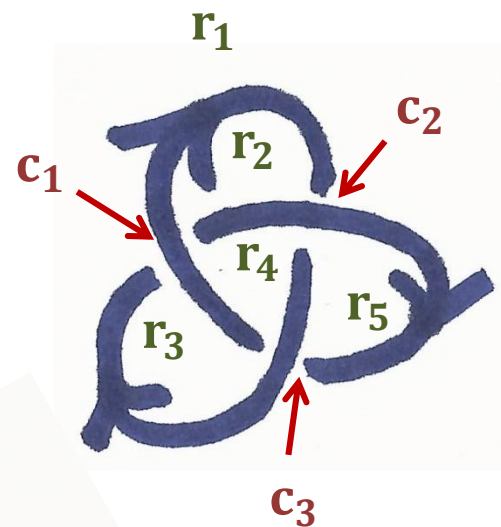
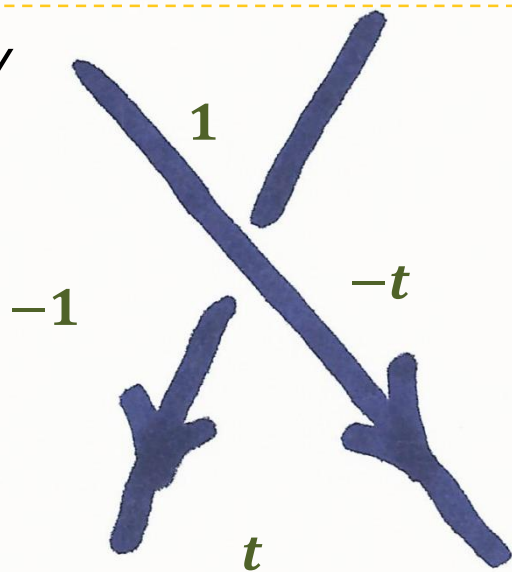
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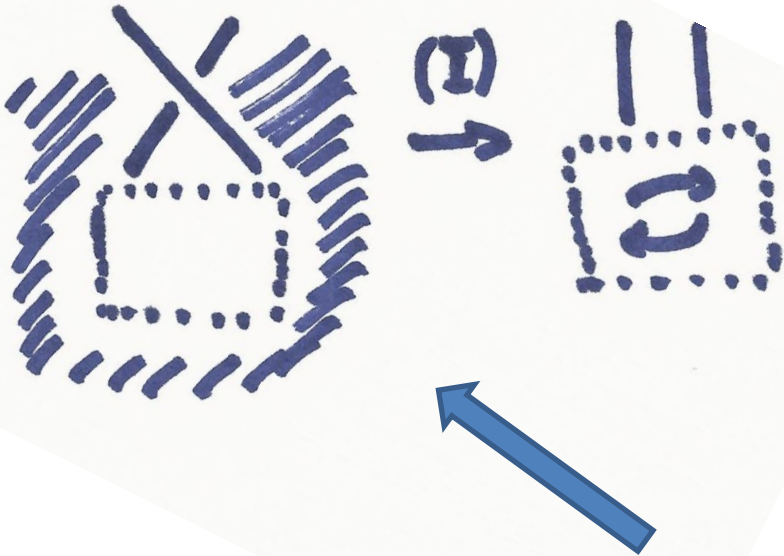
KEY



WARNING!

Crossing with 3 different regions
(2 out of 4 regions are connected)

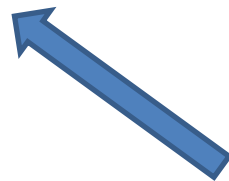
a hidden (I) move:



WARNING!

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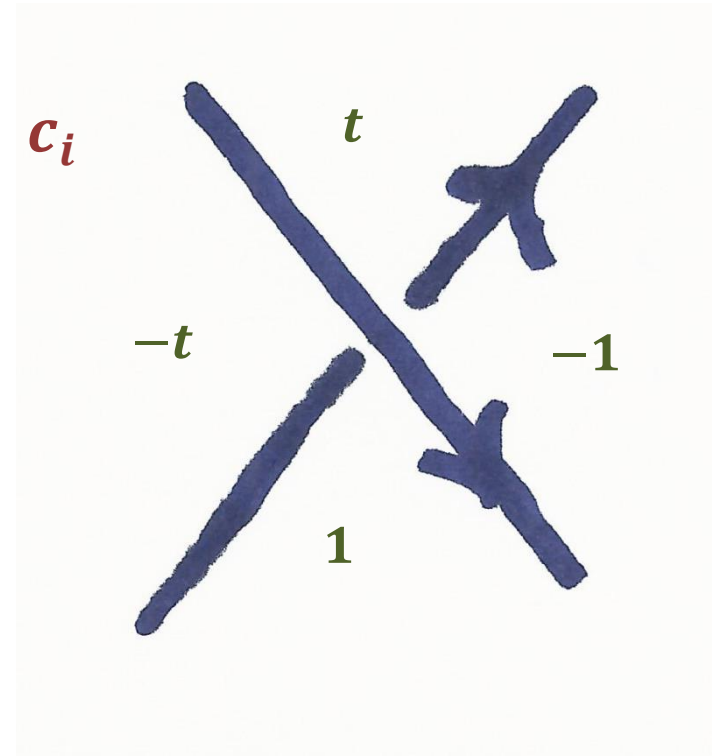
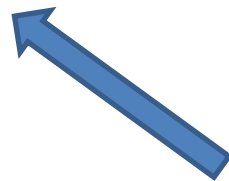
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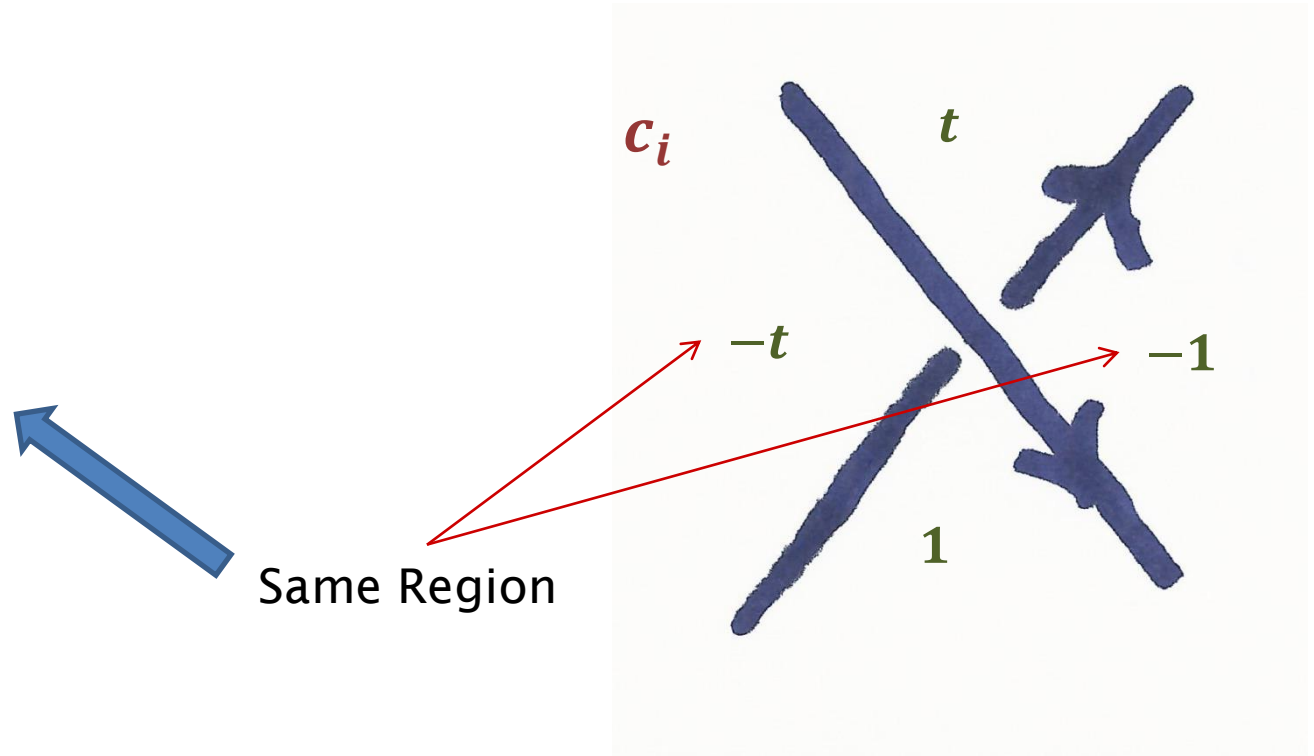
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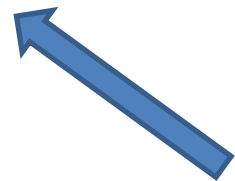


WARNING!

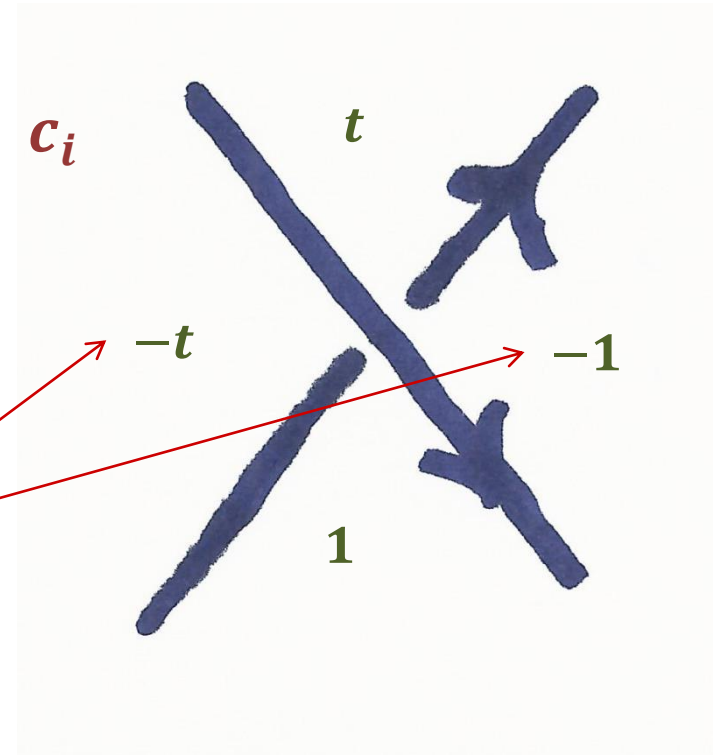
Crossing with 3 different regions
(2 out of 4 regions are connected)

a hidden (I) move:

*Put the SUM of
numbers for that
region,
i.e. $-t - 1$*



Same Region



Alexander Polynomial

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- You should have a matrix like this:

$$M = \begin{pmatrix} -t & t & 1 & -1 & 0 \\ -t & 1 & 0 & -1 & t \\ -t & 0 & t & -1 & 1 \end{pmatrix}$$

- Choose two neighboring regions, for example r_4, r_5
- Delete their columns, to get a square matrix

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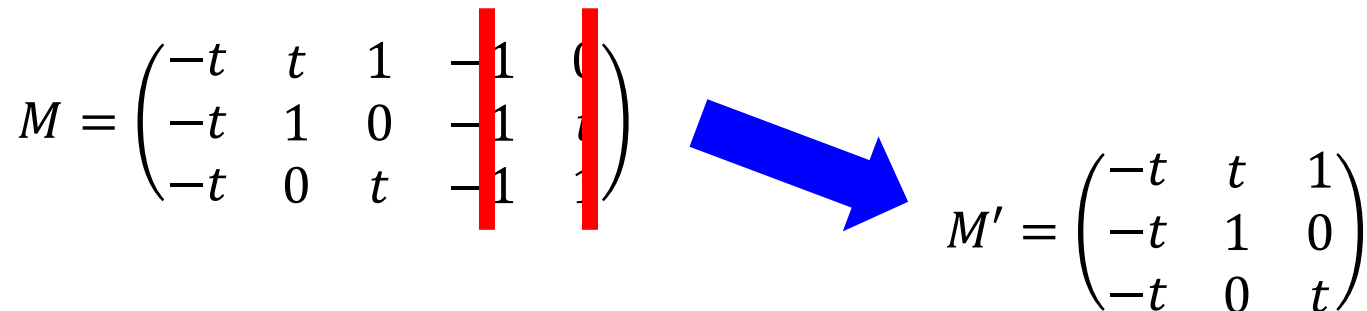
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$$M = \begin{pmatrix} -t & t & 1 & -1 & 0 \\ -t & 1 & 0 & -1 & t \\ -t & 0 & t & -1 & 1 \end{pmatrix} \quad \rightarrow \quad M' = \begin{pmatrix} -t & t & 1 \\ -t & 1 & 0 \\ -t & 0 & t \end{pmatrix}$$


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- The final step is to calculate the determinant of this matrix

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Enter what you want to calculate or know about:

determinant of $\{-t,t,1\}, \{-t,1,0\}, \{-t,0,t\}$

$$M' = \begin{pmatrix} -t & t & 1 \\ -t & 1 & 0 \\ -t & 0 & t \end{pmatrix}$$

Alexander Polynomial

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- Now you should have a polynomial, for example

$$\det(M') = t^3 - t^2 + t = t(t^2 - t + 1)$$

- We made a lot of choice (which regions to delete)
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- “Normalize” the polynomial: i.e.
 - Divide by the smallest power of t :
 $-7t^5 - 3t^3 + 5t^2 = t^2(-7t^3 - 3t + 5)$
 $\Rightarrow -7t^3 - 3t + 5$

- Make highest power of t , have a **positive coefficient**

$$-7t^3 - 3t + 5 \Rightarrow (\times -1) \Rightarrow 7t^3 + 3t - 5$$

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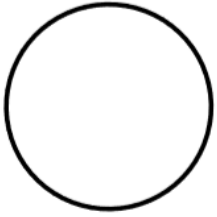
↓

$$**p(t) = t^2 - t + 1**$$

(Alexander's Theorem, 1928)

The procedure described above gives Knot Invariants

A table of prime knots of 7 or fewer crossings with their Alexander polynomials



0_1
1



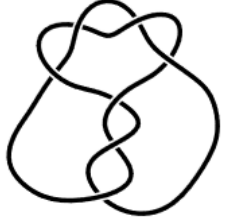
3_1
 $1 - t + t^2$



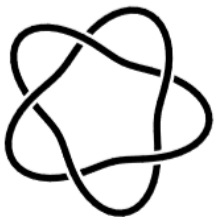
4_1
 $1 - 3t + t^2$



7_2
 $3 - 5t + 3t^2$



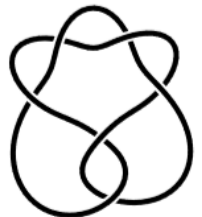
7_3
 $2 - 3t + 3t^2 - 3t^3 + 2t^4$



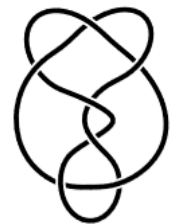
5_1
 $1 - t + t^2 - t^3 + t^4$



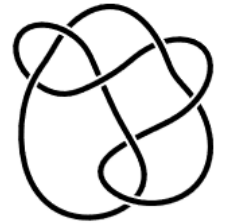
5_2
 $2 - 3t + 2t^2$



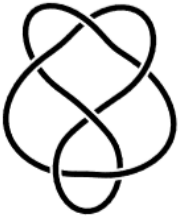
6_1
 $2 - 5t + 2t^2$



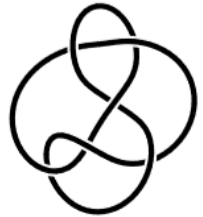
7_5
 $2 - 4t + 5t^2 - 4t^3 + 2t^4$



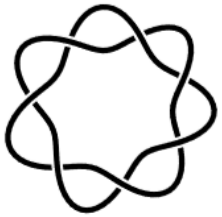
7_6
 $1 - 5t + 7t^2 - 5t^3 + t^4$



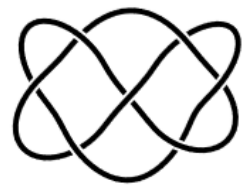
6_2
 $1 - 3t + 3t^2 - 3t^3 + t^4$



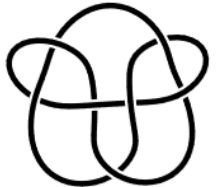
6_3
 $1 - 3t + 5t^2 - 3t^3 + t^4$



7_1
 $1 - t + t^2 - t^3 + t^4 - t^5 + t^6$



7_4
 $4 - 7t + 4t^3$



7_7
 $1 - 5t + 9t^2 - 5t^3 + t^4$

Assumptions for Alexander Polynomial

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- (*Euler's Theorem*) A knot diagram with n crossings, divides plane into $n+2$ regions

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- First Choose an *orientation*
- (*Euler's Theorem*) A knot diagram with n crossings, divides plane into $n+2$ regions
- Name your regions (r_1, r_2, \dots, r_{n+2}) and crossings (c_1, c_2, \dots, c_n)
- Draw a Matrix with n rows and $n+2$ columns

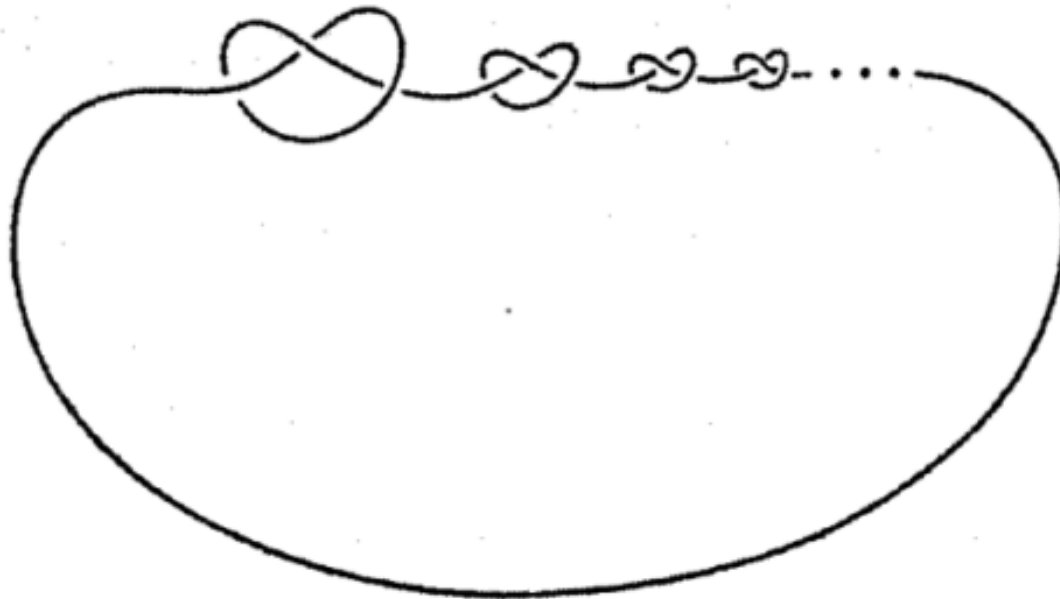
$$M = \begin{matrix} & r_1 & r_2 & r_3 & r_4 & r_5 \\ \begin{matrix} c_1 \\ c_2 \\ c_3 \end{matrix} & \left(\begin{matrix} & & & & \\ & & & & \\ & & & & \end{matrix} \right) \end{matrix}$$

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Assumptions for Alexander Polynomial

- First Choose an *orientation*
- (*Euler's Theorem*) A knot diagram with n crossings, divides plane into $n+2$ regions



Invariants:

Assign a “number” to each Knot called it’s invariant so that

- Equivalent knots get the same number

Then, if two knots get different numbers, then they’re not equivalent.

- No guarantee that an assignment tells apart all Knots
- The more it does so, the better (a more *coarse* invariant)

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Number of ...	2	3	4	5	6	7	8	9	10	11
crossings	2	3	4	5	6	7	8	9	10	11
knots	0	1	1	2	3	7	21	49	165	552
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- Tells knots of $n < 9$ crossings apart

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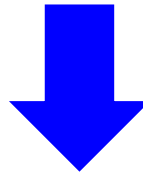
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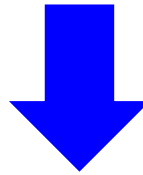
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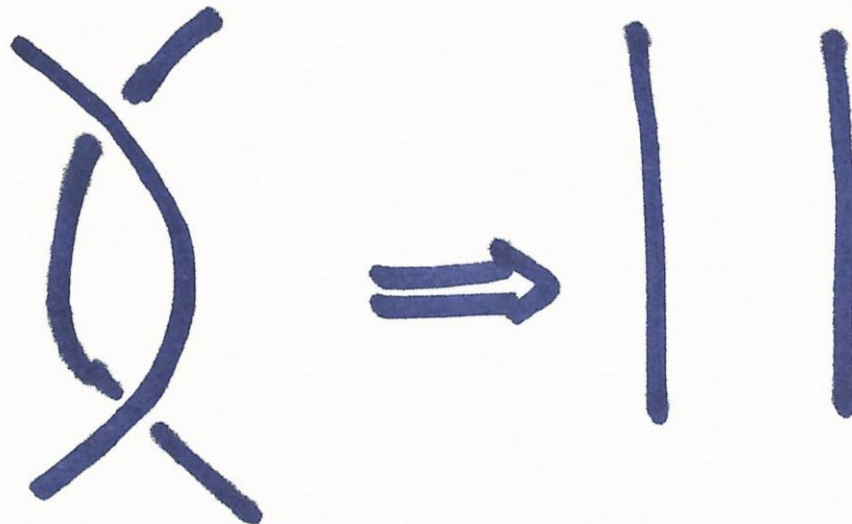


Need to check if Alexander Polynomial doesn't change after a Reidmeister move!

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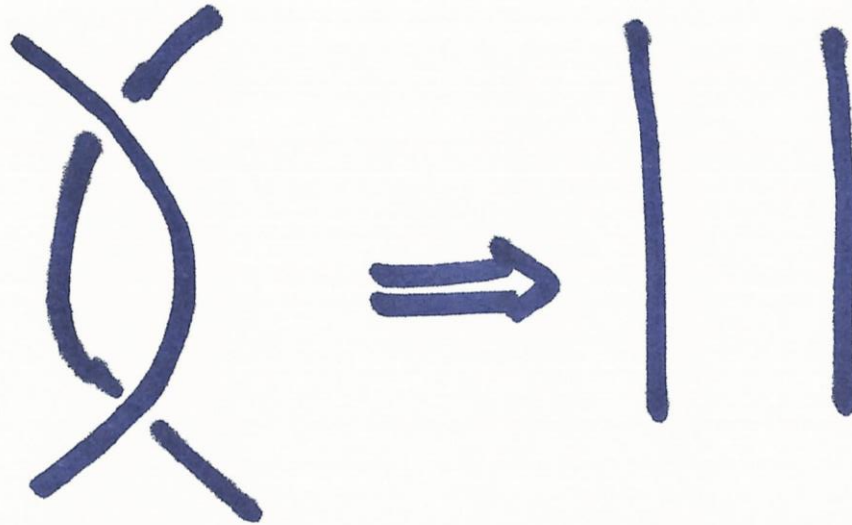
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(II)



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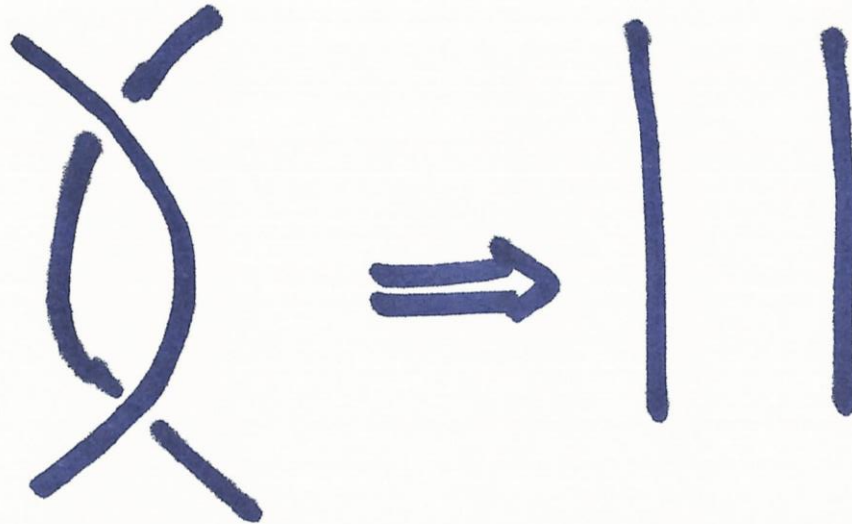
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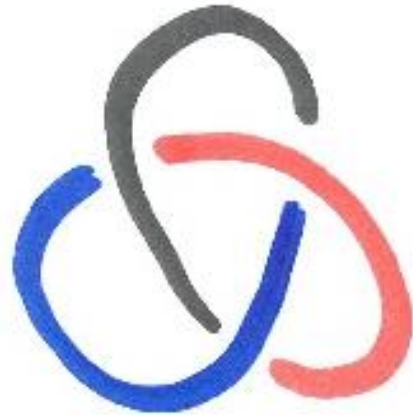


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Tricolourability

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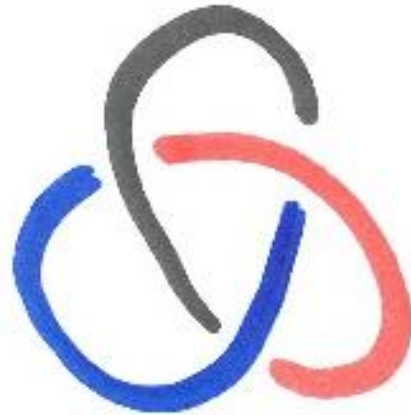
Tricolourability



We say a knot is **Tricolourable** if we can colour its arcs with **3 colours** and

- At every crossing, either all three colours or only one colour is used.
- all colours must be used at least once.

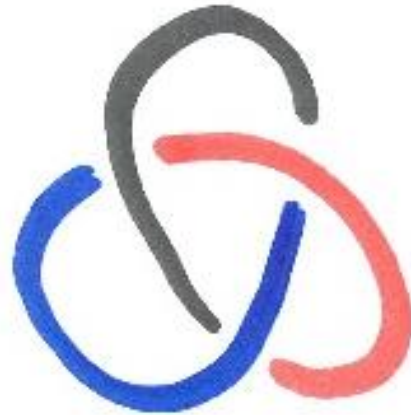
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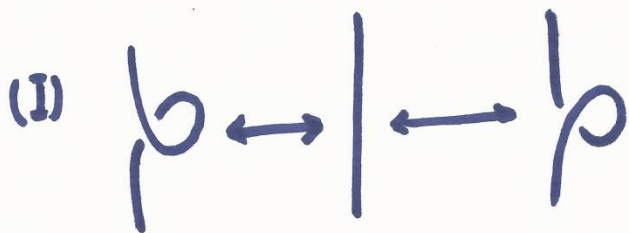
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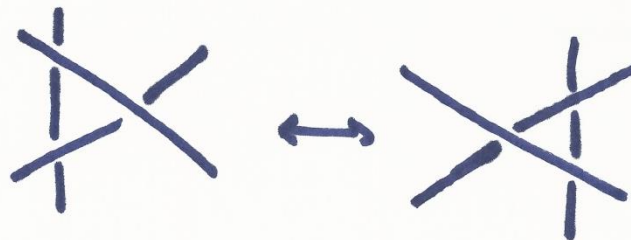
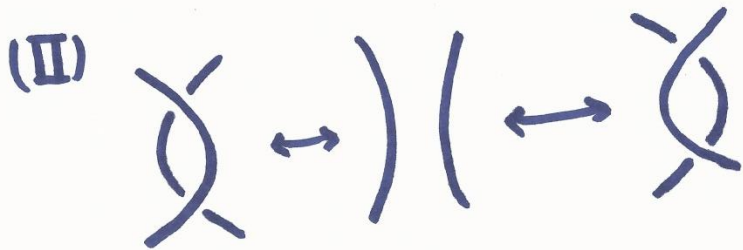
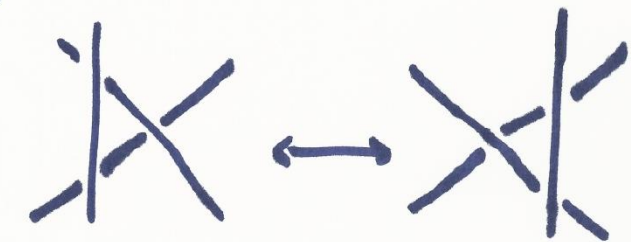
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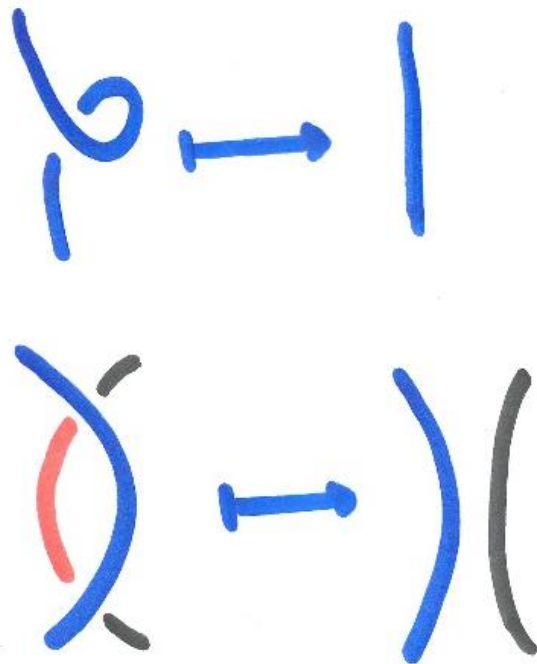


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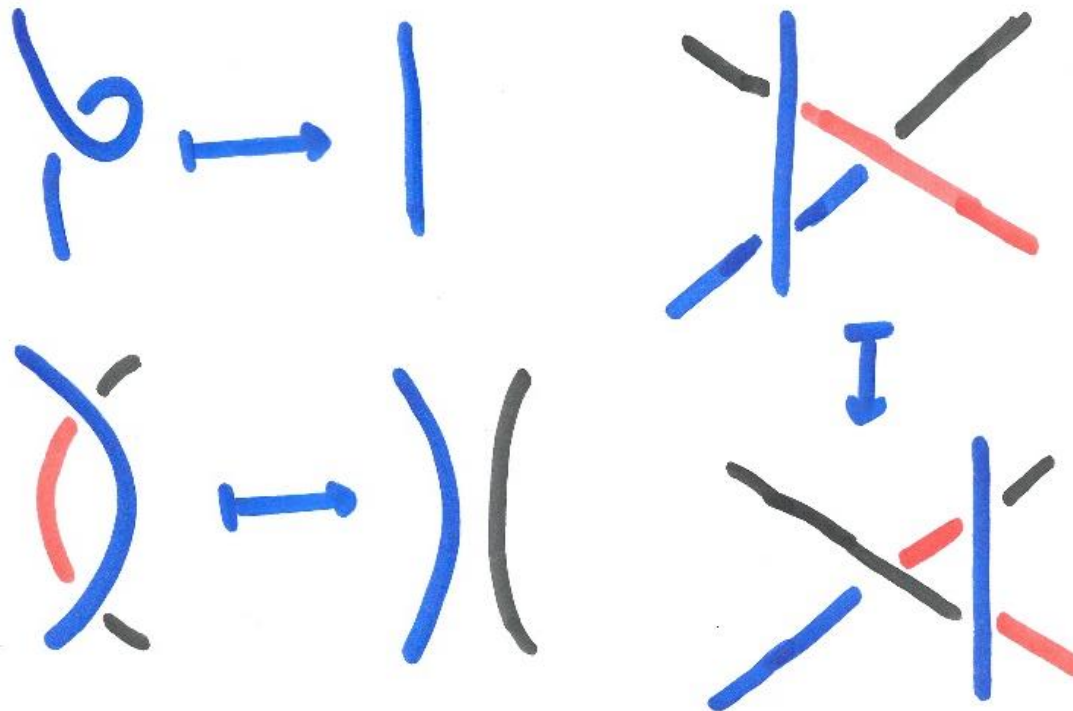
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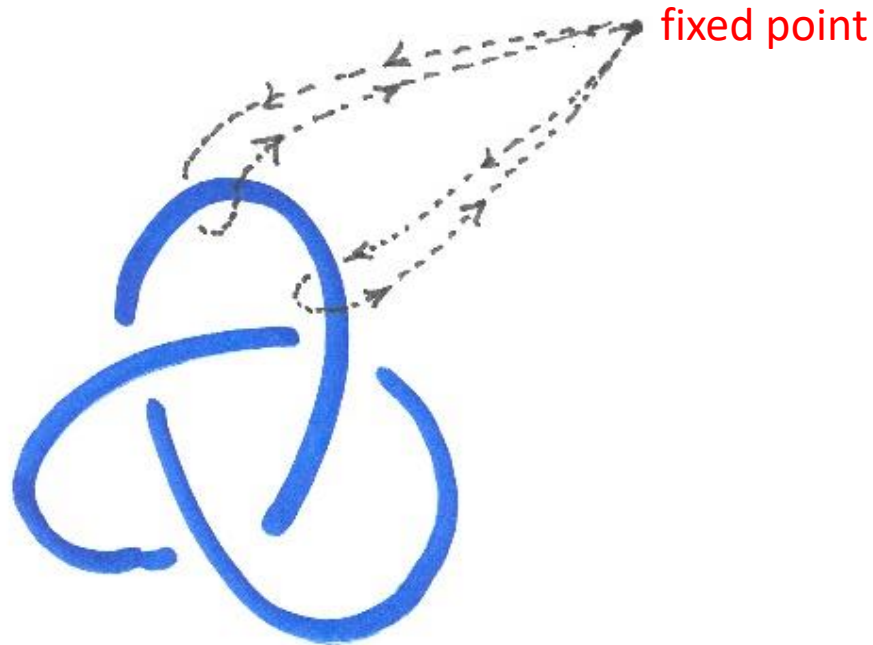
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- Take the Set G , of all topological directed loops starting and ending at your fixed point!

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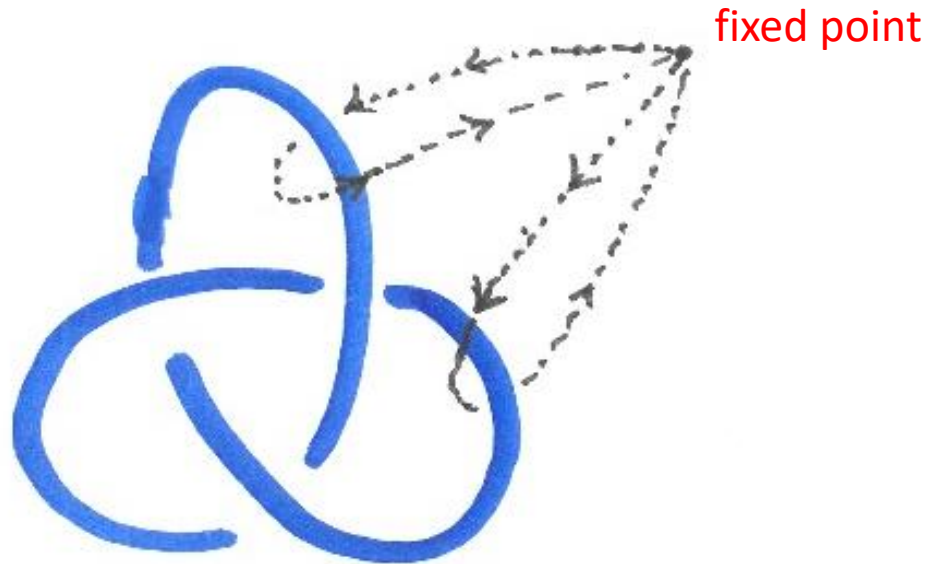
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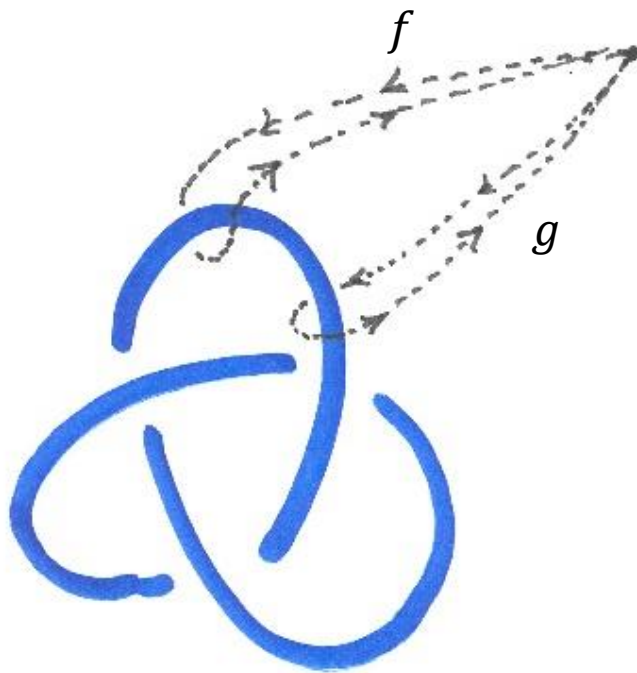
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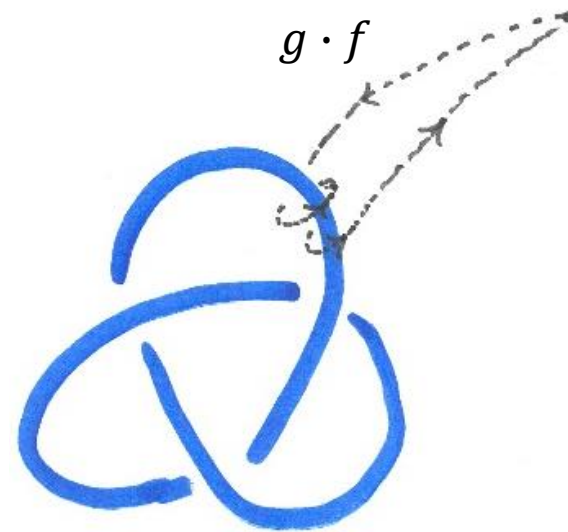
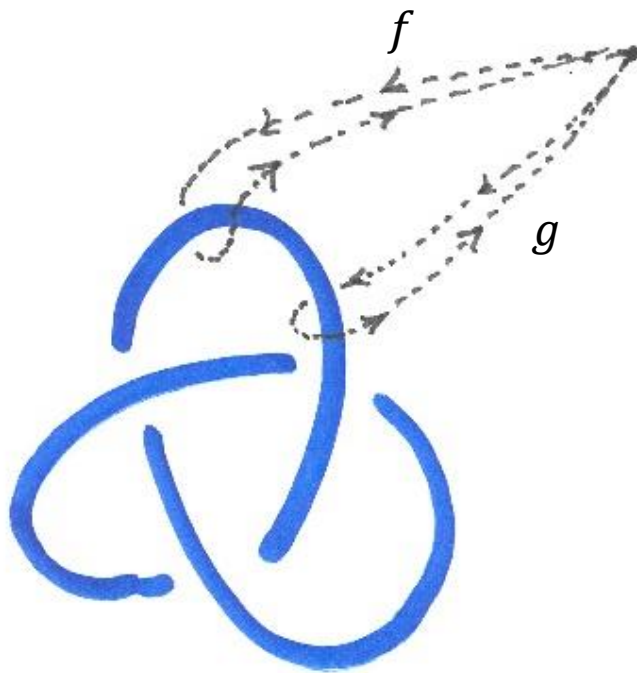
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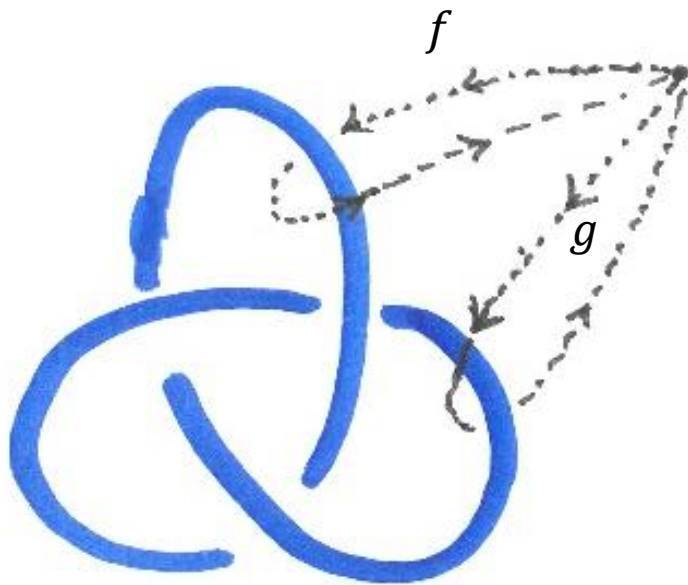
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g

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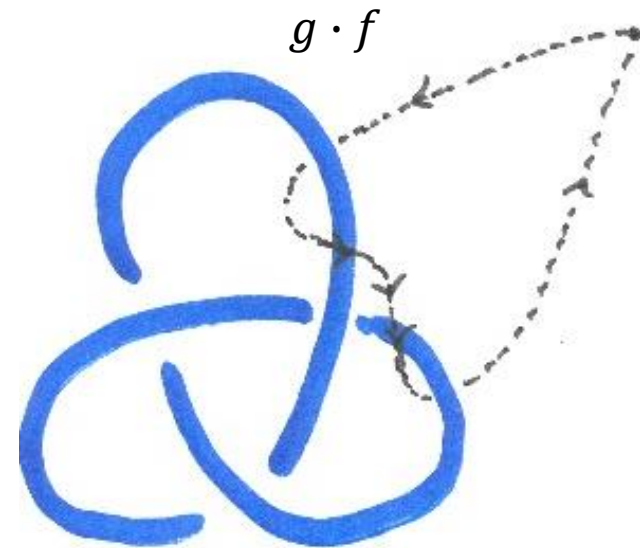
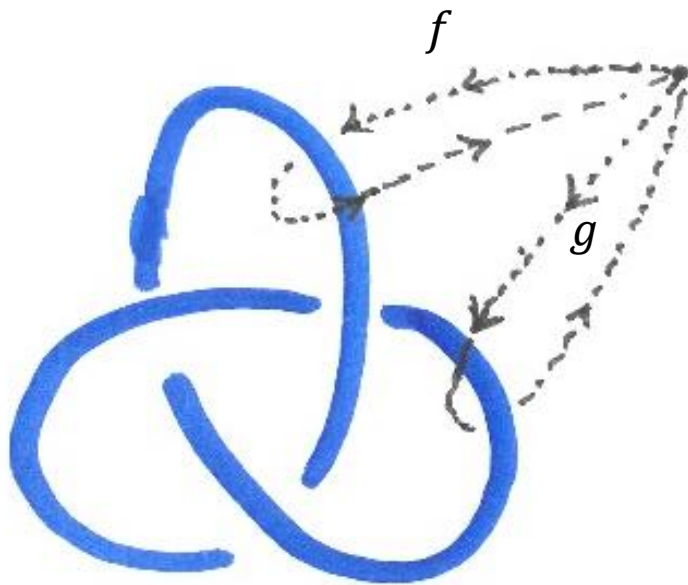
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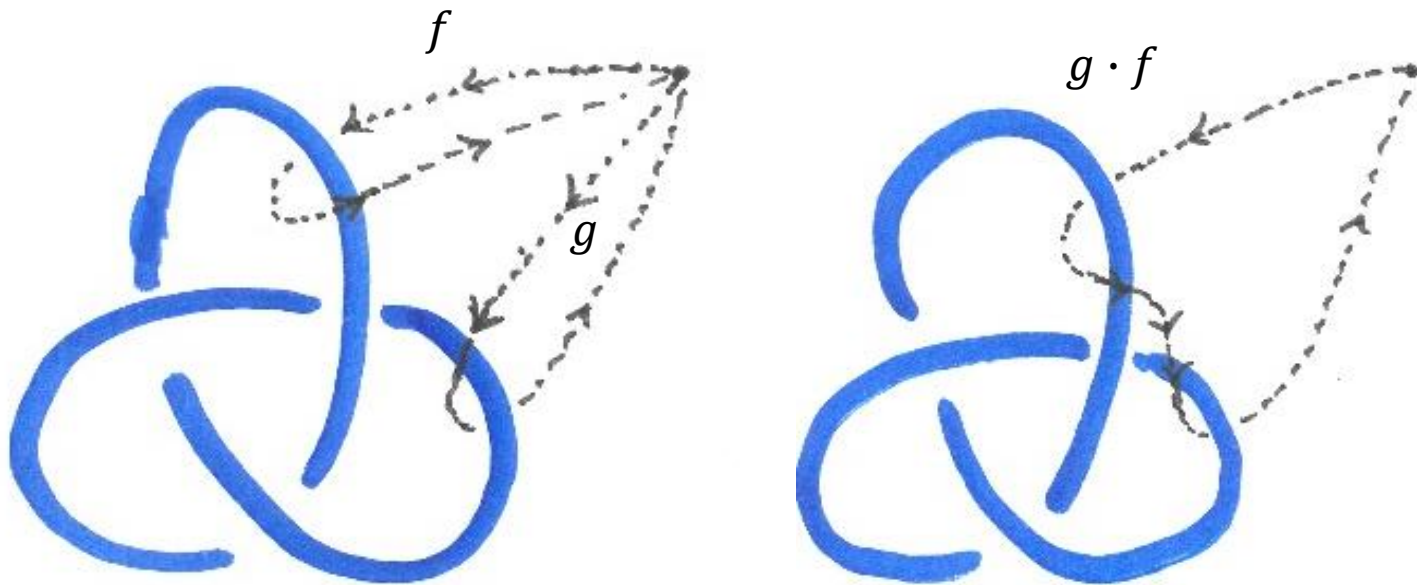
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(Associativity) Order doesn't matter $(h \cdot g) \cdot f = h \cdot (g \cdot f)$

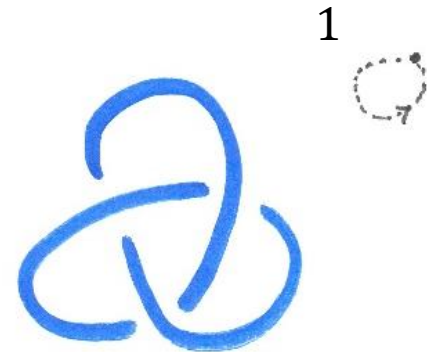
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- Composition has a “one” loop: $1 \cdot f = f = f \cdot 1$
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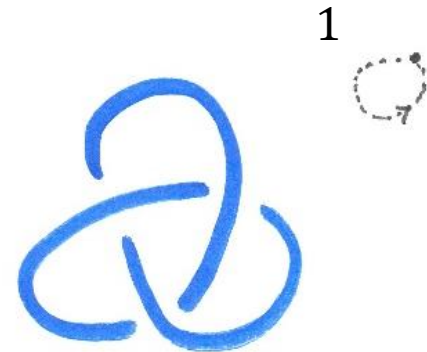
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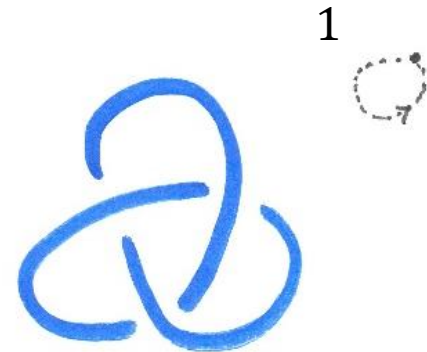
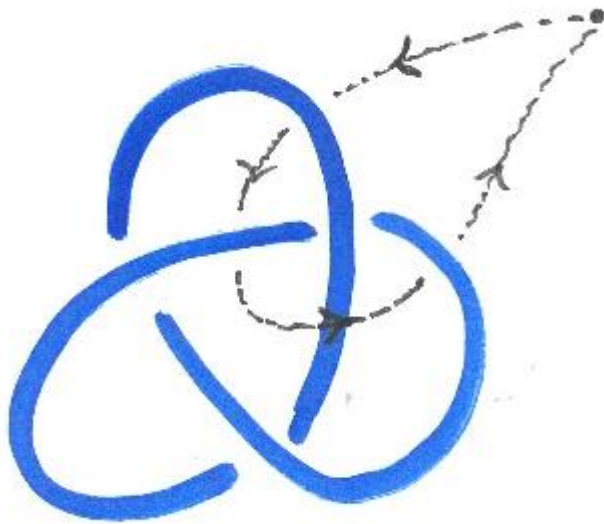
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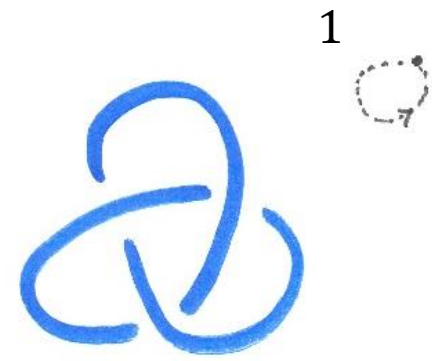
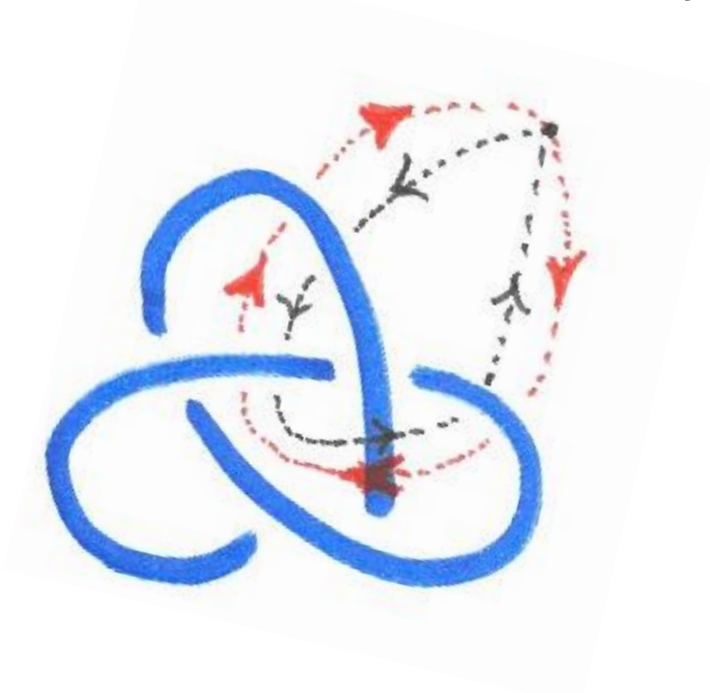
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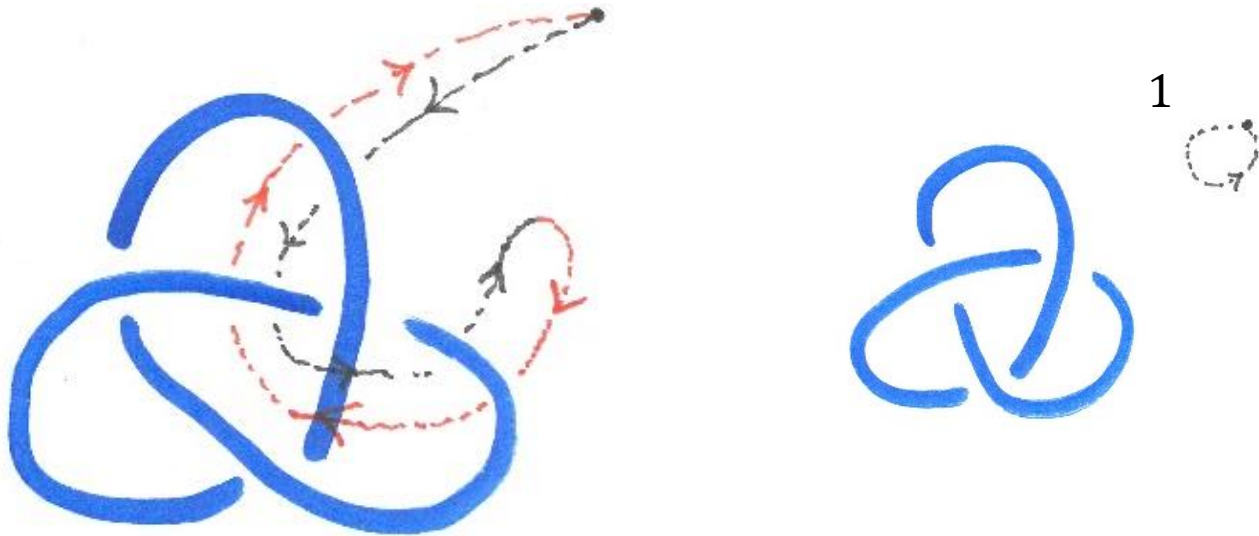
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- A set G
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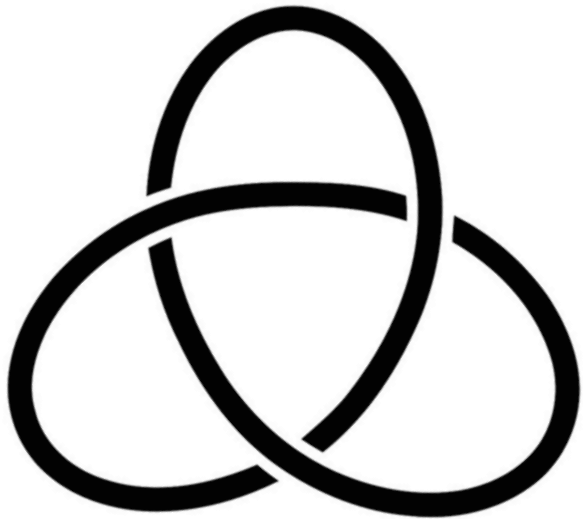
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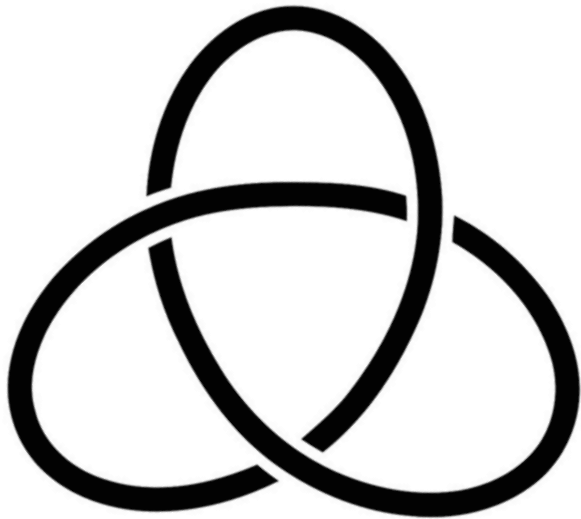
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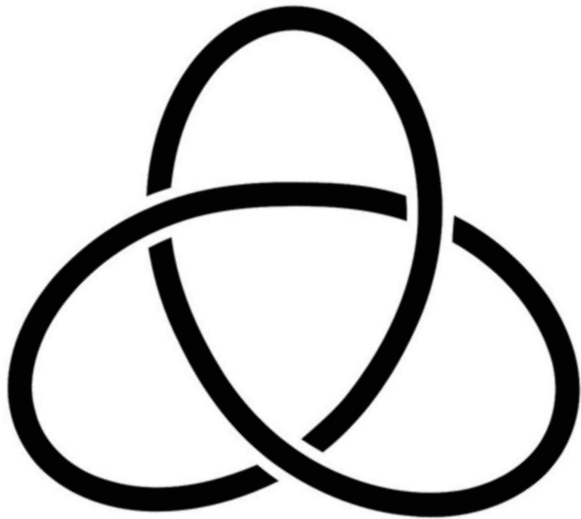
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- Knot groups are all infinite!
- Knot groups are generated by loops corresponding to each region (in the diagram)
- Relations corresponding to each crossing (in the diagram)



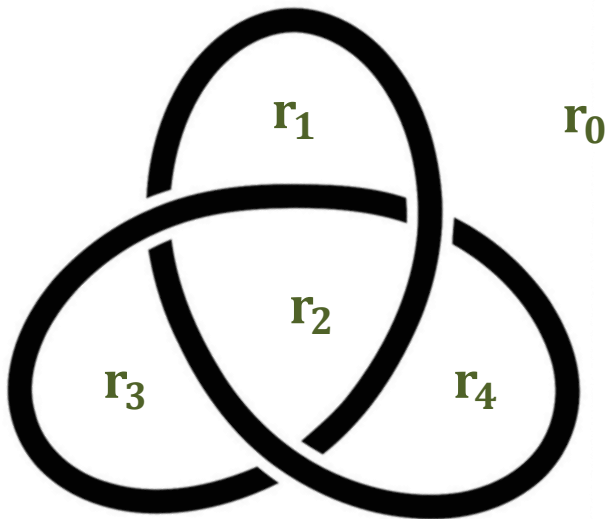
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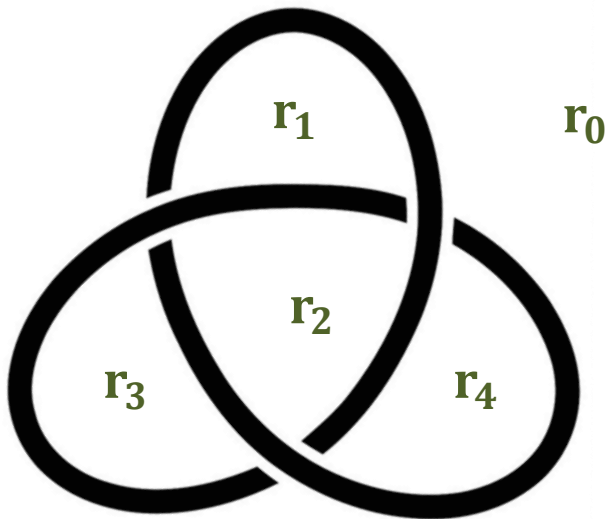
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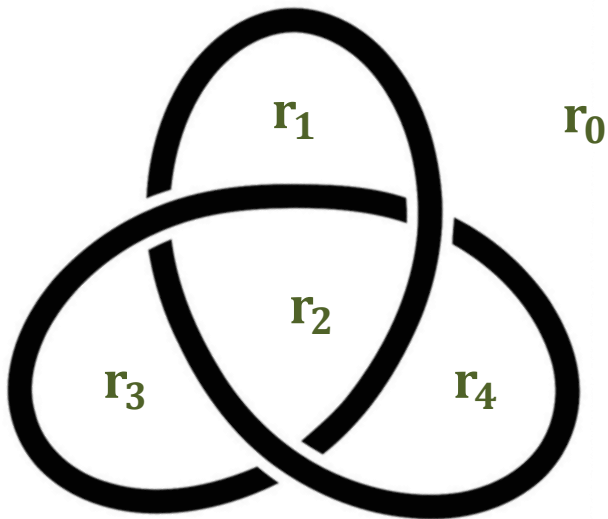
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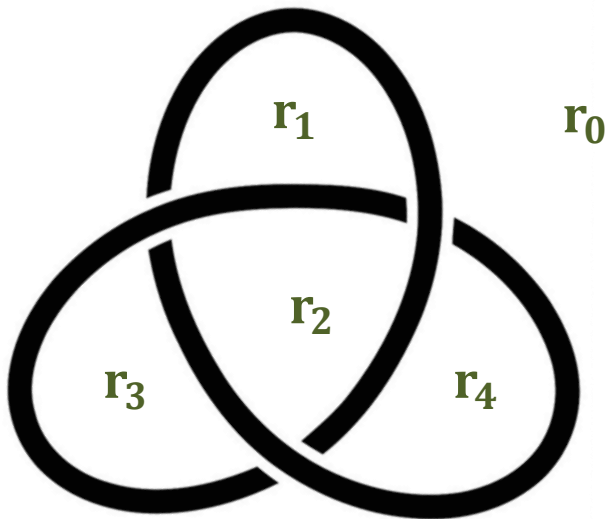
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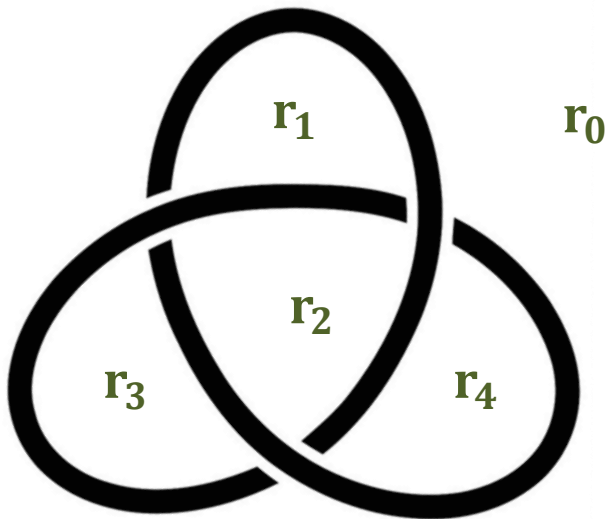
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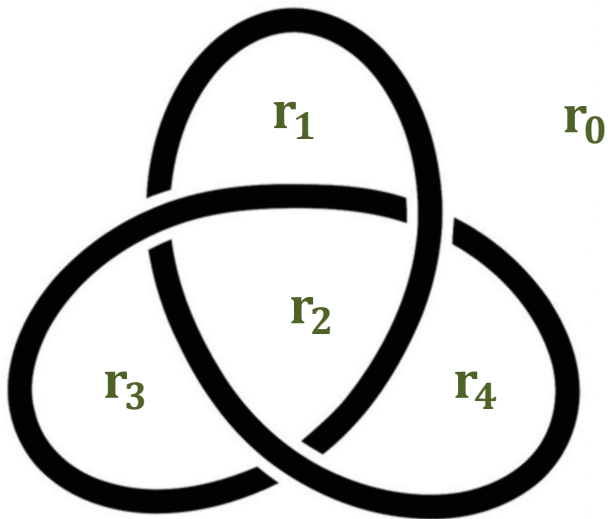
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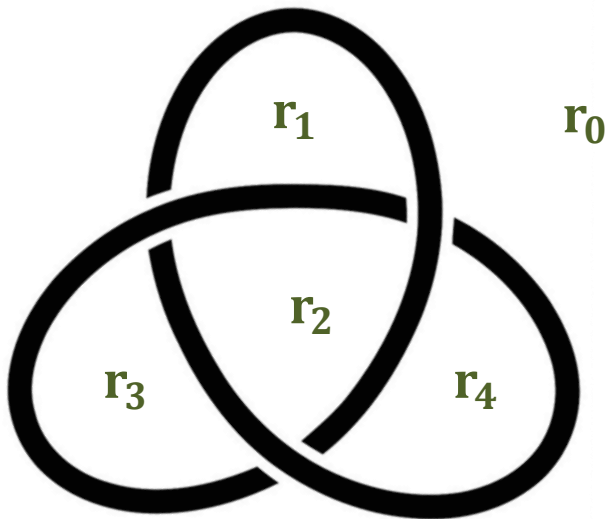
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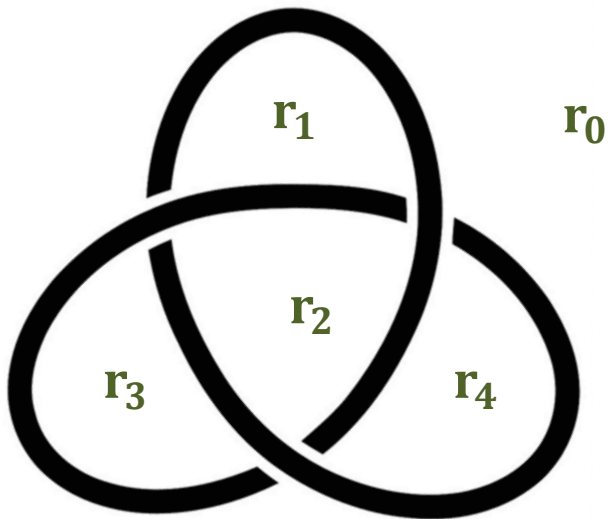
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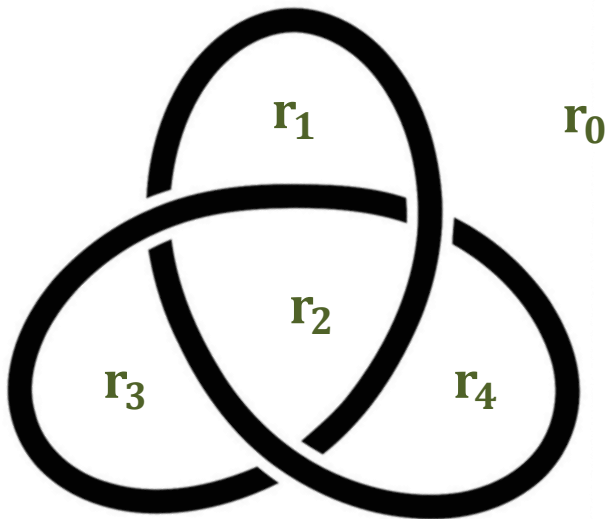
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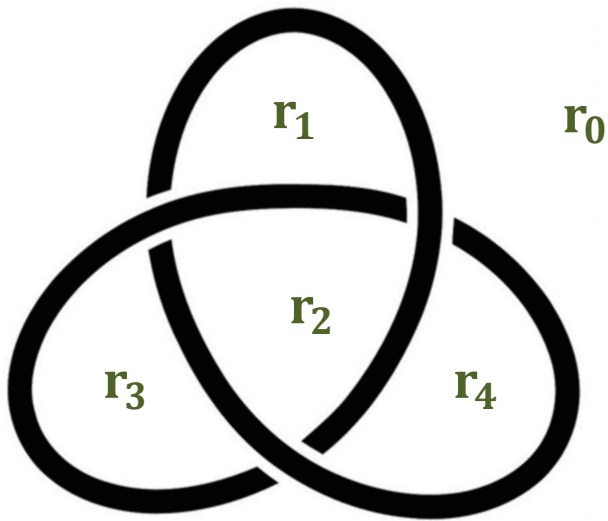
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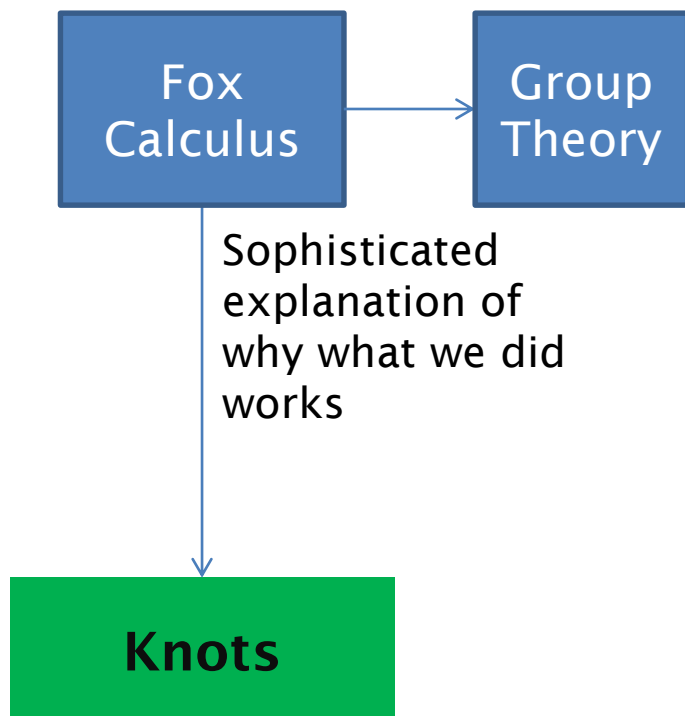
$$\begin{aligned} r_0 &= 1 \\ \text{Exercise: } r_1 &= r_2 \cdot r_4^{-1} \\ r_3 &= r_1 \cdot r_2^{-1} \\ r_4 &= r_2 \cdot r_3^{-1} \end{aligned}$$

Theorem. The (isomorphism type) fundamental group of a knot, is a knot invariant.

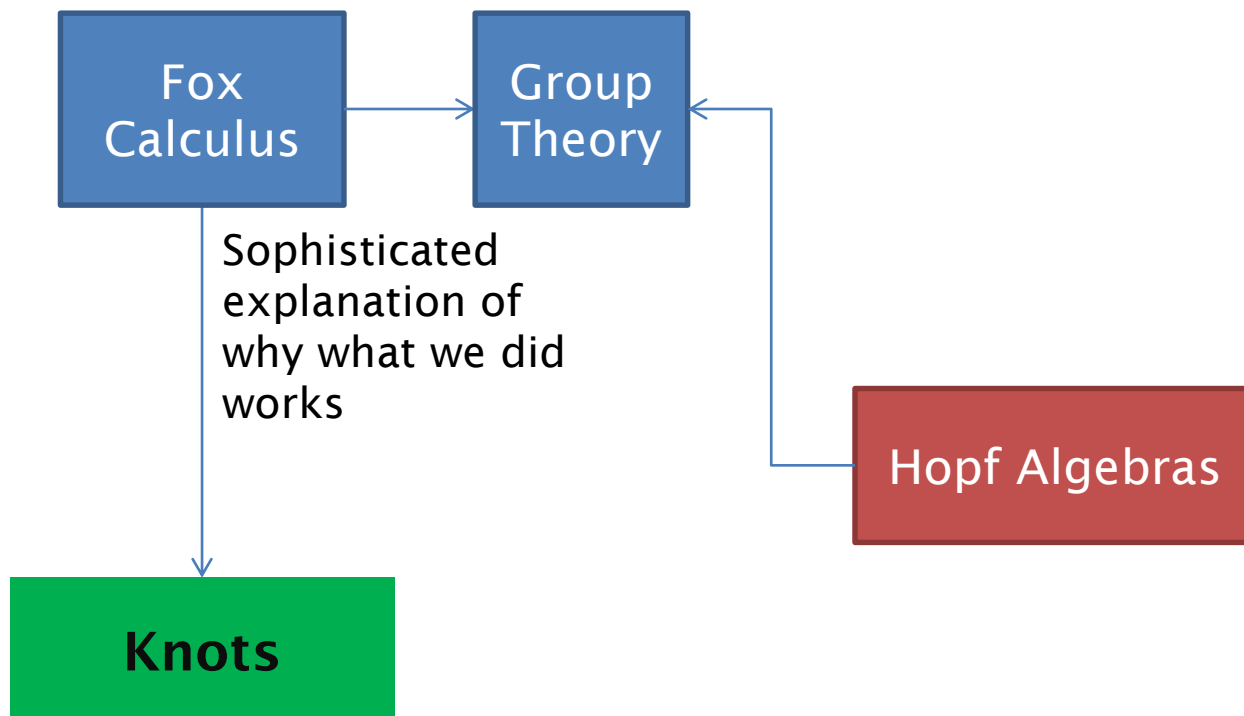
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Knots

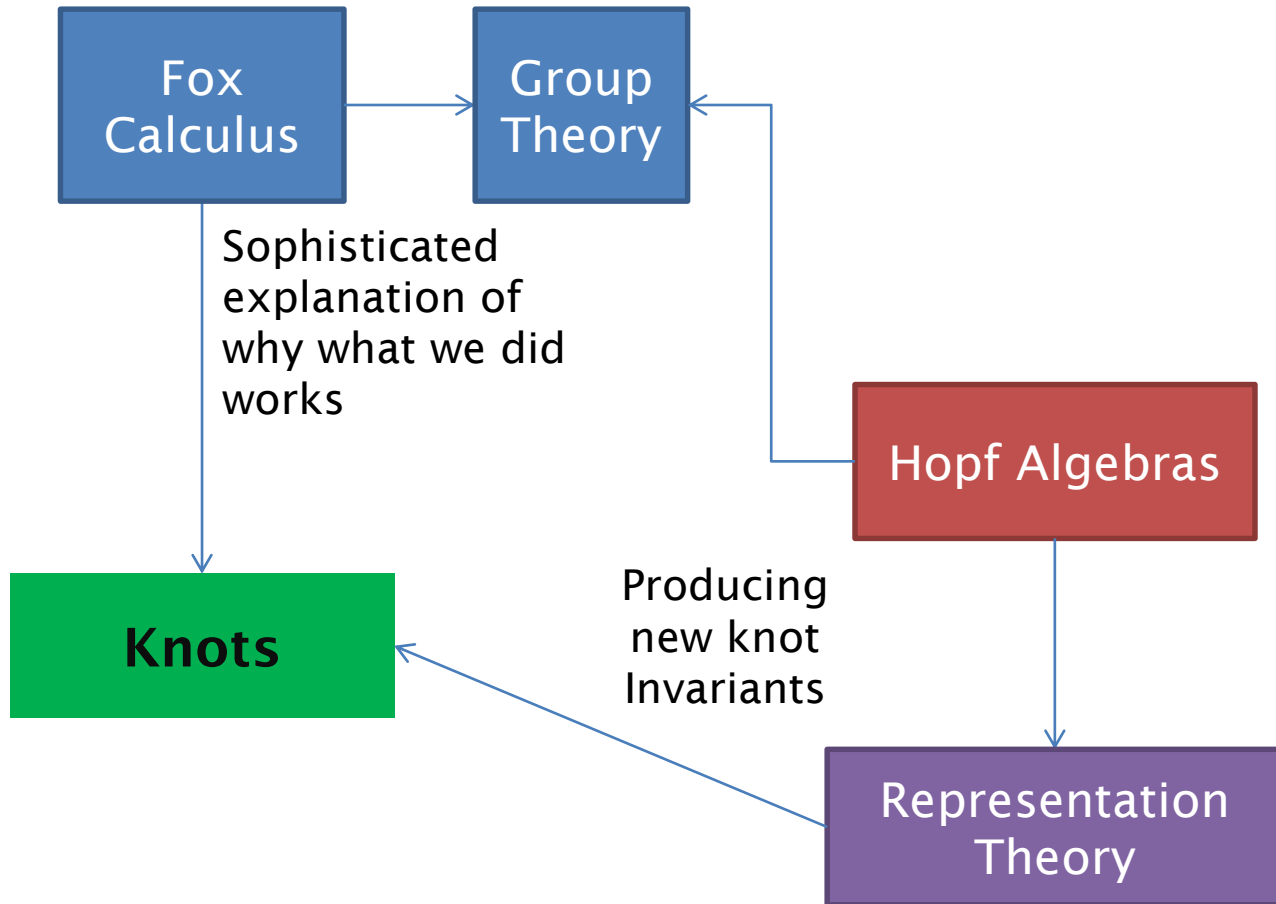
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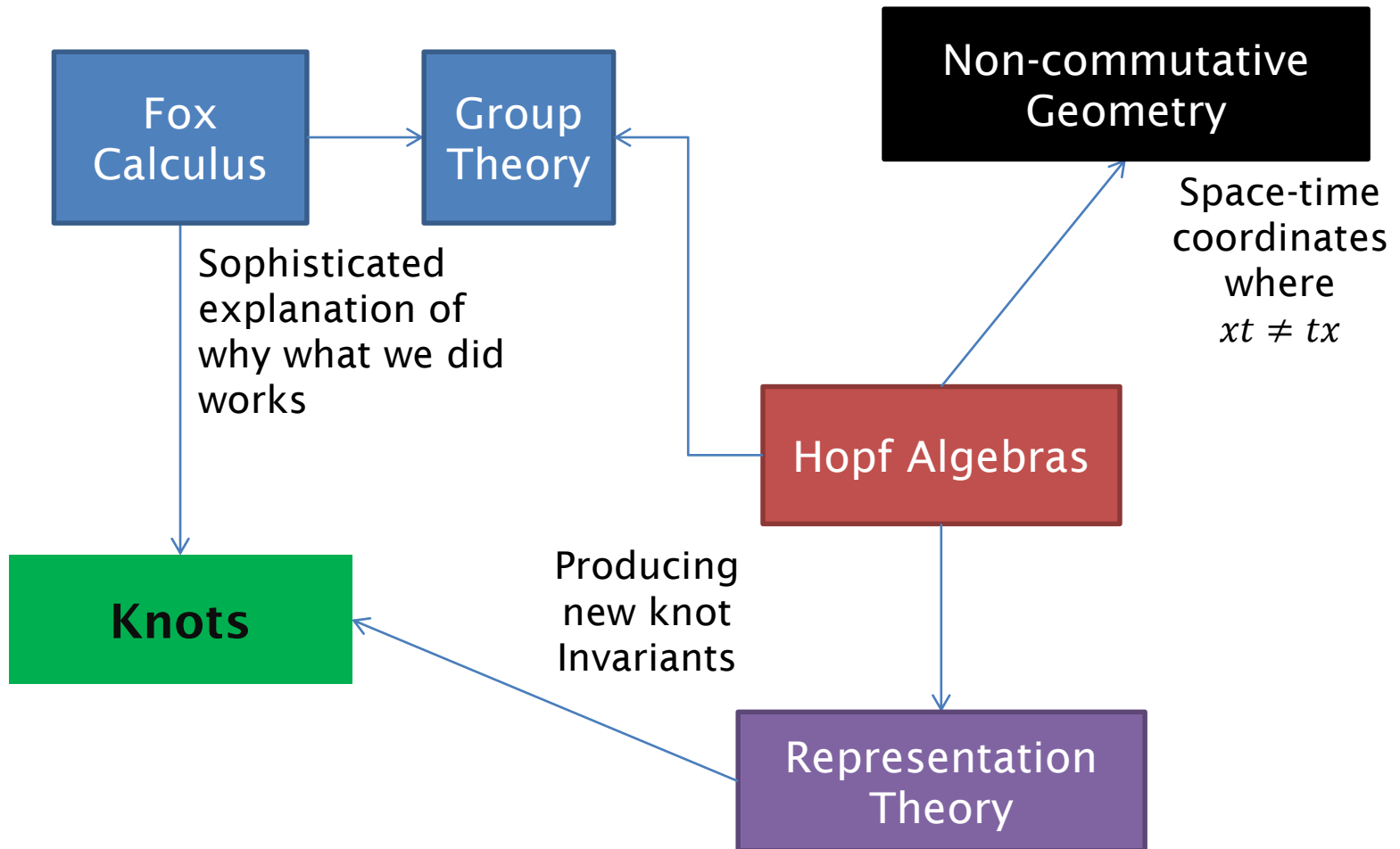
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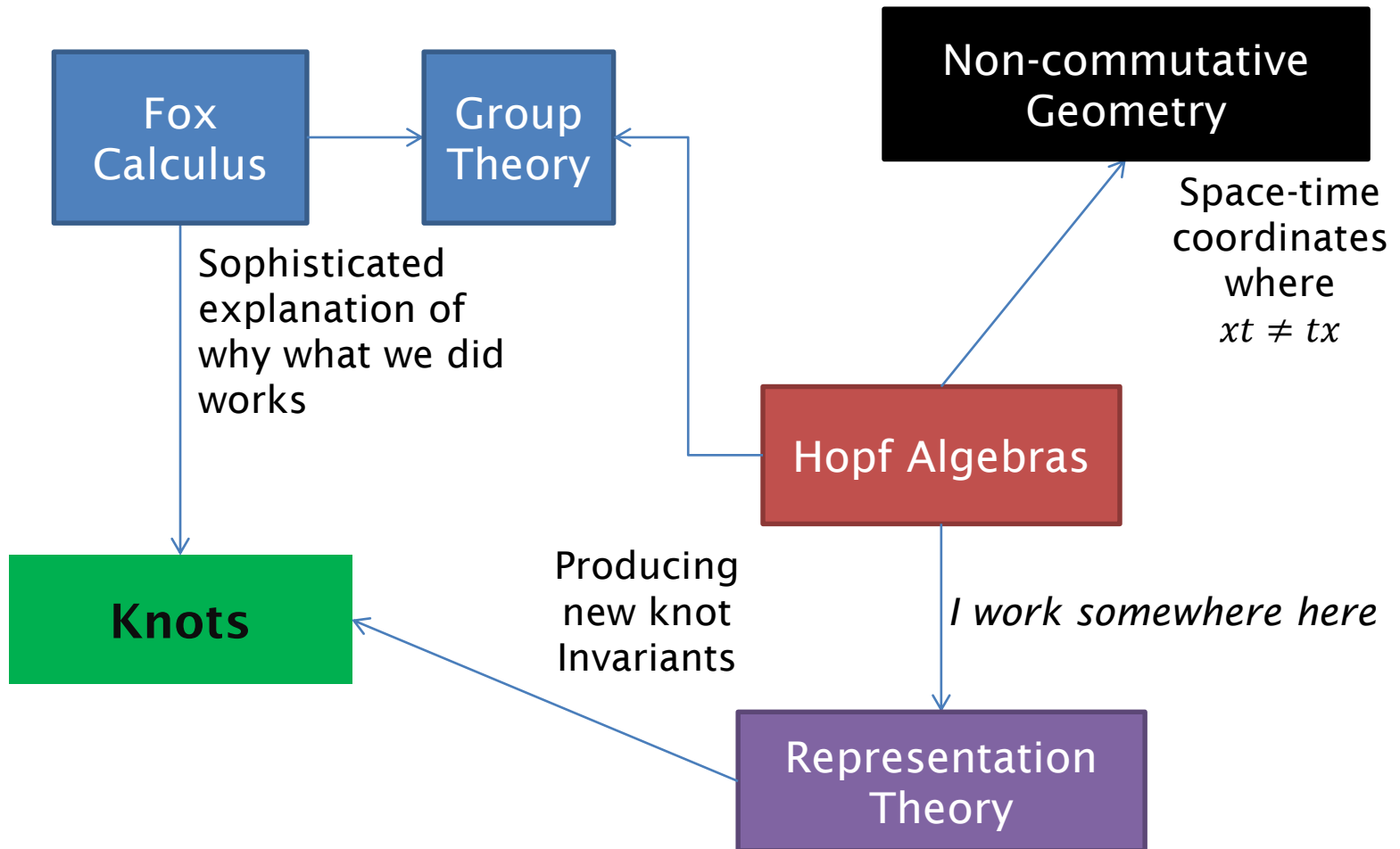
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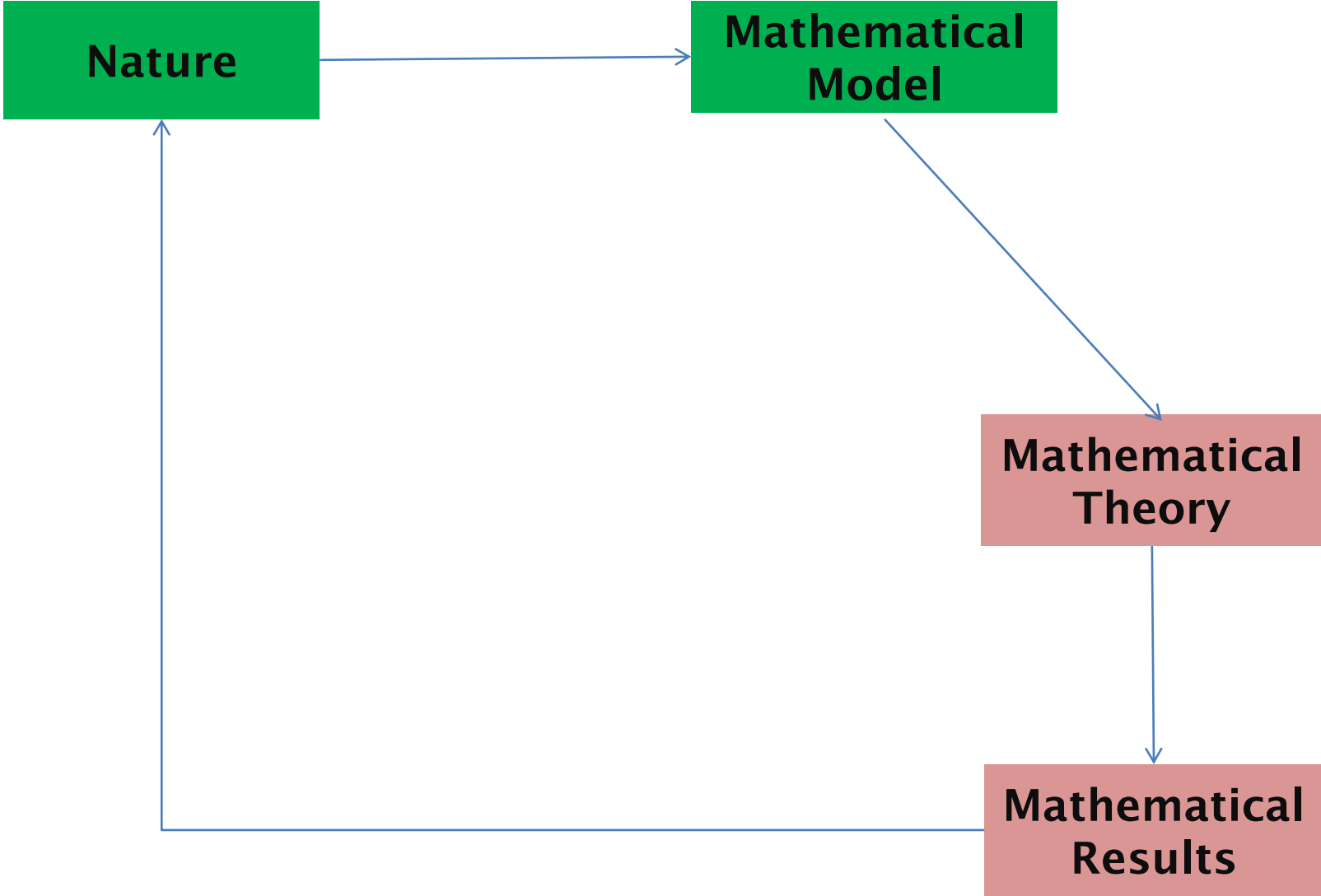


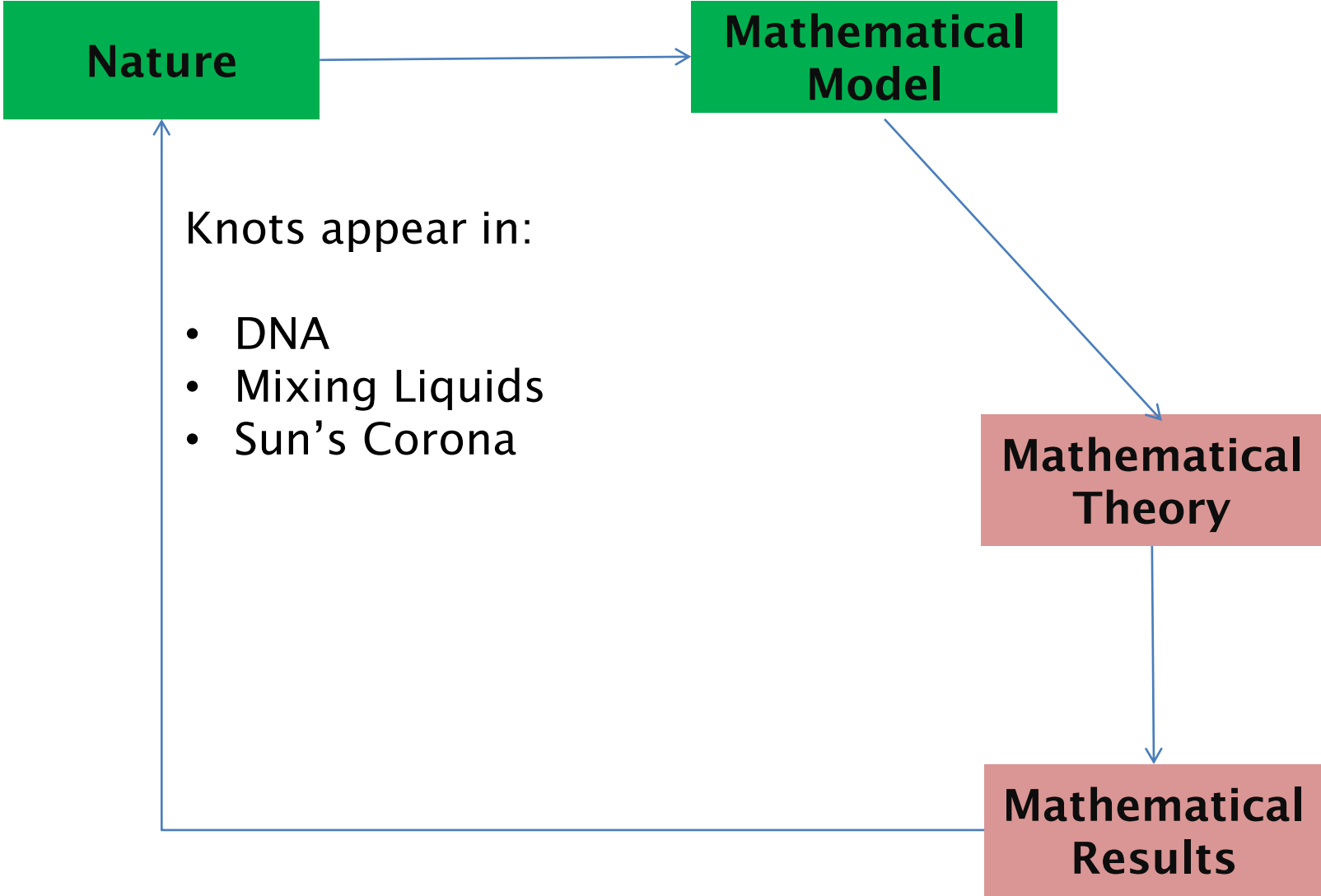
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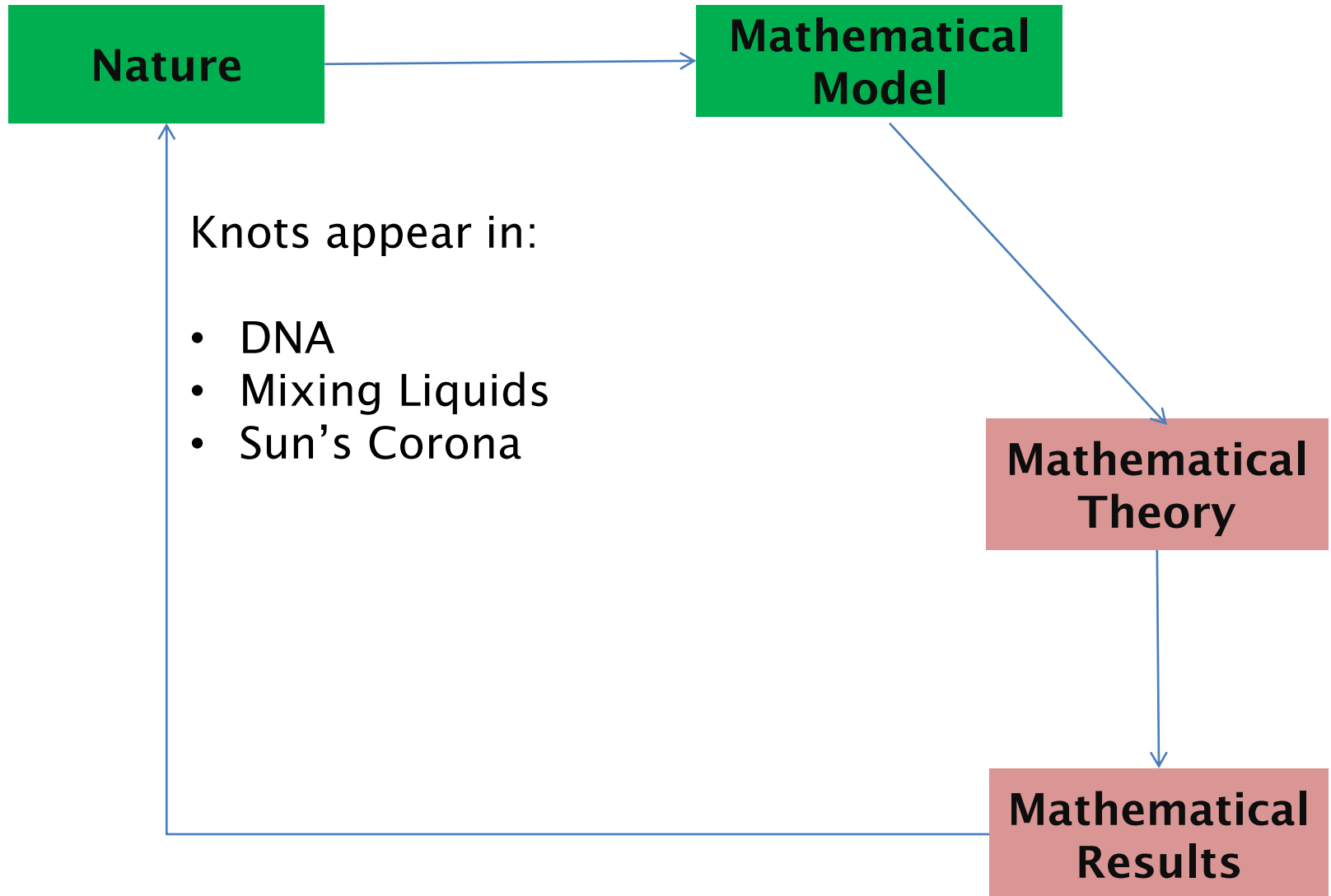


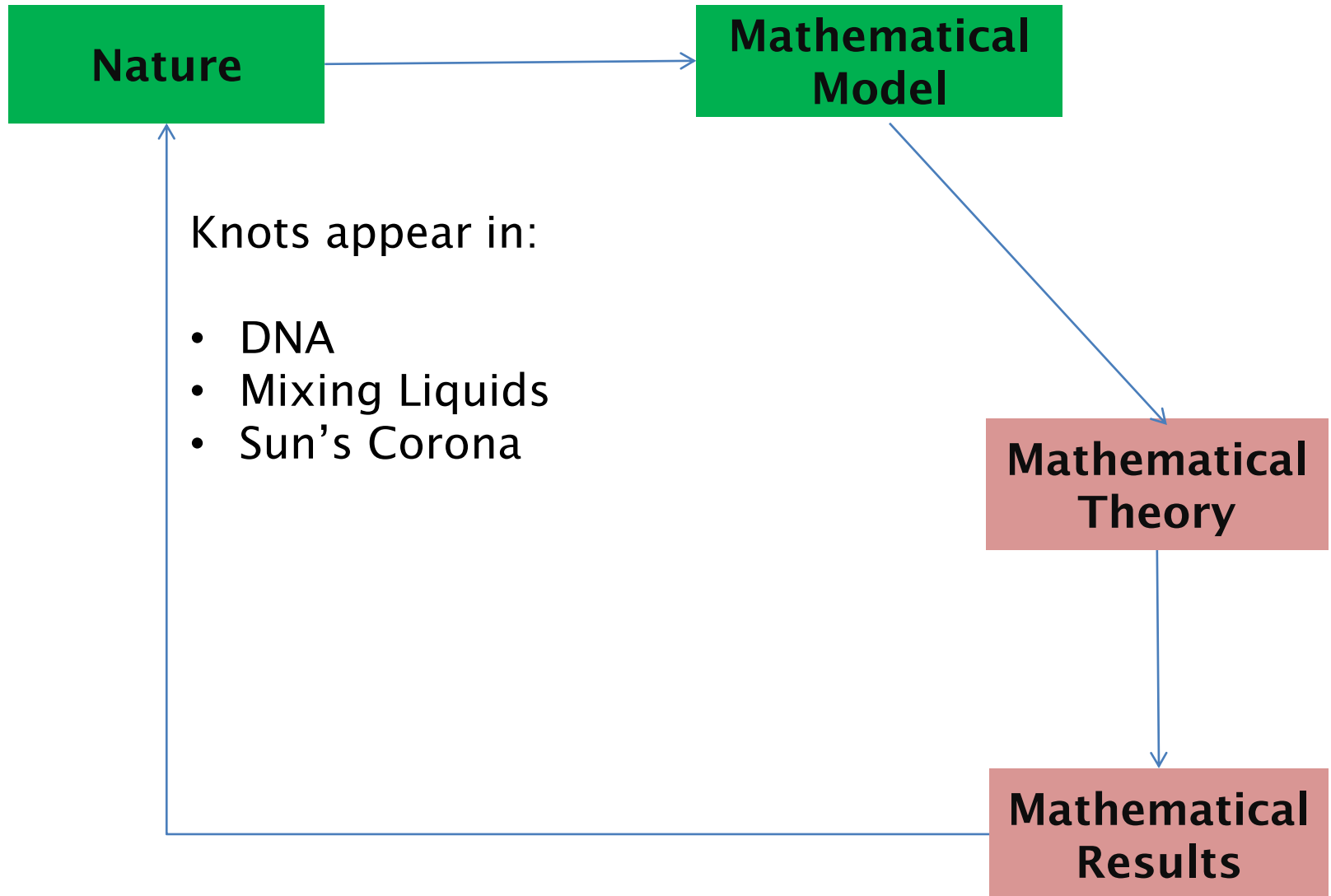
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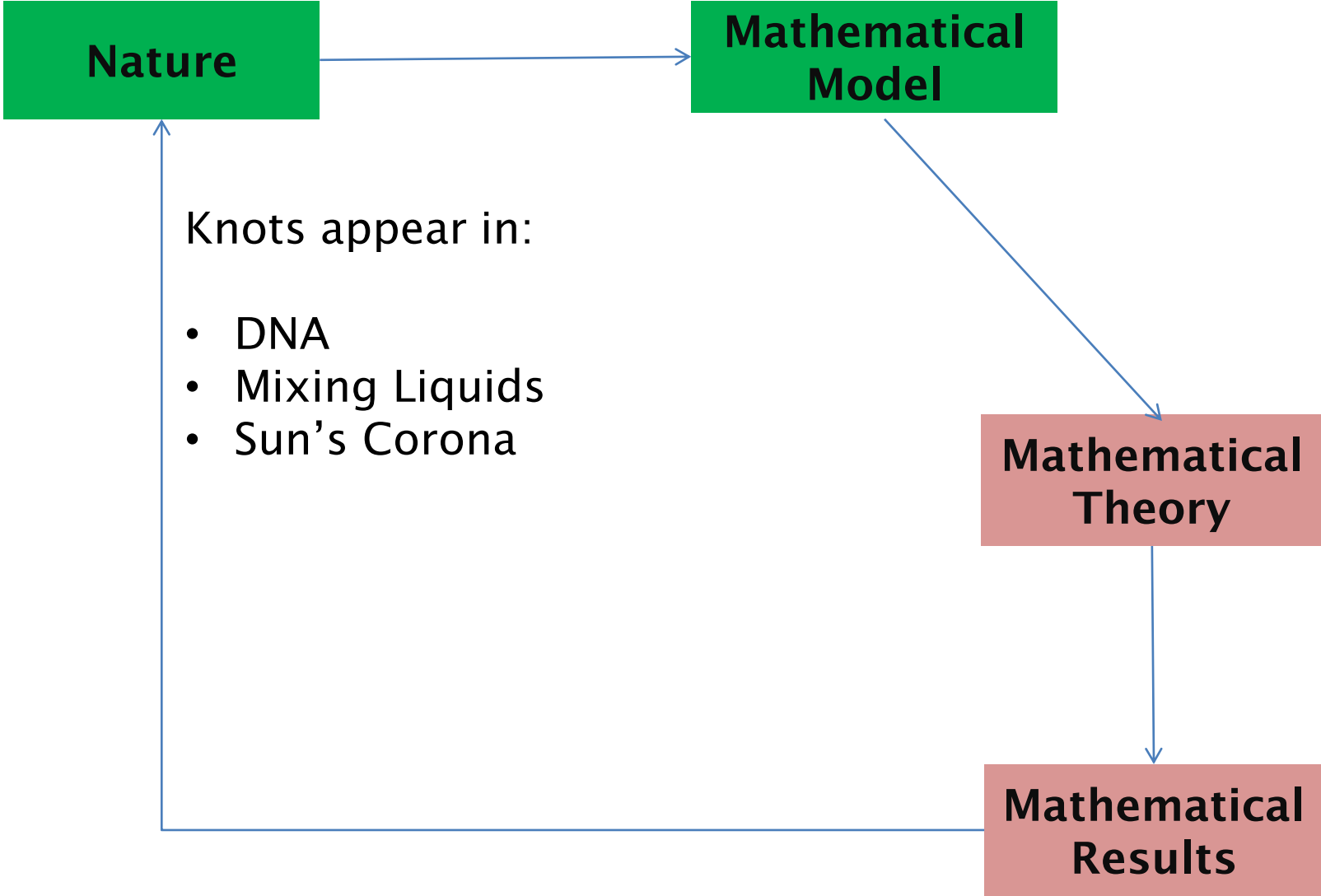


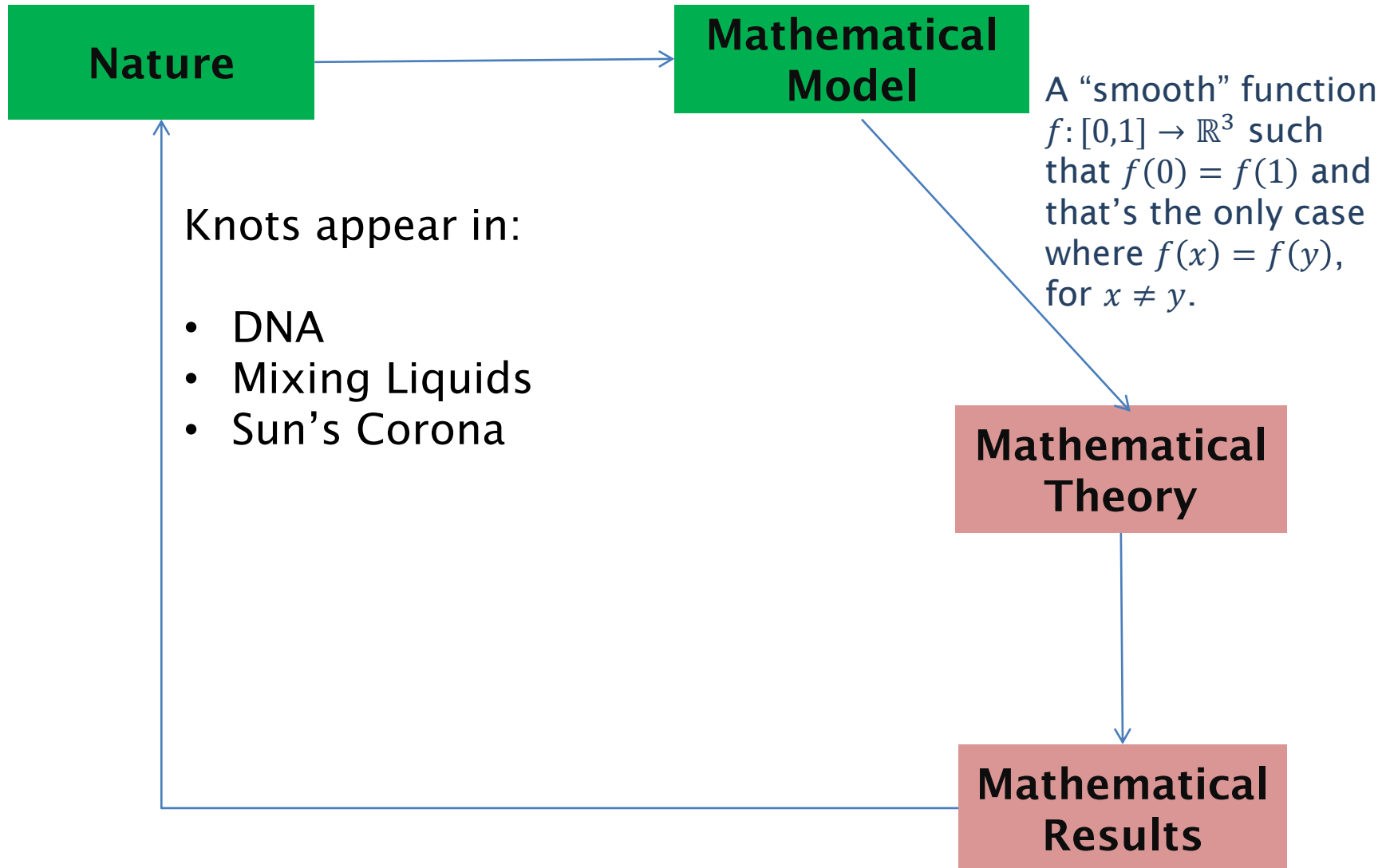


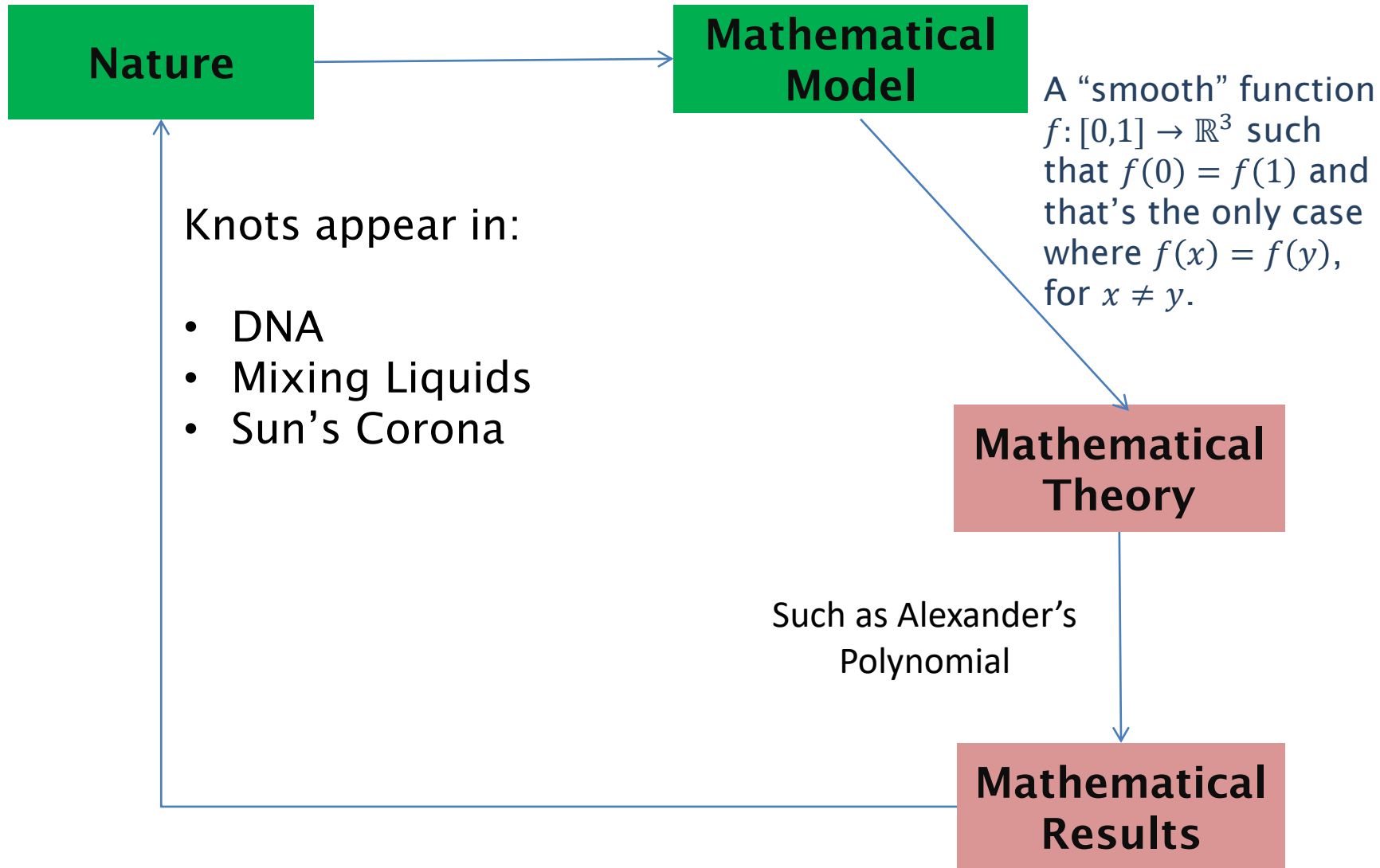












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Bibliography and Recommended Texts

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- *Colin C. Adams, **The Knot Book**, American Mathematical Society, ISBN-13: 978-0821836781*
- [Andrew Ranniki's Website has some great links](#)
- *Edward Long, [Topological Invariants of Knots: Three Routes to Alexander's Polynomial](#), Manchester University, 2005*
- *Will Adkison, [An Overview of Knot Invariants](#)*

Pictures used from

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